ICML 2022 Tutorial

Climate Change and ML: Opportunities, Challenges, and Considerations

Priya L. Donti, David Rolnick, Lynn H. Kaack

Climate change warrants rapid action









Impacts felt globally

Disproportionate impacts on most disadvantaged populations

Need net-zero greenhouse gas emissions by 2050 (IPCC 2018)

 Across energy, transport, buildings, industry, agriculture, forestry, etc.

How does ML fit into this picture?

Tutorial outline

Introduction to climate change

Opportunities for ML in climate action

Research challenges

- Physics-informed and robust ML
- Interpretable ML and uncertainty quantification
- Generalization and causality

Is ML a help or hindrance for climate action?

Considerations for research and deployment

Takeaways and how to get involved

Tutorial presenters



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Incoming Assistant Professor of EECS, MIT (2023)
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The Climate Change AI team

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State of climate change

Approaches for climate action

The state of climate change

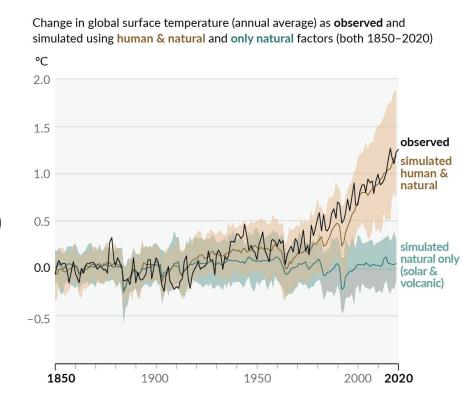
Earth has already warmed over 1°C, compared to pre-industrial period

Due to excess greenhouse gas (GHG) emissions from human activities

E.g., carbon dioxide (CO₂),
 methane (CH₄), nitrous oxide (N₂O)

Has induced major changes in climate

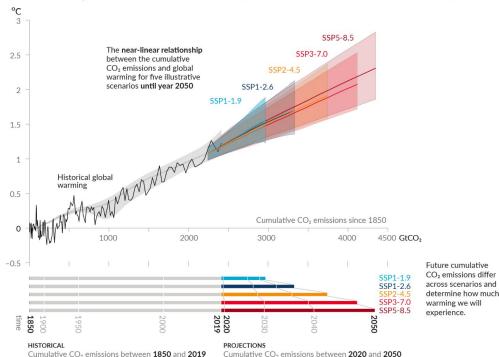
- Climate = "average weather"
- Extreme heatwaves, precipitation, droughts, hurricanes, etc.



Rapid action is needed to limit warming

Every tonne of CO₂ emissions adds to global warming

Global surface temperature increase since 1850-1900 (°C) as a function of cumulative CO2 emissions (GtCO2)



Speed and scale of systemic changes affects total warming

Net-zero by 2050 (SSP1-1.9) limits warming to ~1.5°C

Figure source: IPCC AR6 WG1 Report (2021)

Axes of action

- Climate science: Understanding and predicting climate change
- Mitigation: Reducing or preventing greenhouse gas emissions
- Adaptation: Responding to the effects of a changing climate

- Climate justice: An equity-centered approach to climate change
- ► **Co-benefits:** Explicitly considering linkages between climate action and other UN Sustainable Development Goals (SDGs)

Axes of action

See NeurIPS tutorials by McKinnon & Poppick (2021) and Monteleoni & Banerjee (2014)

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Mitigation: Reducing or preventing GHG emissions

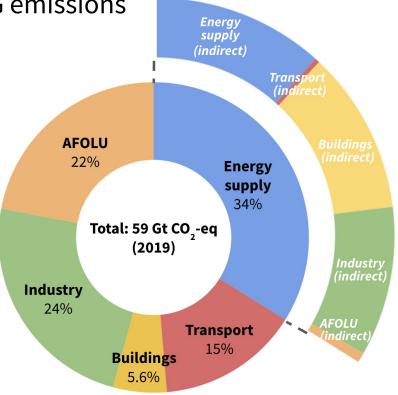


Figure data based on IPCC AR6 WG3 Report (2022). Percentages shown do not add to exactly 100% due to rounding to two significant figures.

Mitigation: Reducing or preventing GHG emissions

Sectors

Energy supply

Transportation

Buildings

Industry

Agriculture

Forestry

Other land use

CO₂ removal

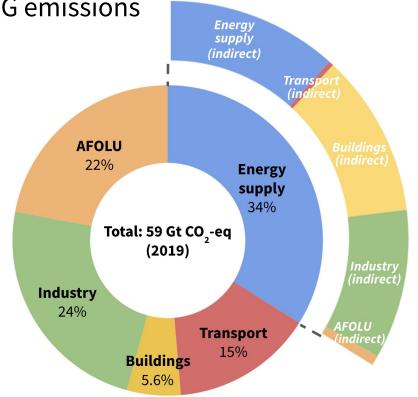


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Mitigation: Reducing or preventing GHG emissions

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CO₂ removal

Energy-related emissions

Conceptual framework based on Kaya identity:

Conceptual framework based on Kaya identity:

Reducing consumption

Example: Passenger cars Service = vehicle-kilometers



Reduce number of miles driven

- Individual change: Move closer to work
- Systemic change: Dense urban areas

Increase passengers per trip and vehicle

General energyrelated sectors Individual behavior changes

Systemic changes & structural improvements

Conceptual framework based on Kaya identity:

GHG emissions = population
$$x$$
 $\frac{\text{service}}{\text{population}} x$ $\frac{\text{energy}}{\text{service}} x$ $\frac{\text{GHG emissions}}{\text{energy}}$

Example: Passenger cars

Service = vehicle-kilometers



Improve vehicle efficiency (e.g., fuel economy)

Drive more efficiently

Switch to other transport modes (e.g., bikes)

General energyrelated sectors

Efficient end-use technologies

Efficient generation technologies

Conceptual framework based on Kaya identity:

GHG emissions = population
$$x$$
 $\frac{\text{service}}{\text{population}} x$ $\frac{\text{energy}}{\text{service}} x$ $\frac{\text{GHG emissions}}{\text{energy}}$

Example: Passenger cars

Service = vehicle-kilometers



Switch to battery electric vehicles

Switch to alternative fuels (e.g., electrofuels, solar fuels, hydrogen)

General energyrelated sectors Electrify & switch to low-carbon power

Replace fossil fuels with clean alternative fuels

Conceptual framework based on Kaya identity:

Mitigation: Reducing or preventing GHG emissions

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Other land use

CO₂ removal

Energy-related emissions

Land use (AFOLU) emissions

Mitigation: Land use emissions

GHG emissions result from (e.g.)

- Land use changes (forests, peatlands, etc.)
- Fertilizer use
- Livestock

Complex to assess effects of interventions

- Natural systems are in complex carbon cycle
- Interactions between climate system, natural factors, socioeconomic factors

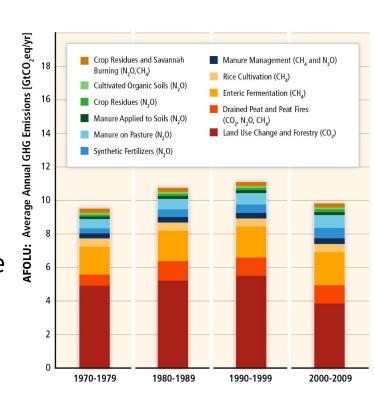


Figure source: IPCC AR5 WG3 Report (2014)

Example mitigation strategies: Land use

GHG emissions result from (e.g.): Land use changes Fertilizer use Livestock

Natural systems	Agriculture	Demand-side measures
Prevent deforestation Preserve peatlands and coastal wetlands	Reduce fertilizer use Reduce cows and other ruminant animals	Reduce losses in food supply chain Dietary change
Protect biodiversity Protect indigenous rights	Improve land management	Change wood procurement practices

Monitoring: E.g., via remote sensing

Mitigation: Reducing or preventing GHG emissions

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Energy-related emissions

Land use (AFOLU) emissions

"Negative emissions"

Mitigation: Negative emissions strategies

Negative emissions strategies remove CO₂ from the atmosphere

- Carbon capture and storage (CCS): Use sorbents to capture CO₂
 from exhaust or directly from air
 - Related: Bioenergy with carbon capture and storage (BECCS)
- ► **Enhancing natural sinks:** Afforestation, reforestation, soil carbon restoration, peatland restoration, etc.
- ▶ **Biochar:** Pyrolize plant materials & store underground

Mitigation: Reducing or preventing GHG emissions

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Energy-related emissions

Land use (AFOLU) emissions

"Negative emissions"

Total GHG emissions

Economy-wide strategies to limit total GHGs

Targets (mandatory or voluntary)

- International: UNFCCC and COP (Kyoto Protocol, Paris Agreement)
- At national or sub-national levels

Regulation, standards, and investments

Carbon pricing

- Carbon tax: Tax per unit of CO₂ or CO₂-eq emissions
- Carbon markets: Emissions trading under some agreed-upon CO₂-eq cap (i.e., cap-and-trade or emissions trading system)

Axes of action

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Climate impacts and downstream effects

Climate impacts

Rising temperatures

Changing precipitation patterns

Rising sea levels

Ocean acidification

Downstream effects

- Droughts and heatwaves
- More intense storms and flooding
- More frequent wildfires
- Loss of ecosystem services
- **Biodiversity loss**
- Spread of disease vectors and pests

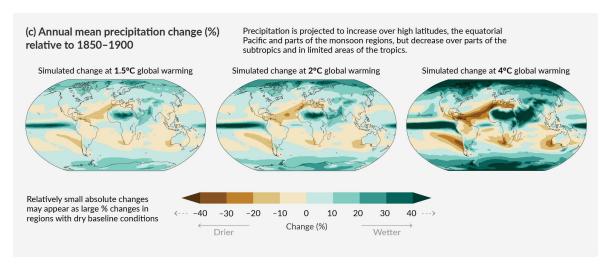
Climate change adaptation

Adaptation: Responding to the effects of a changing climate

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Adaptation: Responding to the effects of a changing climate

- 1. Measuring and predicting risks
 - Risk: Impact x probability



Climate change adaptation

Adaptation: Responding to the effects of a changing climate

- Measuring and predicting risks
 - **Risk**: Impact x probability
- Strengthening adaptive capacity
 - **Robustness:** Withstanding a range of outcomes with no/minimal impact
 - **Resilience:** Recovering quickly after impact

Human & ecological systems



Connections with UN SDGs

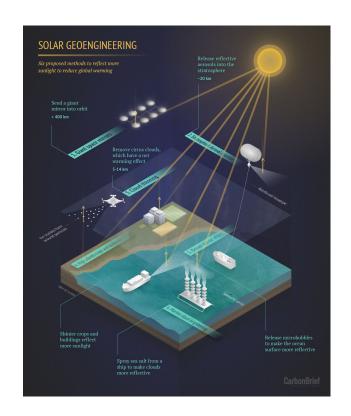


Solar geoengineering

"Cool the planet" by increasing the Earth's albedo (reflectivity)

 E.g., Release stratospheric aerosols to increase reflectance for a few years

Viewed as last resort: Uncertainty, moral hazard, termination shock, governance



Takeaways: Introduction to climate change

Rapid action is needed on climate change

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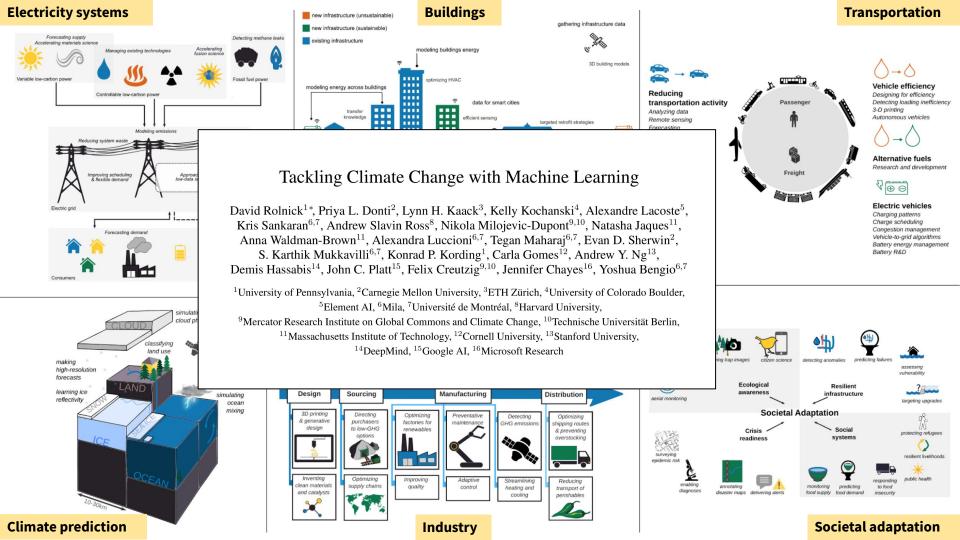
Is ML a help or hindrance for climate action?

Considerations for research and deployment

Takeaways and how to get involved

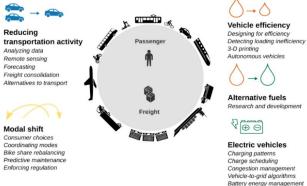
Roles for ML in climate action

Considerations in evaluating applications



Electricity systems Buildings new infrastructure (unsustainable) gathering infrastructure data new infrastructure (sustainable) Forecasting supply Detecting methane leaks existing infrastructure modeling buildings energy Managing existing technologies 3D building models ptimizing HVAC Variable low-carbon power modeling energy across buildings Reducing Controllable low-carbon powe data for smart cities transportation activity Analyzing data efficient sensing Remote sensing targeted retrofit strategies Forecasting -Freight consolidation Alternatives to transport coordinating between sectors smart buildings Freight Improving scheduling & flexible demand Approaching low-data settings low-carbon infrastructure Modal shift Consumer choices Remote sensing Land use Coordinating modes of emissions Bike share rebalancing energy access Predictive maintenance Enforcing regulation Forecasting demand Estimating carbon stock Managing Precision Automating forest fires Monitorina agriculture afforestation peatlands Reducina deforestation simulating cloud physics Farmland Forest learning from Peatland satellite data making high-resolution tracking analyzing sensors forecasts storms **Ecological** learning ice Design Sourcing Manufacturing simulating Distribution aerial monitoring reflectivity mixing Societal Adaptation Directing Optimizing Preventative Detecting Optimizing & generative purchasers factories for GHG emissions shipping routes to low-GHG renewables & preventing Crisis options overstocking readiness surveying epidemic risk Inventing Optimizina Improving Adaptive Streamlining Reducina enabling clean materials supply chains quality control annotating monitoring heating and transport of and catalysts diagnoses disaster maps food supply perishables **Climate prediction Industry**

Transportation





Social

systems

predicting

food demand

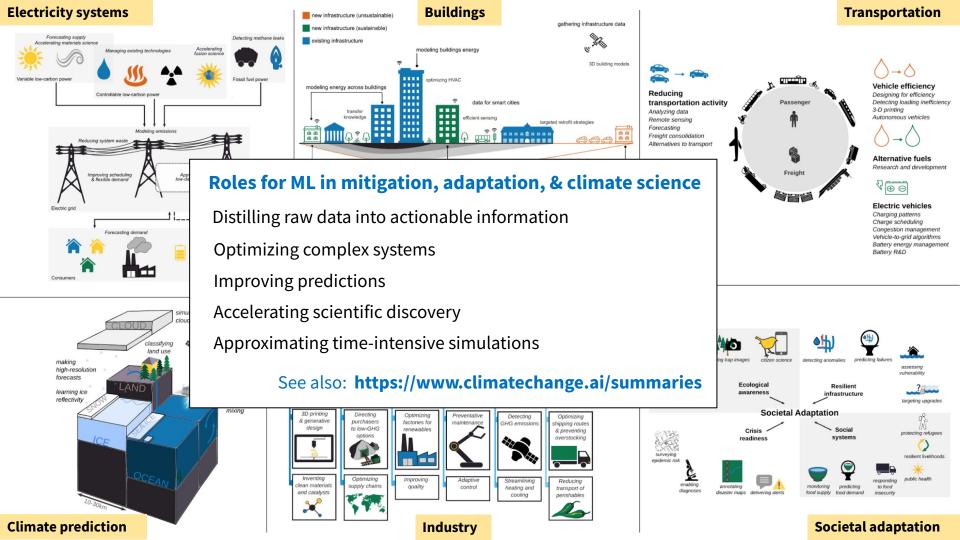
responding

to food

protecting refugees

resilient livelihoods

public health

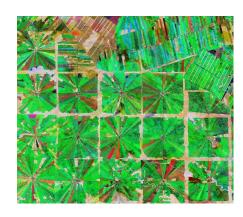


1. Distilling raw data

Role: Distilling raw data into actionable information

Some relevant ML areas: Computer vision, natural language processing

- Mapping deforestation and carbon stock [M]
- Gathering data on building footprints/heights [M]
- Evaluating coastal flood risk [A]
- Parsing corporate disclosures for climate-relevant info [A]



2. Optimizing complex systems

Role: Improving efficient operation of complex, automated systems

<u>Some relevant ML areas</u>: Optimization, control, reinforcement learning

Examples

- Controlling heating/cooling systems efficiently [M]
- Optimizing rail and multimodal transport [M]
- Demand response in electrical grids [M]

Note: Beware of misaligned objectives and rebound effects

3. Improving predictions

Role: Forecasts and time series predictions

<u>Some relevant ML areas</u>: Time series analysis, computer vision, Bayesian methods

- "Nowcasting" for solar/wind power [M]
- Forecasting electricity demand [M]
- Predicting crop yield from remote sensing data [A]



4. Accelerating scientific discovery

Role: Suggesting experiments in order to speed up the design process

<u>Some relevant ML areas</u>: Generative models, active learning, reinforcement learning, graph neural networks



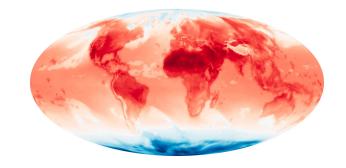
- Identifying candidate materials for batteries, photovoltaics, and energy-related catalysts [M]
- Algorithms for controlling fusion reactors [M]

5. Approximating simulations

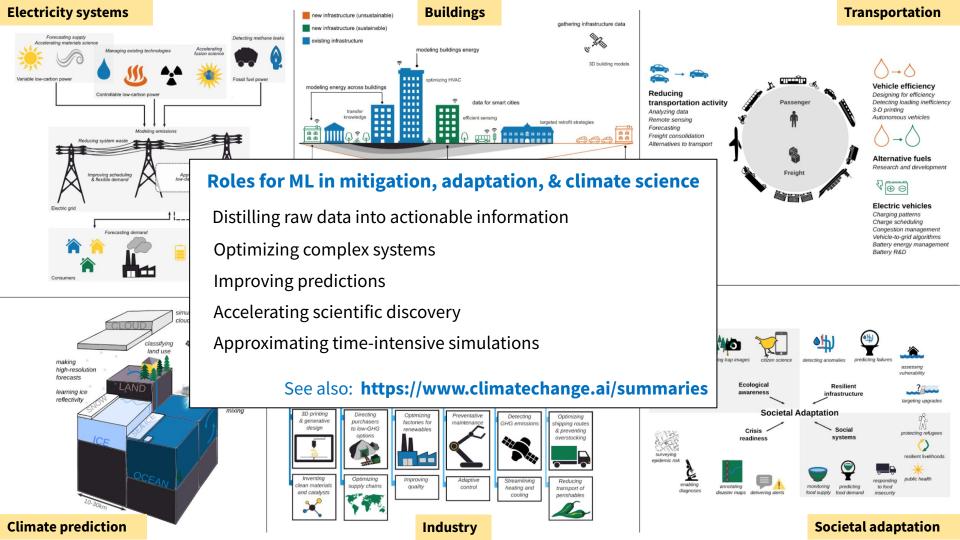
Role: Accelerating time-intensive, often physics-based, simulations

Some relevant ML areas:

Physics-informed ML, computer vision, interpretable ML, causal ML



- Superresolution of predictions from climate models [A]
- Simulating portions of car aerodynamics [M]
- Speeding up planning models for electrical grids [M]



Questions that we asked in identifying priorities

- ► **Is ML needed** to address the problem?
- ► What is the **scope** of the impact? (in rough terms)
- ► What is the **time horizon** of the impact?
- ▶ What is the **likelihood** that a solution can be found?
- Can a solution feasibly be deployed?
- ► What are the potential **side effects** of deploying the candidate solution?
- ► Who are the **relevant stakeholders** who are involved in or affected by the application?

Key considerations

ML is not a silver bullet and is only relevant sometimes

High-impact applications are not always flashy

Sophisticated algorithms can be required, but aren't always

Interdisciplinary collaboration

- Scoping the right problems
- Incorporating relevant domain information
- Shaping pathways to impact

Equity considerations

- Empowering diverse stakeholders
- Selecting and prioritizing problems
- Ensuring data is representative

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Example areas for ML innovation in climate work

How problem demands inform novel methods

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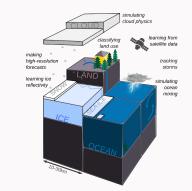
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Physics & engineering are central to climate action

Climate prediction

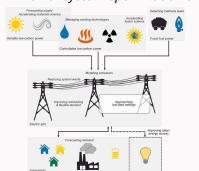
Earth, atmospheric, oceanic modeling



Electricity systems

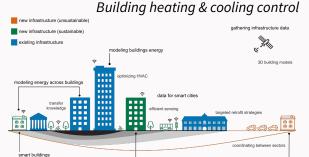
Wind farm optimization

Power system optimization & control



Buildings & cities

Urban environmental simulations



Transportation

Aerodynamic efficiency modeling Battery and alternative fuel R&D

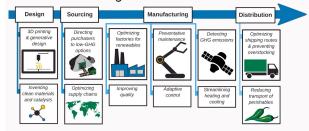


Battery energy management Battery R&D

Industry

Equipment control & demand response

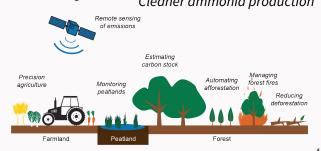
Design of low-materials structures



Land use (agriculture)

Precision agriculture Cleaner ammonia production

low-carbon infrastructure



Types of {physics, engineering} + ML approaches

Physics-informed approaches:

Improve performance and/or data efficiency by employing physical knowledge or priors

Robust and safety-critical approaches:

Ensure adherence to system requirements (e.g., engineering constraints)

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Why physics-informed ML?

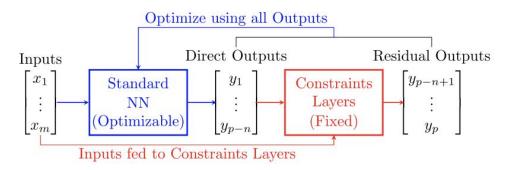
	Physics-based approaches	"Pure" ML approaches	Hybrid approaches
Efficiently leverage physical knowledge		×	?
Transparent & robust behavior	V	×	?
Adaptive, data-driven	X	✓	?
Fast to run	X	V	?

Caveat: This is an oversimplification!

Example: Emulating subgrid-scale processes

Difficult to cheaply represent subgrid-scale processes in coarse-scale atmospheric models ("subgrid parameterization"), while respecting conservation laws

Approach: Design NN-based emulator, with architecture constrained to satisfy conservation laws



See related challenge: <u>Climate Bench</u> (emulating Earth System Models)

Beucler, T., et al. (2021). Enforcing analytic constraints in neural networks emulating physical systems. *Physical Review Letters*, 126(9), 098302.

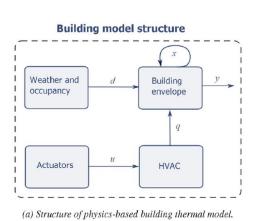
See also: Kashinath, K., et al (2021). Physics-informed machine learning: case studies for weather and climate modelling. *Philosophical Transactions of the Royal Society A*, 379(2194), 20200093.

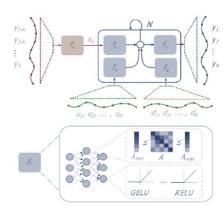
Example: Modeling building dynamics

Modeling dynamics of buildings can be difficult & expensive, but is needed for implementing simulators and/or control strategies

Approach: Learn differentiable surrogate using physics-informed ML model

Can employ within end-to-end "differentiable predictive control" workflow





(b) Structured recurrent neural dynamics model.

See related platforms: <u>COBS</u> (building control) and <u>City</u> <u>Learn</u> (city-scale control)

Drgoňa, J., Tuor, A. R., Chandan, V., & Vrabie, D. L. (2021). Physics-constrained deep learning of multi-zone building thermal dynamics. *Energy and Buildings*, 243, 110992. Drgoňa, J., Tuor, A., Skomski, E., Vasisht, S., & Vrabie, D. (2021). Deep learning explicit differentiable predictive control laws for buildings. *IFAC-PapersOnLine*, 54(6), 14-19.

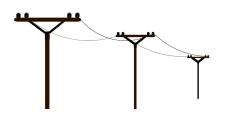
Directions: Physics-informed ML and climate change

Incorporating more complex constraints into architectures and losses

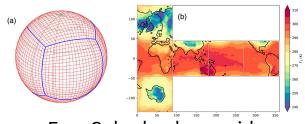
Improving **stability & convergence** of physics-informed model training

Improving **data representations** to capture physical considerations

Improving **out-of-distribution generalization** (See also tutorial section: "Research challenges: Generalization and causality)



E.g., Power grids: DC power flow (linear) → AC power flow (nonlinear)



E.g., Cubed-sphere grid data representation

Cubed-sphere grid figure source: Weyn, J. A., Durran, D. R., & Caruana, R. (2020). Improving data-driven global weather prediction using deep convolutional neural networks on a cubed sphere. *Journal of Advances in Modeling Earth Systems*, 12(9), e2020MS002109.

Types of {physics, engineering} + ML approaches

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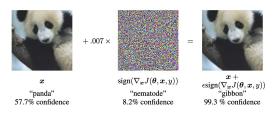
Ensure adherence to system requirements (e.g., engineering constraints)

Example notions of "robustness" in ML and climate

Adversarial robustness [ML]: Robustness to perturbations of inputs

Safe reinforcement learning [ML]: Avoid error states or catastrophic scenarios

Robust control [e.g., power systems, buildings]: Bring system to an equilibrium (e.g., Lyapunov stability)









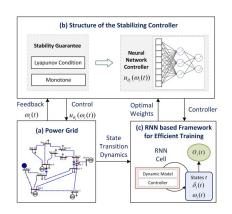
Example: RL for robust power grid control

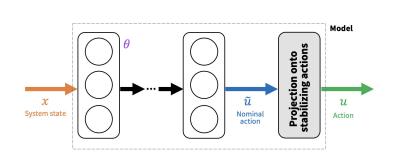
Want to control power system devices in a robust manner

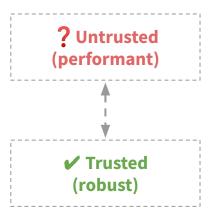
Notion of robustness: Lyapunov stability [robust control]

Ideas

- Enforce Lyapunov stability guarantees in NN architecture
- Mix between robust and untrusted controllers







Cui, W., Jiang, Y., & Zhang, B. (2022). Reinforcement learning for optimal primary frequency control: A Lyapunov approach. *IEEE Transactions on Power Systems*. Donti, P. L., Roderick, M., Fazlyab, M., & Kolter, J. Z. (2021). Enforcing robust control guarantees within neural network policies. *ICLR*. Rutten, D., Christianson, N., Mukherjee, D., & Wierman, A. (2022). Online Optimization with Untrusted Predictions. *arXiv preprint arXiv:2202.03519*.

Example: Adversarially robust power grid optimization

Intractable to dispatch power generators to be robust to *k* failures (N-k SCOPF)

Notion of robustness: Feasibility against contingency constraints [optimization]

Approach: Re-cast as an adversarially robust optimization problem [ML-style]

"Solve" using adversarial training techniques (plus implicit layers)

```
minimize maximize ( ) , 💥 )
```

See related challenge: <u>ARPA-E GO Competition</u> (secure power system optimization)

Takeaways: Physics-informed and robust ML

Many fruitful directions for merging physics & engineering knowledge with ML approaches, with benefits across many climate-relevant areas

Physics-informed approaches: Accommodate new and more complex physics within data, deep learning architectures, and loss functions

Robust and safety-critical approaches: Implement, exchange, and/or combine notions of robustness from ML and climate-relevant domains

Deep domain understanding can yield new methodological directions

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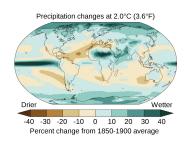
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Interpretability and uncertainty in climate change

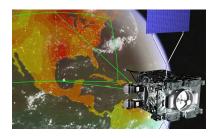
Climate change mitigation and adaptation require trust and robust decision-making



Scientific understanding and predictions of climate change



Policy-making on international, national, and local levels



Monitoring, reporting, and verification of emissions and climate change effects



Planning and operation of critical infrastructure



Early warning and emergency response



Innovation and technology assessment

Interpretability and uncertainty in climate change

Interpretability aims:

Oversight	Regulatory oversight and recourse Real-time settings: Intervening & overriding model outputs Domain-informed model debugging
Credibility	Allowing stakeholders to decide whether to trust
Scientific discovery	Working with and expanding domain knowledge

Uncertainty quantification aims:

Assessing risks	Input to making robust decisions	
Communication	Avoiding overconfidence and increasing credibility	63

Examples



Ocean sub-grid scale modeling with equation-discovery



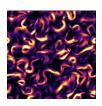
Crystal-structure phase mapping with deep reasoning networks

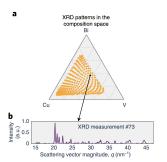


Bird species classification with a prototypical part network



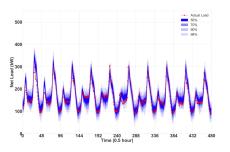
Net load forecasting with Bayesian deep learning











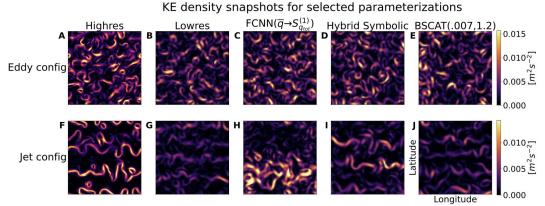


Example 1: Ocean sub-grid scale modeling with equation-discovery

Human-in-the-loop guidance and scientific interpretation may help improve ML-based emulators for subgrid-scale processes within coarse-grained climate models

Approach: (Interpretable) hybrid symbolic equation-discovery approach

 Compared to (physics constrained) neural networks, generalizes better to unseen flow regimes



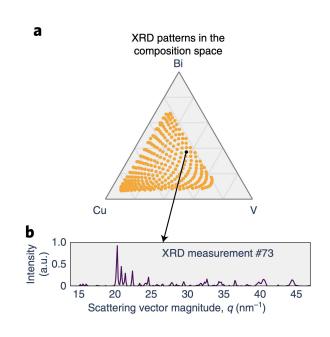


TY Example 2: Crystal-structure phase mapping with deep reasoning networks

Discovery of solar fuel materials requires separating noisy mixtures of X-ray diffraction patterns into source signals of the corresponding crystal structures (unsupervised pattern demixing problem)

Approach: (Interpretable) deep reasoning network

- Exploiting scientific prior knowledge about rules that govern the mixtures of crystals
- **Interpretable latent space** constructed with scientific variables that are learned in an unsupervised setting



Chen, D., Bai, Y., Ament, S., Zhao, W., Guevarra, D., Zhou, L., ... & Gomes, C. P. (2021). Automating crystal-structure phase mapping by combining deep learning with constraint reasoning. Nature Machine Intelligence, 3(9), 812-822.

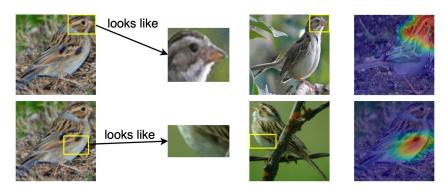


Example 3: Bird species identification with a prototypical part network

Computer vision can help with ecosystem monitoring as the habitats of species change with a changing climate

Approach: Interpretable **prototypical part network** for bird species identification reasons in a similar way to ornithologists

Explanations generated are used during classification and are not created posthoc



Chen, C., Li, O., Tao, D., Barnett, A., Rudin, C., & Su, J. K. (2019). This looks like that: deep learning for interpretable image recognition. Advances in neural information processing systems, 32.

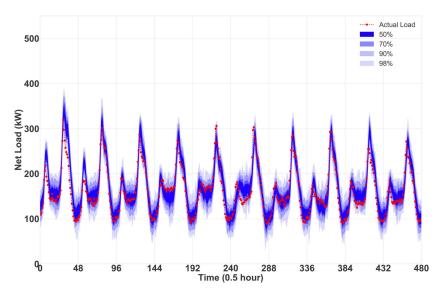


Example 4: Net load forecasting with Bayesian deep learning

Residential net electricity load is uncertain due to climate variability, variable power generation and aperiodic human activities

Approach: **Bayesian theory combined with deep LSTM networks** for probabilistic
day-ahead net load forecasts

 Using smart meter data and (partially available) PV output data



Takeaways: Interpretable ML & uncertainty quantification

- Decision-making and discovery crucial for many areas of climate change: need for interpretability and uncertainty quantification
- Domain-specific goals should drive the specific notion of interpretability used
- Lack of documented use cases from practice where interpretability and uncertainty quantification in ML is used for improving decision-making
- Research challenge: user-focused method development

Tutorial outline

Introduction to climate change

Opportunities for ML in climate action

Research challenges

- Physics-informed and robust ML
- Interpretable ML and uncertainty quantification
- Generalization and causality

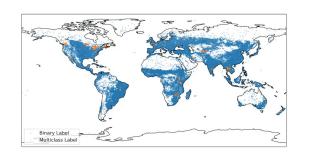
Is ML a help or hindrance for climate action?

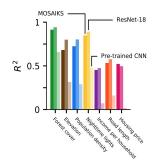
Considerations for research and deployment

Takeaways and how to get involved

Several notions of generalization

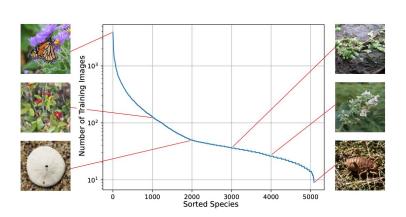
Generalization across tasks





Generalization under concept drift

Generalization from limited data



Generalization across tasks

Contexts include:

Performing a similar task across geographies or communities,
 e.g. classifying land cover or predicting power demand

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- Meta-learning algorithms

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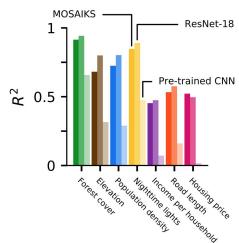
- → Note on
 - Data embeddings designed for fast transfer to new tasks
 - Meta-learning algorithms

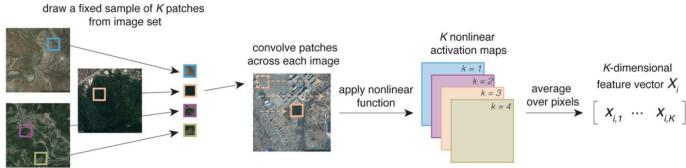
Example: Task-agnostic features for satellite images

Unsupervised featurization of satellite images

MOSAIKS: Convolutional "random kitchen sink," then apply nonlinear function & average over pixels

Ridge regression on features almost matches task-specific ResNets on wide array of tasks





Generalization across tasks

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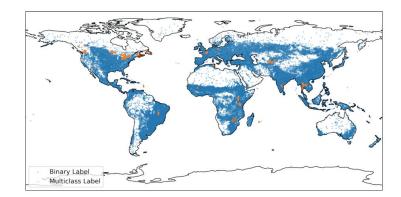
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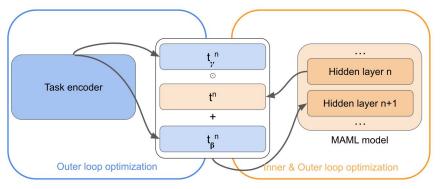
Example: Task-informed meta-learning

Remote sensing to map crops & forecast yield can help avoid food insecurity under climate change, but data are imbalanced by location/crop

TIML builds in location/task metadata to meta-learning via a task encoder

Added to MAML/other meta-learning methods to improve performance on classification & regression tasks





G. Tseng, H. Kerner, D. Rolnick, "TIML: Task-Informed Meta-Learning for agriculture," arXiv 2202.02124, 2022.

G. Tseng, et al., "CropHarvest: A global dataset for crop-type classification," NeurIPS 2021 Datasets and Benchmarks Track.

Generalization across tasks

Contexts include:

Performing a similar task across geographies or communities,
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- Data embeddings designed for fast transfer to new tasks
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Generalization across tasks

Contexts include:

Performing a similar task across geographies or communities,
 e.g. classifying land cover or predicting power demand

Strategies include:

- Data embeddings designed for fast transfer to new tasks
- Meta-learning algorithms
- Treating tasks separately and ensuring enough data for each

See Birhane et al. "The Values Encoded in Machine Learning Research," FAccT 2022.

Generalization under concept drift

Contexts include:

- Climate & weather data
- Things affected by climate change, e.g. mapping crops, assessing species distributions, predicting energy supply

- ► Physics-informed models that generalize better to new regimes (see also tutorial section: "Research challenges: Physics-informed and robust
- ► Synthetic data from physics-based simulations

Generalization from limited data

Contexts include:

- Long-tailed data distributions, e.g. identifying species from photos
- Rare or extreme events, e.g. predicting wildfires or hurricanes

- Algorithms designed to learn from imbalanced/few-shot data
- Unsupervised or self-supervised algorithms for anomaly detection

Generalization from limited data

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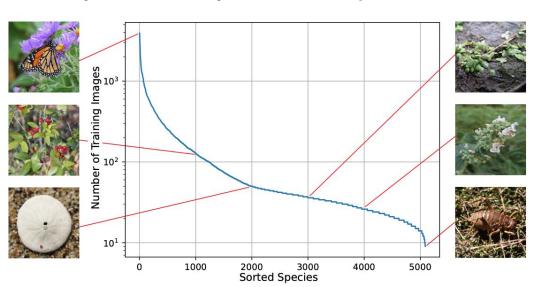
- Algorithms designed to learn from imbalanced/few-shot data
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Example: Data from citizen science observations

iNaturalist dataset: citizen-science observations of animals, plants, etc. used to assess changing biodiversity, labeled by domain experts

Fine-grained classification: 859,000 images, 5,000 classes, many very similar

Long tails in distribution from rare or rarely observed species



Benchmark for highly imbalanced visual classification & localization

G. Van Horn et al., "The iNaturalist species classification and detection dataset," CVPR 2018.

Generalization from limited data

Contexts include:

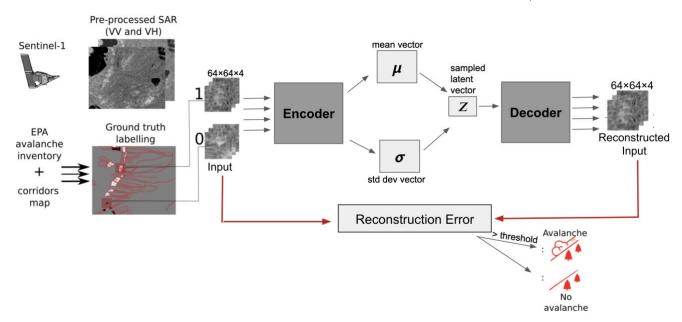
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- Unsupervised or self-supervised algorithms for anomaly detection

Example: Detecting avalanches using VAEs

Avalanches are rare events, so few annotated images of them

Can use VAE reconstruction error to find anomalies, often avalanches



S. Sinha et al, "Detecting avalanche deposits using variational autoencoder on sentinel-1 satellite imagery," Tackling Climate Change with Machine Learning workshop at NeurIPS 2019.

Causality and ML

In complicated physical systems, e.g. climate & weather models

- Identifying causal relations between variables, e.g. teleconnections
- Attributing causes to rare/extreme events
- Assessing the strength of relations in a known causal graph

In settings where policy decisions must be made

- Predicting optimal interventions under known or inferred causal models
- Estimating the causal effect of implemented policies

J. Runge et al., "Inferring causation from time series in Earth system sciences," Nature Communications.

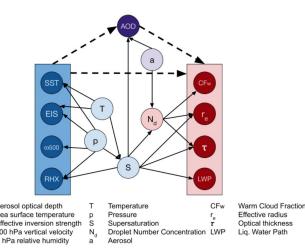
S. Athey. Beyond prediction: "Using big data for policy problems," Science.

Example: Quantifying aerosol-cloud interactions

Clouds affect the climate and are in turn affected by aerosols in the atmosphere

Causal graph structure for aerosol-cloud interactions is known, but is mediated by the local environment in unknown ways

The Quince deep learning-based causal-effect estimator can predict the strengths of these causal relations from data



A. Jesson et al., "Using non-linear causal models to study aerosol-cloud interactions in the southeast Pacific," Tackling Climate Change with Machine Learning workshop at NeurIPS 2021.

A. Jesson et al., "Quantifying ignorance in individual-level causal-effect estimates under hidden confounding," ICML 2021.

Takeaways: Generalization and causality

Important climate change applications lead to **interesting methodological challenges** related to generalization and causality

Generalization-related challenges can arise from **geographic variation**, **nonstationarity**, and **imbalanced data** or **rare events**

Causality-related challenges can arise in **understanding complicated physical systems** and in working with **policy interventions**

Tutorial outline

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Is ML a help or hindrance for climate action?

Considerations for research and deployment

Takeaways and how to get involved

What is ML's carbon footprint?

What can one do to shape the overall impact?

ML's carbon footprint

Emissions from ML computation & hardware

ML applications in climate change mitigation

ML applications that increase emissions

ML's system-level impacts

ML's carbon footprint

Emissions from ML computation & hardware

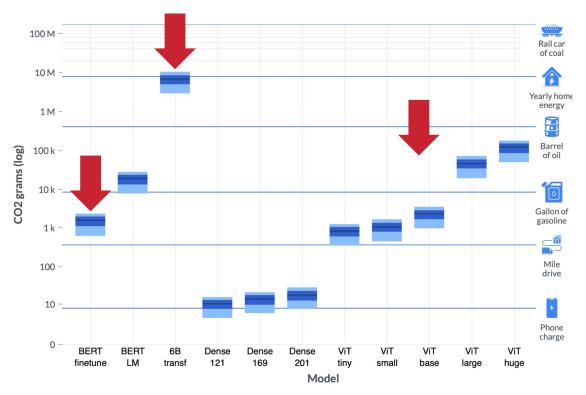
ML applications in climate change mitigation

ML applications that increase emissions

ML's system-level impacts

91

Emissions from ML computation



CO2 Relative Size Comparison

Dodge, J., Prewitt, T., Tachet des Combes, R., Odmark, E., Schwartz, R., Strubell, E., ... & Buchanan, W. (2022, June). Measuring the Carbon Intensity of AI in Cloud Instances. In *2022 ACM Conference on Fairness, Accountability, and Transparency* (pp. 1877-1894).

Impacts from ML computation & hardware

Operational
emissions from
energy consumed
during computation





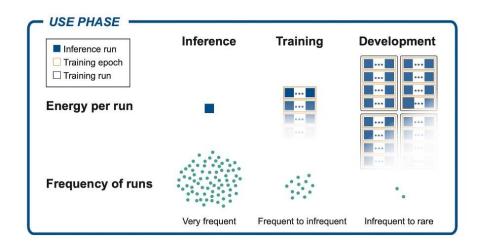
Embodied emissions from production and end-of-life of hardware



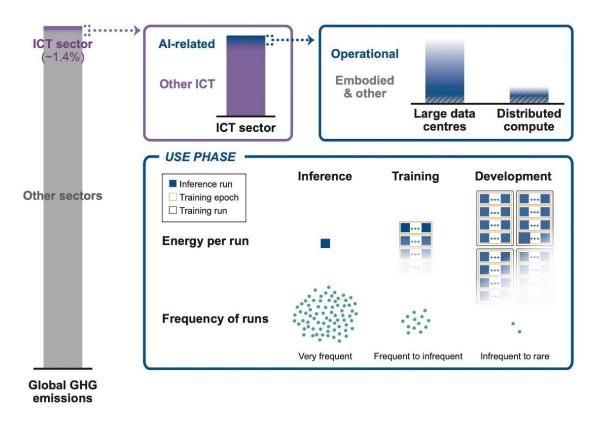




Computing-related emissions from ML



Computing-related emissions from ML



Kaack, L. H., Donti, P. L., Strubell, E., Kamiya, G., Creutzig, F., & Rolnick, D. (2022). Aligning artificial intelligence with climate change mitigation. *Nature Climate Change*, 1-10.

ML's carbon footprint

Emissions from ML computation & hardware

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Immediate application impacts

Role of machine learning

GHG emissions impact

See tutorial
section
"Opportunities for
ML in climate
action"

Data mining & remote sensing Accelerated experimentation Fast approximate simulation Forecasting System optimization and control Predictive maintenance

Policy design, monitoring, and enforcement (e.g. GHG tracking, infrastructure maps)

R&D for low-carbon technologies (e.g. photovoltaics, batteries)

Planning and design of relevant systems (e.g. urban infrastructure, carbon markets)

System operation and efficiency (e.g. heating & cooling, electricity grid)

Accelerating emissions-intensive activities (e.g. oil & gas exploration, cattle-farming)

Kaack, L. H., Donti, P. L., Strubell, E., Kamiya, G., Creutzig, F., & Rolnick, D. (2022). Aligning artificial intelligence with climate change mitigation. *Nature Climate Change*, 1-10.

Broader scope of application impacts

Example: Efficiency improvements in crude oil refining

- Crude oil is turned into lighter hydrocarbons by heat from coker units
- Accurately predicting coke buildup in pipes with ML can help maintain equipment and reduce energy consumption
- This application of ML is reducing emissions in the refinery
- The application is also reducing costs
- We need to consider how the application affects emissions from the energy and economic system as a whole



ML's carbon footprint

Emissions from ML computation & hardware

ML applications in climate change mitigation

ML applications that increase emissions

ML's system-level impacts

99

System-level impacts of ML

Rebound effects	Reducing energy consumption reduces costs → money saved may be used and cause more emissions Example: ML for optimizing systems
Lock-in and path dependency	Technologies compete and dominate → lock-in to suboptimal technologies hampering decarbonization Example: Autonomous driving and car use
Consumer behavior	Trends and advertising may change consumption patterns → embodied emissions in those products Example: ML in advertising and social media
Communication and education	Societal support for climate action essential Example: ML on social media

ML's carbon footprint

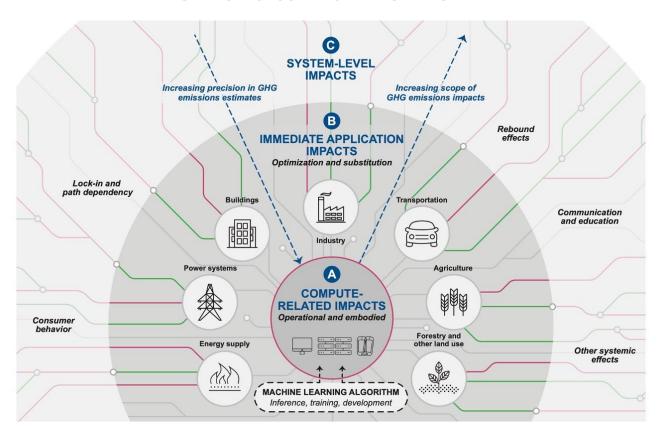
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ML applications that increase emissions

ML's system-level impacts

Overall framework



Kaack, L. H., Donti, P. L., Strubell, E., Kamiya, G., Creutzig, F., & Rolnick, D. (2022). Aligning artificial intelligence with climate change mitigation. *Nature Climate Change*, 1-10.

Takeaways: Climate change impacts of ML

Computing-related:

- Measure your footprint with tools such as <u>ML CO2 Impact</u>, <u>Carbontracker</u>, <u>CodeCarbon</u>, or tools specifically for <u>Azure</u> or <u>Hugging</u> <u>Face</u>
- Reduce your impacts by choosing more efficient models, and reducing wasteful model retraining and execution

Application-related:

- Quantify and evaluate the application impacts where possible
- ► Be **transparent** about impacts in publications and with stakeholders (quantitatively and qualitatively)
- Shape the impacts through your work

Takeaways: Climate change impacts of ML

Consider the system-level impacts of your work:

- All ML applications may potentially have an effect on the climate (e.g. recommender systems)
- Choose what you (or the ML community) works on
- Bring climate considerations into how you build an application
- Initiate company-wide policies such as internal carbon pricing or conditions on the projects or products realized
- Don't forget about other social and other environmental impacts

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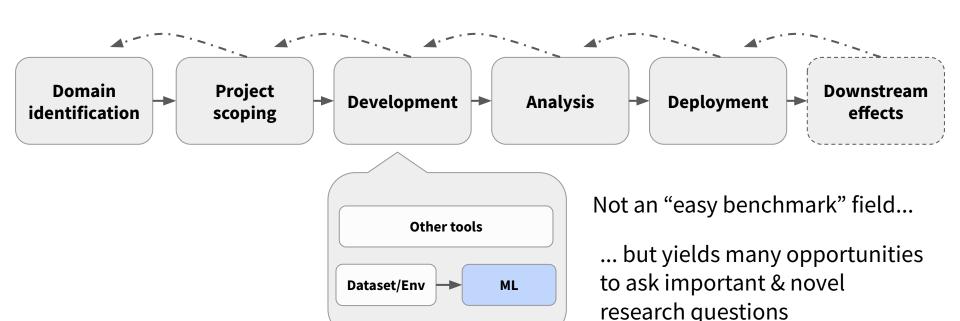
Considerations for research and deployment

Takeaways and how to get involved

Considerations for ML-for-climate

Considerations for ML as a whole

ML-for-climate: Pathway to impact



Developing data, simulators, and metrics

Data: Collection, annotation, collation, inference, and/or licensing

Note: "Data" can mean different things to different communities

Simulators: Needed for innovations in physical domains

► E.g., power systems, transport, buildings, heavy industry

Evaluation metrics: Need agreement and iteration from ML researchers, domain researchers, deployers, and other affected stakeholders

Resources and venues

- CCAI dataset wishlist (<u>www.climatechange.ai/dataset-wishlist.pdf</u>)
- Lacuna Fund funding for climate datasets
- NeurIPS Datasets and Benchmarks track

Responsible AI for climate action

Mitigating biases in data and models

- ► E.g., Buildings data: Housing discrimination, geographic disparities in availability
- ► E.g., Weather models: Calibration may be optimized for particular regions

Improving trustworthiness and accountability

- Safety and robustness: Critical in, e.g., power systems and industrial operations
- Interpretability and auditability: Critical in, e.g., policymaking contexts

Centering equity and climate justice

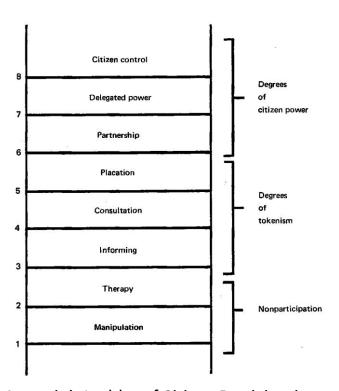
- Centering diverse stakeholders: E.g., industrial ag vs. smallholder farmers
- Avoiding centralization: Democratized capacity and compute, digital divide
- Avoiding digital colonialism: E.g., smart meters, analysis of remote sensing data

Importance of stakeholder engagement

Stakeholder types (e.g.)

- Researchers (tech & social sciences)
- Implementing entities and industries
- End users
- Policymakers
- Other affected parties

Meaningful engagement required



Arnstein's Ladder of Citizen Participation

Considerations for ML as a whole

If you work on ML, your work probably impacts climate change

Consider emissions impacts from applications and compute (See tutorial section: "Is ML a help or hindrance for climate action?")

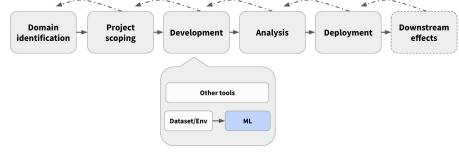
Apply a climate justice lens: ML may concentrate resources and widen the digital divide, affecting mitigation & adaptation capacity

Communicate responsibly about ML's capabilities, limitations, and alternatives: Risk of misunderstanding, diversion of funding/attention

Takeaways: Considerations for research & deployment

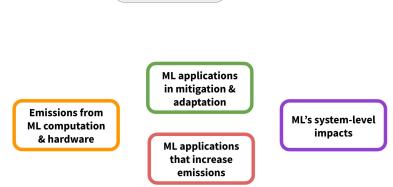
ML-for-climate: Consider the full pathway to impact, including

- Data, simulators, metrics
- Stakeholder engagement
- Responsible AI considerations



ML overall: Consider climate impacts

- Emissions impacts
- Climate justice
- Implications of communication



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Ways to get involved

Communities and resources

Roadmap for starting out

Ways to get involved

Include climate-relevant applications in the set of problems that motivate your work, and **collaborate with relevant domain experts**

Especially for students: **consider becoming a bridge** between ML and another field, such as energy, agriculture, or Earth sciences

Many job opportunities exist in this space, incl. mainstream CS research, focused institutes, startups, major tech companies, public sector initiatives

Working explicitly on climate problems isn't the only way to help - consider how to better **align your existing projects** w/ climate goals

Every application of ML affects the climate, often in multiple ways

Outside ML, may be able to **advance broader actions** by employer or society

Climate Change AI



Catalyzing impactful work at the intersection of climate change & ML

Digital resources

Reports with opportunities for researchers, practitioners, and policymakers

New community-driven Wiki w/ datasets & additional resources

+ Forecasting supply and demand

r or ecasting supply and demand

+ Improving scheduling and flexible demand

Conferences & events

Workshop series

High Leve

- Submit or attend @ NeurIPS '22
- Mentorship programs
- www.climatechange.ai/papers

Summer school (multiple tracks)



Funding programs

Global research funding

for impactful projects

Climate Change Al Innovation Grants

Announcing a \$1.8M grants program for projects at the intersection of AI and climate change

- Funding of up to \$ 150K for year-long research projects
- Supporting projects involving AI or machine learning that address problems in climate change mitigation, adaptation, or climate science
- Focus on fostering pathways to impact and the creation of catalytic datasets

Newsletter, blog, & community



Webinars & happy hours

Webinar series (monthly)
Virtual happy hours (biweekly)

Speakers

Spatial planning of low-carbon cities with machine learning

Cities represent the lion's share of the world's energy use and GHG emissions, requiring rapid mitigation

Learn more & join in:

www.climatechange.ai



Other relevant resources

Selected communities & events

- Energy: ACM e-Energy, IEEE Power & Energy, PSCC, BuildSys, AI.EPRI
- ► Land use: GRSS-IEEE, Int'l Soc. of Precision Ag, Restor, Global Forest Watch
- ► Climate & Earth science: Climate Informatics, AGU/EGU, Phi-Week
- Biodiversity: AI for Conservation slack, WILDLABS, GEO BON
- General: CompSustNet (community & doctoral consortium)

<u>Publication venues:</u> ICML/NeurIPS/CVPR/etc, upcoming special track of JMLR, Environmental Data Science, ACM COMPASS, many domain-specific venues

More info in the Climate Change AI monthly newsletter

Energy: CityLearn, OPFLearn, ARPA-E GO, PowerGridworld, L2RPN, BeoBench, Building Data Genome, bbd.labworks.org, COBS, BOPTEST/ACTB, Open Catalyst

Land use: TorchGeo, blutjens/awesome-forests, CropHarvest, Radiant ML Hub, LandCoverNet, Agriculture-Vision, chrieke/awesome-satellite-imagery-datasets

Climate & Earth science: mldata.pangeo.io, ClimateBench, ClimART, CauseMe

Adaptation: wandb/droughtwatch, Global Flood Database, FloodNet, ITU GEOAI

Biodiversity: iNat dataset, LifeCLEF, FGVC, iWildCam, Movebank

More info (or contribute) at wiki.climatechange.ai

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CityLearn Challenge 2022

RL algorithms to coordinate energy use of several connected buildings on a micro-grid

Organized by researchers from UT Austin and CU Boulder, sponsored by NREL & EPRI

NeurIPS 2022 challenge - closes Oct 31



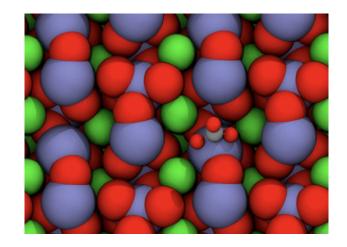
Energy: CityLearn, OPFLearn, ARPA-E GO, PowerGridworld, L2RPN, BeoBench, Building Data Genome, bbd.labworks.org, COBS, BOPTEST/ACTB, Open Catalyst

Open Catalyst Challenge 2022

ML methods (typically GNNs) to approximate quantum chemistry simulations of candidate catalysts for renewable energy storage

Organized by CMU Chem Eng. and Meta Al

Submissions open through Oct 7



Adaptation: wandb/droughtwatch, Global Flood Database, FloodNet, ITU GEOAI

ITU GeoAI Challenge

Two relevant challenges: (1) Cropland mapping with satellite imagery, (2) location mention recognition from social media crisis-related text

Organized by ITU AI for Good

Registration open through Sep 30





Roadmap for working in this space

Identify key areas that you may want to work in

Check out datasets or challenges to get hands-on practice

Explore and learn more, including how non-ML techniques are being used

Find (additional) collaborators with complementary domain expertise

Work together to **scope problems** and **data sources** (may not be ML-ready)

Design algorithms to incorporate domain and deployment constraints

Work with deployment partners & affected stakeholders to guide impact