## Patch-level Routing in Mixture-of-Experts is Provably Sample-efficient for Convolutional Neural Networks

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## Background

- Scaling conventional deep models
  - Linear increase of training cost with model parameters
- Mixture-of-Experts (MoE)
  - Only sublinear increase of training cost<sup>1</sup>

<sup>1</sup>Noam Shazeer et al. (2017). "Outrageously Large Neural Networks: The Sparsely-Gated Mixture-of-Experts Layer". In: *International Conference on Learning Representations*.

## Background

- Routing in MoE
  - ► Sample-level Routing<sup>ab</sup>

<sup>a</sup>Prajit Ramachandran and Quoc V Le (2019). "Diversity and depth in per-example routing models". In: *International Conference on Learning Representations*.

<sup>b</sup>Brandon Yang et al. (2019). "Condconv: Conditionally parameterized convolutions for efficient inference". In: *Advances in Neural Information Processing Systems* 32.



Sample-level MoE

## Background

- Routing in MoE
  - Patch-level Routing
    - ★ Patch-wise Routing<sup>ab</sup>
    - $\star$  Expert-choice Routing<sup>c</sup>

<sup>a</sup>William Fedus, Barret Zoph, and Noam Shazeer (2022). "Switch transformers: Scaling to trillion parameter models with simple and efficient sparsity". In: *The Journal of Machine Learning Research* 23.1, pp. 5232–5270.

<sup>b</sup>Carlos Riquelme et al. (2021). "Scaling vision with sparse mixture of experts". In: *Advances in Neural Information Processing Systems* 34, pp. 8583–8595.

<sup>c</sup>Yanqi Zhou et al. (2022). "Mixture-of-experts with expert choice routing". In: *Advances in Neural Information Processing Systems* 35, pp. 7103–7114.



Patch-level MoE (Expert-choice) (pMoE)

#### Motivation

- Patch-level MoE (pMoE)
  - Significant empirical success, but no theoretical guarantee
- Compared to conventional models:
  - Why does pMoE provide similar generalization with low compute?
  - How much computational resource does pMoE save?

#### Contributions

- First convergence and generalization analysis of pMoE for CNN
  - Polynomial reduction of time, sample, and model complexity
- Characterization of the desired property of the pMoE router
- Experimental demonstration of sample efficiency of pMoE in deep CNN models

#### Setup for Theoretical Analysis

- Binary supervised classification
- Given: N i.i.d. training samples  $\{(x_i, y_i)\}_{i=1}^N$  generated by a unknown distribution  $\mathcal{D}$
- Goal: To learn a NN model that can map x to y  $(y \in \{+1, -1\})$  for any  $(x, y) \sim D$
- The analyzed pMoE model: Two-layer mixture of CNNs

$$f_{\mathcal{M}}(\theta, x) = \sum_{s=1}^{k} \sum_{r=1}^{m/k} \frac{a_{r,s}}{l} \sum_{j \in J_{s}(w_{s}, x)} \operatorname{ReLU}(\langle w_{r,s}, x^{(j)} \rangle) G_{j,s}(x)$$

- ► Each input x ∈ ℝ<sup>nd</sup>: divided into n disjoint patches, x<sup>(j)</sup> denotes j-th patch
- ▶ k experts and k corresponding routers, each selecting / out of n patches (l < n)</p>



## Setup for Theoretical Analysis

- Two modes of training:
  - Separate training of the routers and experts
  - Joint training of the routers and experts
- Loss Function: Binary cross-entropy
- Training Algorithm: SGD
- Data model: Among the *n* patches of a sample (*x*, *y*)
  - one class-discriminative pattern
    - \* denoted as  $o_1$ , if y = +1
    - \* denoted as  $o_2$ , if y = -1
  - (n-1) class-irrelevant patches





Data model

# Theoretical Results: Router Property

- Sends similar class-discriminative patches to the same expert
  - ▶ *o*<sub>1</sub> to Expert 1
  - ► *o*<sub>2</sub> to Expert 2
- Drop class-irrelevant patches
  - Efficient learning in experts
- Sample complexity:  $\Omega(n^2)$  (Separate training)



The proved router property

# Theoretical Results: Complexity

To achieve $\epsilon$ generalization error	CNN	рМоЕ		Savings in pMoE	
		Separate training	Joint training	Separate training	Joint training
Sample Com- plexity	$\Omega(n^8/\epsilon^{16})$	$\Omega(l^8/\epsilon^{16})$	$\Omega(k^4 l^6/\epsilon^{16})$	$\Theta(n^8/l^8)$	$\Theta(n^8/k^4l^6)$
Iteration Complexity	$O(n^4/\epsilon^8)$	$O(l^4/\epsilon^8)$	$O(k^2 l^2/\epsilon^8)$	$\Theta(n^4/l^4)$	$\Theta(n^4/k^2l^2)$
Model Com- plexity	$\Omega(n^{10}/\epsilon^{16})$	$\Omega(I^{10}/\epsilon^{16})$	$\Omega(k^3n^2l^6/\epsilon^{16})$	$\Theta(n^{10}/l^{10})$	$\Theta(n^{10}/k^3n^2l^6)$
Computational Complexity	$O(Bmn^5d/\epsilon^8)$	$O(Bml^5d/\epsilon^8)$	$O(Bmk^2l^3d/\epsilon^8)$	$\Theta(n^5/l^5)$	$\Theta(n^5/k^2l^3)$

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# Experimental Results: pMoE of Two-layer CNN

- MNIST characters are used as patterns (I)
- pMoE saves almost *half* of the training samples used for CNN (II)
- *poly(1)* sample complexity verified (III)



# Experimental Results: pMoE of Wide Residual Networks (WRN)

- 10 layers, Widening factor of 10
- Dataset: CelebA; Multiclass classification
- WRN-pMoE saves
  - ▶ 60% of the training samples (I)
  - ► 50% of the training FLOPs (II)



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### References

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