

# Understanding The Robustness In Vision Transformers

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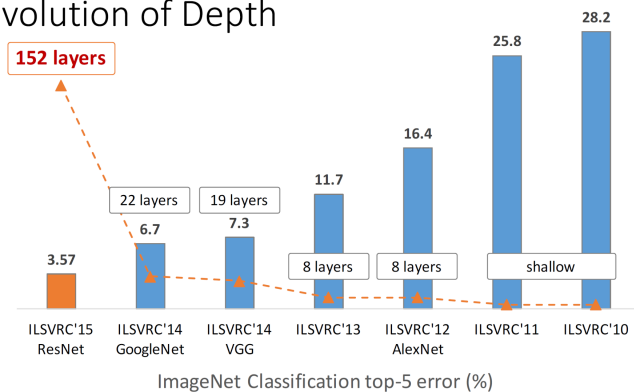
Chaowei Xiao, Anima Anandkumar, Jiashi Feng and Jose M. Alvarez



# Advances in Visual Recognition

## Larger Models

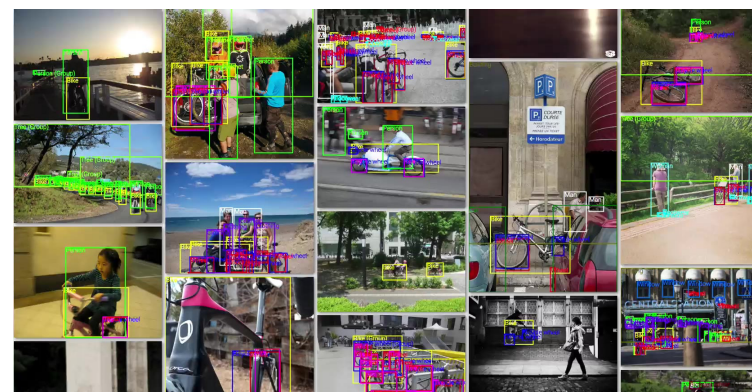
Revolution of Depth



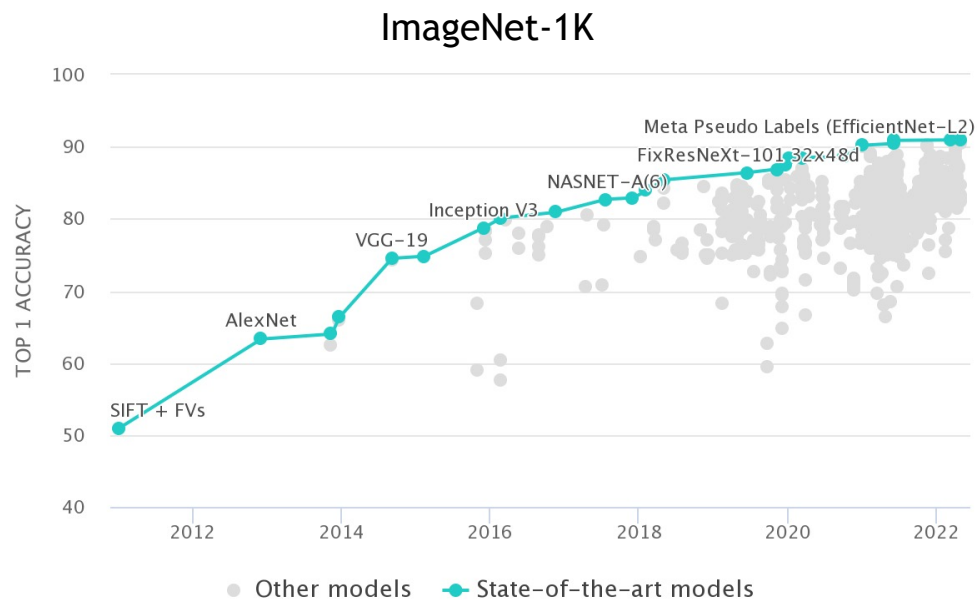
## Bigger Data



## Faster Computing



# Standard Visual Recognition Is Getting Saturated

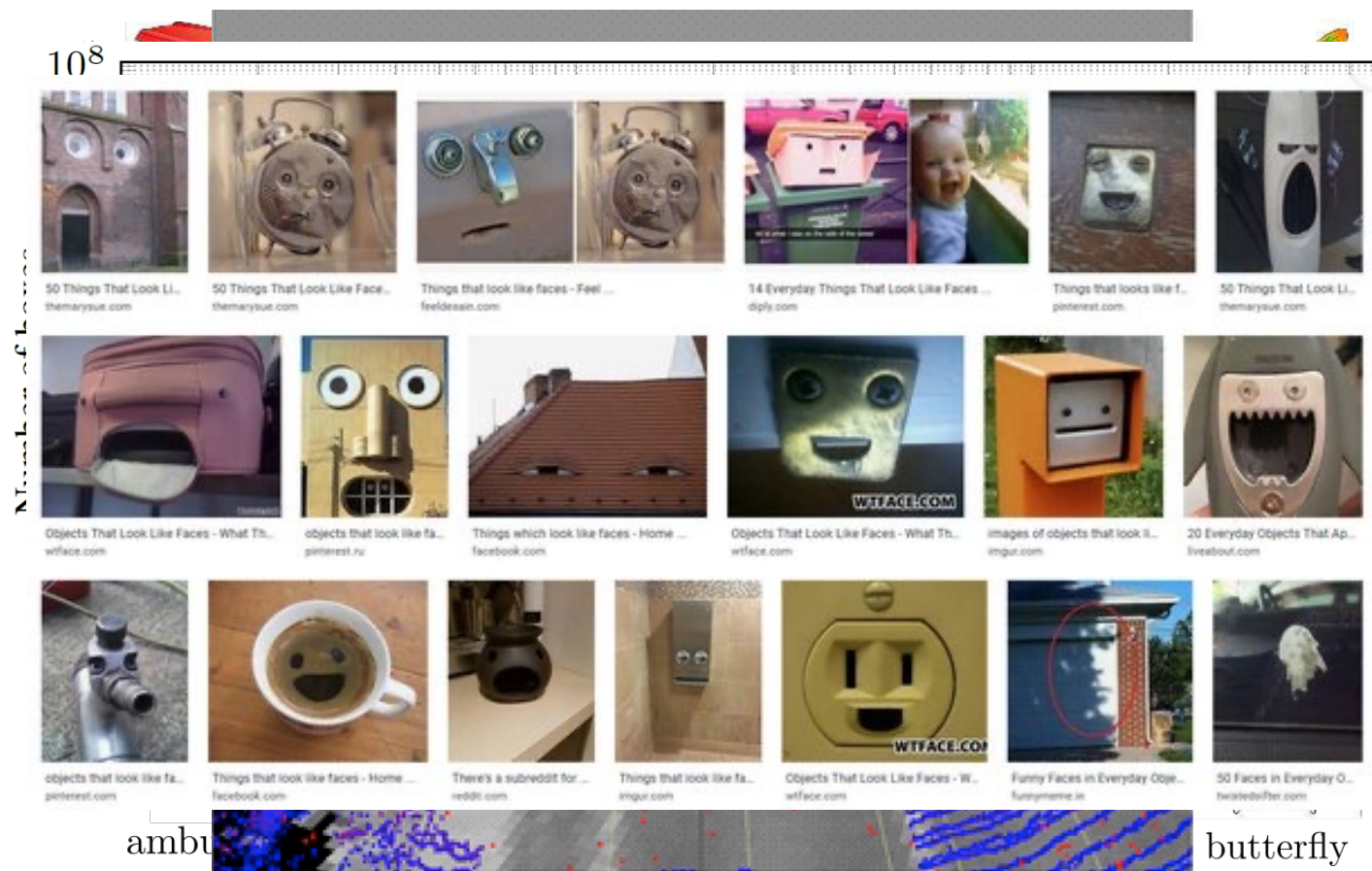


## Top Performing Models

Rank	Model	Top 1 Accuracy	Top 5 Accuracy	Number of params
1	CoCa (finetuned)	91.00		2100M
2	Model soups (ViT-G/14)	90.94		1843M
3	CoAtNet-7	90.88%		2440M
8	Meta Pseudo Labels (EfficientNet-L2)	90.2%	98.8%	480M

# Challenge - Real World Data Are Imperfect

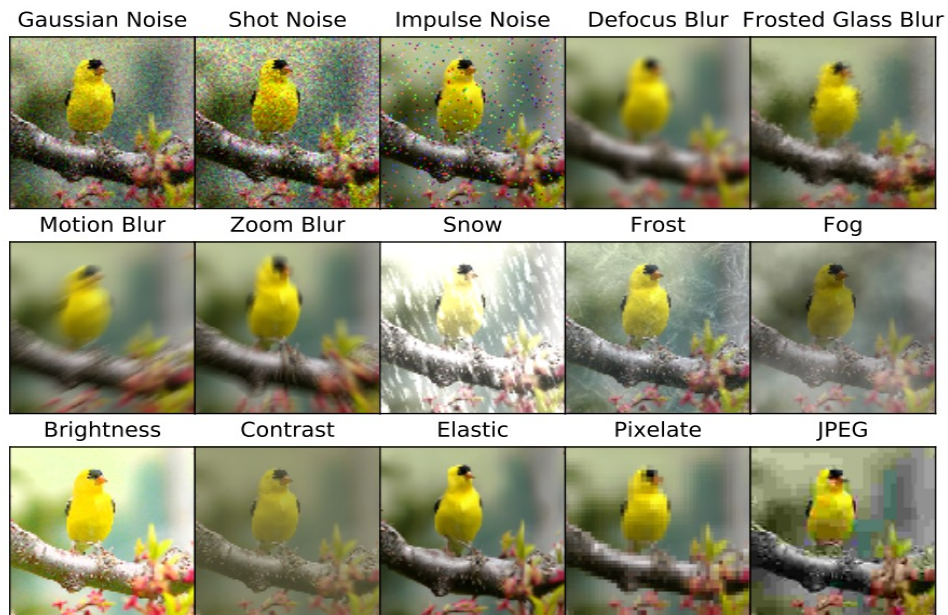
- Domain shift
- Data noise
- Imbalanced distribution
- Can contain *Occlusions*
- Can be *Cluttered*
- Can be *Ambiguous*
- Can be *Deceiving*
- ...





# More Challenging Scenarios

## Corrupted ImageNet (ImageNet-C)



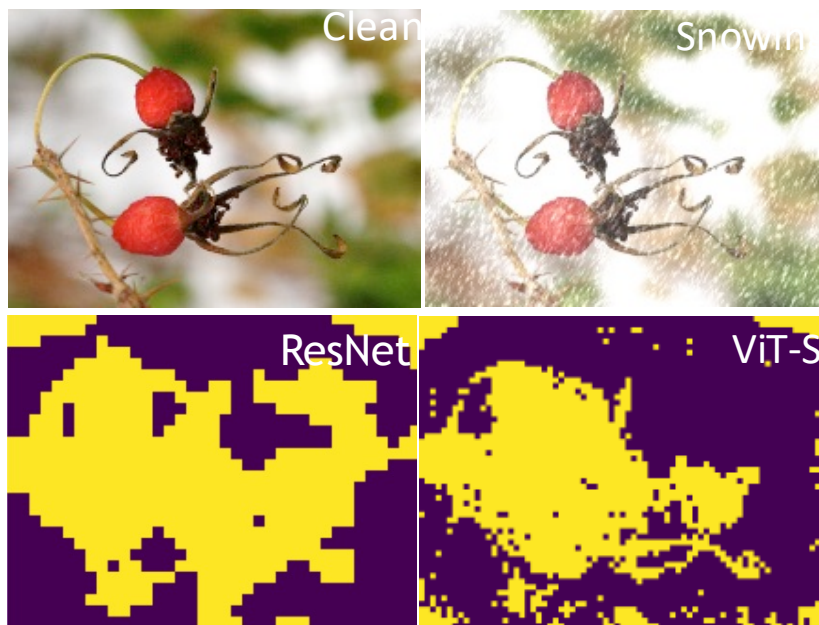
## COCO-C/Cityscapes-C



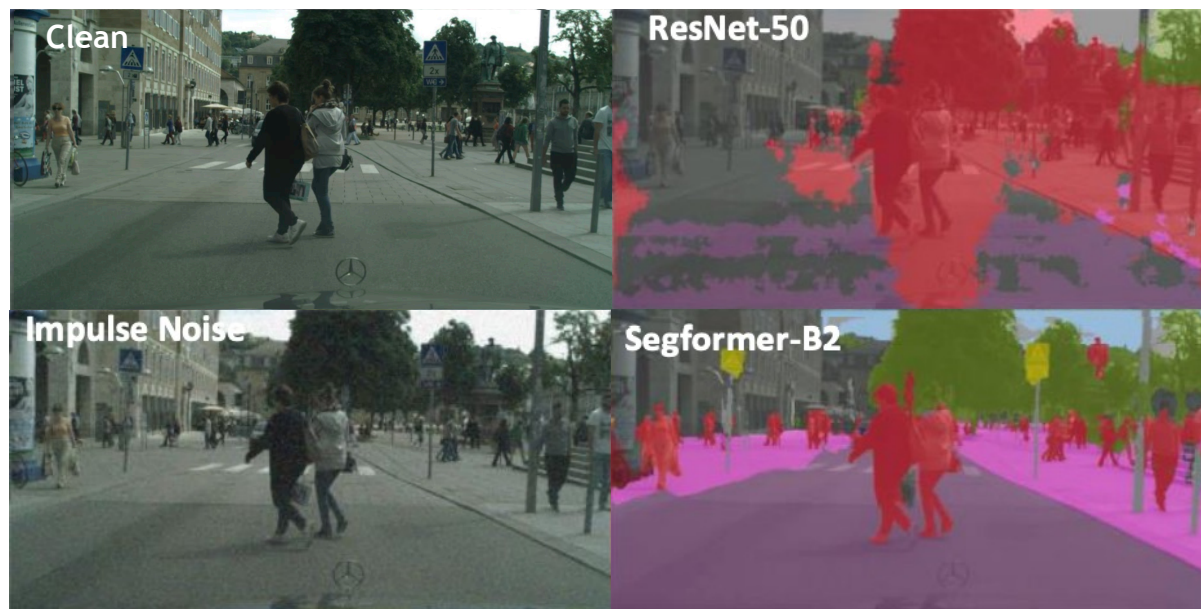
Hendrycks et al., Benchmarking Neural Network Robustness to Common Corruptions and Perturbations, ICLR19

# How Well Do Current DNNs Perform?

## Image Classification



## Semantic Segmentation





# ViTs Are Robust Learners

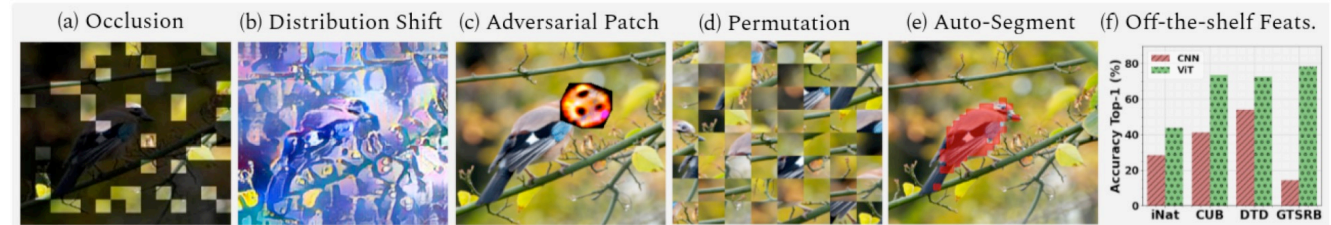
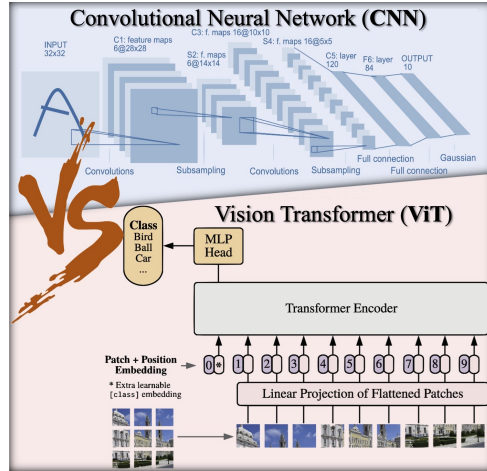
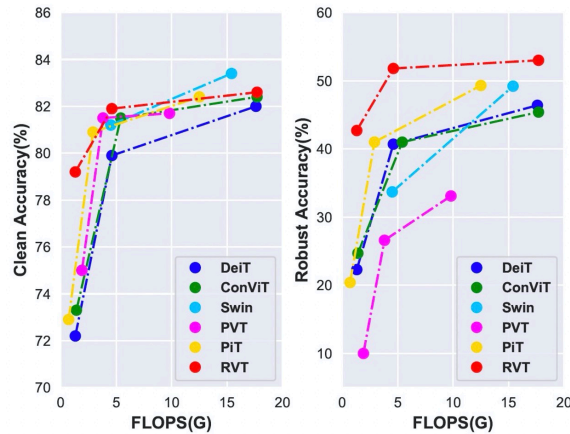


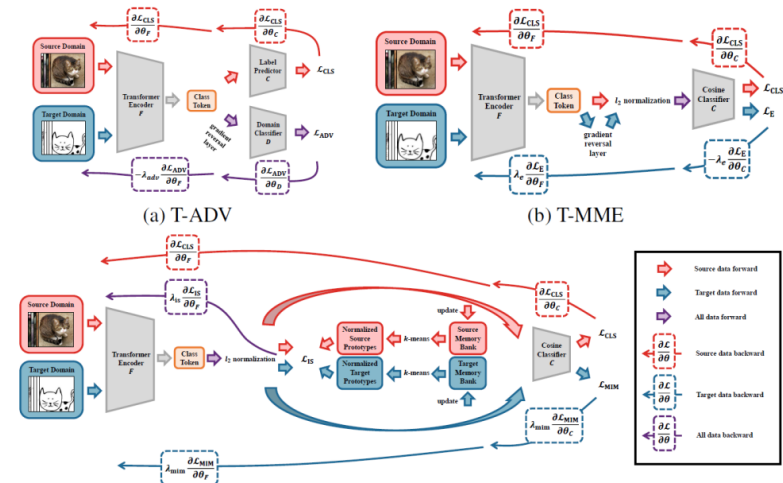
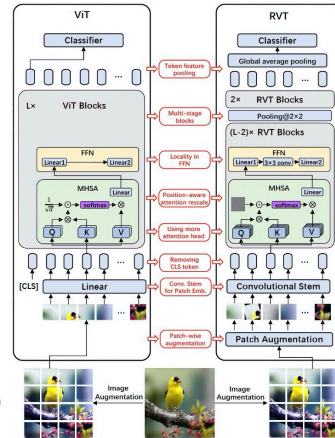
Figure 1: We show intriguing properties of ViT including impressive robustness to (a) severe occlusions, (b) distributional shifts (e.g., stylization to remove texture cues), (c) adversarial perturbations, and (d) patch permutations. Furthermore, our ViT models trained to focus on shape cues can segment foregrounds without any pixel-level supervision (e). Finally, off-the-shelf features from ViT models generalize better than CNNs (f).

Naseer et al., Intriguing Properties of Vision Transformers, NeurIPS21

Bai et al., Are Transformers More Robust Than CNNs? NeurIPS21



Mao et al., RVT: Towards Robust Vision Transformer, CVPR22



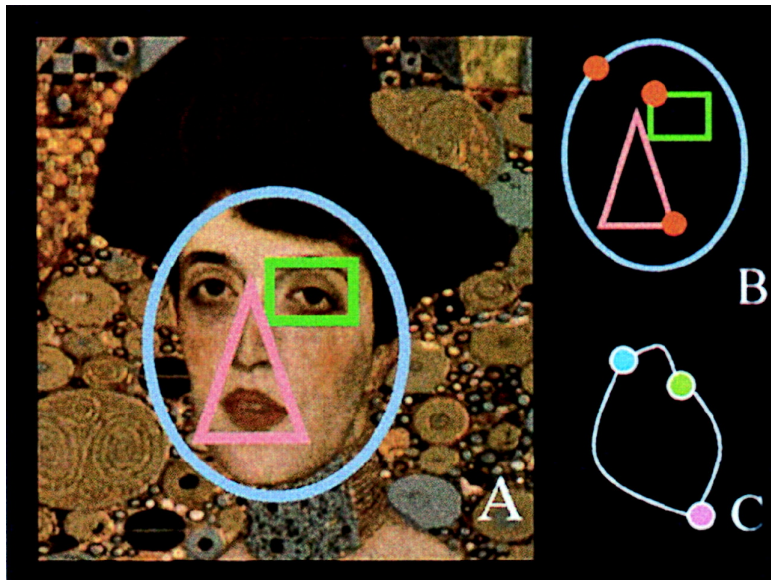
Zhang et al., Delving Deep into the Generalization of Vision Transformers under Distribution Shifts, CVPR22

# **Delving Deeper into ViT's Robustness**



# Visual Grouping and Information Bottleneck

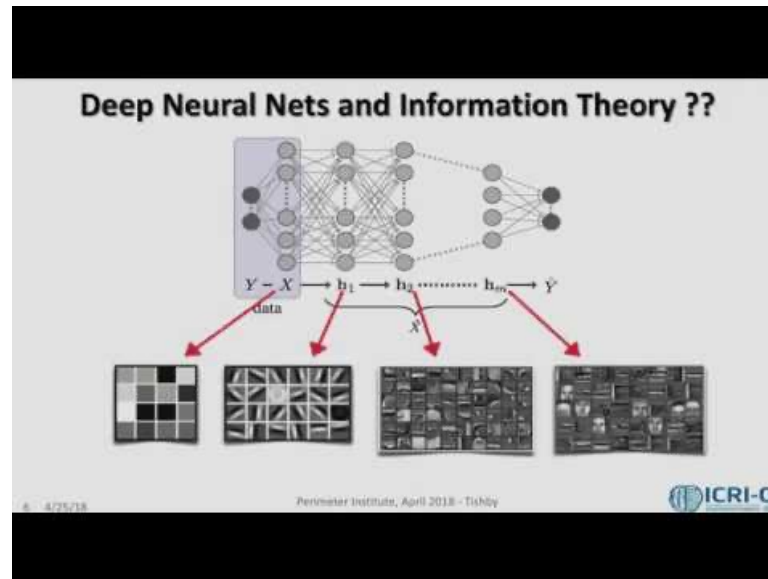
Visual Grouping



*"I stand at the window and see a house, trees, sky. Theoretically I might say there were 327 brightnesses and nuances of colour. Do I have '327'? No. I have sky, house, and trees."*

—Max Wertheimer

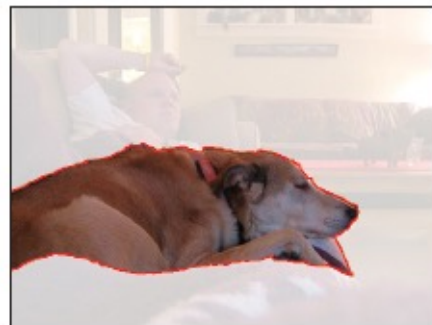
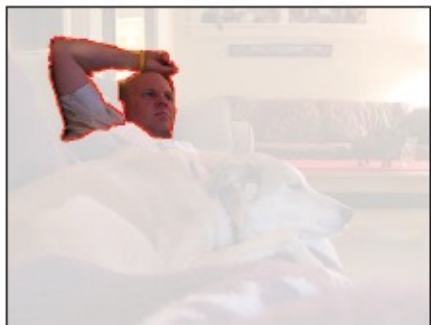
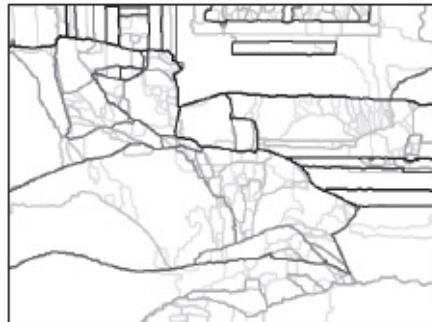
Information Bottleneck (IB)



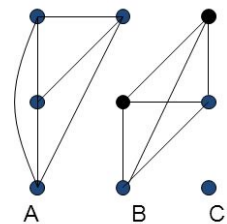
*"Information bottlenecks are extremely interesting. I have to listen to it ten thousand times to really understand it. It's hard to hear such original ideas today. Maybe it's the key to the puzzle."*

—Geoffrey Hinton

# Visual Grouping



## Segmentation by Graph Cuts

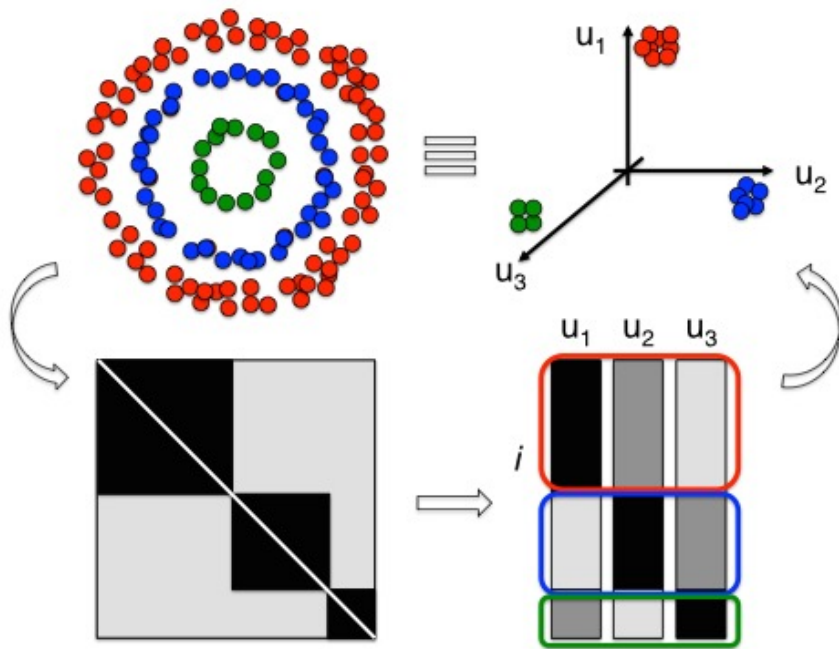


- Break Graph into Segments
  - Delete links that cross between segments
  - Easiest to break links that have low cost (low similarity)
    - similar pixels should be in the same segments
    - dissimilar pixels should be in different segments

Source: Seitz

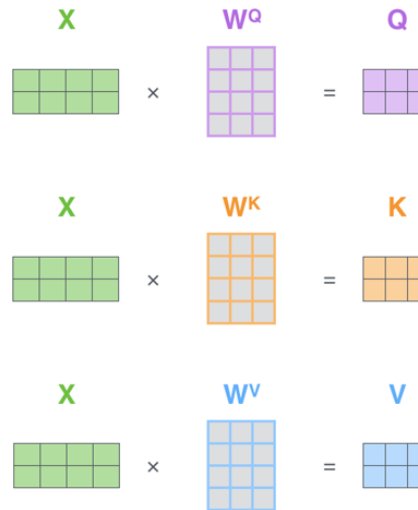


# Spectral Clustering vs. Self-Attention

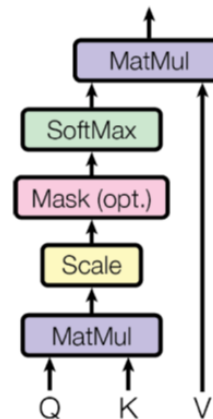


$$D^{-1/2} A D^{-1/2} \mathbf{v} = \lambda \mathbf{v}$$

**Image Credit:** Spectral Clustering for Molecular Emission Segmentation.



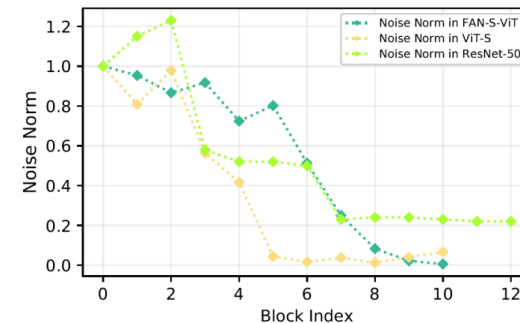
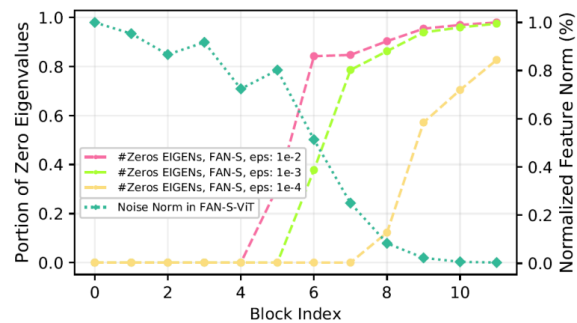
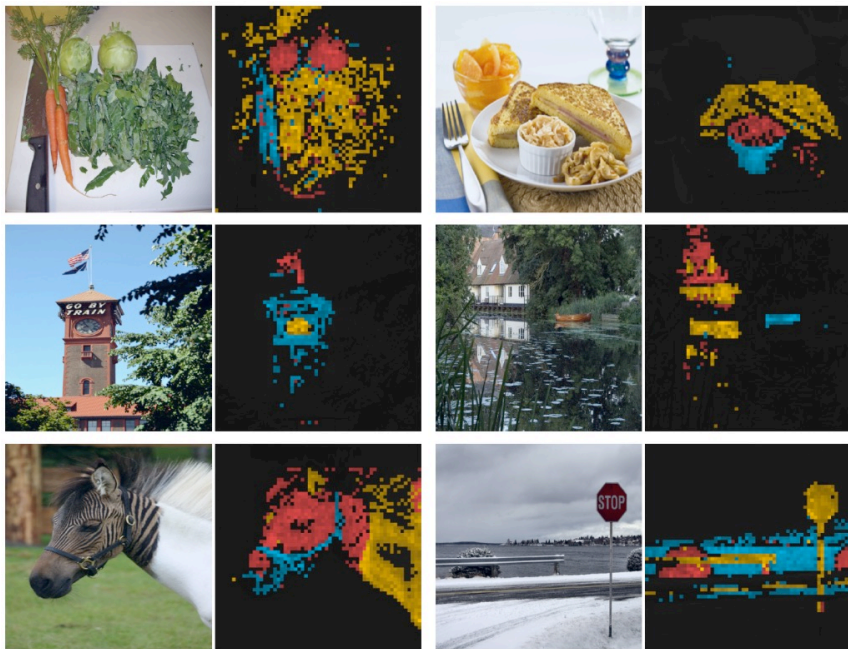
Scaled Dot-Product Attention



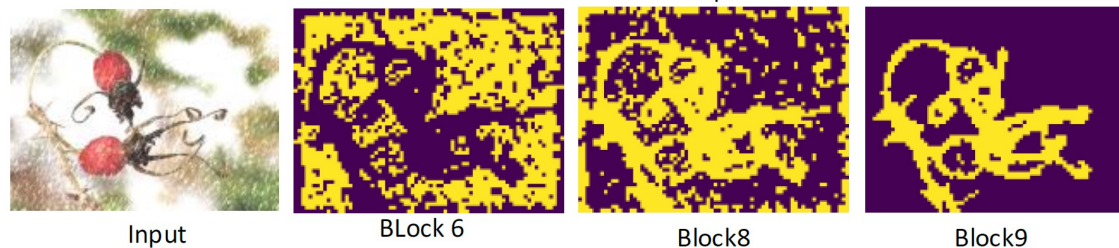
$$\text{softmax} \left( \frac{\mathbf{Q} \times \mathbf{K}^T}{\sqrt{d_k}} \right) \mathbf{V} = \mathbf{Z}$$

**Image Credit:** Jay Alammar, The Illustrated Transformer.

# Emerging Properties in ViTs



Correlation between grouping and robustness over network blocks





# The Trinity among Visual Grouping, IB and Robust Generalization

Given a distribution  $X \sim \mathcal{N}(X', \epsilon)$  with  $X$  being the observed noisy input and  $X'$  the target clean code, IB seeks a mapping  $f(Z|X)$  such that  $Z$  contains the relevant information in  $X$  for predicting  $X'$ . This goal is formulated as the following information-theoretic optimization problem:

$$f_{\text{IB}}^*(Z|X) = \arg \min_{f(Z|X)} I(X, Z) - I(Z, X'), \quad (3)$$

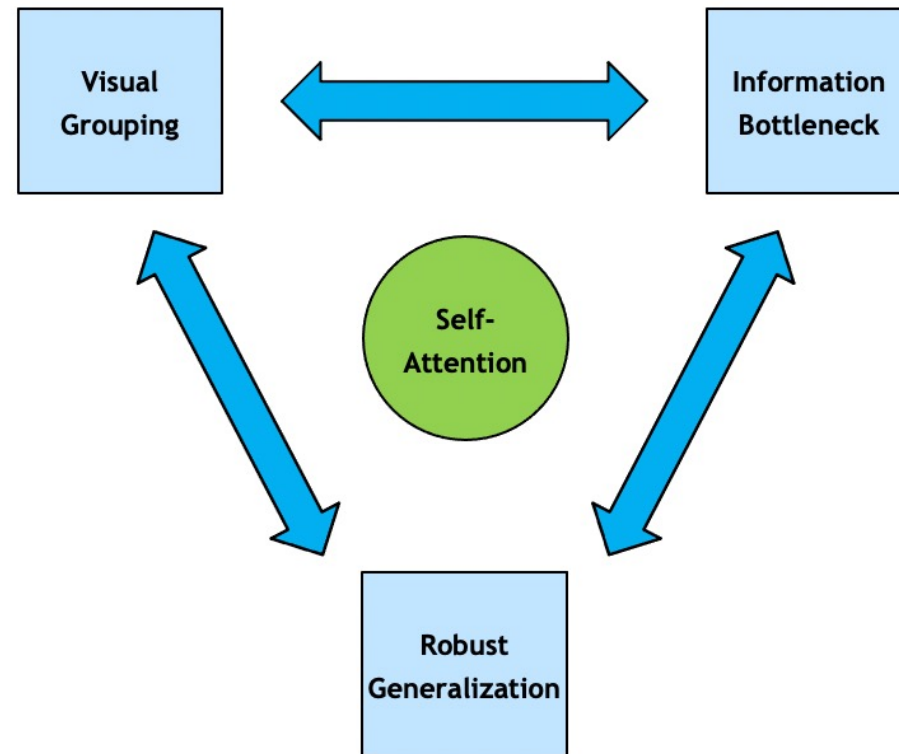
**Proposition 2.1.** *Under mild assumptions, the iterative step to optimize the objective in Eqn. (3) can be written as:*

$$\mathbf{z}_c = \sum_{i=1}^n \frac{\log[n_c/n]}{n \det \Sigma} \frac{\exp \left[ \frac{\mu_c^\top \Sigma^{-1} \mathbf{x}_i}{1/2} \right]}{\sum_{c=1}^n \exp \left[ \frac{\mu_c^\top \Sigma^{-1} \mathbf{x}_i}{1/2} \right]} \mathbf{x}_i, \quad (4)$$

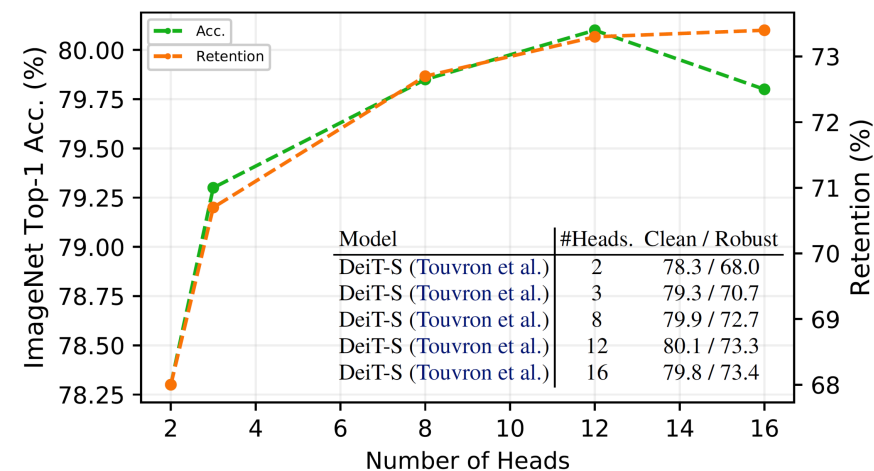
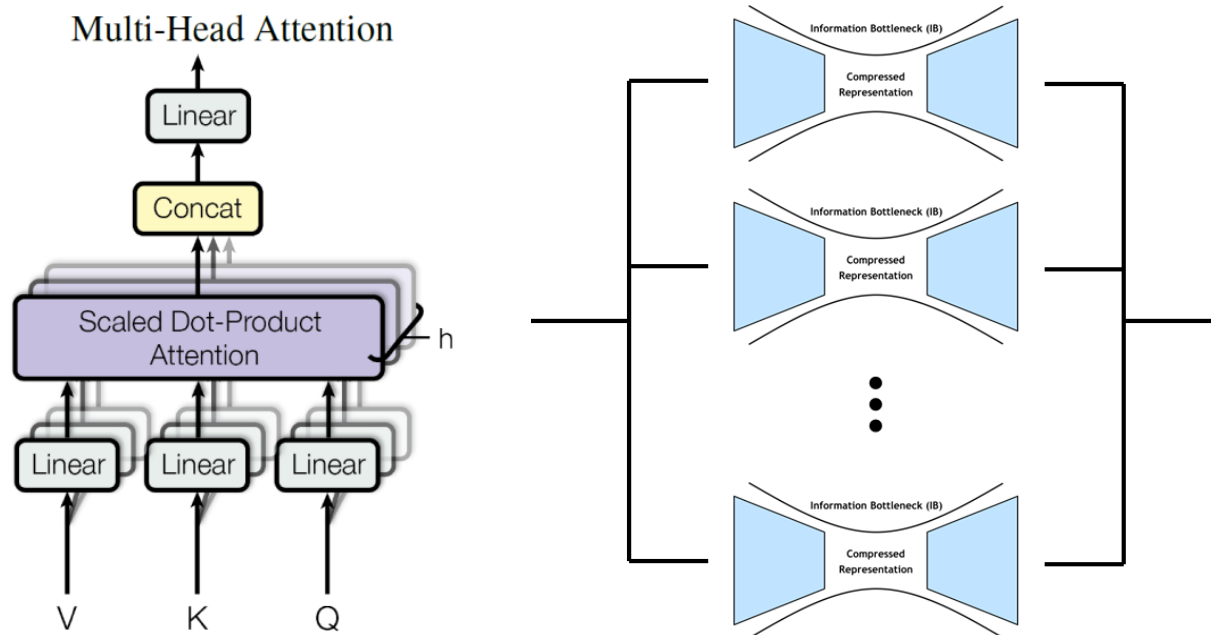
or in matrix form:

$$Z = \text{Softmax}(Q^\top K/d)V^\top, \quad (5)$$

with  $V = [\mathbf{x}_1, \dots, \mathbf{x}_N] \frac{\log[n_c/n]}{n \det \Sigma}$ ,  $K = [\mu_1, \dots, \mu_N] = W_K X$ ,  $Q = \Sigma^{-1}[\mathbf{x}_1, \dots, \mathbf{x}_N]$  and  $d = 1/2$ . Here  $n_c$ ,  $\Sigma$  and  $W_K$  are learnable variables.

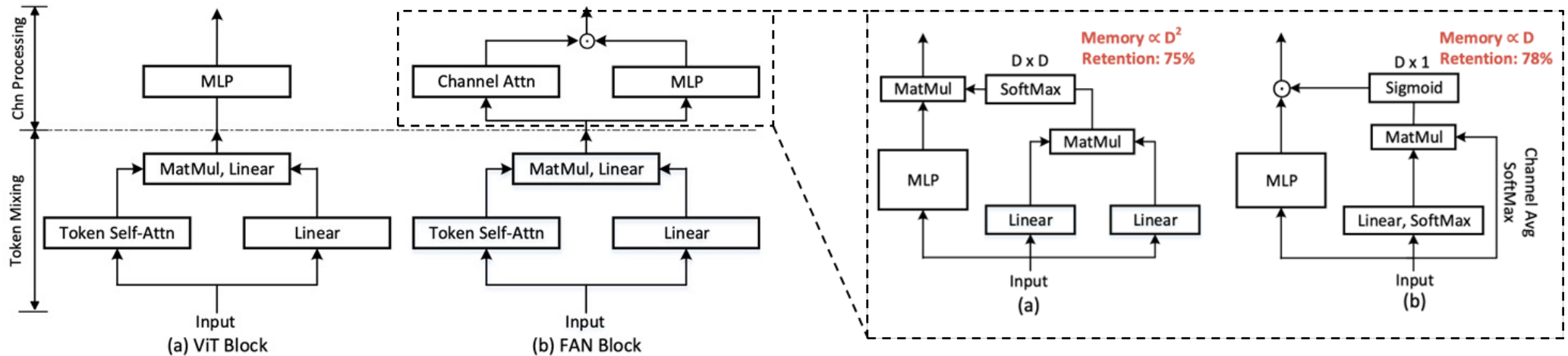


# MSHA as Mixture of IBs



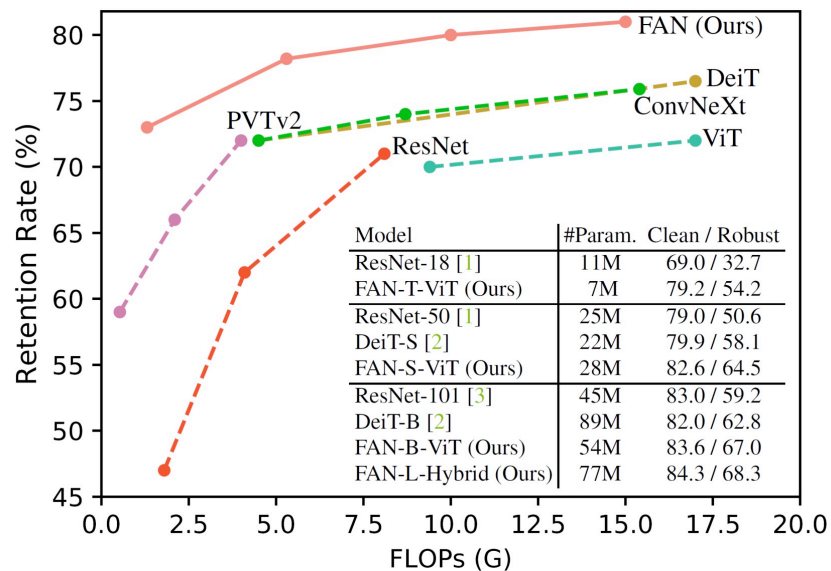
# Fully Attentional Network

- Further deploy the attention mechanism reinforce the clustering phenomenon
- Fore-ground objects are better captured
- Directly apply SA along the channel dimension has two drawbacks
  - 1) Large computational overhead
  - 2) Low parameter efficiency





# Main Results - Image Classification



Corrupted input

ResNet-50

FAN-S (ours)

Model	Params (M)	Clean	IN-A	IN-R	IN-C
ImageNet-1K Pre-trained					
XCiT-S24 (El-Nouby et al.)	47.7	82.6	27.8	45.5	49.4
RVT-B* (Mao et al.)	91.8	82.6	28.5	48.7	46.8
Swin-B (Liu et al.)	87.8	83.4	35.8	64.2	54.4
ConvNeXt-B (Liu et al.)	88.6	83.8	36.7	51.3	46.8
FAN	76.8	84.3	41.8	53.2	43.0
ImageNet-22K Pre-trained					
ConvNeXt-B <sup>‡</sup> (Liu et al.)	88.6	86.8	62.3	64.9	43.1
FAN	76.8	86.5	60.7	64.3	<b>35.8</b>
FAN <sup>‡</sup>	76.8	<b>87.1</b>	<b>74.5</b>	<b>71.1</b>	36.0

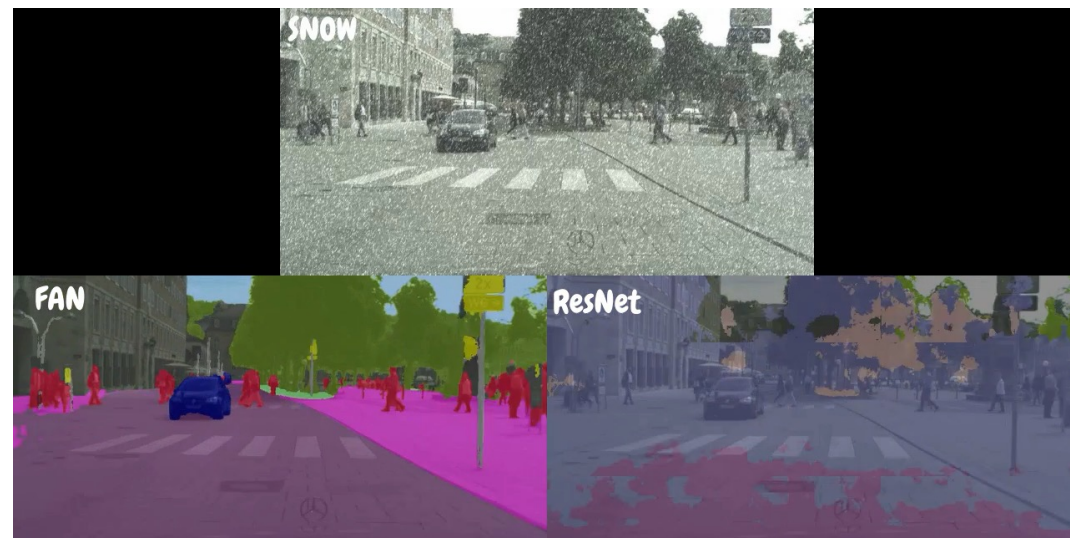
# Main Results - Downstream Tasks

(a) **Main results on semantic segmentation.** 'R-' and 'X-' refer to DeepLabv3+, ResNet and Xception. The mIoUs of DeepLabv3+ framework are reported from [31]. FAN shows significantly stronger clean accuracy and robustness than other models.

Model	Encoder Size	City	City-C	Retention
DeepLabv3+ (R50)	25.4M	76.6	36.8	48.0%
DeepLabv3+ (R101)	47.9M	77.1	39.4	51.1%
ICNet [32]	-	65.9	28.0	42.5%
FCN-8s [33]	50.1M	66.7	27.4	41.1%
ResNet-38 [34]	-	77.5	32.6	42.1%
ConvNeXt-T [14]	29.0M	79.0	54.4	68.9%
SETR [35]	22.1M	76.0	55.3	72.8%
Swin-T [24]	28.4M	78.1	47.3	60.6%
SegFormer-B0 [10]	3.4M	76.2	48.8	64.0%
SegFormer-B1 [10]	13.1M	78.4	52.7	67.2%
SegFormer-B2 [10]	24.2M	81.0	59.6	73.6%
SegFormer-B5 [10]	81.4M	82.4	65.8	79.9%
FAN-T-Hybrid (Ours)	7.4M	81.2	57.1	70.3%
FAN-S-Hybrid (Ours)	26.3M	81.5	66.4	81.5%
FAN-B-Hybrid (Ours)	50.4M	82.2	66.9	81.5%
FAN-L-Hybrid (Ours)	76.8M	82.3	68.7	<b>83.5%</b>

(b) **Main results on object detection.** FAN shows stronger clean accuracy and robustness than other models. '†' denotes the accuracy pre-trained on ImageNet-22K.

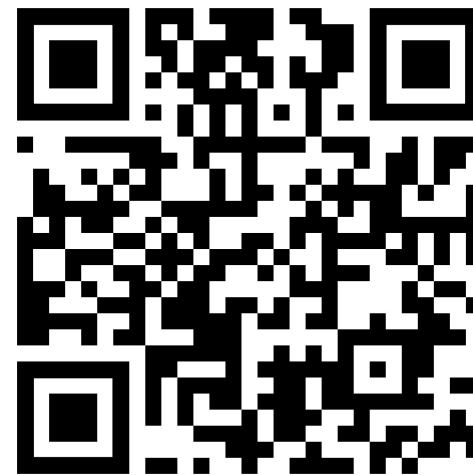
Model	Encoder Size	COCO	COCO-C	Retention
Mask R-CNN				
ResNet-50 [1]	25.4M	39.9	21.3	53.3%
DeiT-S [2]	22.1M	40.0	26.9	67.3%
Swin-T [24]	28.0M	46.0	29.3	63.7%
ConvNeXt-T [24]		46.2		
FAN-T-Hybrid	7.0M	45.8	29.7	64.8%
FAN-S-Hybrid	26.3M	49.1	35.5	72.3%
Cascade R-CNN				
Swin-T		50.4		
ConvNeXt-T		50.4		
FAN-S-Hybrid	26.3M	53.3	38.7	72.6%
Swin-B		51.9		
ConvNeXt-B		52.7		
FAN-L-Hybrid	76.8M	54.1	40.6	75.0%
Swin-B <sup>†</sup>		53.0		
ConvNeXt-B <sup>†</sup>		54.0		
FAN-L-Hybrid <sup>†</sup>	76.8M	55.1	42.0	<b>76.2%</b>





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# Code Available



<https://github.com/NVlabs/FAN>