



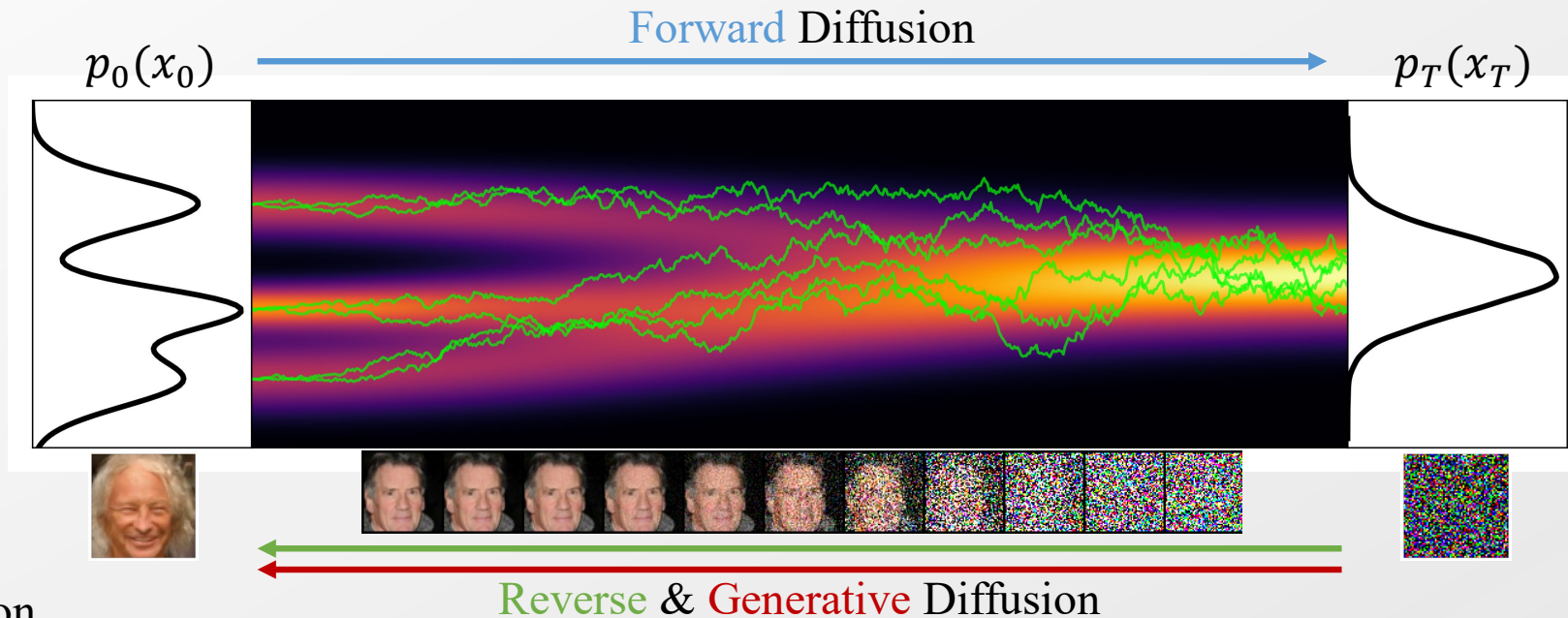
**ICML22 Spotlight Presentation**

# **Soft Truncation: A Universal Training Technique of Score-based Diffusion Model for High Precision Score Estimation**

Dongjun Kim<sup>1</sup>   Seungjae Shin<sup>1</sup>   Kyungwoo Song<sup>2</sup>  
Wanmo Kang<sup>1</sup>   Il-Chul Moon<sup>1 3</sup>



# Introduction to Diffusion Model

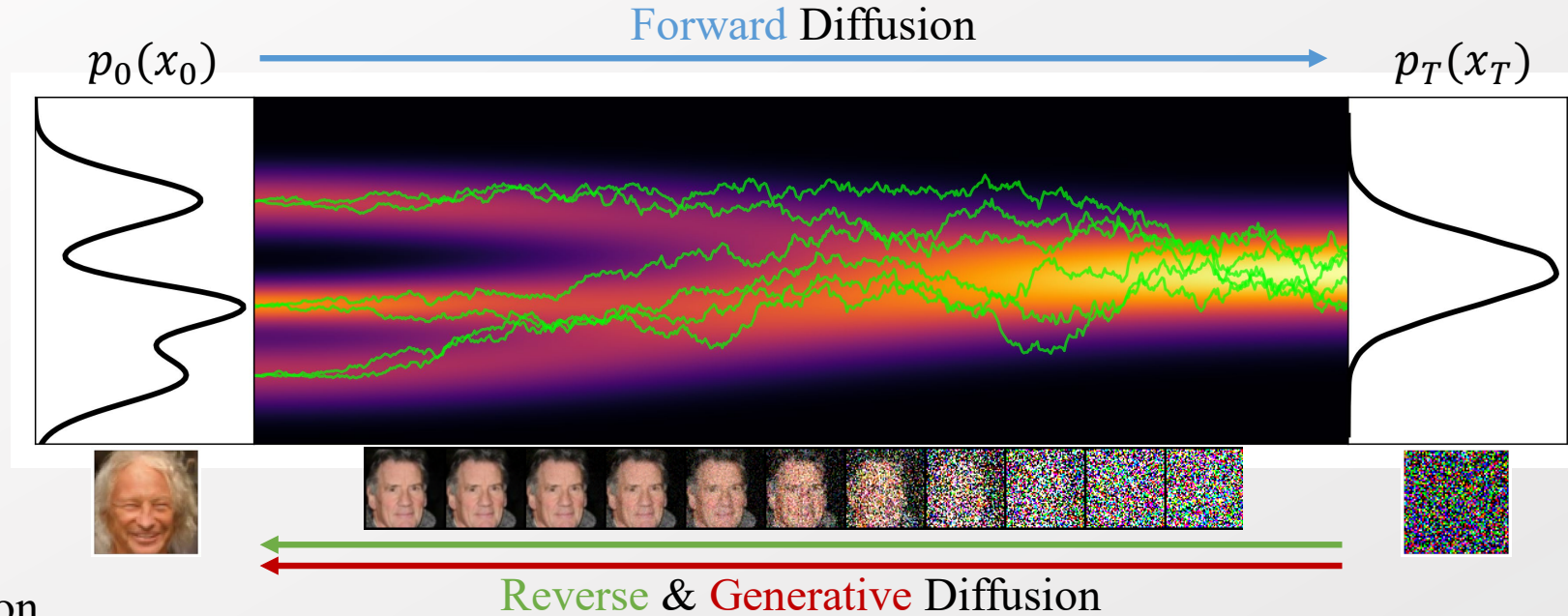


- Forward Diffusion

- $$d\mathbf{x}_t = \mathbf{f}(\mathbf{x}_t, t) dt + g(t) d\mathbf{w}_t$$

	$\mathbf{f}(\mathbf{x}_t, t)$	$g(\mathbf{x}_t, t)$	$p_{0t}(\mathbf{x}_t   \mathbf{x}_0)$
VESDE	0	$\sigma_{\min} \left( \frac{\sigma_{\max}}{\sigma_{\min}} \right)^t \sqrt{\frac{\sigma_{\max}}{\sigma_{\min}}}$	$\mathcal{N}(\mathbf{x}_t; \mathbf{x}_0, \sigma_{VE}^2(t)I)$
VPSDE	$-\frac{1}{2}\beta(t)\mathbf{x}_t$	$\sqrt{\beta(t)}$	$\mathcal{N}(\mathbf{x}_t, \mu_{VP}(t)\mathbf{x}_0, \sigma_{VP}^2(t)I)$

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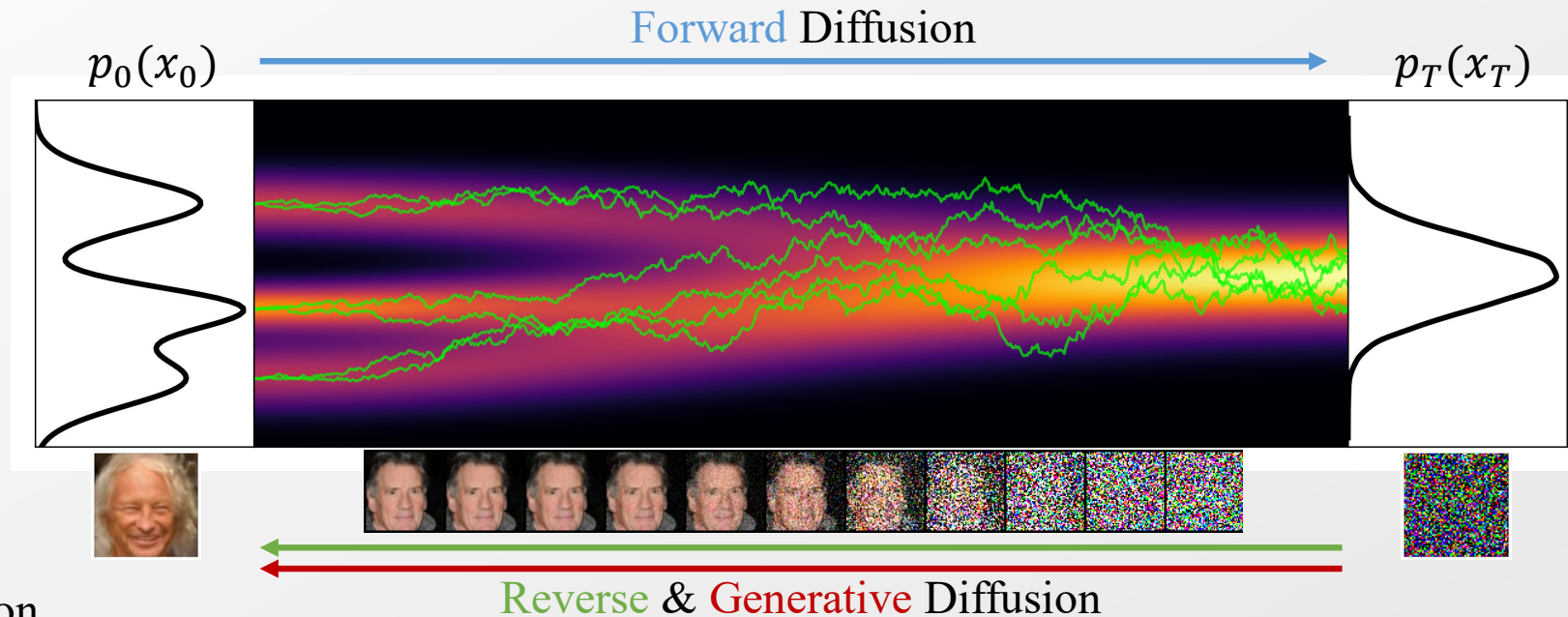
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- $d\mathbf{x}_t = [\mathbf{f}(\mathbf{x}_t, t) - g^2(t) \nabla \log p_t(\mathbf{x})] d\bar{t} + g(t) d\bar{\mathbf{w}}_t$

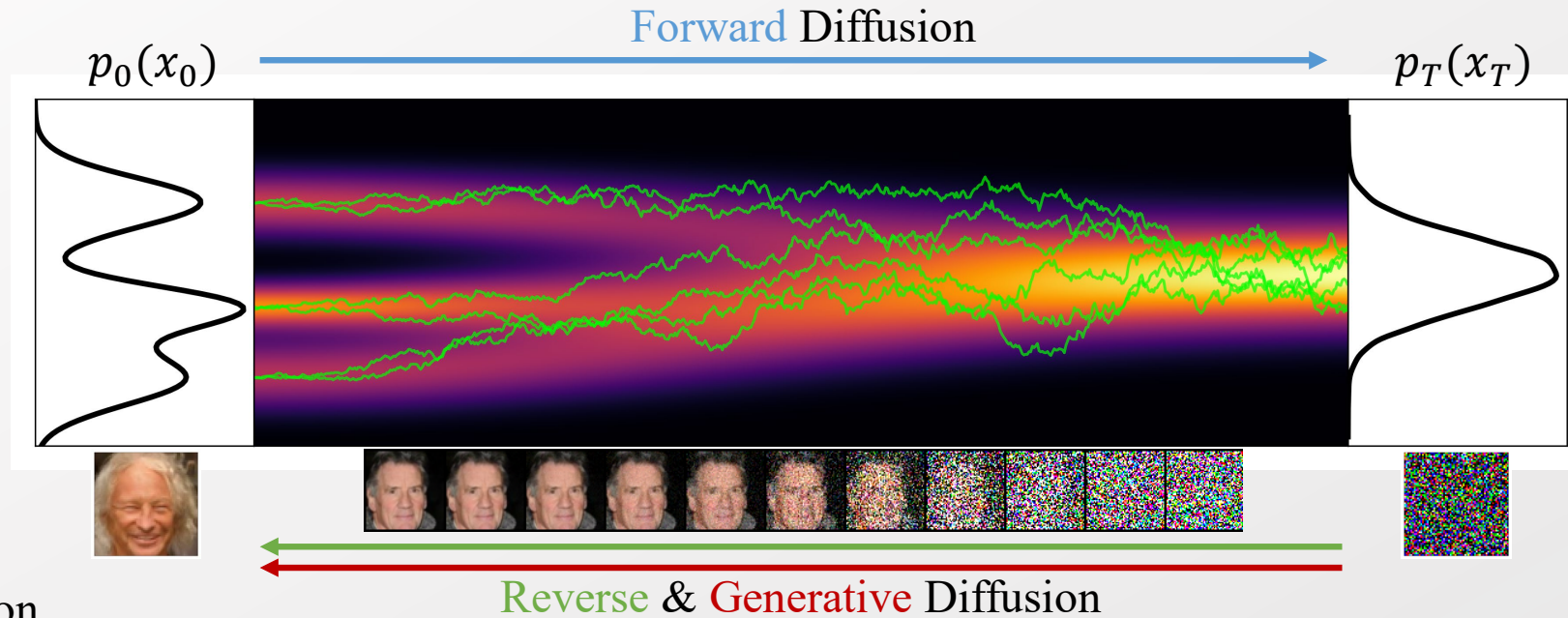
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- **Forward** Diffusion
  - $d\mathbf{x}_t = \mathbf{f}(\mathbf{x}_t, t) dt + g(t) d\mathbf{w}_t$
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- **Generative** Diffusion
  - $d\mathbf{x}_t = [\mathbf{f}(\mathbf{x}_t, t) - g^2(t) \mathbf{s}_\theta(\mathbf{x}_t, t)] d\bar{t} + g(t) d\bar{\mathbf{w}}_t$

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- Score Estimation Loss

- $\mathcal{L}(\theta; \lambda, \epsilon) = \frac{1}{2} \int_{\epsilon}^T \lambda(t) \mathbb{E}_{p_r(\mathbf{x}_0)} \mathbb{E}_{p_{0t}(\mathbf{x}_t|\mathbf{x}_0)} [\|\mathbf{s}_\theta(\mathbf{x}_t, t) - \nabla \log p_{0t}(\mathbf{x}_t|\mathbf{x}_0)\|_2^2] dt$

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[Q1] How is  $\mathcal{L}(\theta; \lambda, \epsilon)$  connected to log-likelihood?

[Q2] How to optimize  $\mathcal{L}(\theta; \lambda, \epsilon)$  well?

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- Question 1

- Partial answer to Q1

- [Corollary 1 (Song21Maximum)]  $\mathbb{E}_{\mathbf{x}_0} [ - \log p_0^\theta(\mathbf{x}_0) ] \leq \mathcal{L}(\theta; \lambda = g^2, \epsilon)$
    - $p_t^\theta$  is the marginal distribution of the generative process at time  $t$
    - This corollary holds only when  $\lambda = g^2$

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- [Theorem 1]  $\mathbb{E}_{\mathbb{P}_\lambda(\tau)} \left[ \mathbb{E}_{\mathbf{x}_\tau} [-\log p_\tau^\theta(\mathbf{x}_\tau)] \right] \leq \mathcal{L}(\theta; \lambda, \epsilon) \iff \mathbb{E}_{\mathbb{P}_\lambda(\tau)} [D_{KL}(p_\tau \| p_\tau^\theta)] \leq \mathcal{L}(\theta; \lambda, \epsilon)$

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Dataset	Model	NLL	FID
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	+ Soft Truncation	<b>3.03</b>	<b>3.45</b>
	DDPM++ (VP, FID)	3.21	3.90
ImageNet32	DDPM++ (VP, NLL)	3.92	12.68
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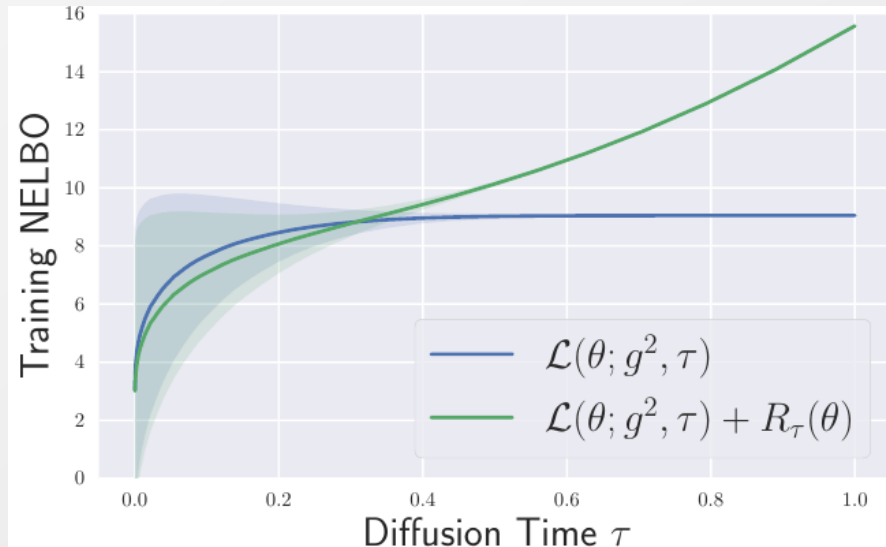
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- [Observation 1] Small diffusion time contributes the most of the integration in  $\mathcal{L}(\theta; g^2, \epsilon)$



$$\mathcal{L}(\theta; g^2, \epsilon) = \frac{1}{2} \int_{\epsilon}^T g^2(t) \mathbb{E}_{p_r(\mathbf{x}_0)} \mathbb{E}_{p_{0t}(\mathbf{x}_t | \mathbf{x}_0)} [\|\mathbf{s}_\theta(\mathbf{x}_t, t) - \nabla \log p_{0t}(\mathbf{x}_t | \mathbf{x}_0)\|_2^2] dt$$

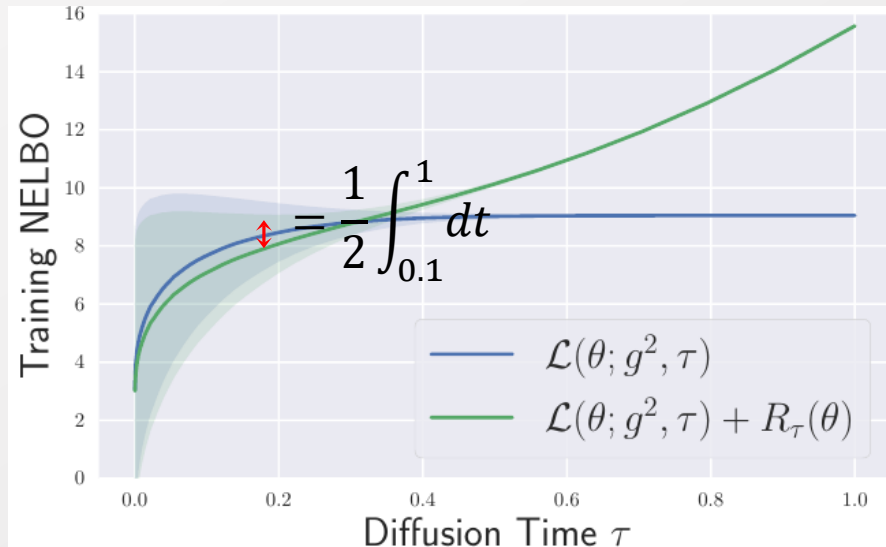
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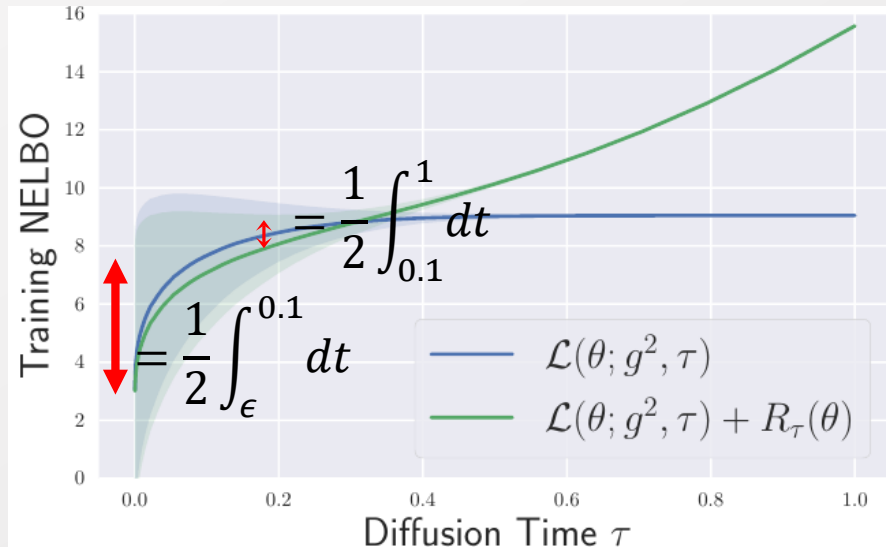
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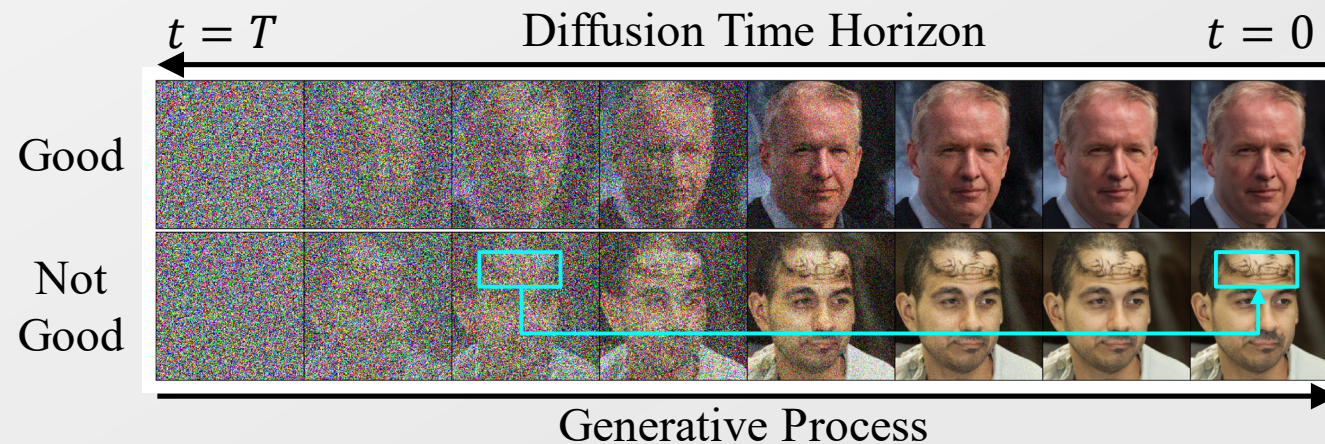
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- [Observation 2] Large diffusion time contributes to the global sample fidelity



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- **(Soft Truncation)** Optimize  $\mathcal{L}(\theta; g^2, \tau) = \int_{\tau}^T dt$  for  $\tau \sim P(\tau)$  in every mini-batch update
  - Softens the static hyper-parameter  $\epsilon$  with a random variable  $\tau \sim P(\tau)$

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- From  $\mathcal{L}(\theta; \lambda, \epsilon) = \mathbb{E}_{P_{\lambda}(\tau)}[\mathcal{L}(\theta; g^2, \tau)]$ , Soft Truncation is an optimization method of general-weighted loss

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- Vanilla training can be framed by Maximum Likelihood Estimation by Song21Maximum only when  $\lambda = g^2$

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- Soft Truncation can be framed by **Maximum Perturbed Likelihood Estimation**
  - Actual optimization loss at each mini-batch update
    - $D_{KL}(p_\tau \| p_\tau^\theta) \leq \mathcal{L}(\theta; g^2, \tau)$

**[Q2]** How to optimize  $\mathcal{L}(\theta; \lambda, \epsilon)$  well?

- $\mathbb{E}_{\mathbb{P}_{\lambda}(\tau)} [D_{KL}(p_{\tau} \| p_{\tau}^{\theta})] \leq \mathcal{L}(\theta; \lambda, \epsilon) = \mathbb{E}_{\mathbb{P}_{\lambda}(\tau)} [\mathcal{L}(\theta; g^2, \tau)]$

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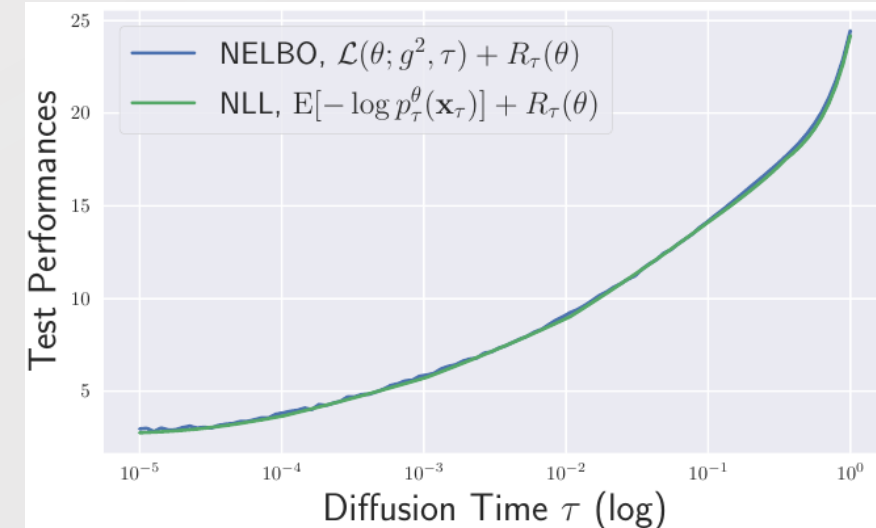
- Actual optimization loss at each mini-batch update

- $D_{KL}(p_\tau \| p_\tau^\theta) \leq \mathcal{L}(\theta; g^2, \tau)$

- Loss averaged by mini-batches

- $\mathbb{E}_{\mathbb{P}_\lambda(\tau)} [D_{KL}(p_\tau \| p_\tau^\theta)] \leq \mathcal{L}(\theta; \lambda, \epsilon) = \mathbb{E}_{\mathbb{P}_\lambda(\tau)} [\mathcal{L}(\theta; g^2, \tau)]$

- Variational bound at each mini-batch is tight



	Loss	Soft Truncation	NLL	NELBO	FID ODE
CIFAR-10	$\mathcal{L}(\boldsymbol{\theta}; g^2, \epsilon)$	$\times$	3.03	3.13	6.70
	$\mathcal{L}(\boldsymbol{\theta}; \sigma^2, \epsilon)$	$\times$	3.21	3.34	3.90
	$\mathcal{L}(\boldsymbol{\theta}; g_{\mathbb{P}_1}^2, \epsilon)$	$\times$	3.06	3.18	6.11
	$\mathcal{L}_{ST}(\boldsymbol{\theta}; g^2, \mathbb{P}_1)$	$\checkmark$	<b>3.01</b>	<b>3.08</b>	3.96
	$\mathcal{L}_{ST}(\boldsymbol{\theta}; g^2, \mathbb{P}_{0.9})$	$\checkmark$	3.03	3.13	<b>3.45</b>
ImageNet32	$\mathcal{L}(\boldsymbol{\theta}; g^2, \epsilon)$	$\times$	3.92	3.94	12.68
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  - Soft Truncation keeps NLL at the equivalent level compared to likelihood weighting



Result on CelebA 64×64

SDE	Model	Loss	NLL	NELBO	PC	FID ODE
VE	NCSN++	$\mathcal{L}(\theta; \sigma^2, \epsilon)$	3.41	3.42	3.95	-
		$\mathcal{L}_{ST}(\theta; \sigma^2, \mathbb{P}_2)$	3.44	3.44	2.68	-
RVE	UNCSN++	$\mathcal{L}(\theta; g^2, \epsilon)$	2.01	<b>2.01</b>	3.36	-
		$\mathcal{L}_{ST}(\theta; g^2, \mathbb{P}_2)$	<b>1.97</b>	2.02	<b>1.92</b>	-
VP	DDPM++	$\mathcal{L}(\theta; \sigma^2, \epsilon)$	2.14	2.21	3.03	2.32
		$\mathcal{L}_{ST}(\theta; \sigma^2, \mathbb{P}_1)$	2.17	2.29	2.88	<b>1.90</b>
	UDDPM++	$\mathcal{L}(\theta; \sigma^2, \epsilon)$	2.11	2.20	3.23	4.72
		$\mathcal{L}_{ST}(\theta; \sigma^2, \mathbb{P}_1)$	2.16	2.28	2.22	1.94
	DDPM++	$\mathcal{L}(\theta; g^2, \epsilon)$	2.00	2.09	5.31	3.95
		$\mathcal{L}_{ST}(\theta; g^2, \mathbb{P}_1)$	2.00	2.11	4.50	2.90
	UDDPM++	$\mathcal{L}(\theta; g^2, \epsilon)$	1.98	2.12	4.65	3.98
		$\mathcal{L}_{ST}(\theta; g^2, \mathbb{P}_1)$	2.00	2.10	4.45	2.97

Result on CIFAR-10

Loss	NLL	NELBO	FID (ODE)
INDM (VP, NLL)	<b>2.98</b>	<b>2.98</b>	6.01
INDM (VP, FID)	3.17	3.23	<b>3.61</b>
INDM (VP, NLL) + ST	3.01	3.02	3.88

→ Nonlinear SDE

- Implications

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- Soft Truncation significantly solves the NLL-FID trade-off
  - Soft Truncation achieves comparable FID as much as the case of variance weighting
  - Soft Truncation keeps NLL at the equivalent level compared to likelihood weighting
- Soft Truncation is universally applicable to any SDEs and network architectures

Model	CIFAR10 32 × 32			ImageNet32 32 × 32			CelebA 64 × 64		CelebA-HQ 256 × 256	STL-10 48 × 48	
	NLL (↓)	FID (↓)	IS (↑)	NLL	FID	IS	NLL	FID	FID	FID	IS
<b>Likelihood-free Models</b>											
StyleGAN2-ADA+Tuning (Karras et al., 2020)	-	2.92	<u>10.02</u>	-	-	-	-	-	-	-	-
Styleformer (Park & Kim, 2022)	-	2.82	9.94	-	-	-	-	3.66	-	<u>15.17</u>	<u>11.01</u>
<b>Likelihood-based Models</b>											
ARDM-Upscale 4 (Hooeboom et al., 2021)	<b>2.64</b>	-	-	-	-	-	-	-	-	-	-
VDM (Kingma et al., 2021)	<u>2.65</u>	7.41	-	<u>3.72</u>	-	-	-	-	-	-	-
LSGM (FID) (Vahdat et al., 2021)	3.43	<b>2.10</b>	-	-	-	-	-	-	-	-	-
NCSN++ cont. (deep, VE) (Song et al., 2021b)	3.45	<u>2.20</u>	9.89	-	-	-	2.39	3.95	<u>7.23</u>	-	-
DDPM++ cont. (deep, sub-VP) (Song et al., 2021b)	2.99	2.41	9.57	-	-	-	-	-	-	-	-
DenseFlow-74-10 (Grcić et al., 2021)	2.98	34.90	-	<b>3.63</b>	-	-	1.99	-	-	-	-
ScoreFlow (VP, FID) (Song et al., 2021a)	3.04	3.98	-	3.84	<b>8.34</b>	-	-	-	-	-	-
Efficient-VDVAE (Hazami et al., 2022)	2.87	-	-	-	-	-	<b>1.83</b>	-	-	-	-
PNDM (Liu et al., 2022)	-	3.26	-	-	-	-	-	2.71	-	-	-
ScoreFlow (deep, sub-VP, NLL) (Song et al., 2021a)	2.81	5.40	-	3.76	10.18	-	-	-	-	-	-
Improved DDPM ( $L_{simple}$ ) (Nichol & Dhariwal, 2021)	3.37	2.90	-	-	-	-	-	-	-	-	-
UNCSN++ (RVE) + ST	3.04	2.33	<b>10.11</b>	-	-	-	1.97	<u>1.92</u>	<b>7.16</b>	<b>7.71</b>	<b>13.43</b>
DDPM++ (VP, FID) + ST	2.91	2.47	9.78	-	-	-	2.10	<b>1.90</b>	-	-	-
DDPM++ (VP, NLL) + ST	2.88	3.45	9.19	3.85	<u>8.42</u>	<b>11.82</b>	<u>1.96</u>	2.90	-	-	-

Thank you!