



**Samueli**  
Computer Science

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# Investigating Why Contrastive Learning Benefits Robustness against Label Noise

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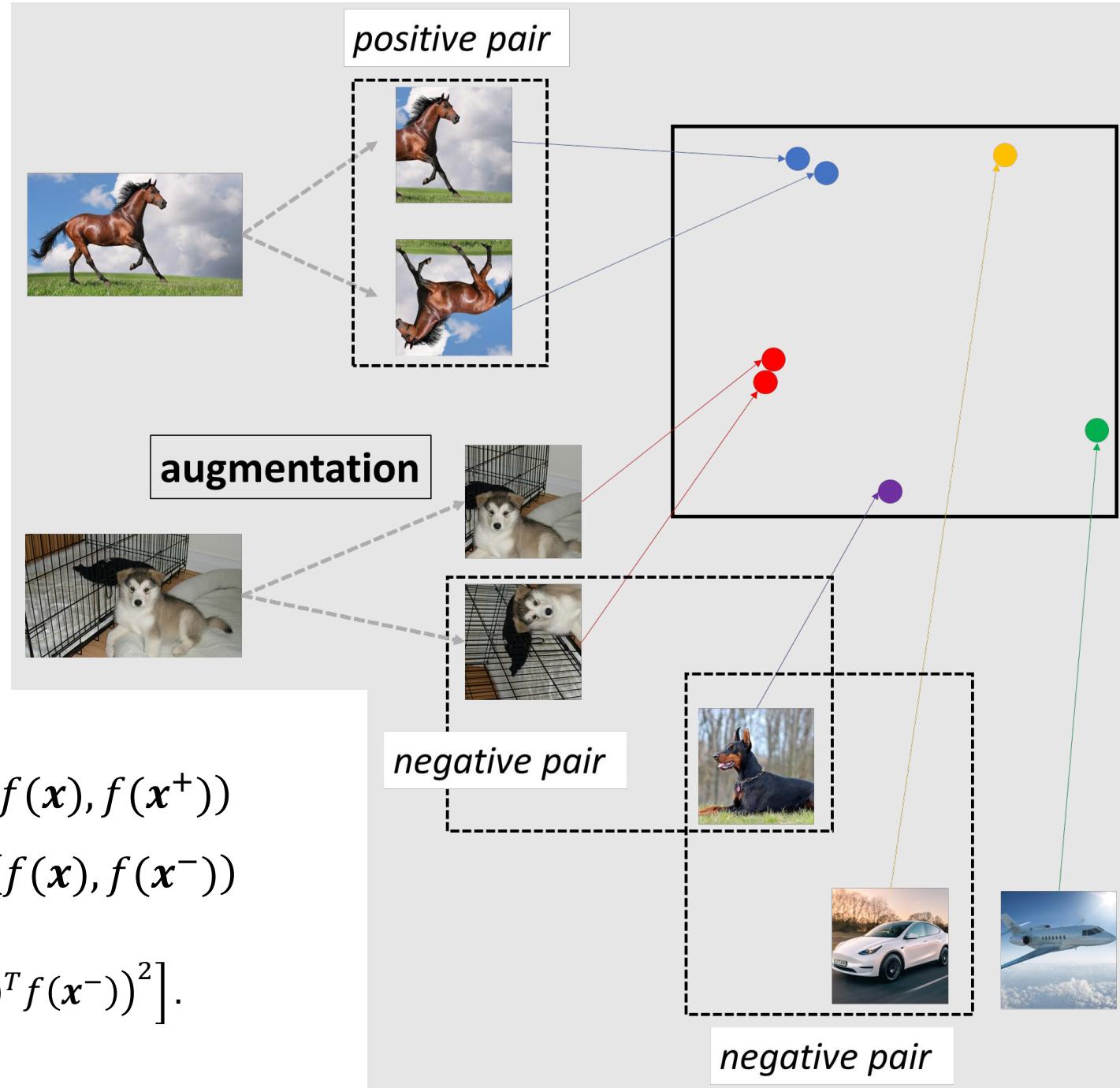
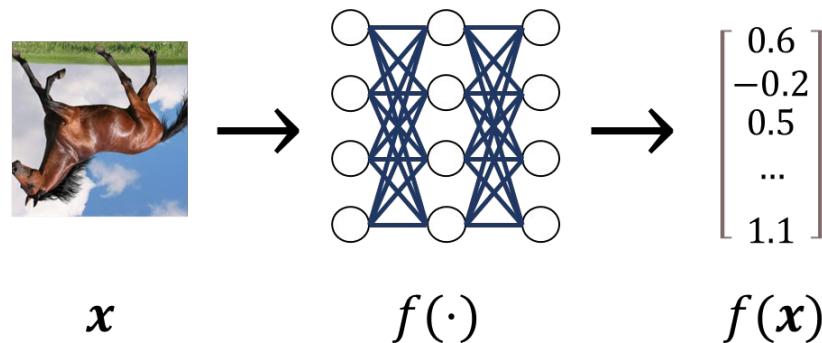
# Training against Label Noise

- Label noise is quite ubiquitous in large real-world dataset. Current robust methods are not able to deal with extreme noise.
- Recent works show that contrastive self-supervised learning can benefit robustness and boost existing robust learning methods.

*Question: why does contrastive learning help?*

# Contrastive Learning

## *Self-Supervised*

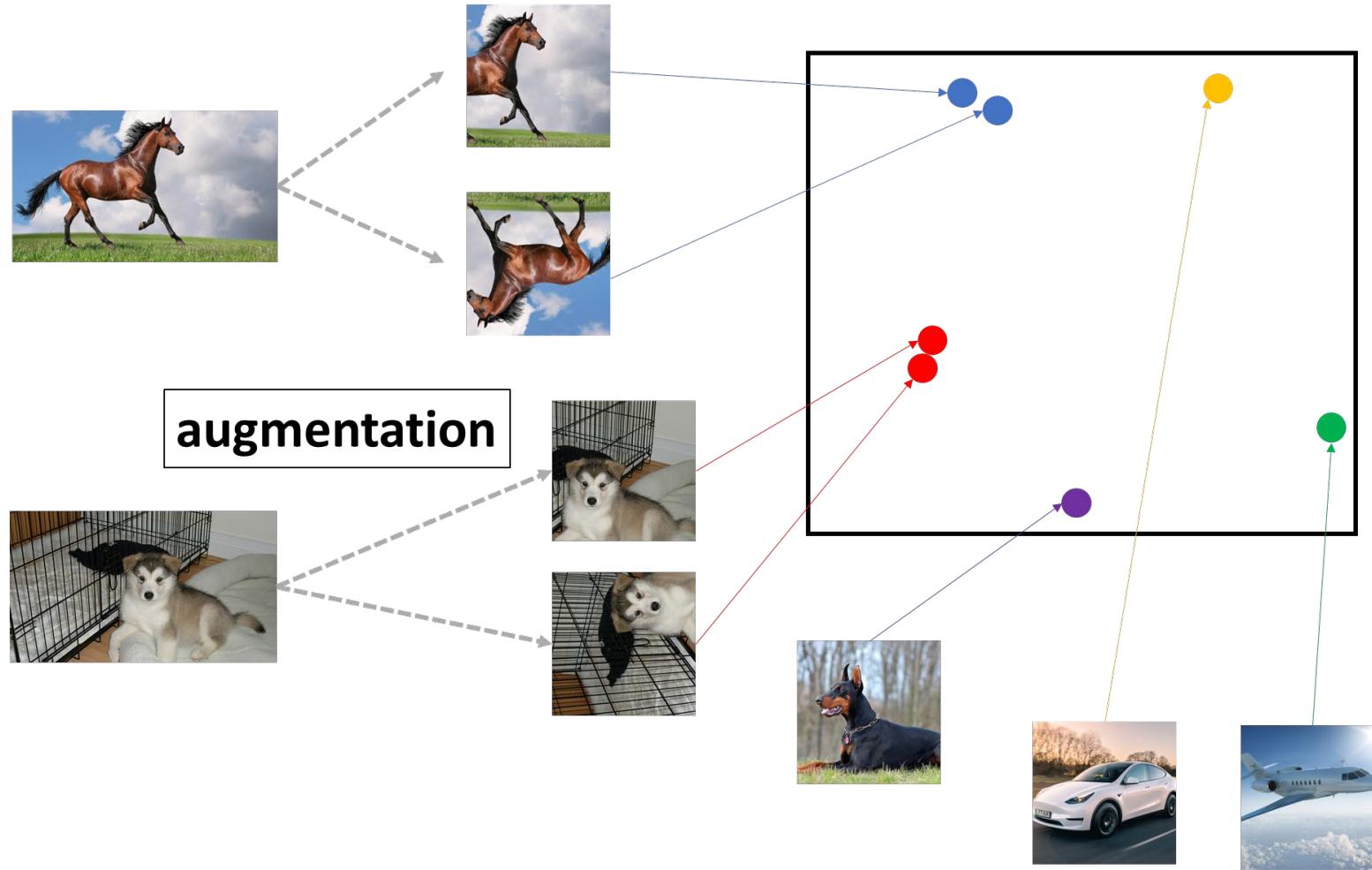


For a positive pair  $(x, x^+)$ , **maximize**  $\text{Sim}(f(x), f(x^+))$

For a negative pair  $(x, x^-)$ , **minimize**  $\text{Sim}(f(x), f(x^-))$

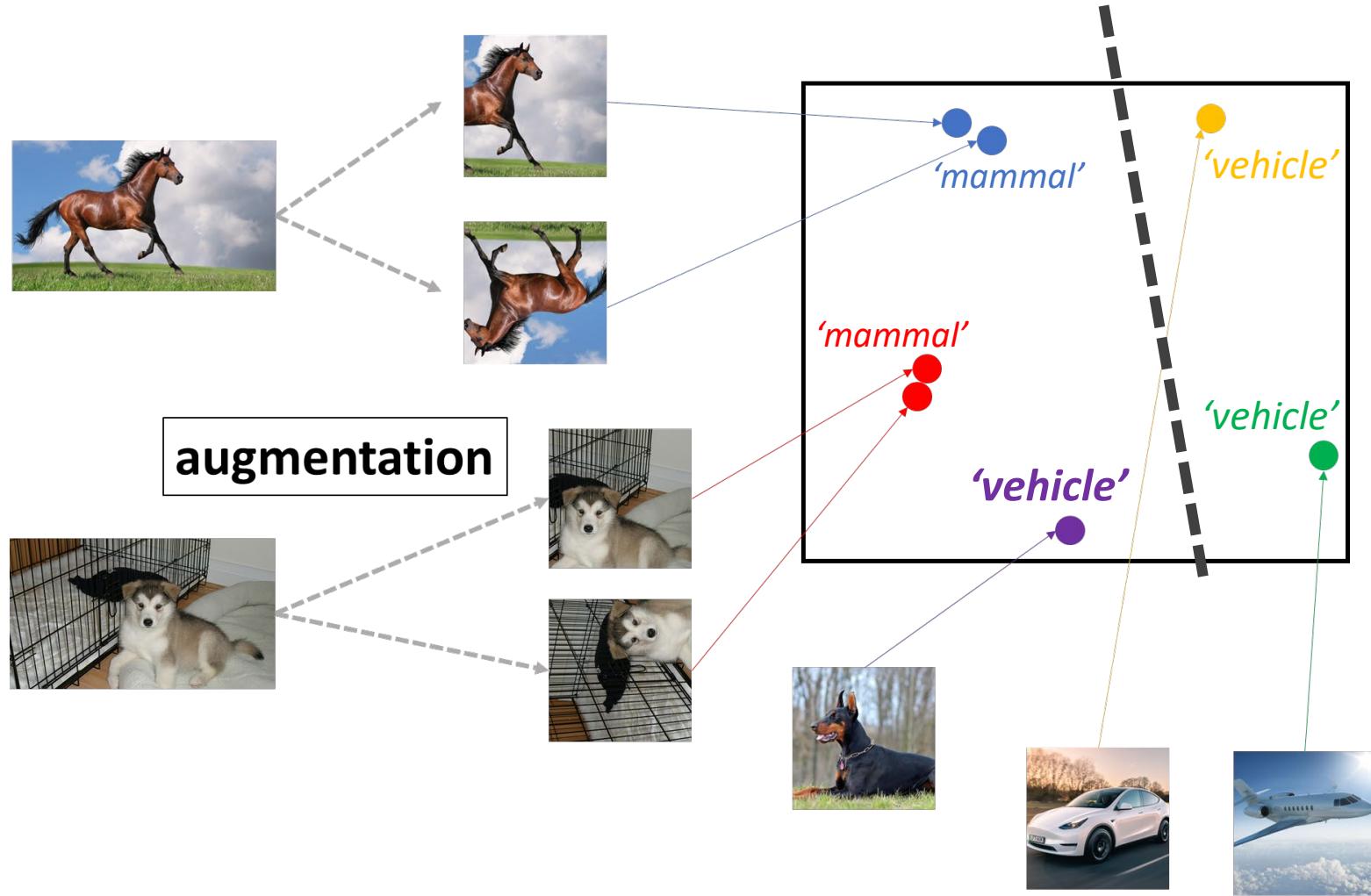
$$\mathfrak{C}(f) = -2\mathbb{E}_{x, x^+} [f(x)^T f(x^+)] + \mathbb{E}_{x, x^-} [(f(x)^T f(x^-))^2].$$

# Learning a Linear Head

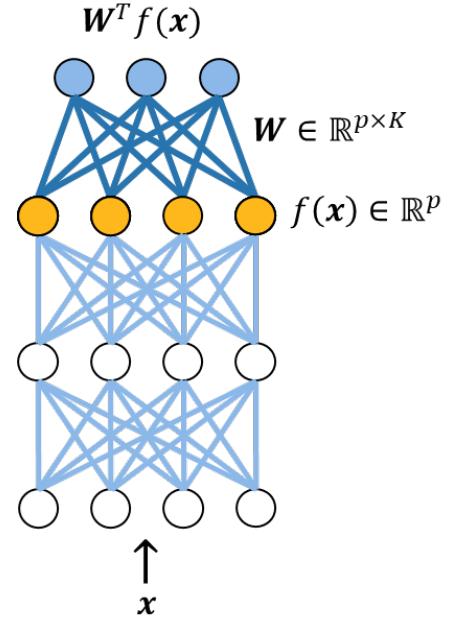


# Learning a Linear Head

*Supervised*

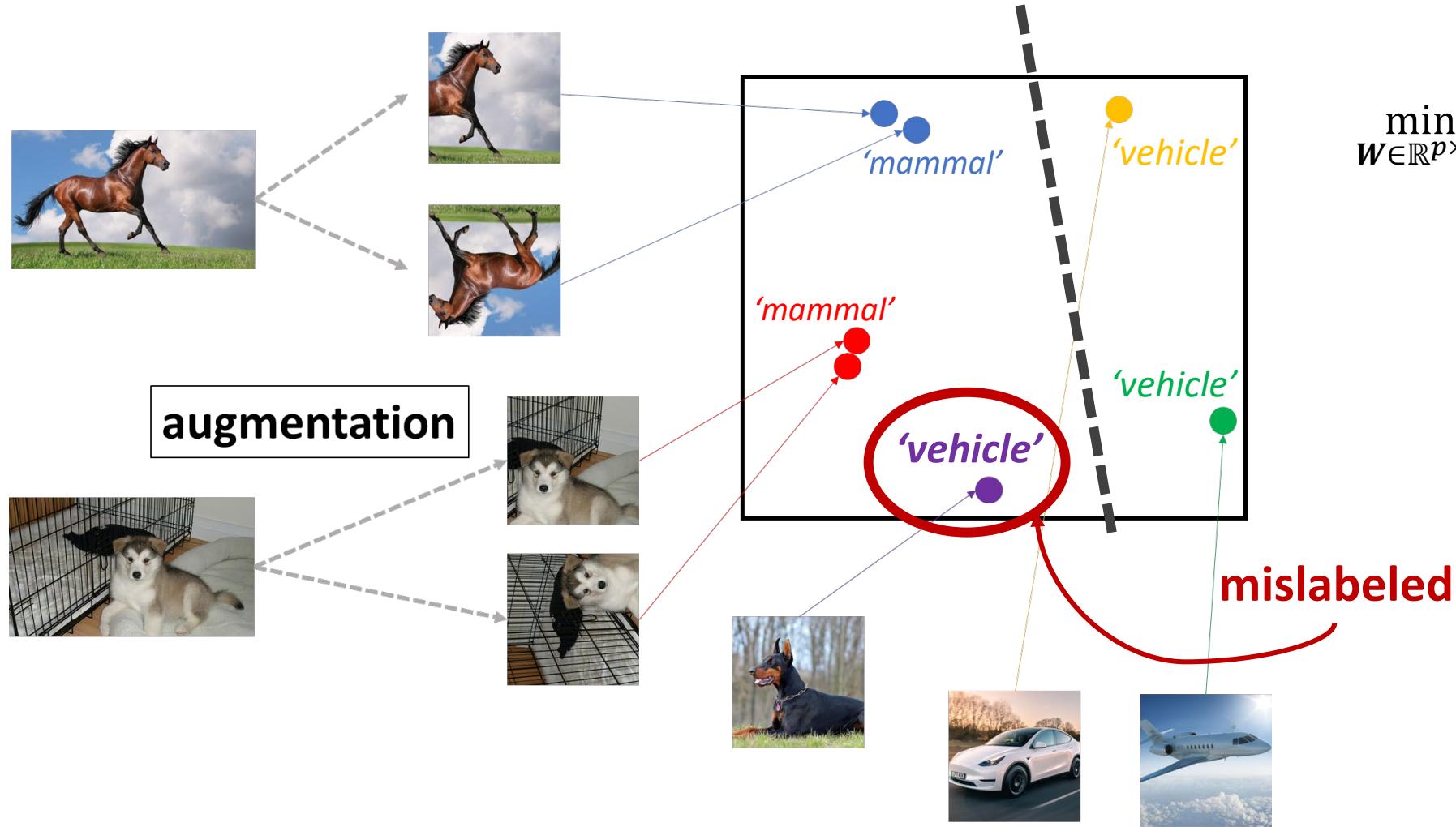


$$\min_{W \in \mathbb{R}^{p \times k}} \|\hat{Y} - FW\|_F^2 + \beta \|W\|_F^2$$

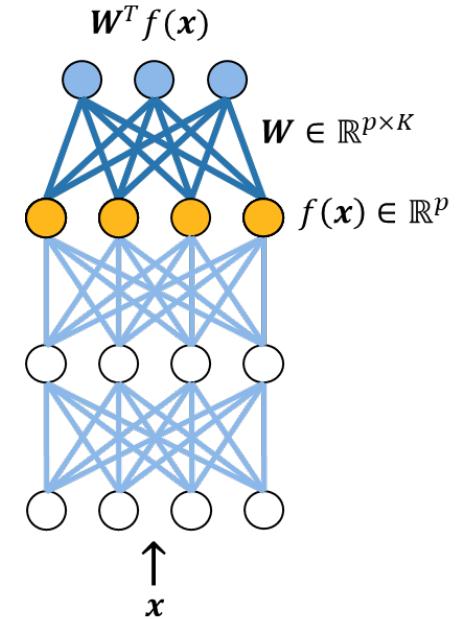


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*Supervised*

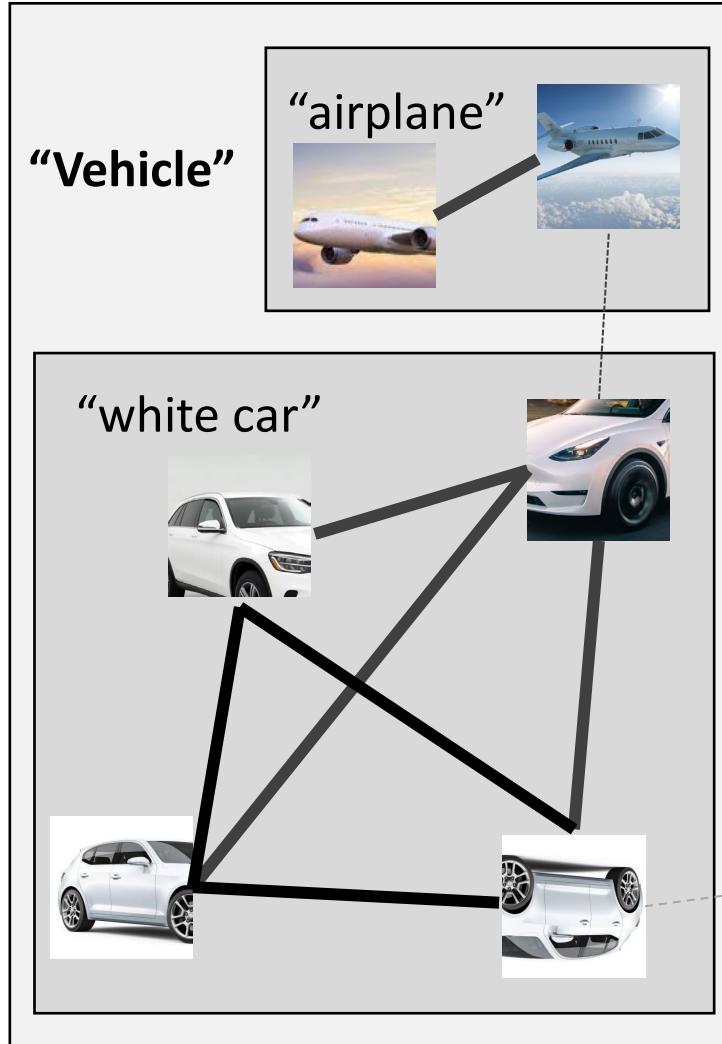


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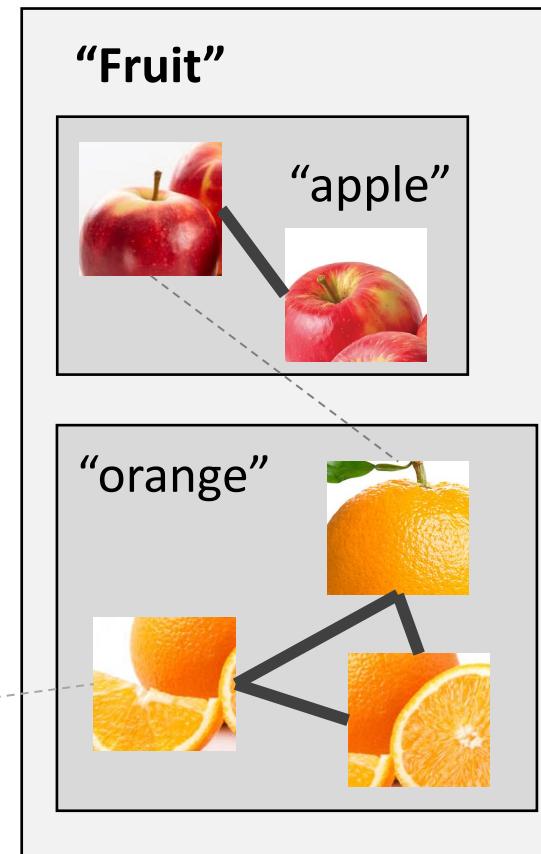


# Preliminaries

Augmentation graph (Haochen et al. 2021)

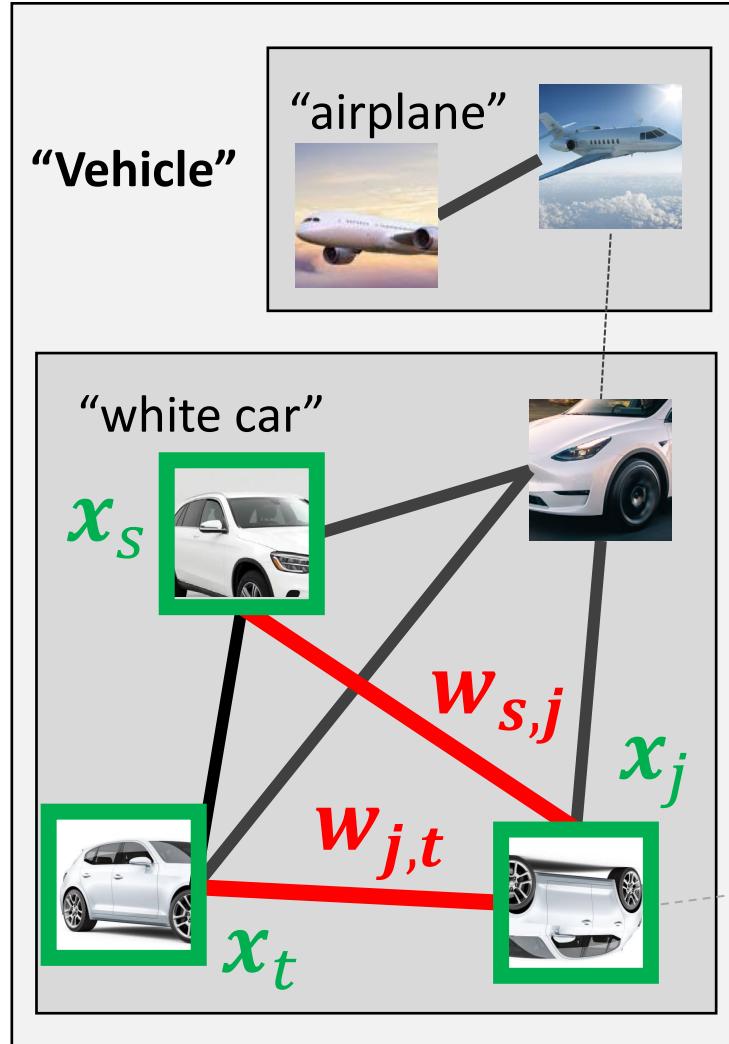


$K$  classes;  $\bar{K} > K$  subclasses; sub-classes of a class share the same label.

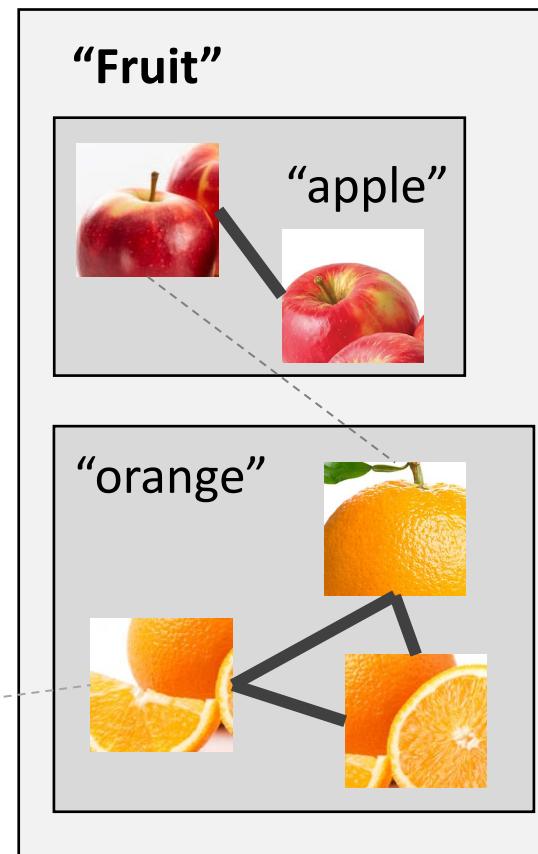


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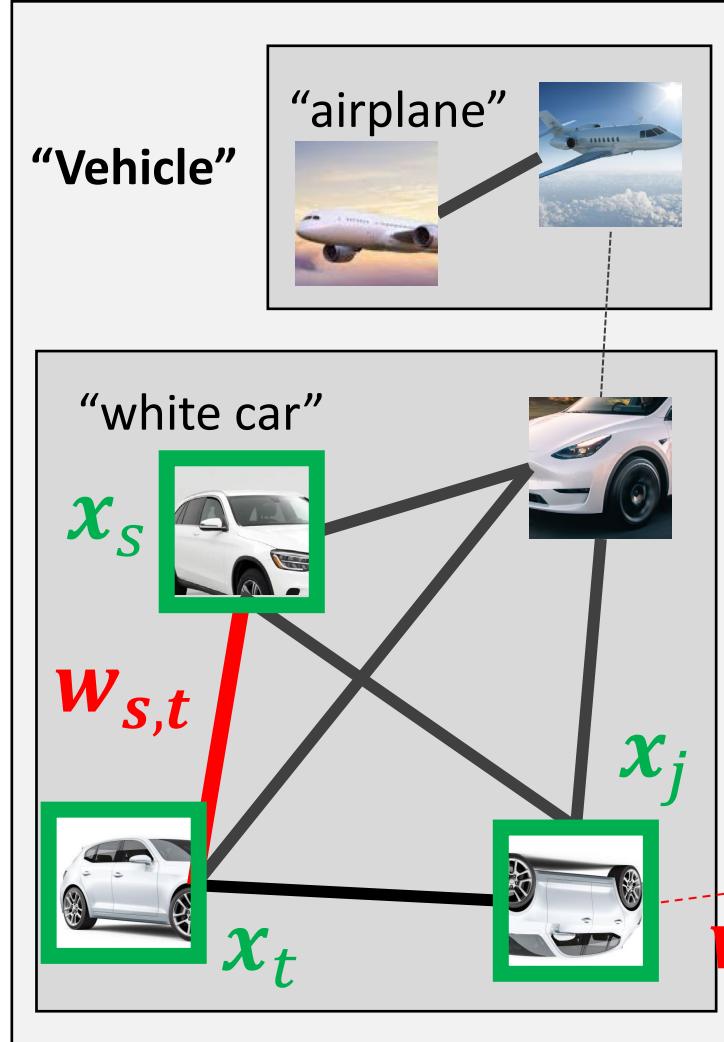
## Assumption 1

### Compact sub-class structure

For a triple of augmented examples  $\mathbf{x}_s, \mathbf{x}_j, \mathbf{x}_t$  from the same sub-class, the marginal probability of  $\mathbf{x}_s$ ,  $\mathbf{x}_j$  being generated from a natural data point is close to that of  $\mathbf{x}_j, \mathbf{x}_t$ . Formally,  $\frac{w_{s,j}}{w_{j,t}} \in \left[ \frac{1}{1+\delta}, 1 + \delta \right]$  for some small  $\delta < 1$ .

# Preliminaries

Augmentation graph (Haochen et al. 2021)



$K$  classes;  $\bar{K} > K$  subclasses; sub-classes of a class share the same label.

Assumption 2

**Distinguishable sub-class structure**

For two pairs of augmented examples  $(x_i, x_j)$  and  $(x_s, x_t)$  where  $x_i, x_j$  are from different sub-classes and  $x_s, x_t$  are from the same sub-class, the marginal probability of  $x_i, x_j$  being generated from a natural data point, is much smaller than that of  $x_s, x_t$ . Formally,  $\frac{w_{i,j}}{w_{s,t}} \leq \xi$ , for some small  $\xi < 1$ .

# Desirable Properties of the Learned Representations

Contrastive learning produces a low-rank representation matrix  $F$

that encodes the sub-class structure:

- (a) The magnitude of the first  $\bar{K}$  (the number of subclasses) singular values is  $O(1)$ .
- (b) The sum of the remaining singular values is  $O(\sqrt{\delta} + \xi)$ .
- (c) The alignment between the first  $\bar{K}$  singular vectors and the ground-truth labels is  $O(1)$ .

One singular value/vector for each subclass;  
The model can fit the clean labels well.

The model can hardly fit the noise

# Gaussian Label Noise

We first consider Gaussian noise because it's the most convenient way to present our results.

For a dataset of size  $n$  with  $K$  classes,  $\bar{K}$  balanced compact and distinguishable sub-classes and labels corrupted with Gaussian noise drawn from  $\mathcal{N}(0, \sigma^2 \mathbf{I}_n / K)$ , a linear mode trained on contrastive representations has the following expected error on the training set w.r.t. the *ground-truth* labels  $\mathbf{Y}$ :

$$\begin{aligned} & \mathbb{E}_{\Delta \mathbf{Y}} \frac{1}{n} \|\mathbf{Y} - \mathbf{F} \hat{\mathbf{W}}^*\|_F^2 \\ & \leq \underbrace{\left(\frac{\beta}{\beta+1}\right)^2 + \mathcal{O}(\delta + \xi)}_{\text{bias}^2} + \underbrace{\sigma^2 \frac{\bar{K}}{n} \left(\frac{1}{\beta+1}\right)^2 + \sigma^2 \mathcal{O}\left(\frac{\sqrt{\delta} + \xi}{\beta}\right)}_{\text{variance}} \end{aligned}$$

“sensitivity to noise”

*small as a result of  
contrastive learning  
cutting off the  $p - \bar{K}$   
smallest singular values  
in the representation*

# Label Flipping

*Conditions where **contrastive representations** prevent the linear model from learning any wrong labels:*

For a dataset of size  $n$  with  $K$  classes,  $\bar{K}$  balanced compact and distinguishable sub-classes with  $\xi = 0$ , let  $n_{\min}, n_{\max}$  be the size of the smallest and largest sub-class, and  $\alpha$  be the fraction of mislabeled examples in the training set.

$c_{\max} \in [\frac{1}{K-1}, 1]$  is a constant reflects the symmetricalness of the noise. Then as long as

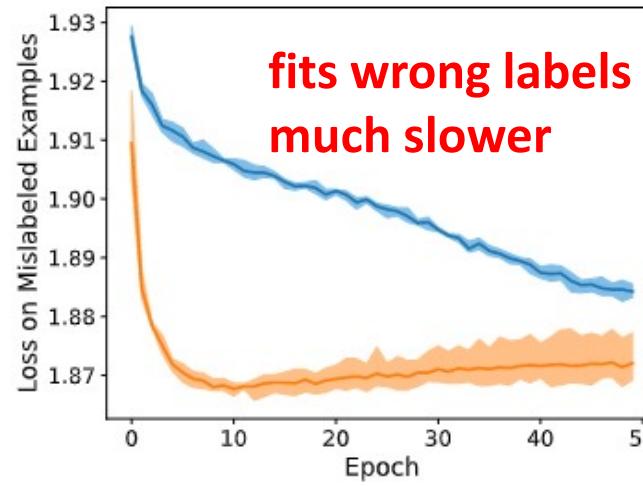
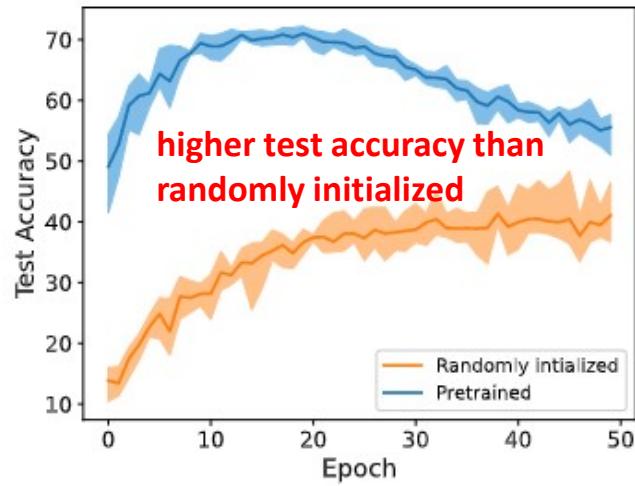
$$\alpha < \frac{1}{1 + \frac{n_{\max}}{n_{\min}} c_{\max}} - \mathcal{O}\left(\frac{\sqrt{\delta}}{\beta}\right),$$

a linear model trained on contrastive representations can predict the **ground-truth** labels for all training examples.

For symmetric noise ( $c_{\max} = \frac{1}{K-1}$ ) and balanced dataset  $\frac{n_{\max}}{n_{\min}} = 1$ , when  $\sqrt{\delta} \ll \beta$ , we get  $\frac{K-1}{K}$  noise tolerance.

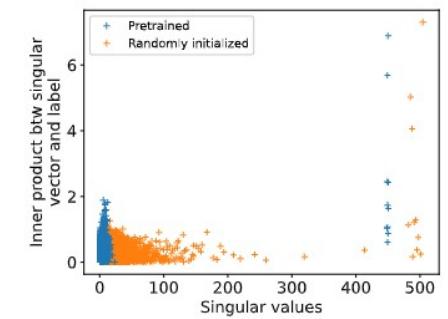
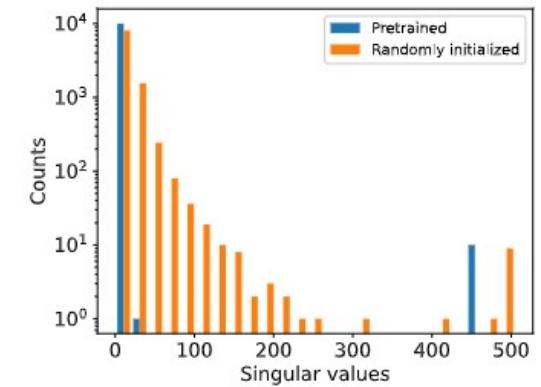
# Insights for Finetuning (Training All Layers)

*Finetuning can achieve a good performance at the early stage of training, which we attribute to the improved low-rank structure of the initial Jacobian matrix.*



*because*

A red arrow points from the word 'because' to the histogram on the right.



*Come to our poster for more details!*