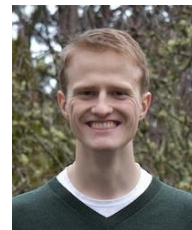


\mathcal{DPE}

Diverse Prototypical Ensembles Improve Robustness to Subpopulation Shift

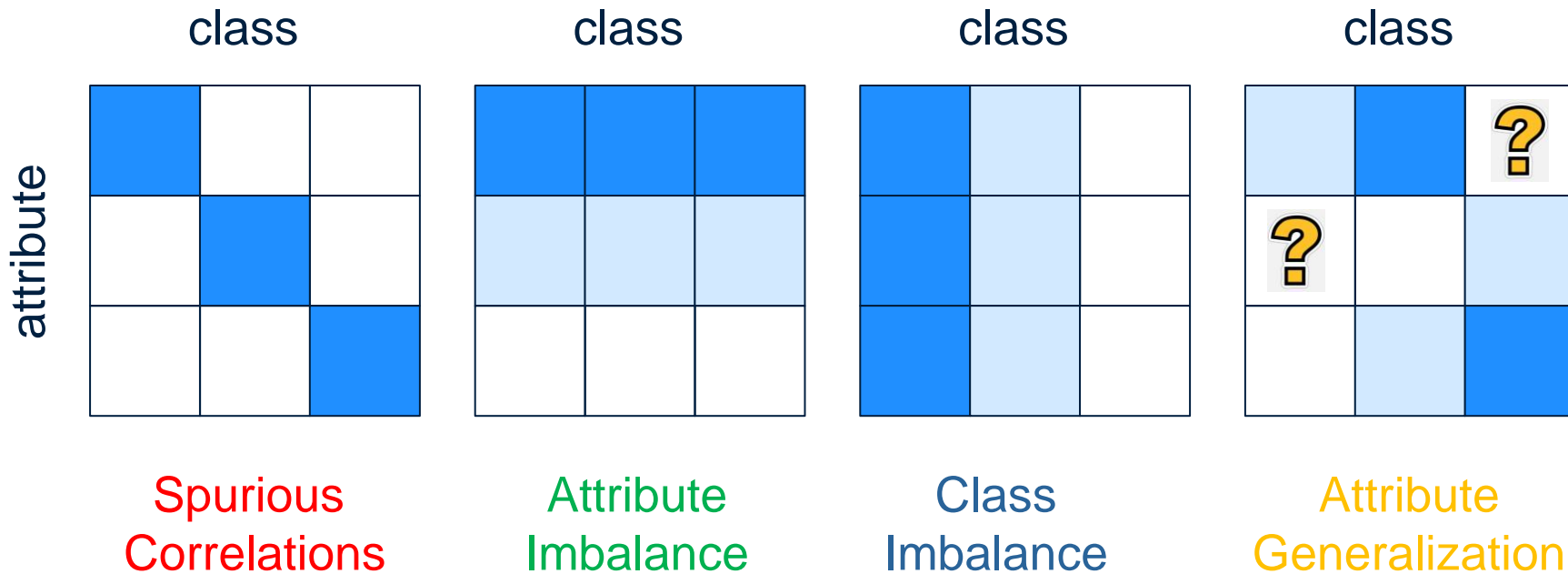


Minh To



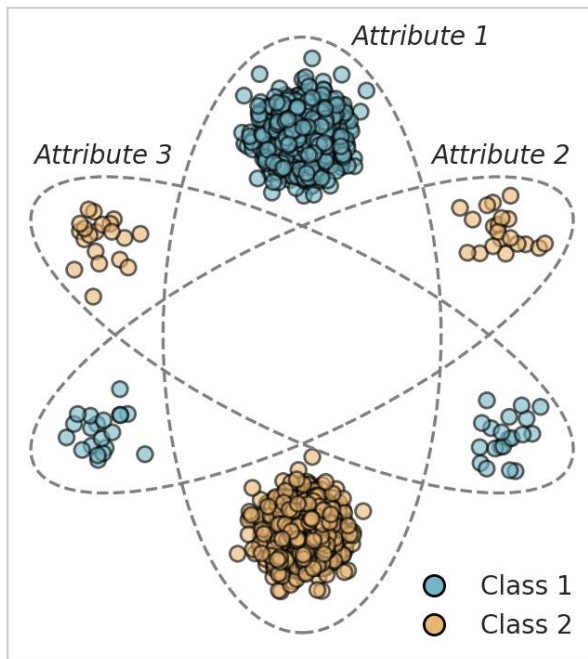
Subpopulation Shifts

Subpopulation shift = distribution mismatch between training and test groups.

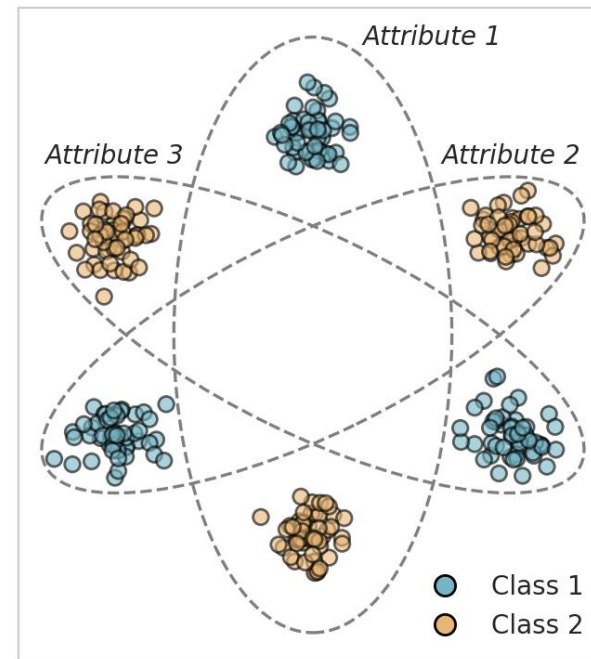


Motivation

Training Data
(attribute imbalance)

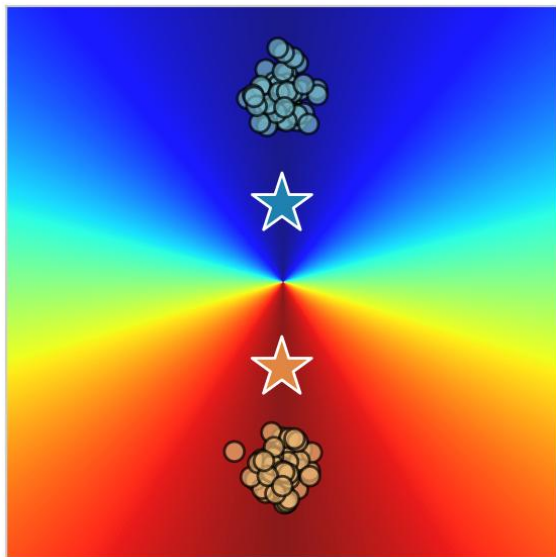


Test Data
(attribute balance)

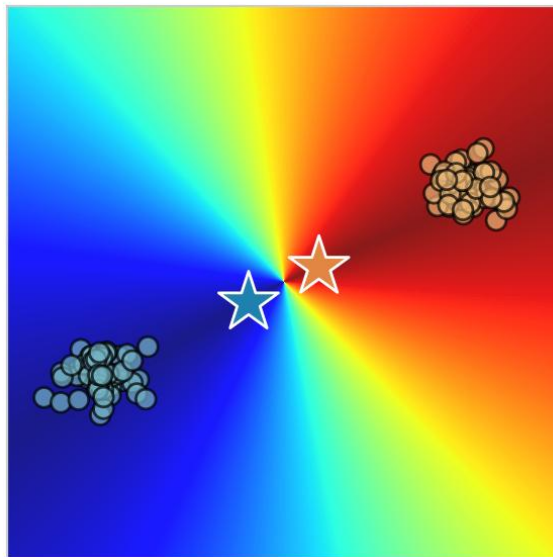


Motivation

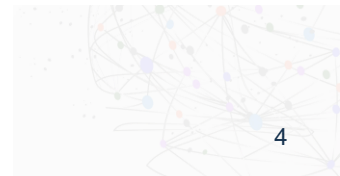
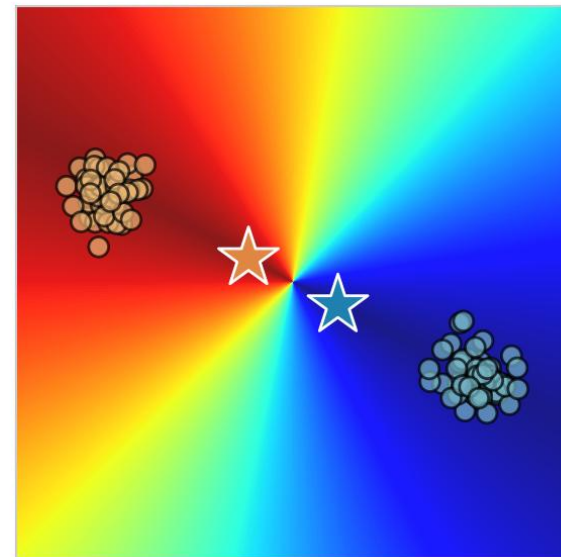
Distance to Class 1 Prototype
(Test set - Attribute 1)



Distance to Class 1 Prototype
(Test set - Attribute 2)

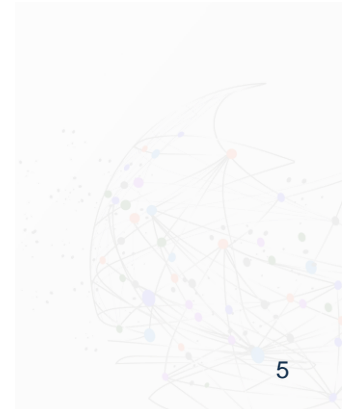
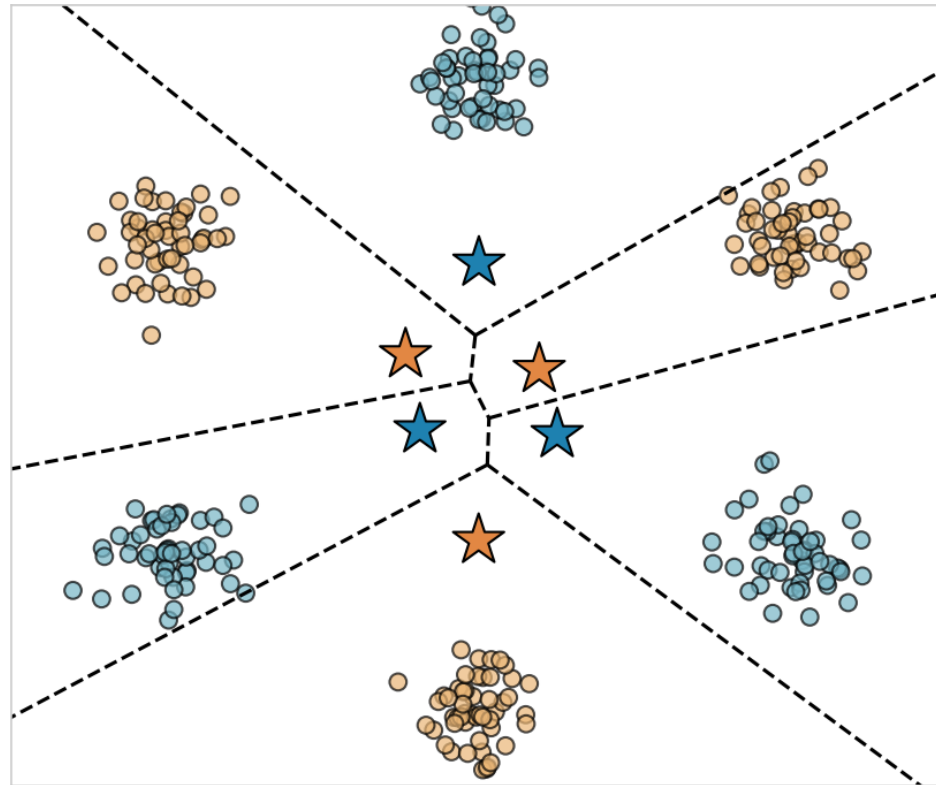


Distance to Class 1 Prototype
(Test set - Attribute 3)




Motivation

Voronoi Diagram




Diversified Prototypical Ensemble

1 **Task: Binary Classification**
 “Landbird” vs. “Waterbird”
 “Landbird” ($Y = 1$)




Implicit (Unlabelled) Subgroup: Landbirds on Land




Implicit (Unlabelled) Subgroup: Landbirds in Water

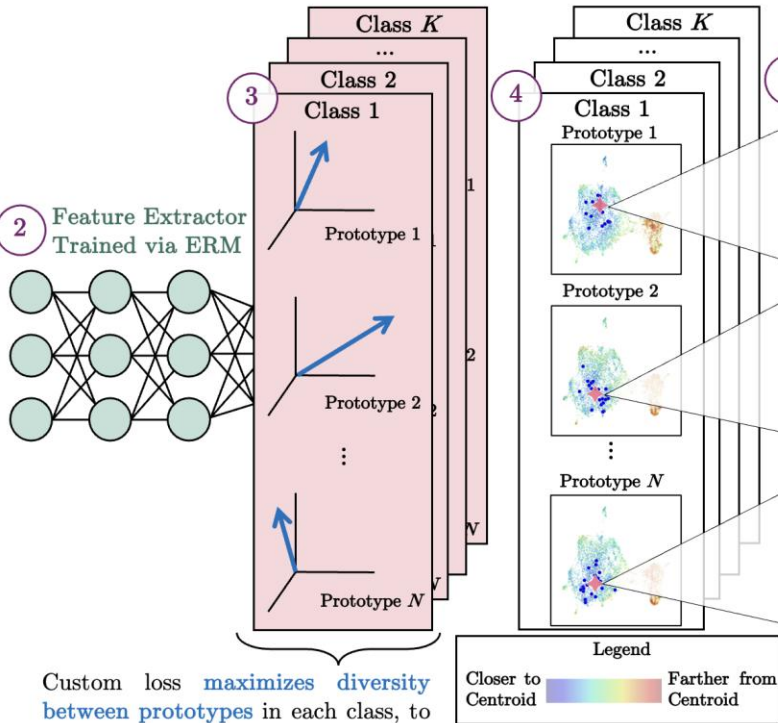
“Waterbird” ($Y = 2$)



Implicit (Unlabelled) Subgroup: Waterbirds in Water




Implicit (Unlabelled) Subgroup: Waterbirds on Land




Custom loss **maximizes diversity** between prototypes in each class, to identify relevant subgroups within the class.

5 **Class 1: “Landbird”**

Prototype 1: “Red/Yellow-Accented Landbirds Near Water” *




Prototype 2: “Small Yellow Landbirds Near Water” *



⋮

Prototype N: “Small Yellow Landbirds on Land” *



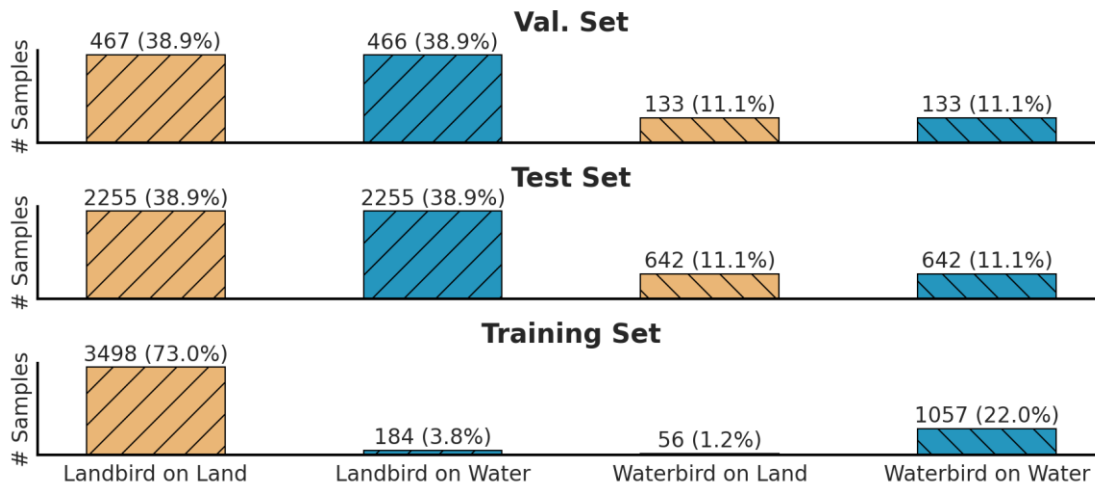
* These descriptors have been manually annotated to demonstrate how DPE learns salient subgroups within each class.

Datasets

- Waterbirds – Standard Benchmark Dataset
- Spurious correlation between the bird types and the background
- And other 8 Real-world datasets



Subpopulation Distribution



Worst-Group Accuracy (With Subgroup Annotations)

Algorithm	Group Info (Train/Val)	Waterbirds	CelebA	CivilComments	MultiNLI	MetaShift	CheXpert
ERM	✗/✗	69.1 \pm 4.7	57.6 \pm 0.8	63.2 \pm 1.2	66.4 \pm 2.3	82.1 \pm 0.8	41.7 \pm 3.4
CRT	✗/✓	76.3 \pm 0.8	70.4 \pm 0.4	68.5 \pm 0.0	65.4 \pm 0.1	83.1 \pm 0.0	74.0 \pm 0.2
ReWeightCRT	✗/✓	76.3 \pm 0.2	71.1 \pm 0.5	68.2 \pm 0.4	65.3 \pm 0.1	85.1 \pm 0.4	73.9 \pm 0.2
DFR	✗/✓✓	89.0 \pm 0.2	86.3 \pm 0.3	66.5 \pm 0.2	63.8 \pm 0.0	81.5 \pm 0.0	75.4 \pm 0.6
ERM + DPE	✗/✓✓	91.0 \pm 0.4	87.7 \pm 0.6	71.5 \pm 0.6	74.8 \pm 0.3	87.9 \pm 0.7	—
ERM*	✗/✗	77.9 \pm 3.0	66.5 \pm 2.6	69.4 \pm 1.2	66.5 \pm 0.7	80.0 \pm 0.0	75.6 \pm 0.4
Group DRO	✓/✓	91.4 \pm 1.1	88.9 \pm 2.3	70.0 \pm 2.0	77.7 \pm 1.4	—	—
RWG	✓/✓	87.6 \pm 1.6	84.3 \pm 1.8	72.0 \pm 1.9	69.6 \pm 1.0	—	—
JTT	✗/✓	86.7	81.1	69.3	72.6	—	—
CnC	✗/✓	88.5 \pm 0.3	88.8 \pm 0.9	68.9 \pm 2.1	—	—	—
SSA	✗/✓✓	89.0 \pm 0.6	89.8 \pm 1.3	69.9 \pm 2.0	76.6 \pm 0.7	—	—
DFR*	✗/✓✓	92.9 \pm 0.2	88.3 \pm 1.1	70.1 \pm 0.8	74.7 \pm 0.7	—	—
GAP (Last Layer)	✗/✓✓	93.2 \pm 0.2	90.2 \pm 0.3	—	74.3 \pm 0.2	—	—
GAP (All Layer)	✗/✓✓	93.8 \pm 0.1	90.2 \pm 0.3	—	77.8 \pm 0.6	—	—
ERM* + DPE	✗/✓✓	94.1 \pm 0.4	90.3 \pm 0.7	70.8 \pm 0.8	75.3 \pm 0.5	91.7 \pm 1.3	76.0 \pm 0.3

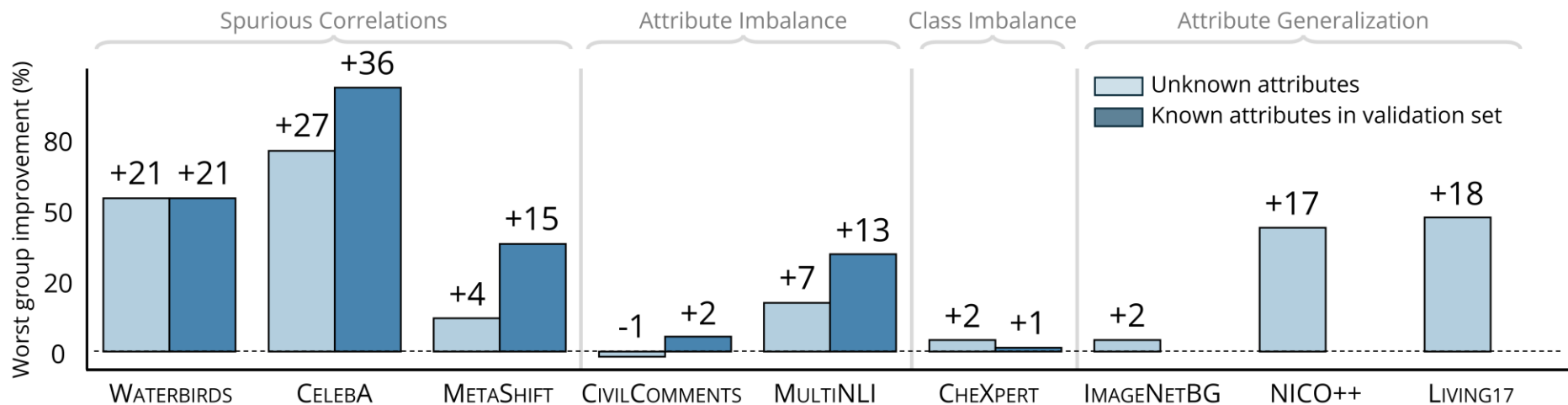


Worst-Group Accuracy (Without Subgroup Annotations)

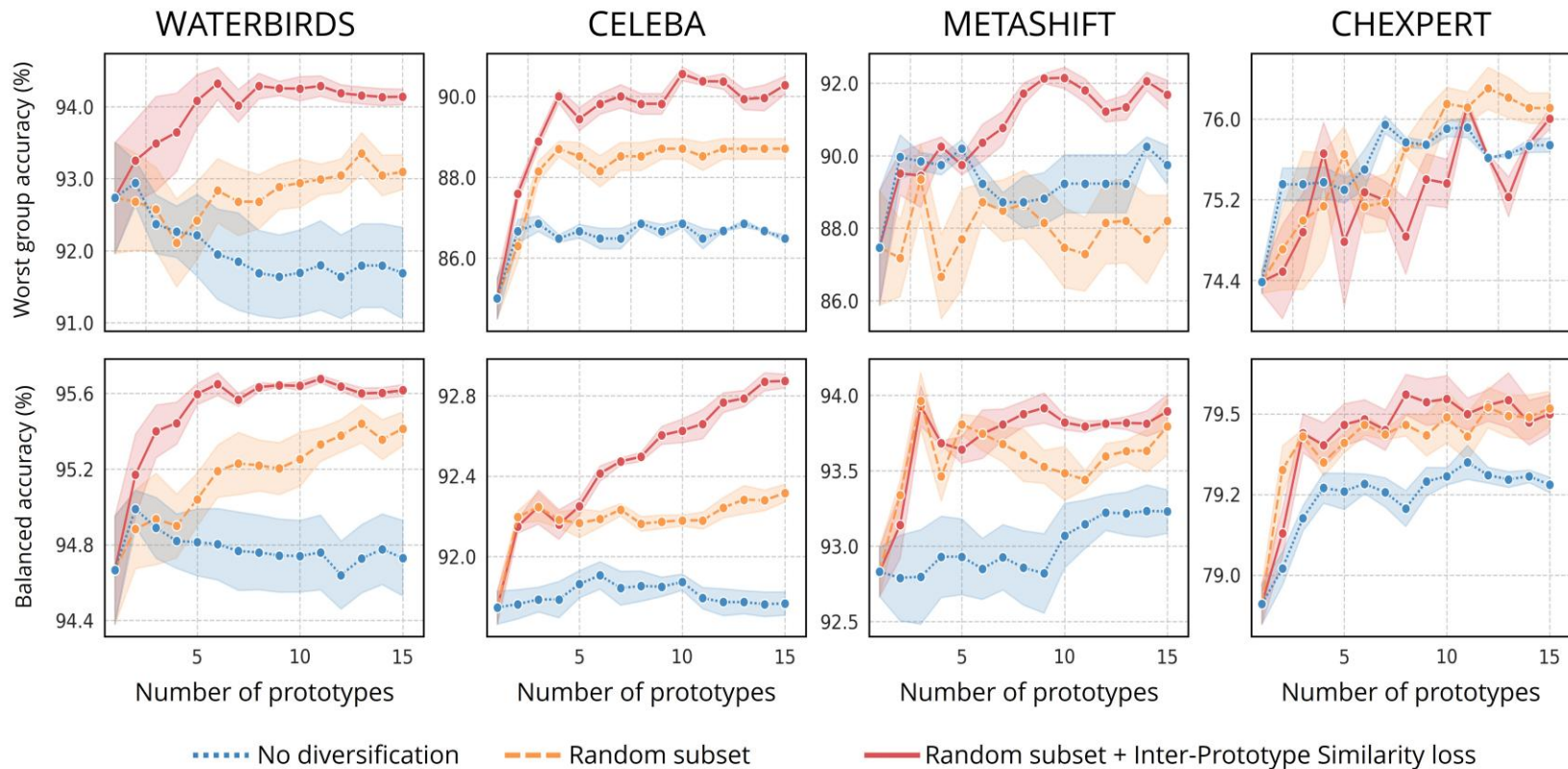
The top section presents SubpopBench-style baselines using an ERM backbone. The bottom section includes recent state-of-the-art methods and our method using a stronger ERM* backbone.

Algorithm	Waterbirds	CelebA	CivilComments	MultiNLI	MetaShift	CheXpert	ImageNetBG	NICO++	Living17
ERM	69.1 \pm 4.7	57.6 \pm 0.8	63.2 \pm 1.2	66.4 \pm 2.3	82.1 \pm 0.8	41.7 \pm 3.4	76.8 \pm 0.9	35.0 \pm 4.1	48.0 \pm 1.5
CRT	76.3 \pm 0.8	69.6 \pm 0.7	67.8 \pm 0.3	65.4 \pm 0.2	83.1 \pm 0.0	74.6 \pm 0.4	78.2 \pm 0.5	33.3 \pm 0.0	–
ReWeightCRT	76.3 \pm 0.2	70.7 \pm 0.6	64.7 \pm 0.2	65.2 \pm 0.2	85.1 \pm 0.4	75.1 \pm 0.2	77.5 \pm 0.7	33.3 \pm 0.0	–
DFR	89.0 \pm 0.2	73.7 \pm 0.8	64.4 \pm 0.1	63.8 \pm 0.0	81.4 \pm 0.1	75.8 \pm 0.3	74.4 \pm 1.8	38.0 \pm 3.8	–
ERM + DPE	91.0 \pm 0.5	81.9 \pm 0.2	69.9 \pm 0.9	69.3 \pm 0.8	84.1 \pm 1.5	–	87.9 \pm 0.6	50.0 \pm 0.0	54.0 \pm 4.0
ERM*	77.9 \pm 3.0	66.5 \pm 2.6	69.4 \pm 1.2	66.5 \pm 0.7	80.0 \pm 0.0	75.6 \pm 0.4	86.4 \pm 0.8	33.3 \pm 0.0	53.3 \pm 0.9
RWY	86.1 \pm 0.7	82.9 \pm 2.2	67.5 \pm 0.6	68.0 \pm 1.9	–	–	–	–	–
AFR	90.4 \pm 1.1	82.0 \pm 0.5	68.7 \pm 0.6	73.4 \pm 0.6	–	–	–	–	–
ERM* + DPE	94.1 \pm 0.2	84.6 \pm 0.8	68.9 \pm 0.6	70.9 \pm 0.8	83.6 \pm 0.9	76.8 \pm 0.1	88.1 \pm 0.7	50.0 \pm 0.0	63.0 \pm 1.7

Improvement over ERM Training



Effect of Diversification Strategy



Prototypes

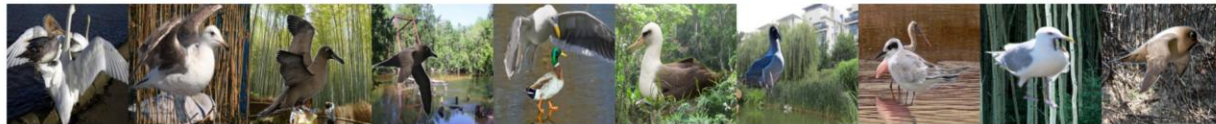
Subgroups

Alignment



“Diving and surface-swimming waterbirds in open aquatic environments”

This prototype captures ecologically coherent waterbirds — mostly **grebes, loons, cormorants, and gulls** — all adapted to **diving or floating** on water. The visual consistency in **plumage contrast, aquatic setting, and pose** makes this a prototypical representation of the waterbird class.



“Large-bodied waterbirds in extended flight or lift-off poses”

Prototype 2 groups waterbirds with **broad wingspans**, often shown **in flight or preparing for takeoff**, including albatrosses, gulls, and terns. While backgrounds vary (bamboo, docks, ponds), the shared latent theme centers on **aerodynamic posture and silhouette geometry**, forming a distinct subpopulation that reflects a **kinetic visual mode** of aquatic birds.



“Compact-bodied diving birds with rounded silhouettes in terrestrial or ambient-light settings”

This prototype groups **grebes, auklets, guillemots, and terns** — birds that share a **morphologically compact, rounded form** with low profiles and dark plumage. Despite their aquatic nature, they're often shown in **mismatched land-based or bamboo-heavy scenes**, suggesting a **visually-coherent but ecologically-confounded** latent cluster.



“Standing gulls in upright posture with clean visual separation”

This prototype forms a highly coherent group of **California, Glaucous-winged, Heermann's, and Ring-billed Gulls**, unified by their **gray-and-white plumage, yellow bills, and erect standing poses**. It tolerates a wide range of **backgrounds**, but emphasizes **pose uniformity and size**. A single **Hooded Merganser** outlier appears, likely due to **visual mimicry in shape** rather than ecological or taxonomic alignment.

THANK YOU FOR YOUR ATTENTION