# **SAMSUNG**



# COPAL: Continual Pruning in Large Language Generative Models

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#### Introduction

• Adapting pre-trained large language models to different domains in natural language processing requires two key considerations: high computational demands and model's inability to continual adaptation.

#### Introduction

- Adapting pre-trained large language different domains in natural language processing requires two key considerations: high computational demands and model's inability to continual adaptation.
- To simultaneously address both issues, this paper presents "**CO**ntinual **P**runing in **A**daptive **L**anguage settings" (COPAL), an algorithm developed for pruning large language generative models in continual model adaptation settings.



**Importance of Weights**  $\mathbf{W}_i^* = |\mathbf{W}_i \cdot \mathcal{R}_i|$  (i is dataset index)



Importance of Weights

Mask Computation





Importance of Weights Mask Computation

$$
\mathbf{W}_i^* = |\mathbf{W}_i \cdot \mathcal{R}_i|
$$

$$
\mathcal{M}_i = \mathcal{I}(\mathbf{W}_i^* < \mathcal{T}_s) = \bigg\{
$$

Pruned Weights

 $\mathbf{W}_i^p = \mathbf{W}_i \cdot \mathcal{M}_i.$ 



**Weight Stasis** 

Importance of Weights Mask Computation

$$
\mathbf{W}_i^* = \left| \mathbf{W}_i \cdot \mathcal{R}_i \right|
$$
  

$$
\mathcal{M}_i = \mathcal{I}(\mathbf{W}_i^* < \mathcal{T}_s) = \begin{cases} 0 \\ 1 \end{cases}
$$

Pruned Weights

 $\mathbf{W}_i^p = \mathbf{W}_i \cdot \mathcal{M}_i.$ 

Weights for Next Dataset  $\mathbf{W}_{i+1} = \mathbf{W}_{i}^{p}$ 



Importance of Weights (i is dataset index) Mask Computation Pruned Weights  $\mathbf{W}_i^p = \mathbf{W}_i \cdot \mathcal{M}_i$ . good performance D<sub>1</sub> D<sub>2</sub> D<sub>3</sub> only on the first dataset Weights for Next Dataset  $\mathbf{W}_{i+1} = \mathbf{W}_{i}^{p}$ dense network Mask Computation on Next  $\mathcal{M}_{i+1} = \mathcal{I}(\mathbf{W}_{i+1}^* < \mathcal{T}_s)$ pruned networks Dataset  $=\mathcal{I}(|\mathbf{W}_{i+1}\cdot \mathcal{R}_{i+1}|<\mathcal{T}_s)$ **Weight Stasis**  $=\mathcal{I}(|(\mathbf{W}_i \cdot \mathcal{M}_i) \cdot \mathcal{R}_{i+1}| < \mathcal{T}_s)$  $=\mathcal{I}(|\mathbf{W}_i\cdot \mathcal{R}_{i+1}|\cdot |\mathcal{M}_i| < \mathcal{T}_s)$ if  $m < \mathcal{T}_s, m \in |\mathbf{W}_i \cdot \mathcal{R}_{i+1}| \cdot |\mathcal{M}_i|$ <br>otherwise.  $=\mathcal{M}_i,$ 

Importance of Weights Mask Computation

 $=\mathcal{M}_i$ 

 $=\mathcal{I}(|(\mathbf{W}_i \cdot \mathcal{M}_i) \cdot \mathcal{R}_{i+1}| < \mathcal{T}_s)$ 

 $=\mathcal{I}(|\mathbf{W}_i\cdot \mathcal{R}_{i+1}|\cdot |\mathcal{M}_i| < \mathcal{T}_s)$ 

 $\begin{cases} 0 & \text{if } m < \mathcal{T}_s, m \in |\mathbf{W}_i \cdot \mathcal{R}_{i+1}| \cdot |\mathcal{M}_i| \\ 1 & \text{otherwise.} \end{cases}$ 

Pruned Weights  $\mathbf{W}_i^p = \mathbf{W}_i \cdot \mathcal{M}_i$ .

Weights for Next Dataset  $\mathbf{W}_{i+1} = \mathbf{W}_{i}^{p}$ 

Mask Computation on Next  $\mathcal{M}_{i+1} = \mathcal{I}(\mathbf{W}_{i+1}^* < \mathcal{T}_s)$ Dataset  $=\mathcal{I}(|\mathbf{W}_{i+1}\cdot \mathcal{R}_{i+1}|<\mathcal{T}_s)$ 

(i is dataset index)



**Weight Stasis** 

Weight Stasis Phenomenon  $\mathbf{W}_{i+2} = \mathbf{W}_{i+1} = \mathbf{W}_i \cdot \mathcal{M}_i.$ 

# Problem (Forgetting)

• The post training continual pruning performs better on the last dataset that we used calibrated dataset from, under global initialization of weights. Whereas the sequential initialization of weights suffers with weight stasis as shown before.



Layer in a neural network  $y^i_j = f(x^i_j, W)$  (jis sample index in dataset index i)

Layer in a neural network

Output sensitivity

$$
\mathbf{y}_{j}^{i} = f(\mathbf{x}_{j}^{i}, \mathbf{W})
$$
 (j is sample index in dataset index i)  

$$
d\mathbf{y}_{j}^{i} = \frac{\partial f}{\partial \mathbf{x}_{j}^{i}} d\mathbf{x}_{j}^{i} + \frac{\partial f}{\partial \mathbf{W}} d\mathbf{W}
$$

Layer in a neural network

Output sensitivity

Sensitivity measures

 $\mathbf{y}_j^i = f(\mathbf{x}_j^i, \mathbf{W})$ ( j is sample index in dataset index i )  $d\mathbf{y}^i_j = \frac{\partial f}{\partial \mathbf{x}^i_j} d\mathbf{x}^i_j + \frac{\partial f}{\partial \mathbf{W}} d\mathbf{W}^i$  $S_{\mathbf{W}}^{ij} = f(\mathbf{W} + \Delta \mathbf{W}, \mathbf{x}_j^i) - \mathbf{y}_j^i,$  $S_{\mathbf{x}}^{ij} = f(\mathbf{W}, \mathbf{x}_j^i + \Delta \mathbf{x}_j^i) - \mathbf{y}_j^i.$ 

Layer in a neural network Output sensitivity Sensitivity measures

Combined sensitivity

 $\mathbf{y}_j^i = f(\mathbf{x}_j^i, \mathbf{W})$ ( j is sample index in dataset index i )  $d\mathbf{y}^i_j = \frac{\partial f}{\partial \mathbf{x}^i_j} d\mathbf{x}^i_j + \frac{\partial f}{\partial \mathbf{W}} d\mathbf{W}^i$  $S_{\mathbf{W}}^{ij} = f(\mathbf{W} + \Delta \mathbf{W}, \mathbf{x}_i^i) - \mathbf{y}_i^i,$  $S_{\mathbf{x}}^{ij} = f(\mathbf{W}, \mathbf{x}_j^i + \Delta \mathbf{x}_j^i) - \mathbf{y}_j^i.$  $dy^i_j = S^{ij}_{\mathbf{W}} + S^{ij}_{\mathbf{x}}$ 

Layer in a neural network Output sensitivity Sensitivity measures

Combined sensitivity

Loss function

 $\mathbf{y}_j^i = f(\mathbf{x}_j^i, \mathbf{W})$ ( j is sample index in dataset index i )  $d\mathbf{y}_j^i = \frac{\partial f}{\partial \mathbf{x}_j^i} d\mathbf{x}_j^i + \frac{\partial f}{\partial \mathbf{W}} d\mathbf{W}$  $S_{\mathbf{W}}^{ij} = f(\mathbf{W} + \Delta \mathbf{W}, \mathbf{x}_j^i) - \mathbf{y}_j^i,$  $S_{\mathbf{x}}^{ij} = f(\mathbf{W}, \mathbf{x}_j^i + \Delta \mathbf{x}_j^i) - \mathbf{y}_j^i.$  $dy^i_j = S^{ij}_{\mathbf{W}} + S^{ij}_{\mathbf{x}}$  $\mathcal{L}_j^i = ||d\mathbf{y}_j^i||_2^2$ 

Layer in a neural network Output sensitivity Sensitivity measures

Combined sensitivity

Loss function

Derivative w.r.t perturbations in W

 $\mathbf{y}_j^i = f(\mathbf{x}_j^i, \mathbf{W})$ ( j is sample index in dataset index i )  $d\mathbf{y}_j^i = \frac{\partial f}{\partial \mathbf{x}_j^i} d\mathbf{x}_j^i + \frac{\partial f}{\partial \mathbf{W}} d\mathbf{W}$  $S_{\mathbf{W}}^{ij} = f(\mathbf{W} + \Delta \mathbf{W}, \mathbf{x}_j^i) - \mathbf{y}_j^i,$  $S_{\mathbf{x}}^{ij} = f(\mathbf{W}, \mathbf{x}_j^i + \Delta \mathbf{x}_j^i) - \mathbf{y}_j^i.$  $d\mathbf{y}_j^i = S_{\mathbf{W}}^{ij} + S_{\mathbf{x}}^{ij}$  $\mathcal{L}_i^i = ||d\mathbf{y}_i^i||_2^2$  $\nabla_d \mathbf{w} \mathcal{L}_j^i = 2 d\mathbf{y}_j^i \frac{\partial f}{\partial \mathbf{W}}$ 

Layer in a neural network Output sensitivity Sensitivity measures

Combined sensitivity

Derivative w.r.t Loss function

perturbations in W

Absolute of derivative

$$
y_{j}^{i} = f(x_{j}^{i}, W)
$$
 (j is sample index in dataset index i)  
\n
$$
dy_{j}^{i} = \frac{\partial f}{\partial x_{j}^{i}} dx_{j}^{i} + \frac{\partial f}{\partial W} dW
$$
  
\n
$$
S_{W}^{ij} = f(W + \Delta W, x_{j}^{i}) - y_{j}^{i},
$$
  
\n
$$
S_{X}^{ij} = f(W, x_{j}^{i} + \Delta x_{j}^{i}) - y_{j}^{i}.
$$
  
\n
$$
dy_{j}^{i} = S_{W}^{ij} + S_{X}^{ij}
$$
  
\n
$$
\mathcal{L}_{j}^{i} = ||dy_{j}^{i}||_{2}^{2}
$$
  
\n
$$
\nabla_{dW} \mathcal{L}_{j}^{k} = 2dy_{j}^{i} \frac{\partial f}{\partial W}
$$
  
\n
$$
\nabla_{dW}^{i} \mathcal{L}^{k} = \sum_{i=0}^{k} \sum_{j} |\nabla_{dW} \mathcal{L}_{j}^{i}|
$$
  
\n
$$
= \sum_{j} 2 \left| dy_{j}^{k} \frac{\partial f}{\partial W} \right| + \sum_{i=0:k-1} \sum_{j} 2 \left| dy_{j}^{i} \frac{\partial f}{\partial W} \right|
$$
  
\n
$$
= \sum_{j} 2 |dy_{j}^{k}| \left| \frac{\partial f}{\partial W} \right| + \nabla_{dW}^{i} \mathcal{L}_{k-1}
$$
  
\n
$$
= \nabla_{dW}^{i} \tilde{\mathcal{L}}^{k} + \nabla_{dW}^{i} \mathcal{L}^{k-1},
$$

Layer in a neural network Output sensitivity Sensitivity measures

Combined sensitivity

Absolute of derivative  $\nabla'_{d\mathbf{w}} \mathcal{L}^k = \sum_{i=1}^{n} \sum_{j} |\nabla_{d\mathbf{w}} \mathcal{L}^i_j|$ Derivative w.r.t perturbations in W

Loss function

 $\mathbf{y}_j^i = f(\mathbf{x}_j^i, \mathbf{W})$ ( j is sample index in dataset index i )  $\frac{d\mathbf{y}_j^i}{d\mathbf{x}_j^i} = \frac{\partial f}{\partial \mathbf{x}_j^i} d\mathbf{x}_j^i + \frac{\partial f}{\partial \mathbf{W}} d\mathbf{W}^i.$  $S_{\mathbf{W}}^{ij} = f(\mathbf{W} + \Delta \mathbf{W}, \mathbf{x}_i^i) - \mathbf{y}_i^i,$  $S_{\mathbf{x}}^{ij} = f(\mathbf{W}, \mathbf{x}_i^i + \Delta \mathbf{x}_i^i) - \mathbf{y}_i^i.$  $dy_i^i = S_{\mathbf{W}}^{ij} + S_{\mathbf{x}}^{ij}$  $\mathcal{L}_i^i = ||d\mathbf{y}_i^i||_2^2$  $\nabla_d \mathbf{w} \mathcal{L}_j^i = 2 d \mathbf{y}_j^i \frac{\partial f}{\partial \mathbf{w}^i}$  $=\sum_{i=1}^{n}2\left|dy_{j}^{k}\frac{\partial f}{\partial \mathbf{W}}\right|+\sum_{i=0,k=1}^{n}\sum_{j=1}^{n}2\left|dy_{j}^{i}\frac{\partial f}{\partial \mathbf{W}}\right|$  $\mathcal{E} = \sum_i 2 \left| d\mathbf{y}_j^k \right| \left| \frac{\partial f}{\partial \mathbf{W}} \right| + \nabla_d' \mathbf{W} \mathcal{L}_{k-1}$  $=\nabla'_{d\mathbf{W}}\tilde{\mathcal{L}}^k+\nabla'_{d\mathbf{W}}\mathcal{L}^{k-1},$ 

Important weights (Directional derivative)

$$
\mathbf{W}_{k}^{*} = \sum_{i=0:k} \sum_{j} |D_{\mathbf{W}} \mathcal{L}_{j}^{i}|
$$
  
\n
$$
= \sum_{i=0:k} \sum_{j} |\mathbf{W} \cdot \nabla_{d\mathbf{W}} \mathcal{L}_{j}^{i}|
$$
  
\n
$$
= |\mathbf{W}| \cdot \sum_{i=0:k} \sum_{j} |\nabla_{d\mathbf{W}} \mathcal{L}_{j}^{i}|
$$
  
\n
$$
= |\mathbf{W}| \cdot \nabla_{d\mathbf{W}}' \mathcal{L}_{k}^{k}
$$
  
\n
$$
= |\mathbf{W}| (\nabla_{d\mathbf{W}} \tilde{\mathcal{L}}^{k} + \nabla_{d\mathbf{W}}' \mathcal{L}_{k-1})
$$
  
\n
$$
= \sum_{j} |\mathbf{W} \cdot \nabla_{d\mathbf{W}} \mathcal{L}_{j}^{k}| + \mathbf{W}_{k-1}^{*}.
$$

# Our Approach

Algorithm 1 COPAL **Input:** Weights **W**, Sparsity ratio s, Datasets  $\mathcal{D}_1, \cdots, \mathcal{D}_k$ , using  $j$ -th input data from dataset i the input and output feature of the layer f are  $(\mathbf{x}_i^i, \mathbf{y}_i^i)$ **Output:** Pruned weights  $\mathbf{W}_k^p$ **Initialize:**  $W_0^* = 0$ for  $i = 1:k$  do  $S_{\mathbf{W}}^{ij} \leftarrow f(\mathbf{W} + \Delta \mathbf{W}, \mathbf{x}_j^i) - \mathbf{y}_j^i$  $S_{\mathbf{x}}^{ij} \leftarrow f(\mathbf{W}, \mathbf{x}_j^i + \Delta\mathbf{x}_j^i) - \mathbf{y}_j^i$  $dy^i_j \leftarrow S^{ij}_{\mathbf{W}} + S^{ij}_{\mathbf{x}}$  $\frac{\partial f}{\partial \mathbf{W}} \leftarrow \begin{cases} S_{\mathbf{W}}^{ij} \Delta \mathbf{W}^+ , & \text{if } f \text{ is non-linear layer} \\ x, & \text{if } f \text{ is linear layer} \end{cases}$  $\nabla_d \mathbf{w}\mathcal{L}_j^i \leftarrow 2d\mathbf{y}_j^i \frac{\partial f}{\partial \mathbf{W}}$  $\mathbf{W}_i^* \leftarrow \sum_j |\mathbf{W} \cdot \nabla_d \mathbf{W} \mathcal{L}_j^i| + \mathbf{W}_{i-1}^*$ <br>  $N \leftarrow$  total number of elements in  $\mathbf{W}_i^*$  $\mathcal{T}_s \leftarrow$  Sorted  $\mathbf{W}_i^*$   $[(1 - s/100) \times N]$  $\mathcal{M}_i \leftarrow \begin{cases} 0, & \text{if } w^i < \mathcal{T}_s, w^i \in \mathbf{W}_i^* \\ 1, & \text{otherwise} \end{cases}$  $\mathbf{W}_i^p \leftarrow \mathbf{\hat{W}}_i \cdot \mathcal{M}_i$ end for **Return:**  $\mathbf{W}_k^p$ 



**COPAL Framework** 

#### Results



Results of continual pruning on wikitext2, ptb, c4 datasets with all permutations.



#### LLAMA-7B

# Thank you

- Please visit our poster on  $24<sup>th</sup>$  Wed 2024 Jul 4:30 a.m. PDT  $-6$  a.m. PDT (Hall C 4-9)
- Link to the Arxiv paper.

