

### Bidirectional Adaptation for Robust Semi-Supervised Learning with Inconsistent Data Distributions

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Presented by: Lin-Han Jia 2023.06.21

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#### Semi-Supervised Learning

- Machine learning relies on a large amount of labeled data.
- Semi-supervised learning can effectively utilize unlabeled data.
- It has a limited scope and relies on the same distribution between labeled and unlabeled data.
- There is a risk of significant performance degradation in real-world applications.

#### Robust Semi-Supervised Learning

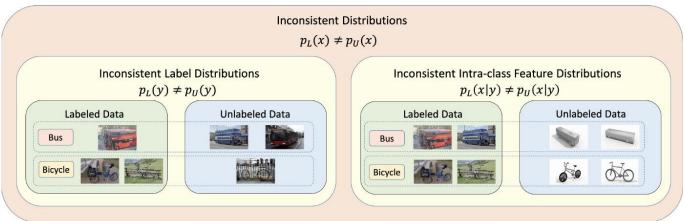
- Utilizing a large amount of unlabeled data that has a different distribution from the current labeled data for learning.
- The goal is to ensure that the semi-supervised algorithm does not perform too poorly in real-world applications.
- This extends the applicability of classical semi-supervised learning.
- It reduces the risk associated with using semi-supervised learning algorithms.

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#### Inconsistent Distributions

- We can only observe that the feature distributions of unlabeled and labeled data are inconsistent.
- Inconsistent feature distributions are equivalent to a combination of inconsistent class distributions and inconsistent intra-class feature distributions.
- Inconsistent distributions between labeled and unlabeled data lead to low quality of pseudo-labels.
- Inconsistent distributions between unlabeled data and target data result in poor performance and weak robustness of the learner.



### **D**Theoretical Research

#### Generalization Error

- Bias of pseudo-label predictions.
- Variance of pseudo-label predictions.
- Distribution distance caused by pseudo-label predictions.
- Bias of target predictions.
- Variance of target predictions.
- Distribution distance caused by target predictions.

### Optimization Object

- Bias and distribution distance of pseudo-label predictions.
- Bias and distribution distance of target predictions
- Objective conflict.
- Objective can be decoupled.

**Theorem 3.4.** Assuming that the probabilities of the pseudolabel predictor making wrong predictions for each sample are equal without considering the difference among them, for any target predictor  $f \in \mathcal{F}$ , pseudo-label predictor  $h \in \mathcal{H}, 0 \le \delta_1 \le 1, 0 \le \delta_2 \le 1$  and  $0 \le \delta_3 \le 1$ , with the probability of at least  $(1 - \delta_1)(1 - \delta_2)(1 - \delta_3)$ :

$$E(f, \mathcal{D}_T | h, D_L, D_U) \leq \frac{n_l}{n_l + n_u^w} \hat{E}(f, D_L)$$

$$+ \frac{n_u^w}{n_l + n_u^w} \hat{E}(f, \tilde{D}_U^w) + var(\mathcal{F}, n_l + n_u^w, k, \delta_1)$$

$$+ Disc(f, \mathcal{D}_T, Mix_{\frac{n_l}{n_l + n_u^w}} (\mathcal{D}_L, \mathcal{D}_U^w))$$

$$+ \frac{n_u^w}{n_l + n_u^w} (\hat{E}(h, D_L) + var(\mathcal{H}, n_l, k, \delta_2)$$

$$+ var(\mathcal{H}, n_u, k, \delta_3) + Disc(h, \mathcal{D}_L, \mathcal{D}_U))$$
(7)

where  $\hat{E}(f, \tilde{D}_{U}^{w})$  is the weighted disagreement rate between the noisy pseudo-labels and the prediction results of f on the unlabeled dataset  $\tilde{D}_{U}$ .

$$\begin{split} & \min_{f \in \mathcal{F}, h \in \mathcal{H}} [\frac{n_l}{n_l + n_u^w} \hat{E}(f, D_L) + \frac{n_u^w}{n_l + n_u^w} \hat{E}(f, \tilde{D}_U) \\ &+ Disc(f, \mathcal{D}_T, Mix_{\frac{n_l}{n_l + n_u^w}} (\mathcal{D}_L, \mathcal{D}_U^w)) \\ &+ \frac{n_u^w}{n_l + n_u^w} \hat{E}(h, D_L) + \frac{n_u^w}{n_l + n_u^w} Disc(h, \mathcal{D}_L, \mathcal{D}_U)] \end{split}$$



## □Analysis of Semi-Supervised Learning Algorithms



#### Pseudo-labeling

- The pseudo-label predictor is a combination of target predictor and mapping function.

$$\forall f \in \mathcal{F}, h = p \circ f \in \mathcal{H}$$

#### • Consistency

- The pseudo-label predictor is a combination of augmentation and target predictor.

$$\forall f \in \mathcal{F}, h = f \circ a \in \mathcal{H}.$$

#### Mixed Methods

- The pseudo-label predictor is a combination of augmentation, target predictor and mapping function.

$$\forall f \in \mathcal{F}, h = p \circ f \circ a \in \mathcal{H}.$$

#### • Three Shortcomings

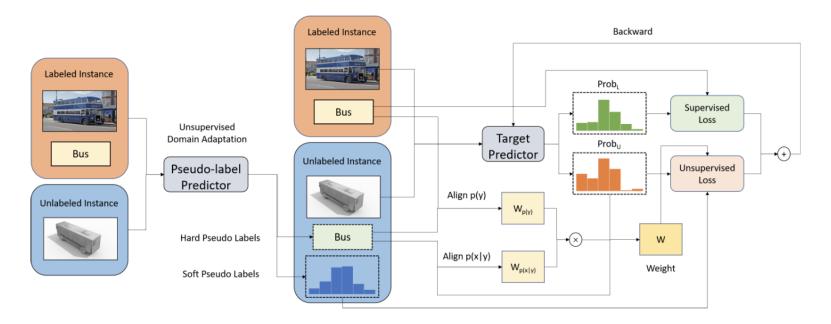
- The coupling of pseudo-label predictor and target predictor leads to conflicting optimization objectives.
- The distribution bias between labeled and unlabeled data leads to low quality of pseudo-labels.
- Sample weights cannot effectively align the distribution of unlabeled data with the target distribution.

### **D**Algorithm Framework



#### Bidirectional Adaptation Algorithm

- Decoupling the pseudo-label predictor and target predictor avoids optimization conflicts.
- Improving the accuracy of pseudo-labels through domain adaptation.
- Aligning the target distribution by weighting unlabeled samples.
  - Aligning p(x|y) with intra-class weights.
  - Aligning p(y) with inter-class weights.

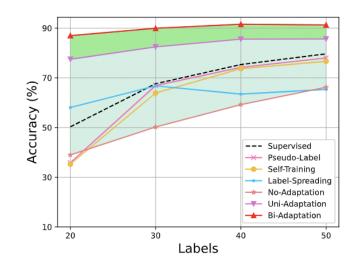


#### http://www.lamda.nju.edu.cn

### **D**Experiments



Theoretical Arguments



Performance Robustness

Table 3. Experiments on VisDA-2017 with 150 labels, 300 labels and 600 labels.

Methods	150 labels		300 labels		600 labels	
	S/R	R/S	S/R	R/S	S/R	R/S
Supervised	$85.33 \pm 1.54$	$78.50\pm0.68$	$89.64 \pm 0.73$	$81.81 \pm 0.62$	$92.20 \pm 0.45$	$84.13 \pm 0.36$
Mean Teacher	$84.15 \pm 1.08$	$73.68 \pm 1.00$	$86.90 \pm 0.61$	$76.90 \pm 0.46$	$89.05 \pm 0.48$	$79.86 \pm 0.30$
FixMatch	$78.46 \pm 4.15$	$67.10 \pm 9.46$	$82.88 \pm 0.85$	$71.74\pm0.45$	$87.68 \pm 1.15$	$79.54 \pm 1.88$
FlexMatch	$83.43 \pm 1.74$	$67.90 \pm 1.77$	$88.09 \pm 0.53$	$75.17 \pm 1.34$	$90.11 \pm 1.09$	$79.28 \pm 0.38$
UASD	$85.58 \pm 1.55$	$78.59 \pm 0.41$	$89.58 \pm 0.79$	$81.82\pm0.68$	$92.29 \pm 0.45$	$84.04\pm0.31$
CAFA	$83.95 \pm 1.79$	$72.89 \pm 1.03$	$87.81 \pm 0.47$	$76.48 \pm 0.72$	$89.84 \pm 0.62$	$78.63 \pm 0.44$
Ours	$85.92 {\pm} 1.16$	$79.15 {\pm} 0.39$	$89.85 {\pm} 0.71$	$82.27 {\pm} 0.60$	$92.46 {\pm} 0.38$	$84.28 {\pm} 0.36$



# **Thanks!**