# Correct-N-Contrast: A Contrastive Approach for Improving Robustness to Spurious Correlations

Michael Zhang, Nimit S. Sohoni, Hongyang R. Zhang, Chelsea Finn, Christopher Ré

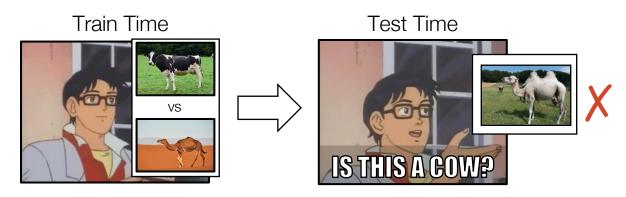
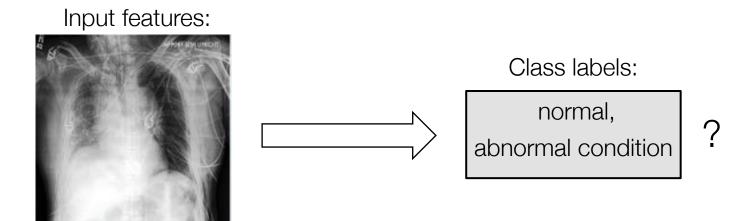


Fig. 1: A deep learning model not robust to spurious correlations





We know deep learning is great for learning correlations in complex data.



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This lets us build automated + effective\* classifiers for many important tasks!

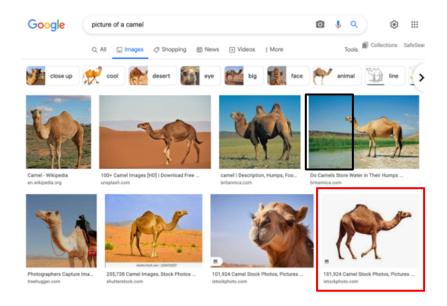
	F1 Score (95% CI)	
Radiologist Avg. $(N = 4)$ CheXNet	0.387 (0.330, 0.442) 0.435 (0.387, 0.481)	

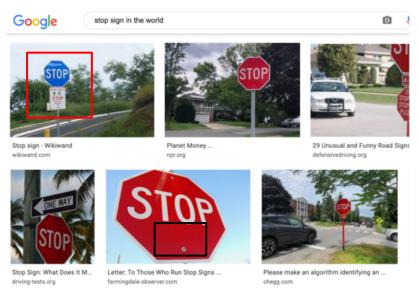




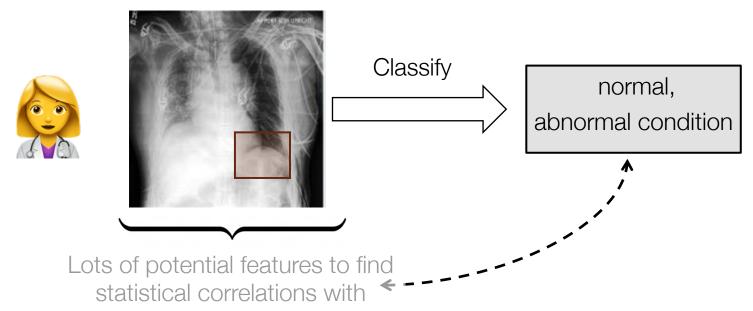
However, aggregate metrics may not tell the whole story.

To get high average performance, neural nets may learn **spurious** correlations that hold for many <u>but not all</u> datapoints

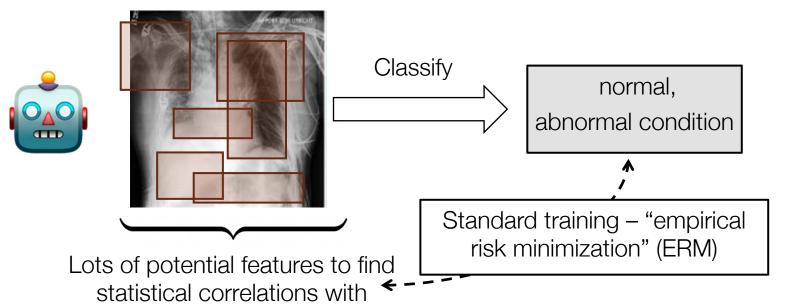




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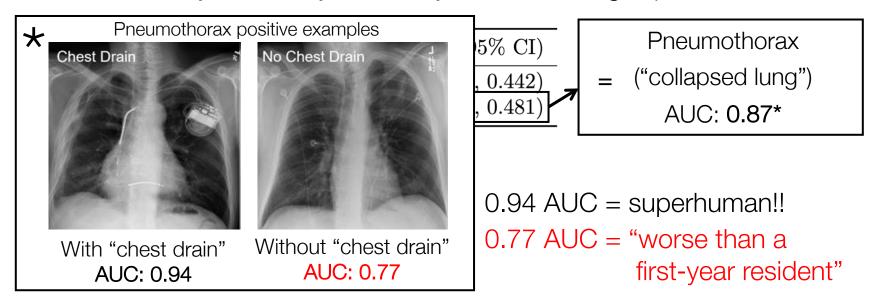


Prior work shows that neural nets learn spurious correlations, and systematically misclassify individual data groups

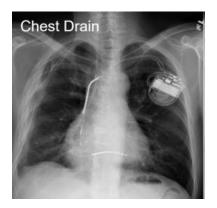
	F1 Score (95% CI)	Pneumothorax
Radiologist Avg. (N = 4)	0.387 (0.330, 0.442)	= ("collapsed lung")
CheXNet	0.435 (0.387, 0.481)	AUC: 0.87*

By relying on "chest drain"

Prior work shows that neural nets learn spurious correlations, and systematically misclassify individual data groups



# Spurious correlations pose real-world problems for deep learning



With "chest drain" AUC: 0.94



Without "chest drain" AUC: 0.77

20% of **patients** in test set did not have chest drain

With chest drain = *already treated*Won't see this chest drain correlation in deployment.

"Superhuman" model much worse in practice!

# So how do we get models robust to spurious correlations?

- 1. Give structure to our problem setting
- 2. Discuss prior work:
  - 1. Core ideas
  - 2. Standing challenges
- 3. Introduce our approach:
  - 1. Use contrastive learning to ignore spurious correlations
  - 2. Empirical + theoretical justification
- 4. Results
  - 1. State-of-the-art

- 1. Give structure to our problem setting key terms, assumptions, objectives
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- 1. Give structure to our problem setting
- 2. Discuss prior work:
  - 1. Core ideas remove spurious correlations from training data
  - 2. Standing challenges trade-off between label assumptions + robustness
- 3. Introduce our approach:
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  - 1. State-of-the-art improve trade-off significantly

# Problem Setting

Goal: obtain accurate classifiers that are robust to spurious correlations.

#### Default data setup

- Sample input features:  ${\mathcal X}$ 

- Ground-truth class labels: y

- Task: classify y given x



Waterbird

VS.



Landbird

Goal: obtain accurate classifiers that are robust to spurious correlations.

#### Data setup with spurious correlations

- Sample input features:  ${\mathcal X}$ 

- Ground-truth class labels: y

- Spurious attributes: lpha



VS.



y = Waterbird

Landbird

95% of all training samples in the same class share the same background type

Goal: obtain accurate classifiers that are robust to spurious correlations.

Data setup with spurious correlations

$$x = [x_y, x_a, x_{\epsilon}]$$



Goal: obtain accurate classifiers that are robust to spurious correlations.

Data setup with spurious correlations

$$x = \begin{bmatrix} x \\ x_y, x_a, x_\epsilon \end{bmatrix}$$

Ground-truth features (bird pixels)



Goal: obtain accurate classifiers that are robust to spurious correlations.

Data setup with spurious correlations

$$x = [\overset{y}{\overset{x}{x}}, \overset{a}{\overset{x}{x}}, x_{a}, x_{\epsilon}]$$

Spurious features (background pixels)



Goal: obtain accurate classifiers that are robust to spurious correlations.

Data setup with spurious correlations

$$x = [\overset{y}{\overset{x}{x}}, \overset{a}{\overset{x}{x}}_{a}, x_{\epsilon}]$$

Noise features (other pixels)



Goal: obtain accurate classifiers that are robust to spurious correlations.

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Goal: obtain accurate classifiers that are robust to spurious correlations.

$$x = [\overset{y}{\overset{x}{x}}, \overset{a}{\overset{x}{x}}_{a}, x_{\epsilon}]$$



Don't classify by changes here

Goal: obtain accurate classifiers that are robust to spurious correlations.

Unfortunately, standard training, towards empirical risk minimization (ERM), can lead to **relying on spurious features**!

On Waterbirds, ERM gets 97.3% average test accuracy...

... by "attending" to background ⊗





Goal: obtain accurate classifiers that are robust to spurious correlations.

To evaluate:

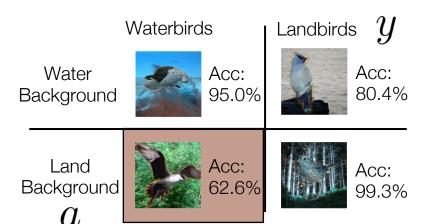
Goal: obtain accurate classifiers that are robust to spurious correlations.

To evaluate: first define "groups" as data subsets that share unique combos of ground-truth class label + spurious attribute



Goal: obtain accurate classifiers that are robust to spurious correlations.

To evaluate: then measure average and group-wise performance



Large gap in average vs.

worst-group performance →

poor robustness to spurious

correlations

Goal: obtain accurate classifiers that are robust to spurious correlations.

To evaluate: then measure average and group-wise performance

Key challenge 1: how can we train models that obtain high average and worst-group performance?

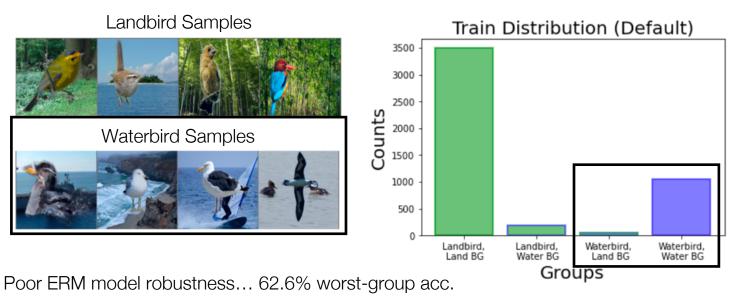
Key challenge 2: how can we do so without knowing training data spurious attributes or group labels?

# Prior Work

Key similarity for prior state-of-the-art approaches: **reweight or resample** data groups during training

Reweight to "remove" spurious correlations, so models don't learn them

Spuriously correlated datasets have group imbalance Waterbirds example: many samples in one group, few in another



Strong spurious correlation between class label and spurious features

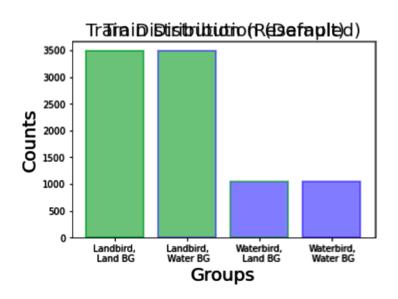
Intuitively, when we reweight / resample...





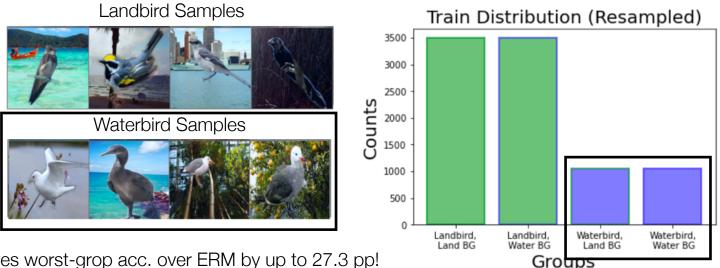
Waterbird Samples





Intuitively, when we reweight / resample...

We can remove correlation between ground-truth class labels and spurious features



Improves worst-grop acc. over ERM by up to 27.3 pp!

Now can train with ground-truth labels. No reason to focus on spurious features!

#### However, reweighting introduces a trade-off

Annotation cost vs. robustness to spurious correlations

#### If group info available

- Can reweight to minimize training worst-group accuracy
- Group Distributionally Robust Optimization (GDRO)



Effectively improves robustness



Requires (costly) training group info

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#### If group info *not* available

- First infer groups / spurious attributes
- Then train robust model
- Just Train Twice (JTT)
- Environment Inference for Invariant Learning (EIIL)
- Learning from Failure (LfF)
- GEORGE

Liu et al. Just Train Twice: Improving Group Robustness without Training Group Information. 2021 Creager et al. Environment Inference for Invariant Learning. 2021 Nam et al. Learning from Failure: Training Debiased Classifier from Biased Classifier. 2020; Sohoni et al. No Subclass Left Behind: Fine-Grained Robustness in Coarse-Grained Classification Problems. 2020

Annotation cost vs. robustness to spurious correlations

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Annotation cost vs. robustness to spurious correlations

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1. Train a model w/ few epochs via ERM (learn spurious correlations)



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Annotation cost vs. robustness to spurious correlations

#### Just Train Twice (JTT)

- 1. Train a model w/ few epochs via ERM (learn spurious correlations)
- 2. Upsample incorrect samples
- Train robust model on resampled dataset towards ERM



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Annotation cost vs. robustness to spurious correlations

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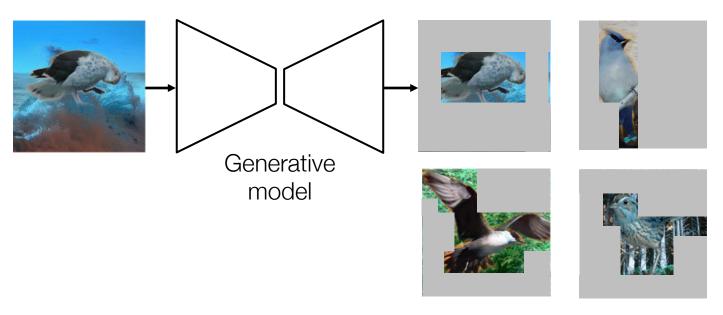
No training group info required



-4.5 pp worst-group acc. vs GDRO

#### Other approaches to improve robustness

Generate samples that remove spurious features

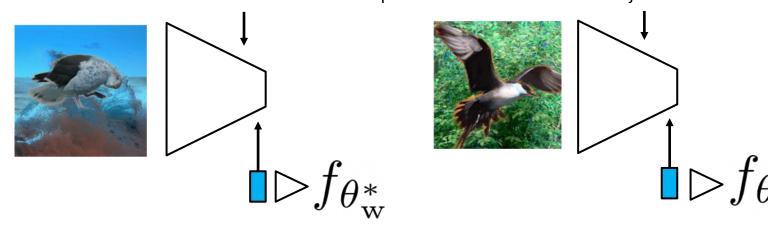


Outputs for other samples

#### Other approaches to improve robustness

Invariant risk minimization (and friends)

Trained model updated with invariant objective



Same optimal linear classifier across different groups

$$f_{\theta_{\mathbf{W}}^*} = f_{\theta_{\mathbf{L}}^*}$$

#### Trade-off still occurs

Annotation cost vs. robustness to spurious correlations

If group info available

If group info not available



Effectively improves robustness



Requires (costly) training group info



No training group info required



-4.5 pp worst-group acc. vs GDRO

#### Can we improve this tradeoff?

Reduce the robustness gap without requiring training group information?

If group info available





If group info not available

Yes! Decrease gap by 80% with our work (from -4.5 pp to -0.9 pp)



Effectively improves robustness



Requires (costly) training group info



No training group info required

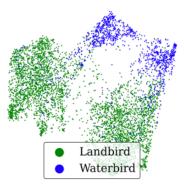


-4.5 pp worst-group acc. vs GDRO

# Our Approach

# Key idea: use contrastive learning to ignore spurious features

- + Change the way we present our training data
- + Use class labels and hidden-layer representations to guide training



UMAP visualization of hidden-layer representations

# For robustness, how can we directly train to ignore differences in spurious features?



y = Waterbird

 $n = \frac{\text{Water}}{\text{background}}$ 



Waterbird

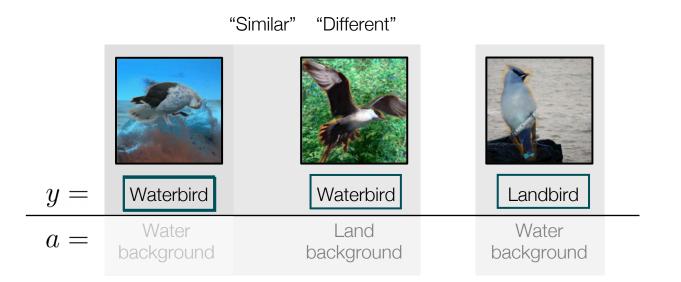
Land background



Landbird

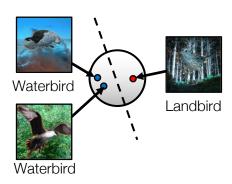
Water background

# For robustness, how can we directly train to ignore differences in spurious features?

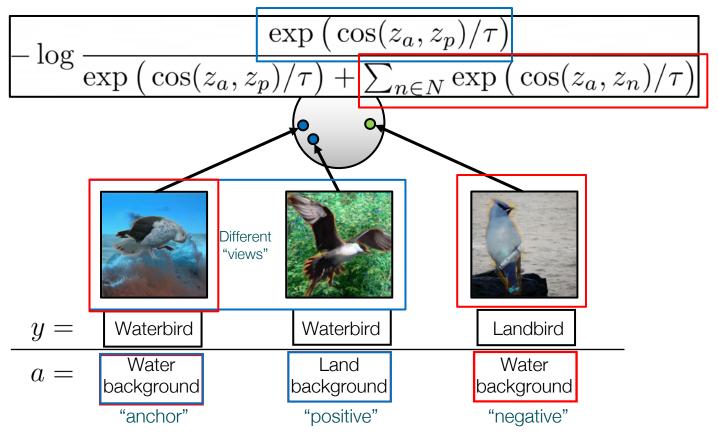


# Can also use neural network hidden-layer representations to guide this!

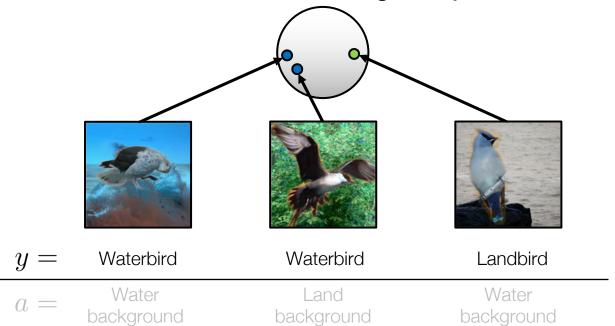
Objective: learn hidden-layer representations that encode class information, but are robust to changes in spurious attributes



Samples in same class should embed closer to each other than samples in different classes, regardless of their spurious features.

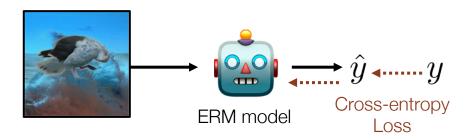


In practice: we don't want to assume spurious attribute information for training data points



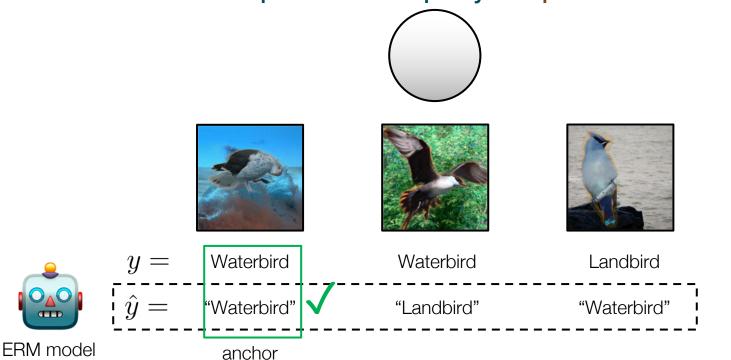
Like prior work, adopt two-stage procedure. First infer spurious attributes.

# Stage 1: Aim to infer spurious attributes by training an initial model with ERM

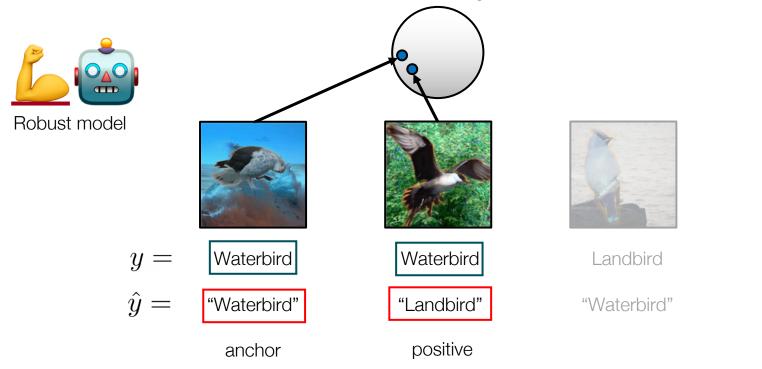


Use the result that ERM training encourages predicting based on spurious features

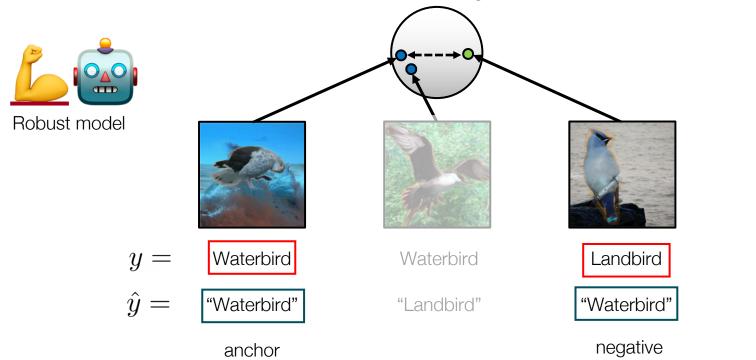
Stage 2: Train robust model with contrastive learning using ERM model's predictions as proxy for spurious attributes



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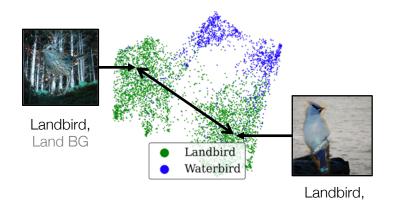
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#### Understanding why CNC can improve robustness

To support CNC's approach, we make additional empirical and theoretical connections between robustness and representation learning

 Quantify representation "quality", and show this tracks worst-group performance across various spuriously correlated datasets



Use an "alignment loss" inspired by prior contrastive learning theory\*

Measures representation distance between samples in the same class but different groups

#### Understanding why CNC can improve robustness

To support CNC's approach, we make additional empirical and theoretical connections between robustness and representation learning

1. Quantify representation "quality", and show this tracks worstgroup performance across various spuriously correlated datasets

(metric for representation quality)

2. Theoretically prove alignment loss helps bound important robustness metrics (worst-group vs. average performance gap)

Checkout paper and poster for more details!

# Results

#### Results

#### Two questions for evaluation:

- 1. Does CNC actually improve robustness to spurious correlations and raise worst-group performance?
  - 2. Can our connections between representation learning and robustness help explain CNC's performance?

Do not assume training set group or spurious attribute labels

#### Benchmarks

Evaluate on four popular spurious correlation benchmarks (image + text), three different neural network architectures (LeNet, ResNet, Transformer)

**Colored MNIST** 



Waterbirds



Class: bird type

CelebA





Class: blond(e) Spurious: gender Civilcomments-WILDS

"She hates men because that's what her mother taught her."

> Y = toxicA = [male, female]

"I doubt that anyone cares whether you believe it or not."

> Y = not toxicA = [none]

Class: toxicity Spurious: demographic

Class: digit Spurious: color

Spurious: background

A1.1: CNC improves worst-group accuracy over prior state-of-the-art methods that don't require training group information

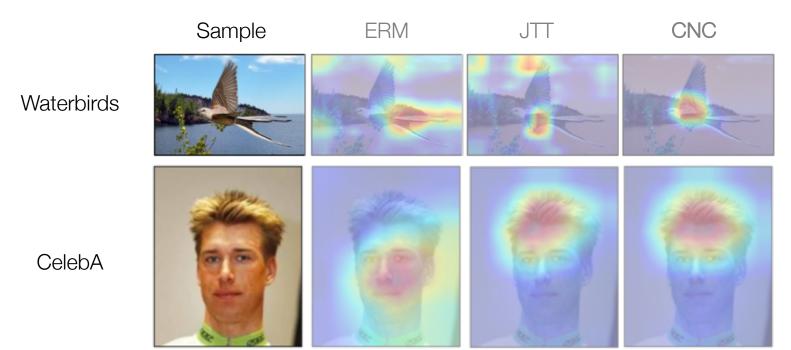
# A1.1: CNC improves worst-group accuracy over prior state-of-the-art methods that don't require training group information

	CMNIST*		Waterbirds		CelebA		CivilComments-WILDS	
Accuracy (%)	Worst-group	Average	Worst-group	Average	Worst-group	Average	Worst-group	Average
ERM	0.0 (0.0)	20.1 (0.2)	62.6 (0.3)	97.3 (1.0)	47.7 (2.1)	94.9 (0.3)	58.6 (1.7)	92.1 (0.4)
•••								
JTT	74.5 (2.4)	90.2 (0.8)	83.8 (1.2)	89.3 (0.7)	81.5 (1.7)	88.1 (0.3)	<b>69.3</b> (-)*	91.1 (-)*
CNC (Ours)	77.4 (3.0)	90.9 (0.6)	88.5 (0.3)	90.9 (0.1)	88.8 (0.9)	89.9 (0.5)	68.9 (2.1)	81.7 (0.5)
Group DRO	78.5 (4.5)	90.6 (0.1)	89.9 (0.6)	92.0 (0.6)	88.9 (1.3)	93.9 (0.1)	69.8 (2.4)	89.0 (0.3)

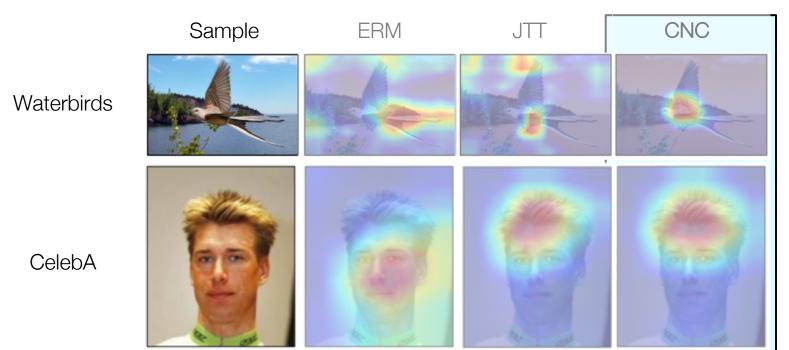
Abridged Table 1. (See paper for more method comparisons!)

On worst-group accuracy, CNC obtains +3.6 pp over prior SoTA and just -0.9 pp under Oracle

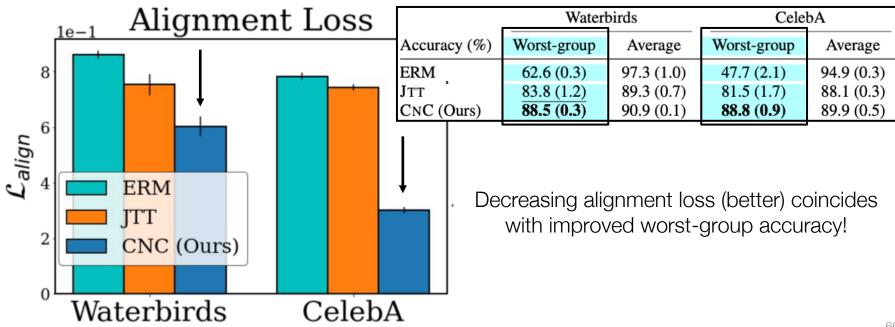
A1.2: Grad-CAM visualizations suggests CNC enables greater reliance on class-aligned features



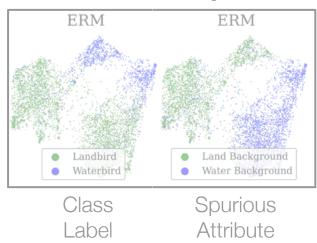
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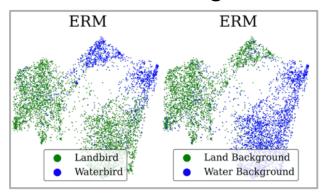
A2.1: CNC's improved worst-group accuracy corresponds to lower alignment loss

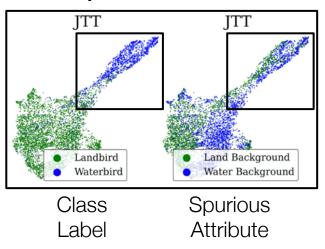


A2.2: UMAP visualizations of trained models suggests CNC leads to greater robustness to spurious features



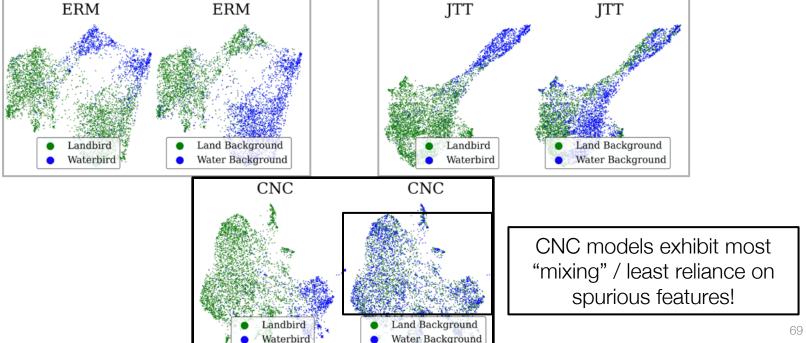
A2.2: UMAP visualizations of trained models suggests CNC leads to greater robustness to spurious features





JTT models exhibit greater "mixing" of samples with same class, different spurious attributes

A2.2: UMAP visualizations of trained models suggests CNC leads to greater robustness to spurious features



- 1. Discussed the spurious correlations problem
  - Important to tackle for deep learning applications!

- 2. Reviewed key directions + limitations of prior work
  - Trade-off between annotation cost & robustness
- 3. Introduced our method, Correct-N-Contrast
  - Connections with contrastive learning to improve robustness
  - State-of-the-art robustness without training group info
  - Substantially closes prior robustness gap

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  - State-of-the-art robustness without training group info
  - Substantially closes prior robustness gap + reduces tradeoff!

# Thanks!

Chat with us at our poster!

Poster Session 2, Hall E #435

Wed, July 20, 2022, 6:30 — 8:30 p.m. EDT











Paper - bit.ly/cnc-icml



Code - github.com/HazyResearch/correct-n-contrast



### Can we improve this tradeoff?

Reduce the robustness gap without requiring training group information?

#### If group info available





If group info *not* available

Can reweigh worst-group

Group Distr

Yes! Decrease gap by 80% with our work

groups / spurious

(from -4.5 pp to -0.9 pp)

robust model

Robust Optimization (GDRO)

Just Train Twice (JTT)



Environment Inference for



No training group info required



-4.5 pp worst-group acc. vs GDRO





Requires (costly) training group info