#### **ICML 2024**

Forty-first International Conference on Machine Learning



# HarmonyDream: Task Harmonization Inside World Models

Code Available: https://github.com/thuml/HarmonyDream

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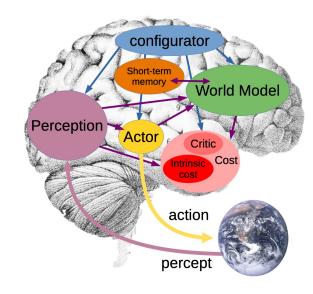






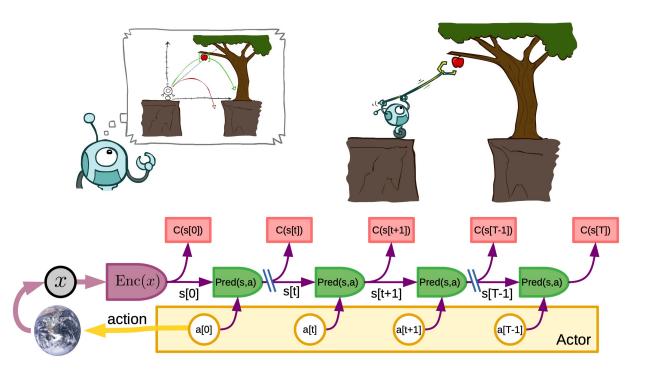
#### World Models





#### **World Models:**

Internal models of how the world works



## **Model-based Agents:**

Act through an optimization procedure (planning) running the world model.

#### Video Generation Models as World Simulators?







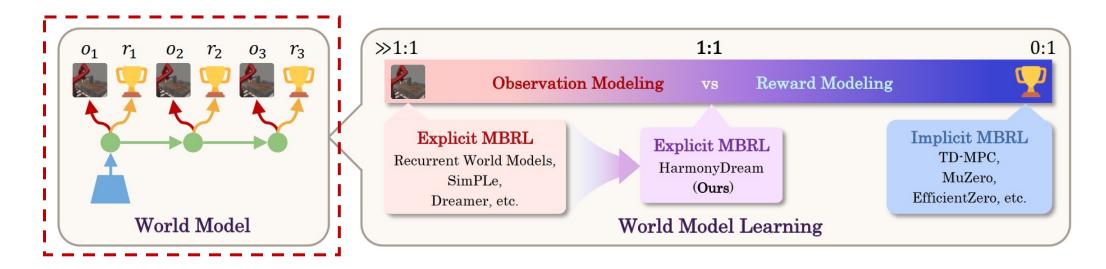
Abandon generative models!

"Modeling the world for action by generating pixel is as wasteful and doomed to failure..."

"It's much more desirable to generate abstract representations of those continuations that eliminate details in the scene that are irrelevant to any action we might want to take."

Pixel-Driven vs. Objective-Driven

#### A Multi-task View of World Models



#### Two key tasks in world models:

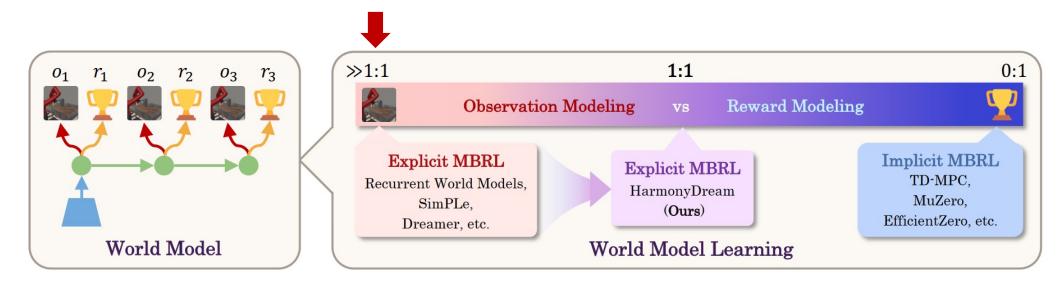
Observation Modeling: how the environment transits and is observed

$$p(o_{t+1:T} \mid o_{1:t}, a_{1:T})$$

Reward Modeling: how the task has been progressed

$$p(r_{t+1:T} \mid o_{1:t}, a_{1:T})$$

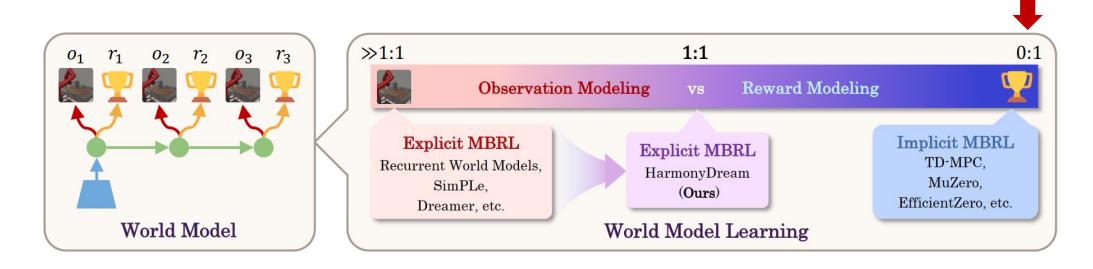
#### A Multi-task View of World Models



#### Unifying MBRL in concept (1/2): Explicit MBRL

- Learns an exact duplicate of the environment
- Typically dominated by observation modeling
- Limited by environment complexity (irrelevant details!) and model capacity

#### A Multi-task View of World Models



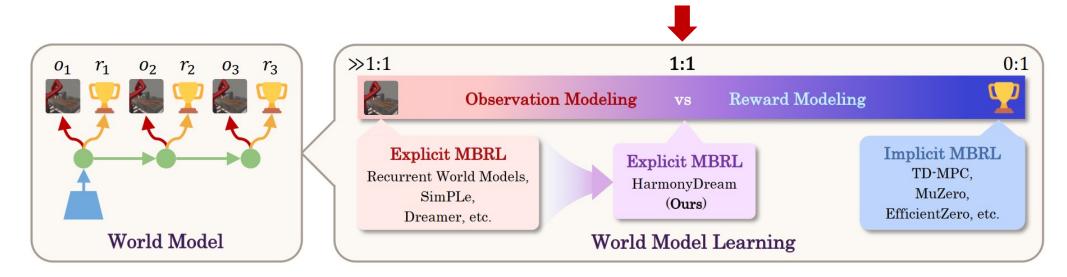
#### Unifying MBRL in concept (2/2): Implicit MBRL

- Learns task-centric world models
- Relies solely on reward modeling
- Limited by sparse learning signals

#### Value equivalence principle:

Predicted rewards of the world model match that of the real environment.

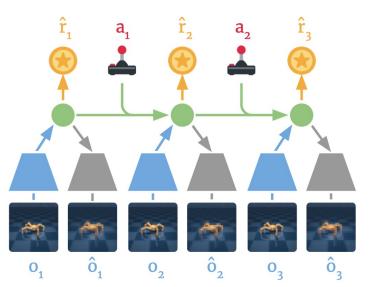
#### **Our Contributions**



- Systematically identify the multi-task essence of world models and analyze the deficiencies by task domination.
- 2. HarmonyDream, a world model learning approach to mitigate the domination of either task.
- 3. Extensive experiments on visual robotic tasks and video game benchmarks.

- ✓ Three findings
- One simple yet effective method
- ✓ Eight Domains

# Dreamer: An Instantiation of Explicit World Models



Representation model:  $z_t \sim q_{\theta}(z_t \mid z_{t-1}, a_{t-1}, o_t)$ 

Transition model:  $\hat{z}_t \sim p_{\theta}(\hat{z}_t \mid z_{t-1}, a_{t-1})$ 

Observation model:  $\hat{o}_t \sim p_{\theta}(\hat{o}_t \mid z_t)$ 

Reward model:  $\hat{r}_t \sim p_\theta \left( \hat{r}_t \mid z_t \right)$ 

Model Learning with Sequential

Variational Inference

$$\mathcal{L}(\theta) \doteq \mathbb{E}_{q_{\theta}(z_{1:T}|a_{1:T},o_{1:T})} \Big[ \sum_{t=1}^{T} \Big( -\ln p_{\theta}(o_{t} \mid z_{t}) - \ln p_{\theta}(r_{t} \mid z_{t}) \Big) \Big]$$
Observation loss Reward loss
$$+\beta_{z} \operatorname{KL} \left[ q_{\theta}(z_{t} \mid z_{t-1}, a_{t-1}, o_{t}) \parallel p_{\theta}(\hat{z}_{t} \mid z_{t-1}, a_{t-1}) \right] \Big) \Big].$$

Dynamics loss between prior and posterior

#### Behavior Learning: Purely on imaginary latent trajectories

Hafner, Danijar, et al. Dream to control: Learning behaviors by latent imagination. ICLR 2020. Hafner, Danijar, et al. Mastering atari with discrete world models. ICLR 2021.

# Dive into World Model Learning

Observation loss:  $\mathcal{L}_o(\theta) = -\log p_\theta \ (o_t \mid z_t) = -\sum_{h \in \mathcal{L}_o} \log p_\theta \left( o_t^{(h,w,c)} \mid z_t \right)$  It aggregates H×W×C dimension

Reward loss:  $\mathcal{L}_r(\theta) = -\log p_{\theta} \left( r_t \mid z_t \right)$ 

Dynamics loss:  $\mathcal{L}_{d}(\theta) = \text{KL} \left[ q_{\theta} \left( z_{t} \mid z_{t-1}, a_{t-1}, o_{t} \right) \right]$   $\left[ p_{\theta} \left( \hat{z}_{t} \mid z_{t-1}, a_{t-1} \right) \right]$ 

$$\mathcal{L}(\theta) = w_o \mathcal{L}_o(\theta) + w_r \mathcal{L}_r(\theta) + w_d \mathcal{L}_d(\theta)$$

# Reward Loss Observation Loss Dynamics Loss Handle Pull Side Hammer Loss Scale

#### Typical but suboptimal practice:

Approximately equal weights

$$w_o = w_r = w_d = 1.0$$

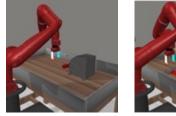
Imbalanced nature of world model learning

Potential benefits of multi-task learning yet properly exploited!

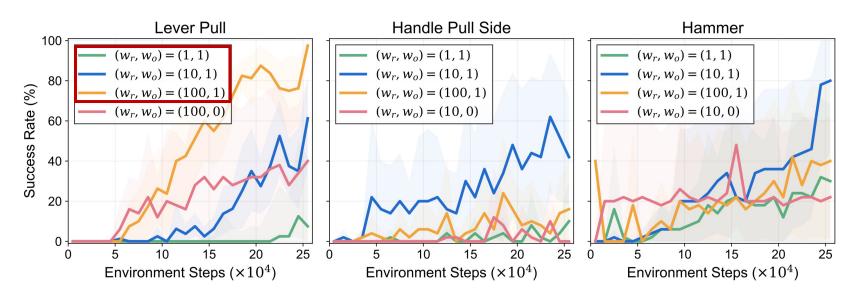
# Task Weighting is Crucial

#### **Dramatically boosted sample efficiency!**





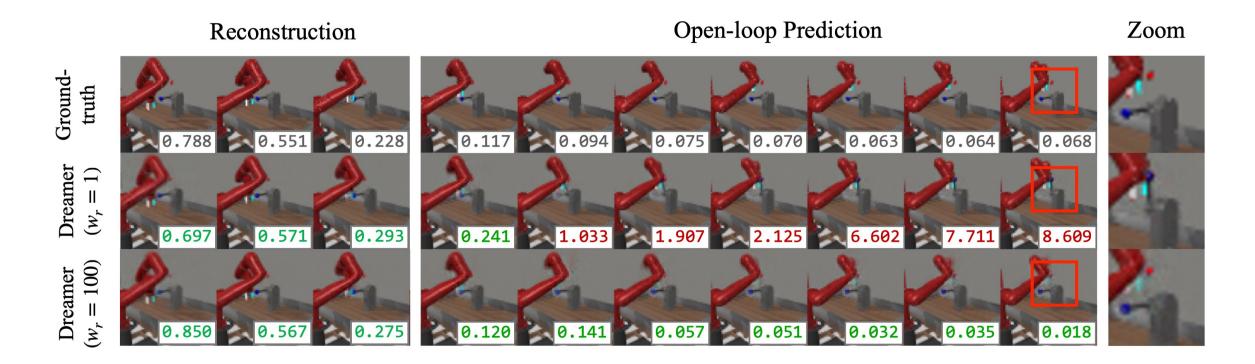
Testbed:
Three manipulation tasks
from Meta-world



$$\mathcal{L}(\theta) = w_o \mathcal{L}_o(\theta) + w_r \mathcal{L}_r(\theta) + w_d \mathcal{L}_d(\theta)$$
(1)

**Finding 1.** Leveraging the reward loss by adjusting its coefficient in world model learning has a great impact on the sample efficiency of model-based agents.

# Observation Modeling Learns Spurious Correlations



**Finding 2.** Observation modeling as a dominating task can result in world models establishing spurious correlations without realizing incorrect reward predictions.

# Observation Modeling Learns Spurious Correlations



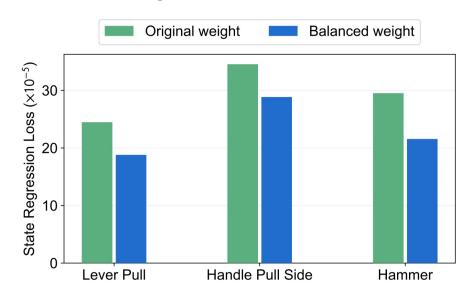
#### **Hallucinations!**

How to mitigate this?
Emphasizing
task-relevant information

**Finding 2.** Observation modeling as a dominating task can result in world models establishing spurious correlations without realizing incorrect reward predictions.

# Observation Modeling Learns Spurious Correlations

Properly balancing the reward loss learns taskcentric representations capable of better predicting ground truth states

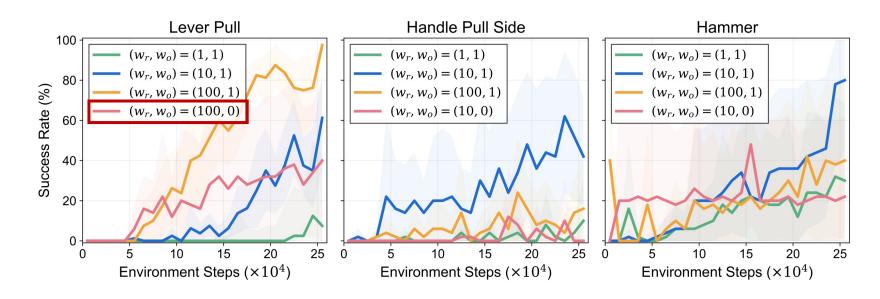


#### Hallucinations!

How to mitigate this?
Emphasizing
task-relevant information

**Finding 2.** Observation modeling as a dominating task can result in world models establishing spurious correlations without realizing incorrect reward predictions.

# Reward Modeling Alone is Not Enough



$$\mathcal{L}(\theta) = w_o \mathcal{L}_o(\theta) + w_r \mathcal{L}_r(\theta) + w_d \mathcal{L}_d(\theta)$$

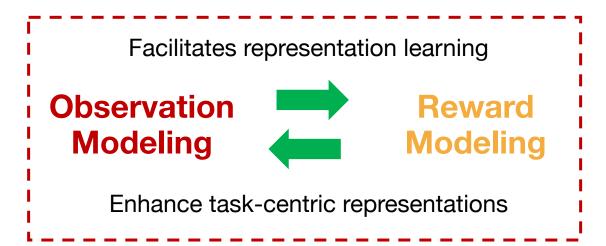
$$(= 0)$$

Limited capability of representation learning...

**Finding 3.** Learning signal of world models from rewards alone without observations is inadequate for sample-efficient model-based learning.

# HarmonyDream

# Harmonious interaction between the two world model tasks



Our principle: Losses scaled to the same constant

A straightforward but suboptimal approach

$$\mathcal{L}(\theta) = w_o \mathcal{L}_o(\theta) + w_r \mathcal{L}_r(\theta) + w_d \mathcal{L}_d(\theta)$$

$$w_i = \operatorname{sg}\left(\frac{1}{\mathcal{L}_i}\right), i \in \{o, r, d\}$$

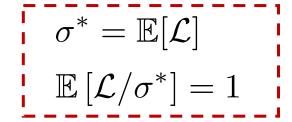
X Fluctuate throughout training

X Sensitive to outlier values

# A Variational Approach and Its Rectification

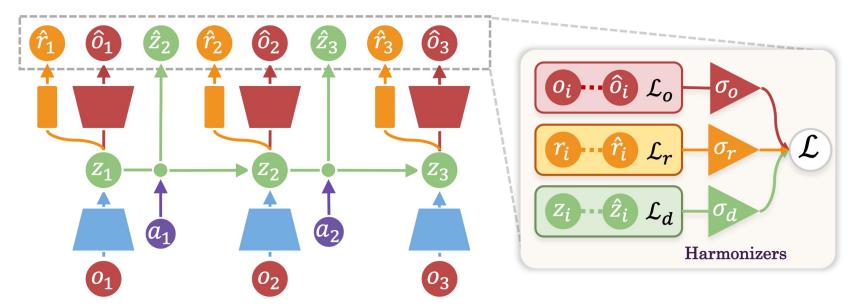
$$\mathcal{L}(\theta, \sigma_o, \sigma_r, \sigma_d) = \sum_{i \in \{o, r, d\}} \mathcal{H}(\mathcal{L}_i(\theta), \sigma_i)$$

$$= \sum_{i \in \{o, r, d\}} \frac{1}{\sigma_i} \mathcal{L}_i(\theta) + \log \sigma_i$$



A "global" reciprocal of the loss scale

Dynamically but smoothly



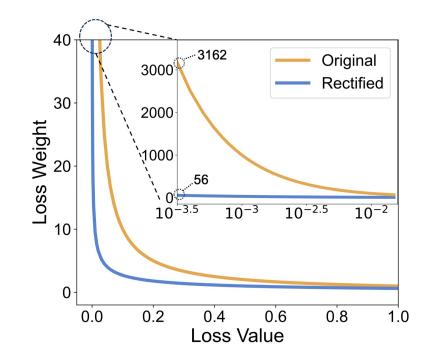
# A Variational Approach and Its Rectification

Extremely large coefficient hurts training stability

$$1/\sigma \approx \mathcal{L}^{-1} \gg 1$$

$$\mathcal{L}(\theta, \sigma_o, \sigma_r, \sigma_d) = \sum_{i \in \{o, r, d\}} \hat{\mathcal{H}}(\mathcal{L}_i(\theta), \sigma_i)$$

$$= \sum_{i \in \{o, r, d\}} \frac{1}{\sigma_i} \mathcal{L}_i(\theta) + \log(1 + \sigma_i)$$





$$\mathbb{E}\left[\mathcal{L}/\sigma^*\right] = \frac{2}{1 + \sqrt{1 + 4/\mathbb{E}[\mathcal{L}]}} < 1$$

Prevent extremely large loss weights

# Experiments: Extensive Benchmarks and Tasks







Meta-World Yu et al. CoRL 2020





RLBench
James et al. IEEE RA-L 2020







Distracted DMC Variants
Tassa et al. 2018; Zhang et al. 2018

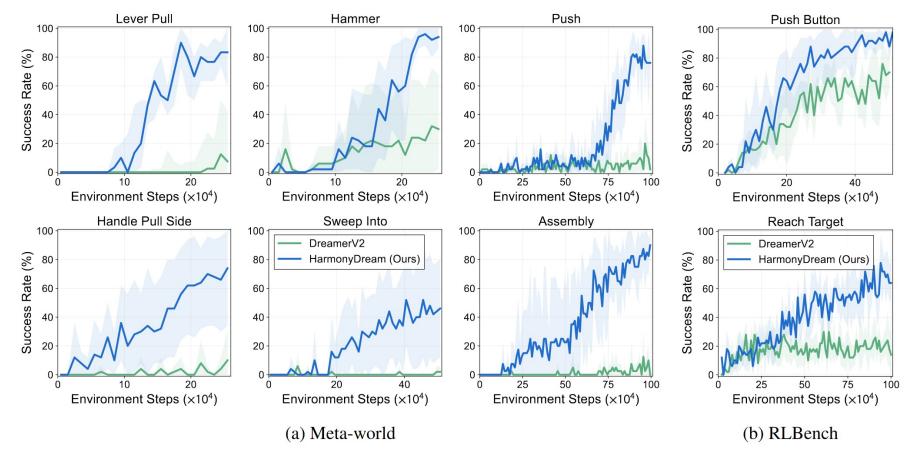


Atari100K Kaiser et al. ICLR 2020



Minecraft
Fan et al. NerulPS 2022

#### Main Results: Meta-world & RLBench

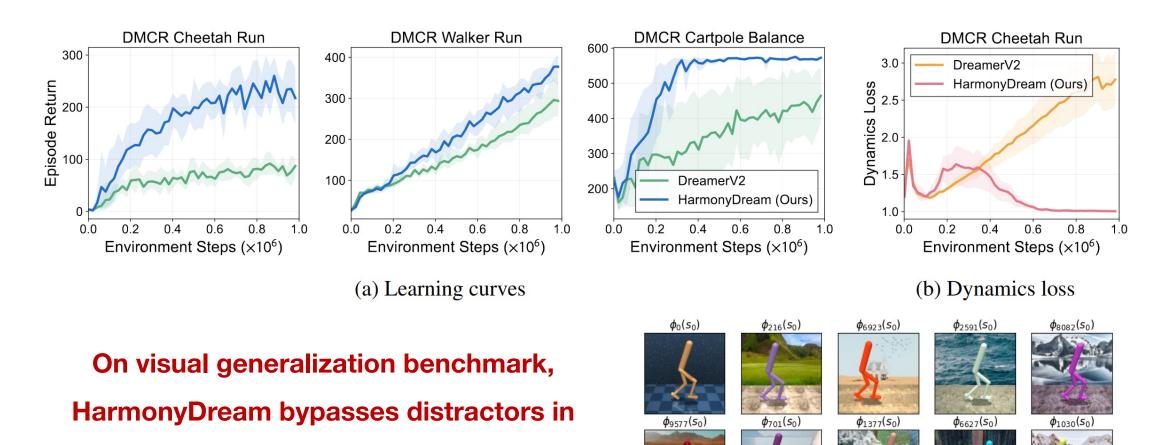


By simply adding harmonizers, HarmonyDream demonstrates superior performance in terms of both sample efficiency and final success rate

#### Main Results: DMC Remastered

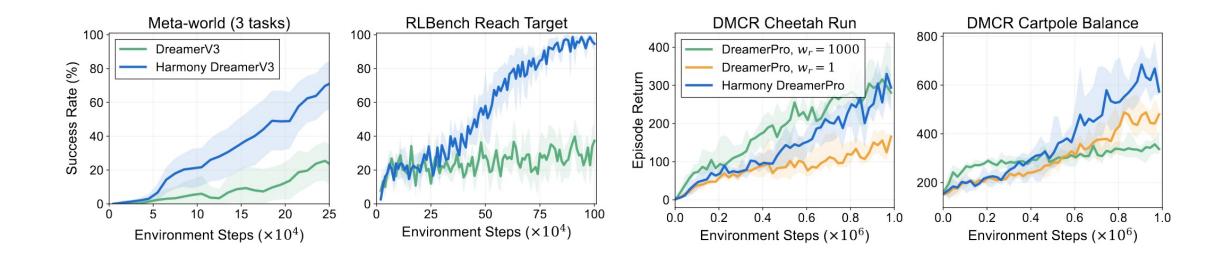
observations and can learn task-centric

transitions more easily.



Visual generalization benchmark: Seven visual factors randomly initialized on each episode

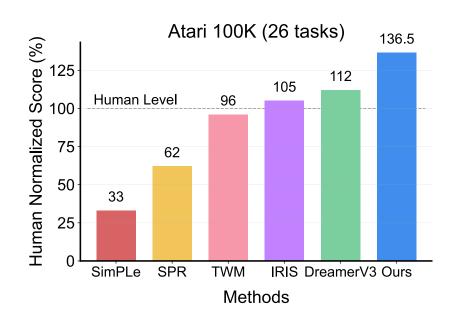
# Generality to Base Model-based RL Methods



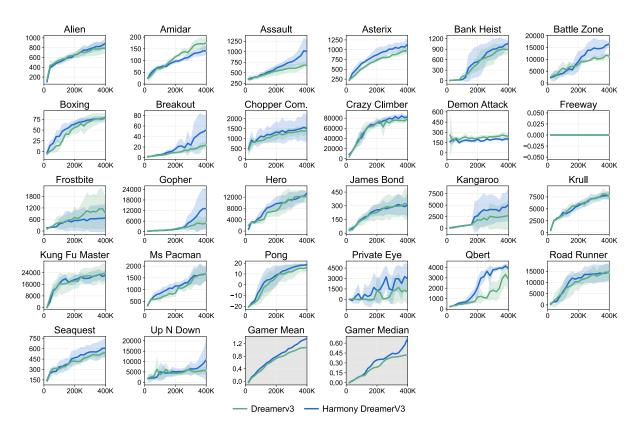
HarmonyDream exhibits excellent generality to DreamerV3, significantly boosting sample efficiency.

Although DreamerPro also leverages a high reward coeff ( $w_r = 1000$ ), HarmonyDream still performs better on average.

# Harmony DreamerV3 on Atari100K



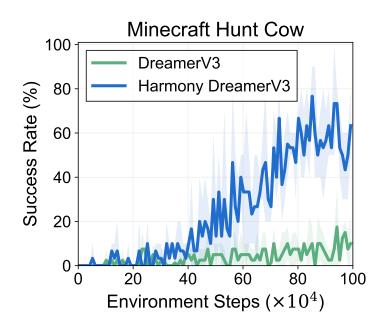
Harmony DreamerV3
significantly improves
DreamerV3's performance,
setting a new state of the art.



Either matching or surpassing DreamerV3 in 23/26 tested environments.

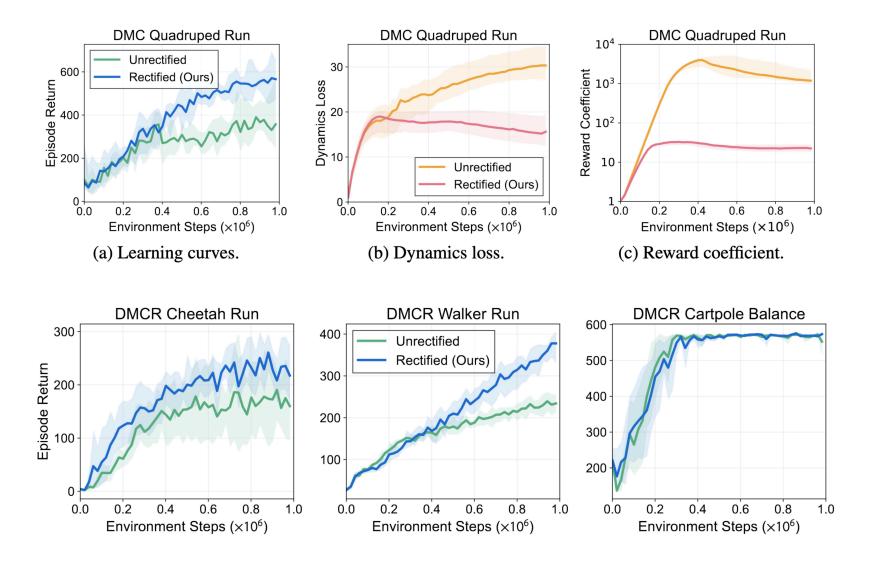
# Harmony DreamerV3 on Minecraft





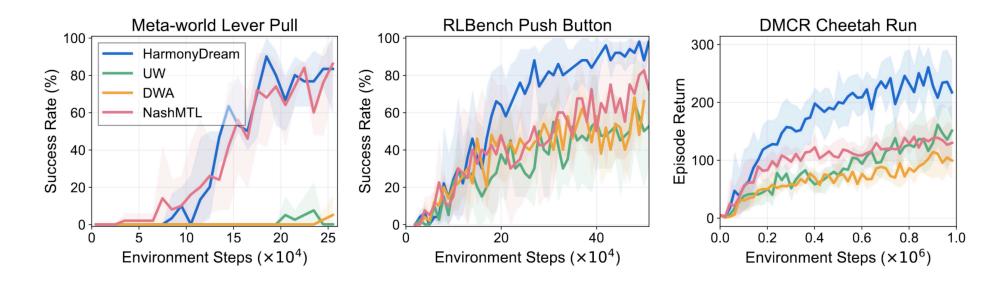
Harmony DreamerV3
successfully learns a
basic skill *Hunt Cow*within 1M interactions,
while DreamerV3 fails.

## Ablation on Rectified Harmonious Loss



Using a regularization term of  $\log(1+\sigma_i)$  instead of  $\log\sigma_i$  is essential to maintaining a proper balance between tasks.

# Comparison to Multi-task Learning Methods



#### Takeaways:

- 1. In world model learning, the data in the replay buffer is growing and non-stationary. Learning statistics may not accurately measure learning progress.
- 2. Loss coefficients in world model learning needs to be properly rectified. Extreme loss weights usually leads to inferior performance.
- 3. HarmonyDream's improvement mainly attributes to balancing two modeling tasks, instead of solely tuning the dynamics loss.

# Applicability of HarmonyDream

#### Typical realistic scenarios:

- ✓ Fine-grained task-relevant observations:
  Robotics manipulation tasks and video
  games require accurately modeling
  interactions with small objects.
- ✓ Highly varied task-irrelevant observations:

  Redundant visual components can easily
  distract visual agents if task-relevant
  information is not emphasized correctly.
- ✓ Hybrid of both: More difficult open-world tasks (e.g., Minecraft) can encounter both, including small target entities and abundant visual details.















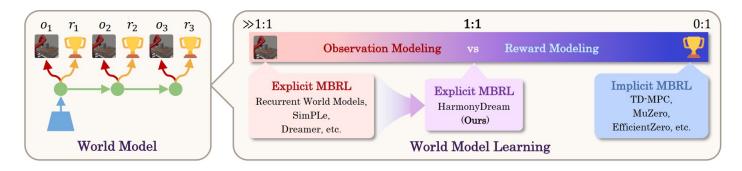


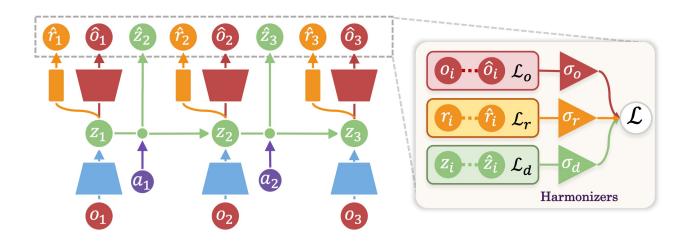
# Summary

# A multi-task view of world models

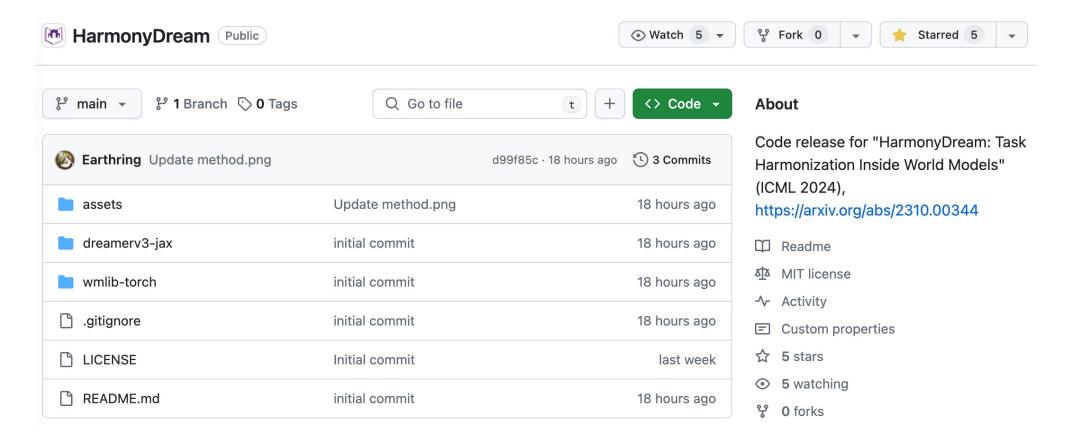


A simple yet effective world model learning approach





# Open Source



https://github.com/thuml/HarmonyDream
Unified implementations of DreamerV2 and DreamerV3 in PyTorch
with plug-and-play HarmonyDream

#### Thank You!

Contact:

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wujialong0229@gmail.com

Researcher who tried HarmonyDream:

"It was super easy to implement";

"It works very smoothly"

Machine Learning Group, School of Software, Tsinghua University

http://ise.thss.tsinghua.edu.cn/~mlong/





