

# SlaClip: Gradient Norm Slacks can be Indicator for Adaptive Clipping in DP-SGD



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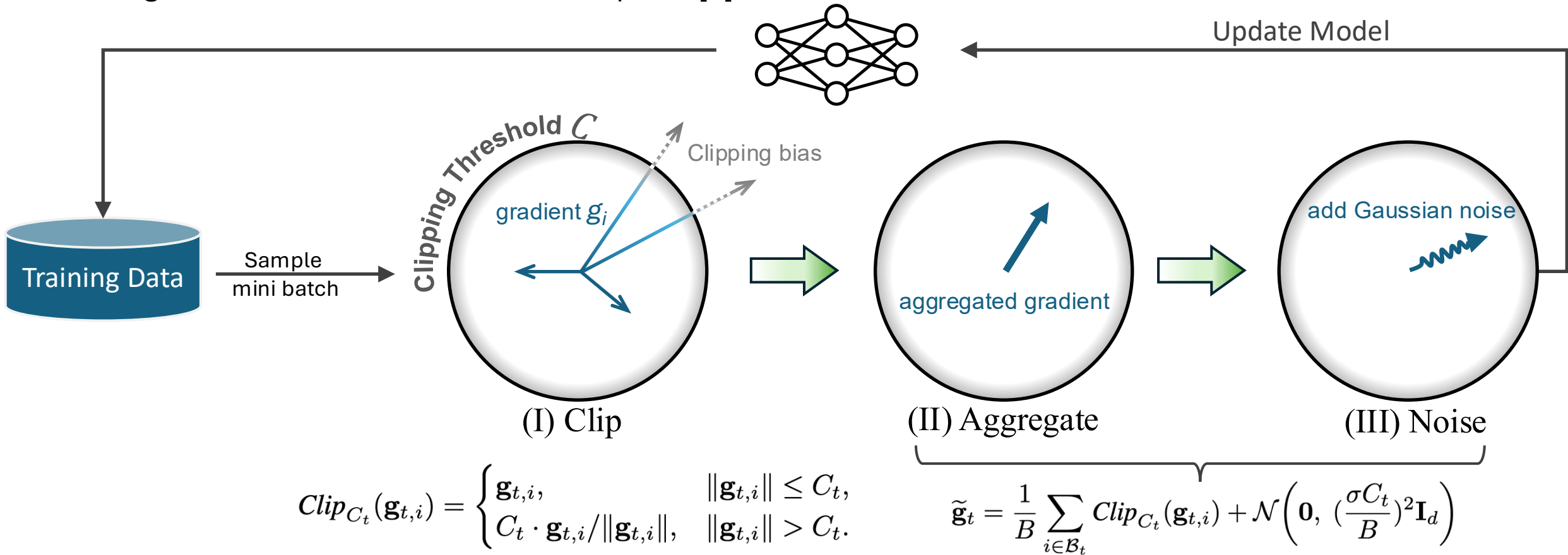
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# I. Motivation: Vanilla DP-SGD and the clipping problem

DP-SGD protects training data by

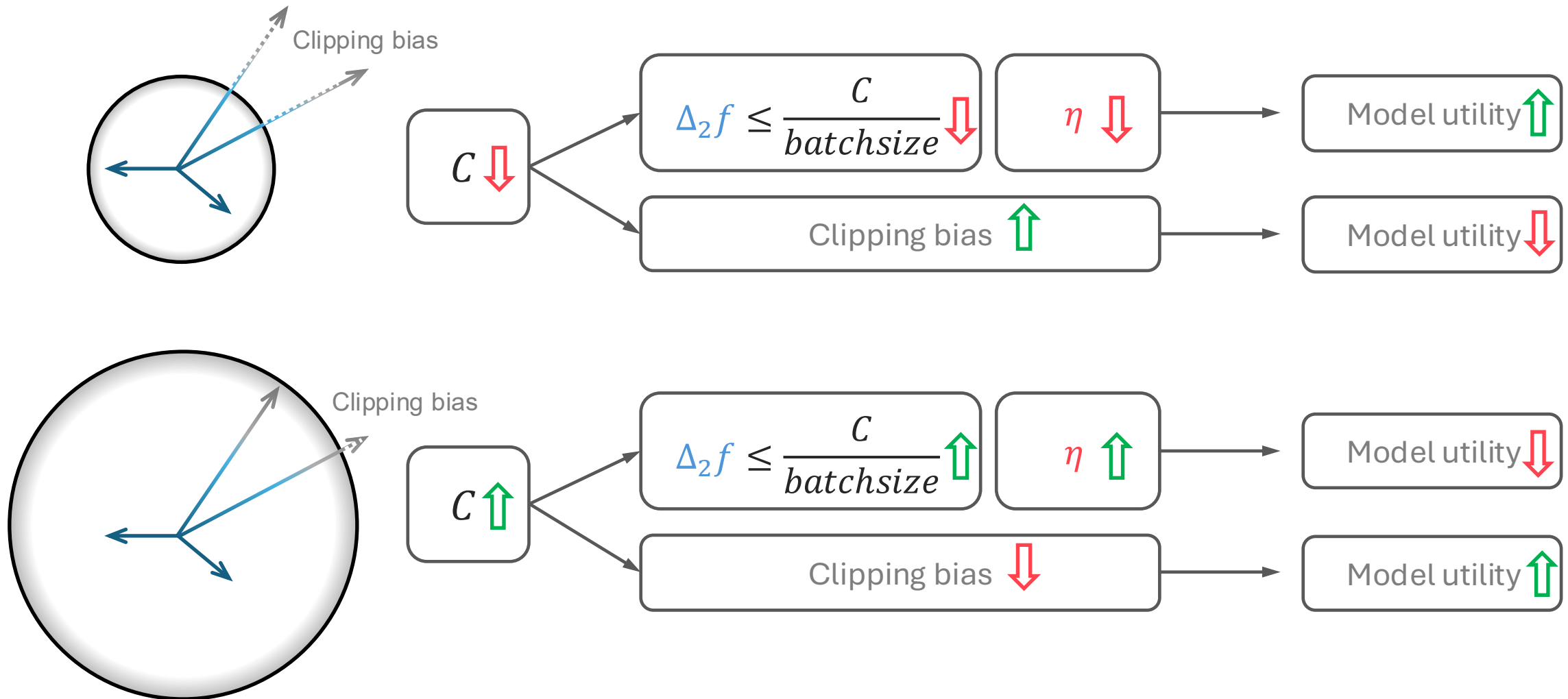
- Clipping each per-sample gradient;
- Adding Gaussian noise before the model update [1].



[1] Abadi, Martin, et al. "Deep learning with differential privacy." CCS 2016.

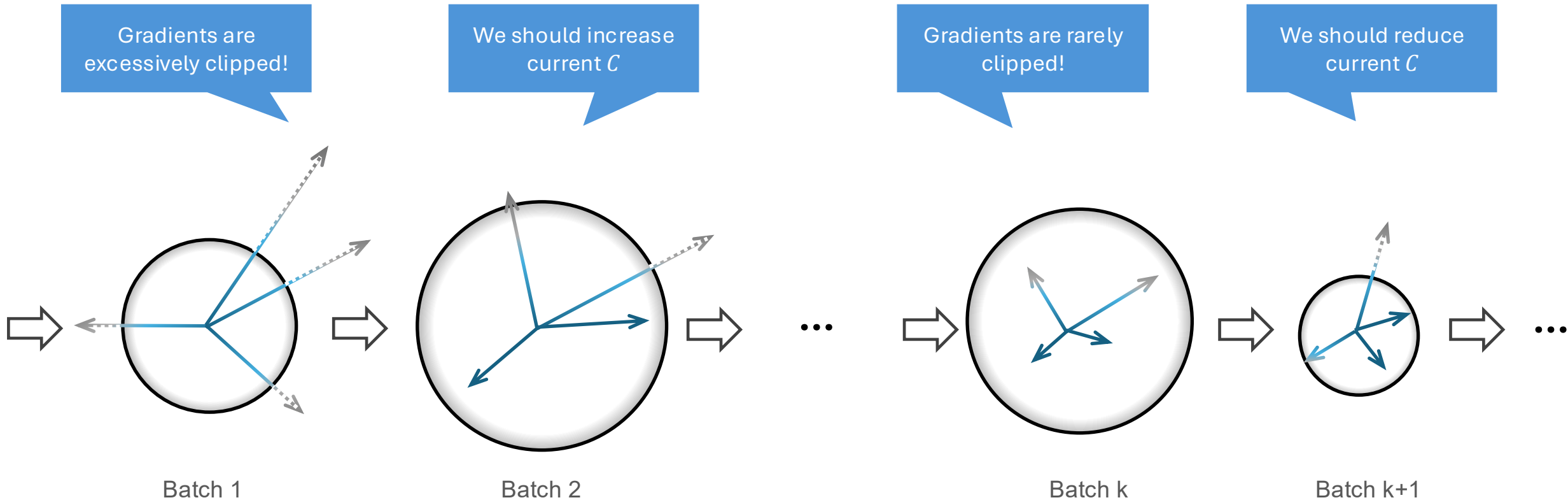
# I. Motivation: DP-SGD and the clipping problem

- Clipping threshold  $C$ : caps each per-sample gradient's  $\ell_2$  norm.
- If Clipping threshold  $C$  is too small, useful gradient directions are over-clipped.
- If Clipping threshold  $C$  is too large, the Gaussian noise becomes too large.



## II. Prior adaptive clipping: useful but costly or rigid

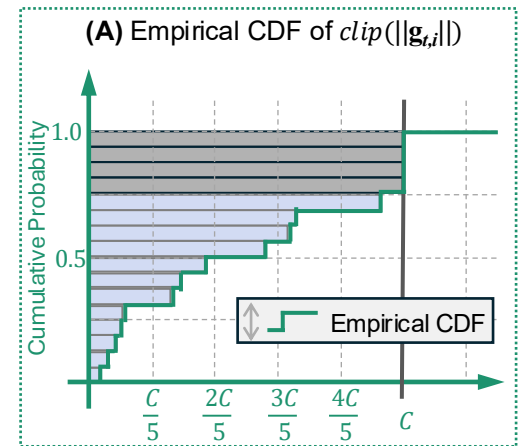
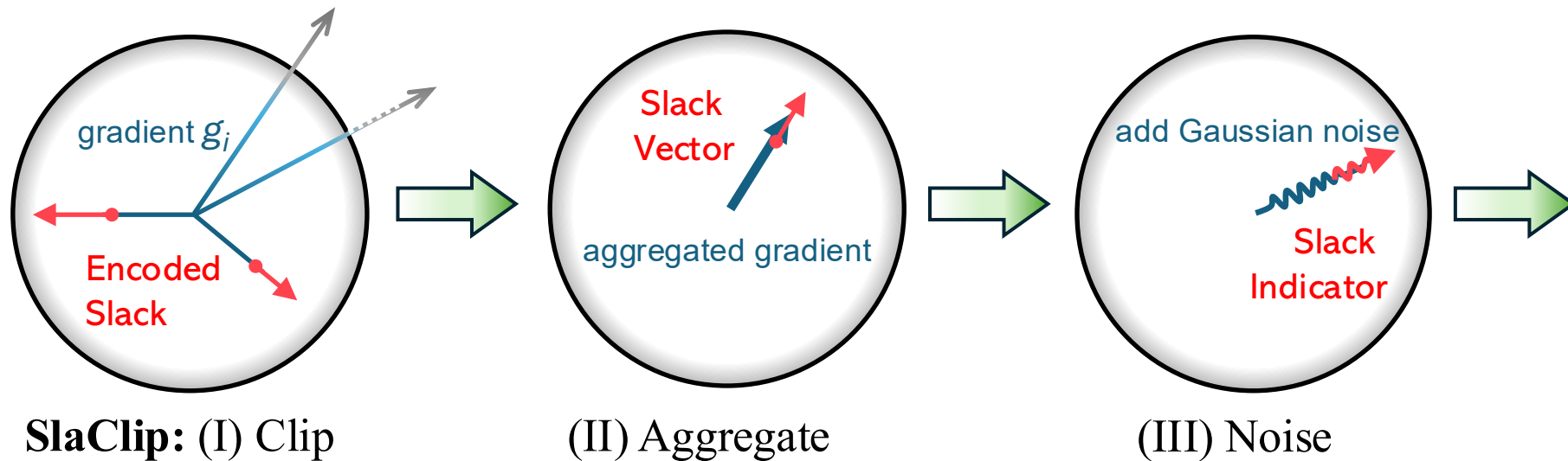
- Adap-Clip [2] tracks the unclipped ratio and adjusts the threshold toward a target quantile (50%).
- Limitation 1: estimating this statistic typically requires an additional private measurement.
- Limitation 2: A fixed target can become suboptimal when the gradient norm distribution changes, especially when many small norm gradients appear later in training.



[2] Thakkar, O., Andrew, G., & McMahan, H. B. (2019). Differentially private learning with adaptive clipping. *arXiv e-prints*, arXiv-1905.

### III. SlaClip: use clipping slack as a no-extra-privacy signal

- SlaClip asks whether the **Clipping Slack** can be encoded into extra coordinates, while keeping the extended gradient within the same norm bound  $C$ .
- This makes it possible to release a noisy binned Cumulative Distribution Function (CDF) signal through the same Gaussian mechanism, without an additional private query.



### III. SlaClip: use clipping slack as a no-extra-privacy signal

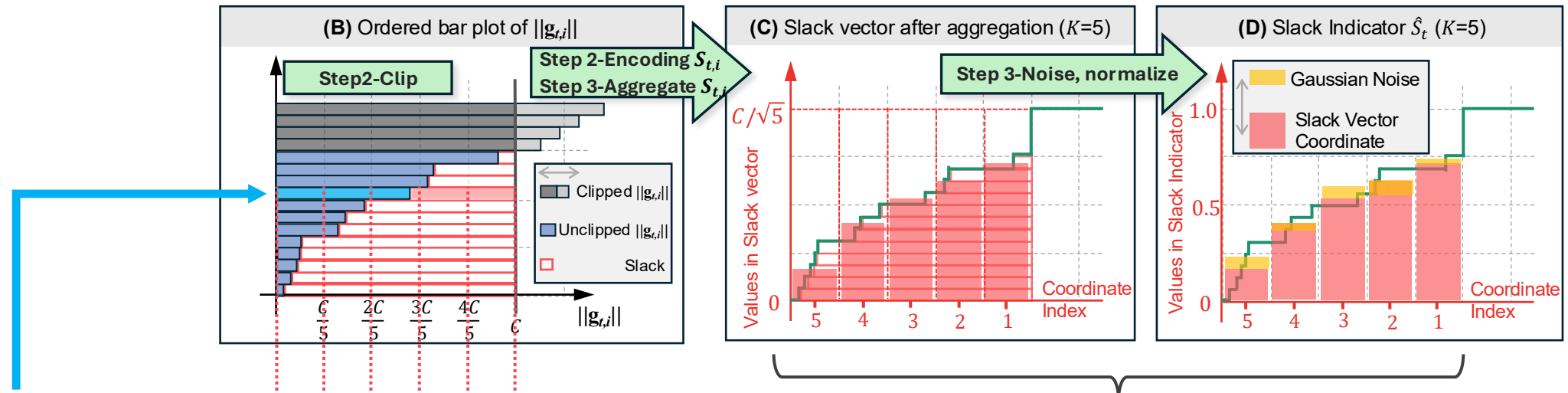
- The extended gradient is constructed to make sure its norm is still bounded by the same clipping threshold  $C_t$ .
- Therefore, the extended vector preserves the same global  $\ell_2$  sensitivity bound as vanilla DP-SGD.

**Slack encoding**

$$\mathbf{g}_{t,i}^+ = [\text{Clip}_{C_t}(\mathbf{g}_{t,i}); \mathbf{s}_{t,i}]$$

$$\mathbf{s}_{t,i} \triangleq [\lambda \mathbf{1}^{(a)}; b; \mathbf{0}]_{t,i}$$

$$\sqrt{K} \cdot \max\{C_t - \|\mathbf{g}_{t,i}\|, 0\} = a\lambda + b.$$



Slack vector example:  $[0, 0, b, \lambda, \lambda]$   
 $\lambda = c/\sqrt{K}$

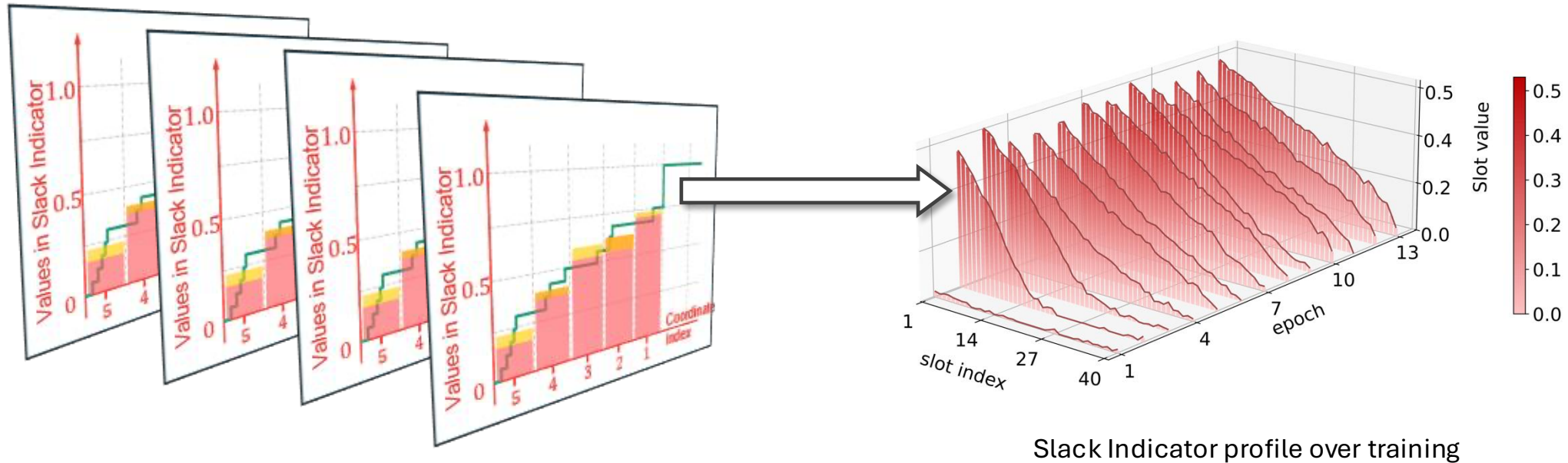
$$\tilde{\mathbf{g}}_t^+ = \overbrace{\frac{1}{B} \sum_{i \in \mathcal{B}_t} \mathbf{g}_{t,i}^+}^{f_{avg}^+} + \mathcal{N}\left(\mathbf{0}, \left(\frac{\sigma C_t}{B}\right)^2 \mathbf{I}_{d+K}\right)$$

## IV. What information does the Slack Indicator provide?

- Slack Indicator can be interpreted as a noisy, binned CDF estimate of  $\text{clip}(\|\mathbf{g}_{t,i}\|)$  below the current threshold.
- SlaClip adapts the clipping level using both near-threshold and near-zero CDF information.

Dynamic target clipping ratio:  $\gamma_t \triangleq \Pi_{[0,1]}(1 - (1 - \hat{s}_{t,K}/C_t)/2)$

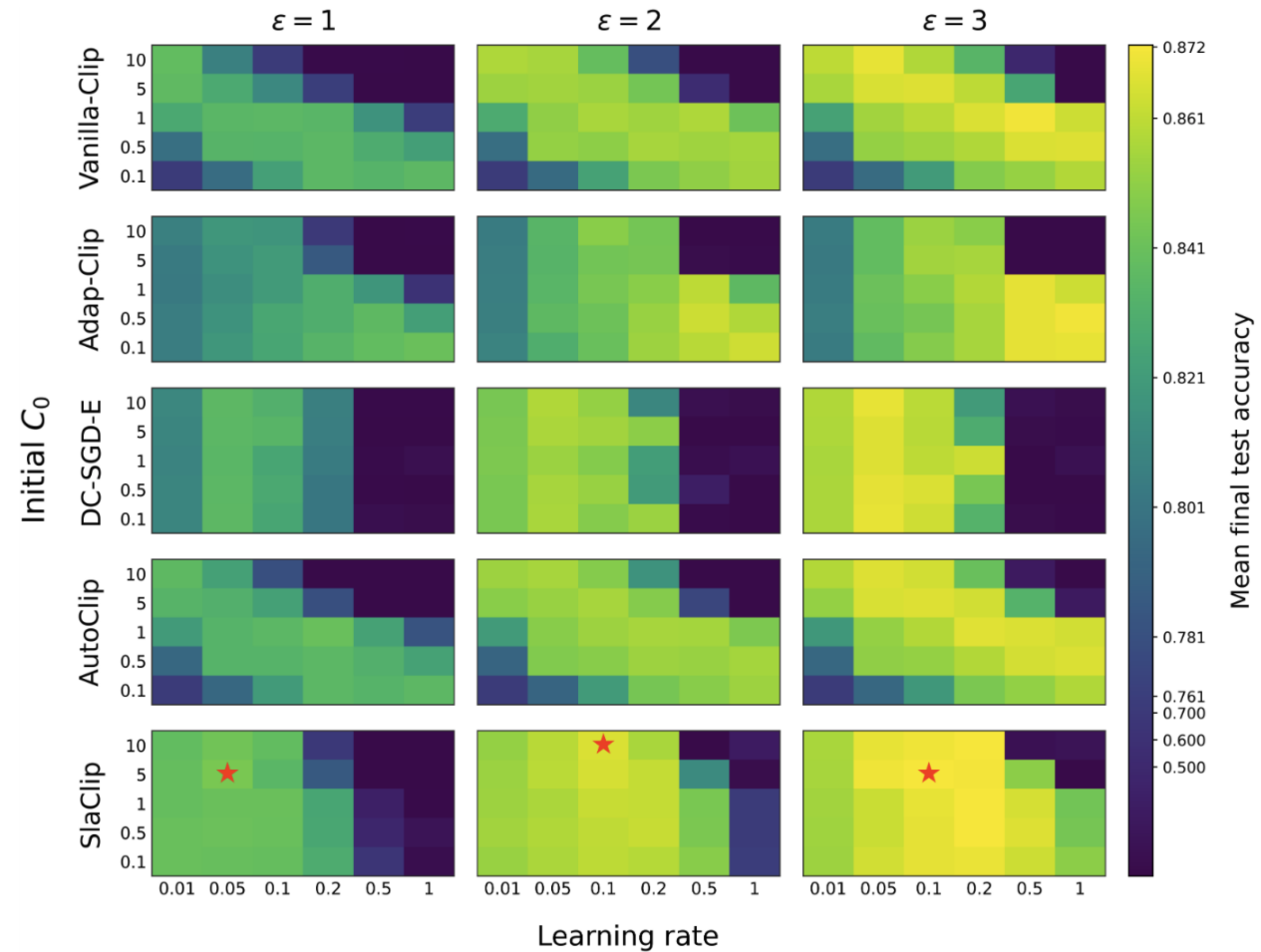
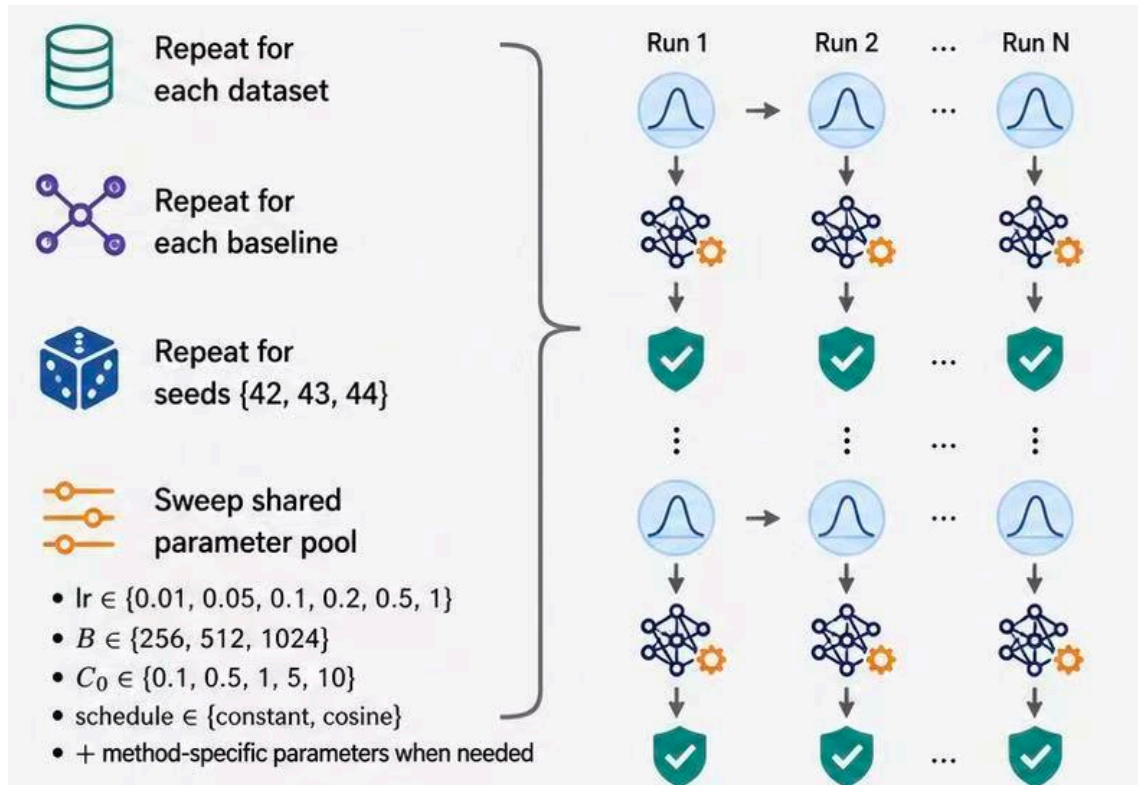
Clipping threshold adaptation:  $C_{t+1} \leftarrow C_t \exp(\eta(\gamma_t - \hat{s}_{t,1}))$



Slack Indicator profile over training

# V. Evaluation:

- For the main comparison, we use a fairly tuned protocol under matched privacy budgets.
- Across these settings, SlaClip achieves the best or second-best private accuracy in every setting.
- SlaClip has a broader high-accuracy region around  $lr$  near 0.1, and is less sensitive to the initial threshold  $C_0$ .



# VI. Takeaway:



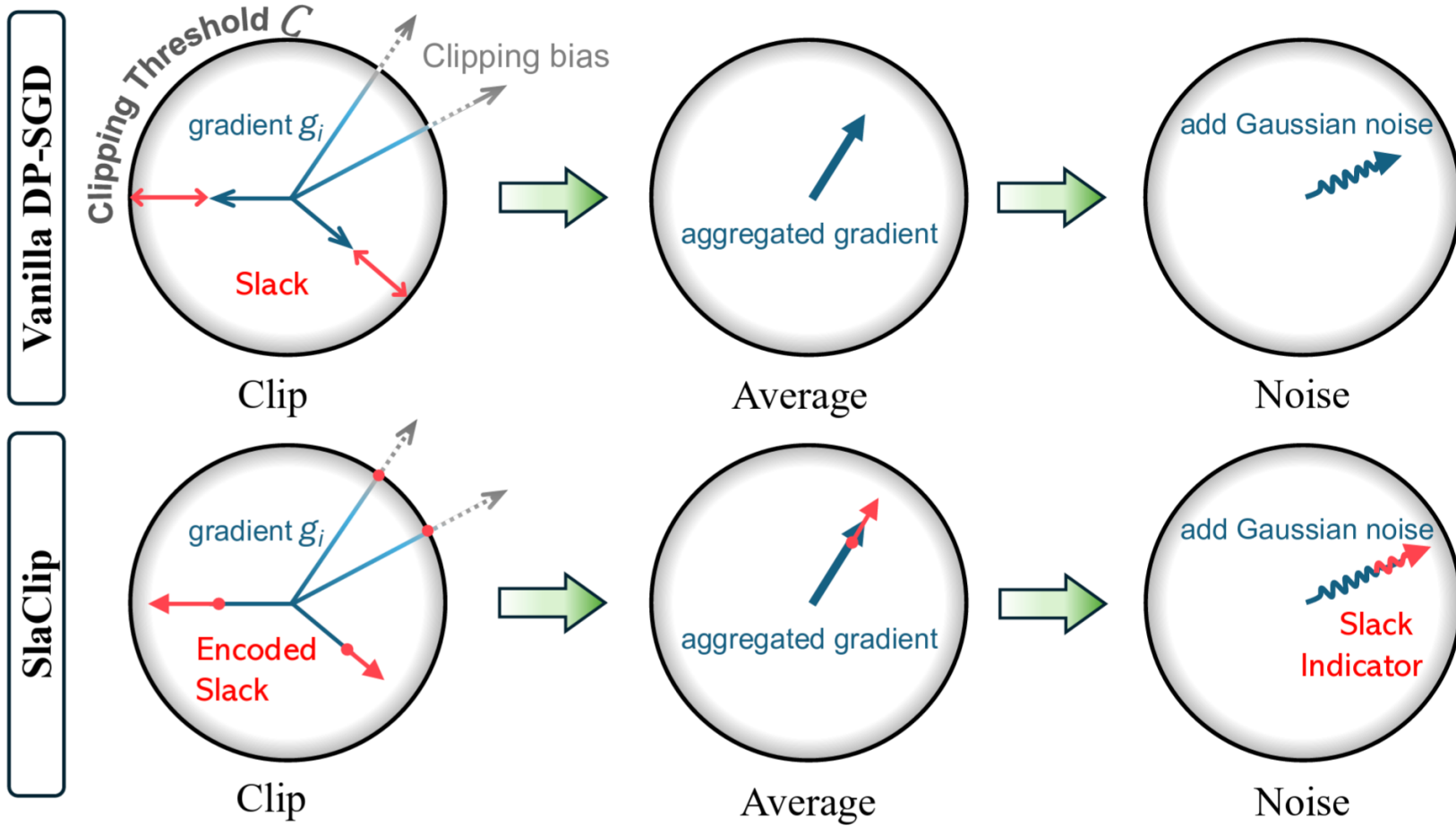
Code link:  
<https://github.com/ZsyRock/SlaClip>



SlaClip turns the clipping slack into a privacy-preserving CDF signal, released through the same Gaussian mechanism as vanilla DP-SGD.



SlaClip is plug-and-play with the standard DP-SGD pipeline, and provides a richer adaptive clipping signal than fixed-target clipping rules.



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