



# Rethinking Attention-Model Explainability through Faithfulness Violation Test

Yibing Liu Haoliang Li Yangyang Guo  
Chenqi Kong Jing Li Shiqi Wang

The 39th International Conference on Machine Learning (ICML 2022)

# Motivation

Attention weights can be always non-negative.

$$A = \text{softmax}\left(\frac{QK^T}{\sqrt{d_h}}\right) \quad (\text{Vaswani et al., 2017})$$

# Motivation

Attention weights can be always non-negative.

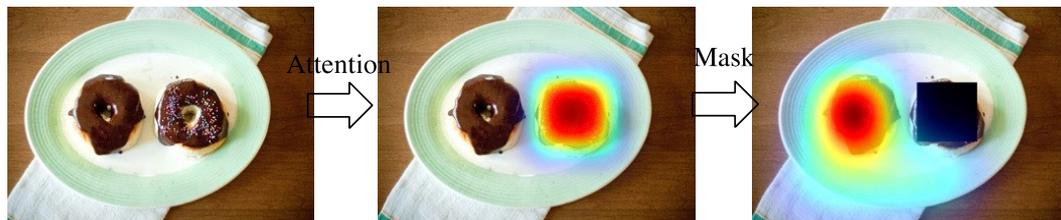
$$A = \text{softmax}\left(\frac{QK^T}{\sqrt{d_h}}\right) \quad (\text{Vaswani et al., 2017})$$

But do **positive** attention weights indicate that features **contribute** to model predictions?

# Motivation

Do positive attention weights indicate contribution effects? **No!**

*Question1: What are colorful pieces on the doughnut?*



*Pred: powder*  
(Confidence 16%↓) ✓

*Question2: What is the girl eating?*



*Pred: donut*  
(Confidence 12%↑) ✗

# How to evaluate the explanation faithfulness?

Evaluating two properties in explanation weights

– Importance Correlation:

**Magnitude**  $\leftrightarrow$  Feature Importance

– Polarity Consistency:

**Sign**  $\leftrightarrow$  Polarity of Feature Impact

# How to evaluate the explanation faithfulness?

Evaluating two properties in explanation weights

– Importance Correlation:

**Magnitude**  $\leftrightarrow$  Feature Importance

– Polarity Consistency:

**Sign**  $\leftrightarrow$  Polarity of Feature Impact



Previous  
Work

# How to evaluate the explanation faithfulness?

Evaluating two properties in explanation weights

– Importance Correlation:

**Magnitude**  $\leftrightarrow$  Feature Importance

– Polarity Consistency:

**Sign**  $\leftrightarrow$  Polarity of Feature Impact



Ours  
Work

# Method: Faithfulness Violation Test

**Idea:** measure the ratio of test samples violating polarity consistency.

# Method: Faithfulness Violation Test

**Idea:** measure the ratio of test samples violating polarity consistency.

**Steps:** given a test sample  $x$  and an explanation method  $w(\cdot)$  :

# Method: Faithfulness Violation Test

**Idea:** measure the ratio of test samples violating polarity consistency.

**Steps:** given a test sample  $x$  and an explanation method  $w(\cdot)$  :

1. Find the most influential feature  $x^* = \operatorname{argmax}_{x_i \in x} \|w(x_i)\|$ .

# Method: Faithfulness Violation Test

**Idea:** measure the ratio of test samples violating polarity consistency.

**Steps:** given a test sample  $x$  and an explanation method  $w(\cdot)$  :

1. Find the most influential feature  $x^* = \operatorname{argmax}_{x_i \in x} \|w(x_i)\|$ .
2. Estimate the feature impact of  $x^*$  based on the perturbation test

$$\Delta C(x, x^*) = f(x)_{\hat{y}} - f(x \setminus x^*)_{\hat{y}}.$$

# Method: Faithfulness Violation Test

**Idea:** measure the ratio of test samples violating polarity consistency.

**Steps:** given a test sample  $x$  and an explanation method  $w(\cdot)$  :

1. Find the most influential feature  $x^* = \operatorname{argmax}_{x_i \in x} \|w(x_i)\|$ .
2. Estimate the feature impact of  $x^*$  based on the perturbation test

$$\Delta C(x, x^*) = f(x)_{\hat{y}} - f(x \setminus x^*)_{\hat{y}}.$$

3. Check if the explanation weight aligns with the feature impact.

$$\text{Violation} = \mathbb{1}_{\operatorname{sign}(w(x^*) \cdot \Delta C(x, x^*)) < 0}$$

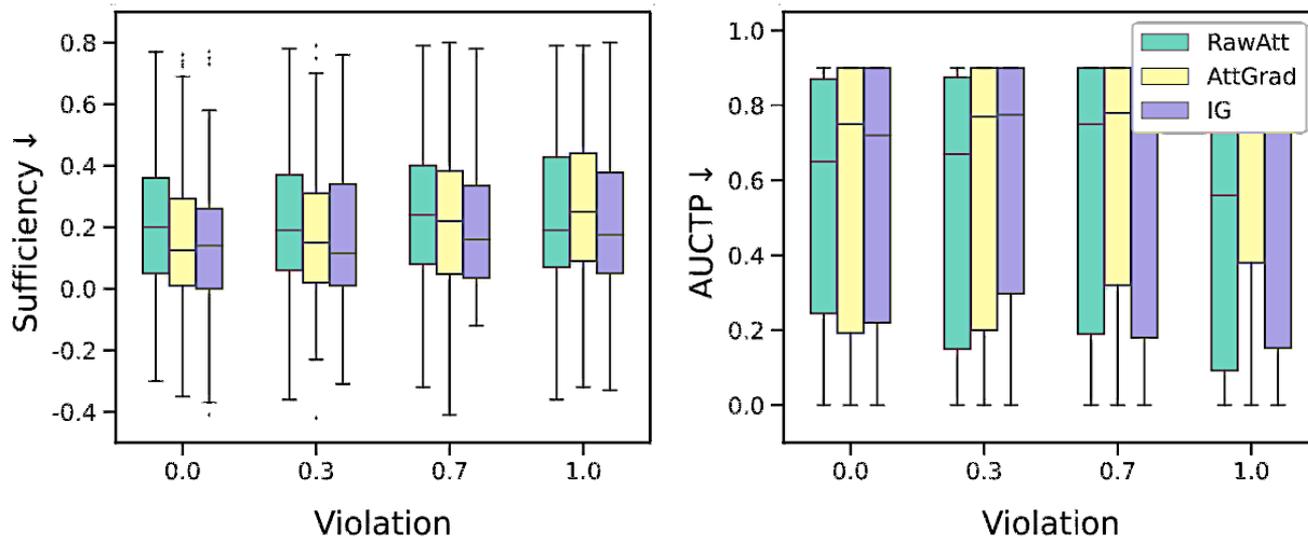
# Experiments

- RQ1: Why we need the faithfulness violation test?
- RQ2: How existing methods perform on faithfulness?
- RQ3: What factors dominate the faithfulness violation issue?

Method	Denoted	Basis
<i>Generic attention-based explanation methods</i>		
Inherent Attention Explanation	RawAtt	$\alpha$
Attention $\odot$ Gradient	AttGrad	$\alpha \odot \nabla \alpha$
Attention $\odot$ InputNorm	AttIN	$\alpha \odot \ v(x)\ $
<i>Transformer-based explanation methods</i>		
Partial LRP	PLRP	$R^\alpha$
Attention Rollout	Rollout	$\alpha$
Transformer Attention Attribution	TransAtt	$\nabla \alpha \odot R^\alpha$
Generic Attention Attribution	GenAtt	$\alpha \odot \nabla \alpha$
<i>Gradient-based attribution methods</i>		
Input $\odot$ Gradient	InputGrad	$x \odot \nabla x$
Integrated Gradients	IG	$x \odot \nabla x$

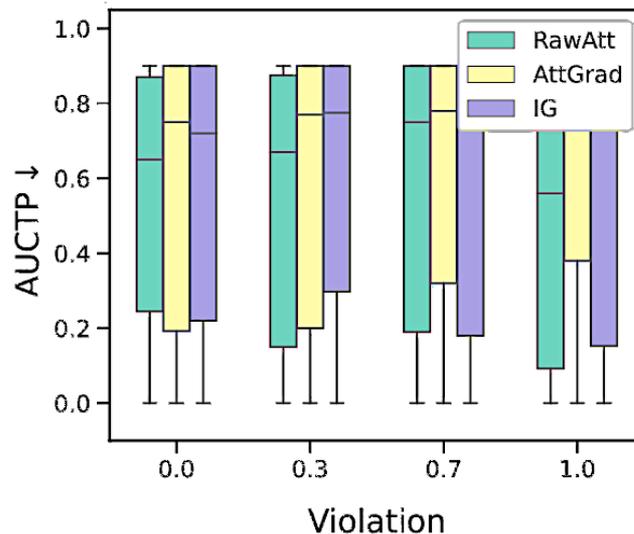
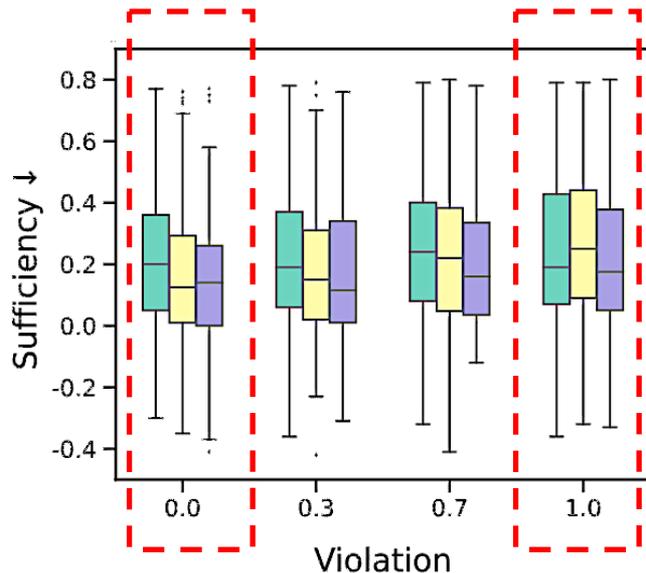
# Comparison with Existing Metrics (RQ1)

Existing metrics are incapable of examining the polarity consistency!



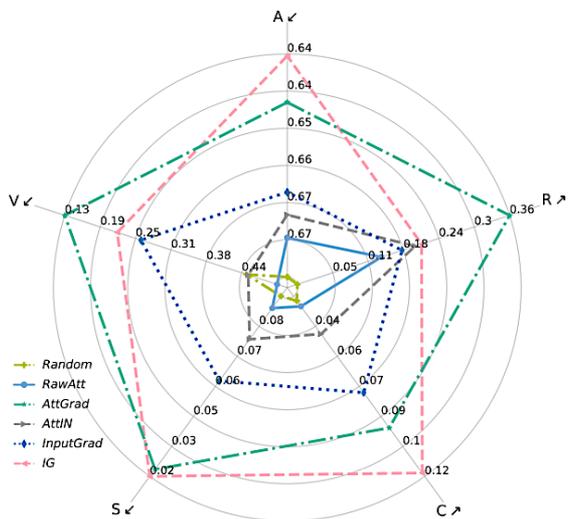
# Comparison with Existing Metrics (RQ1)

Existing metrics are incapable of examining the polarity consistency!

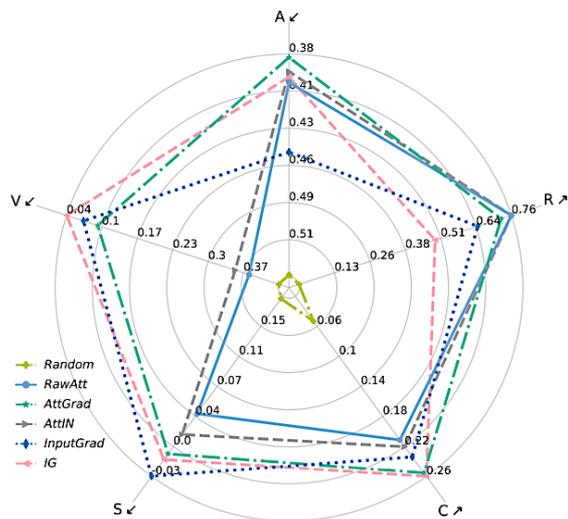


# Sanity Faithfulness Evaluation (RQ2)

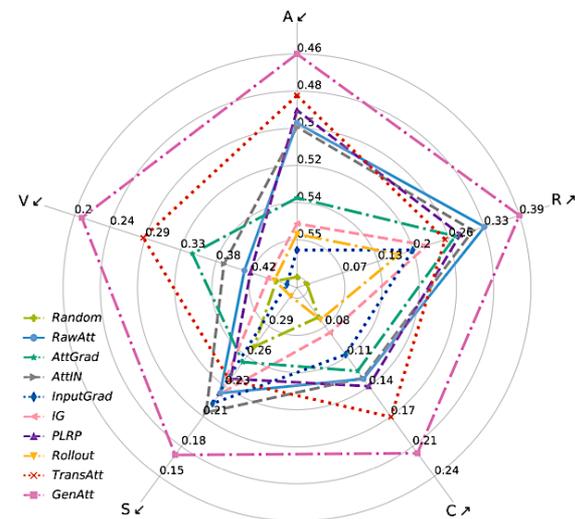
Most tested explanation methods **suffer from the faithfulness violation issue** regarding polarity consistency.



(a) LSTM+DotAtt on QQP dataset



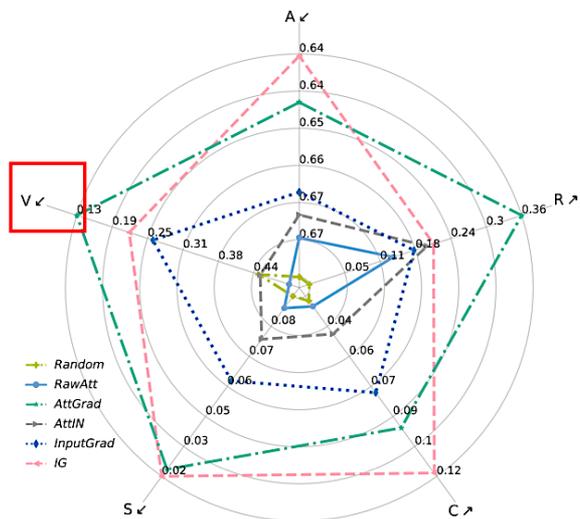
(b) BUTD on VQA 2.0 dataset



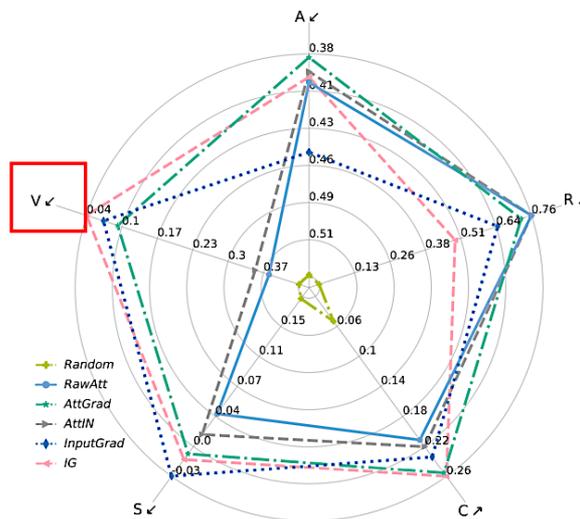
(c) LXMERT on GQA dataset

# Sanity Faithfulness Evaluation (RQ2)

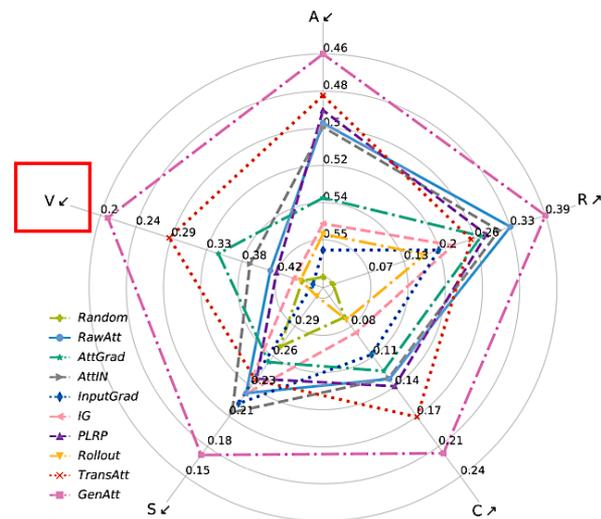
Most tested explanation methods **suffer from the faithfulness violation issue** regarding polarity consistency.



(a) LSTM+DotAtt on QQP dataset



(b) BUTD on VQA 2.0 dataset



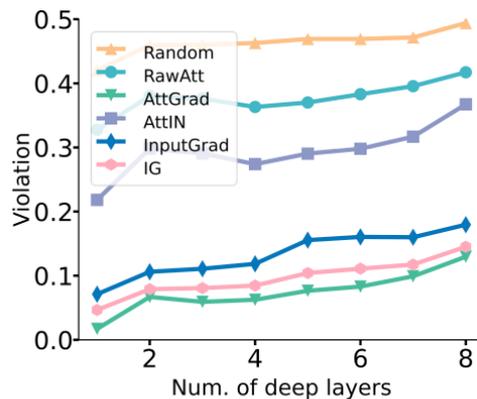
(c) LXMERT on GQA dataset

# Factor Analysis (RQ3)

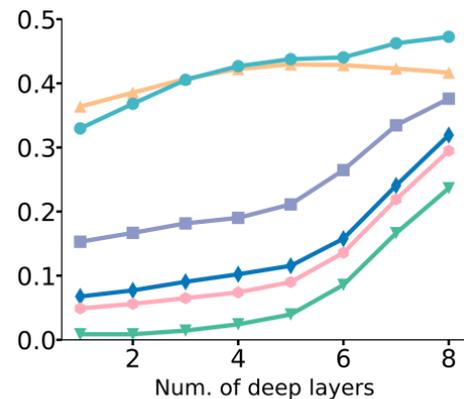
Two dominant factors

- The capability to identify polarity
- The complexity of model architectures

Method	Yelp	AgNews	VQA 2.0
$\alpha$	0.31	0.28	0.40
$\alpha \odot \nabla \alpha$	<b>0.02</b>	<b>0.03</b>	<b>0.06</b>
$\alpha \odot  \nabla \alpha $	0.15	0.07	0.25
$\alpha \odot \text{sign}(\nabla \alpha)$	0.16	0.18	0.27



(a) Yelp dataset



(b) SST dataset

# Thank you!

Paper



Code

