

# Estimating and Penalizing Induced Preference Shifts in Recommender Systems

Micah Carroll, Anca Dragan, Stuart Russell, Dylan Hadfield-Menell

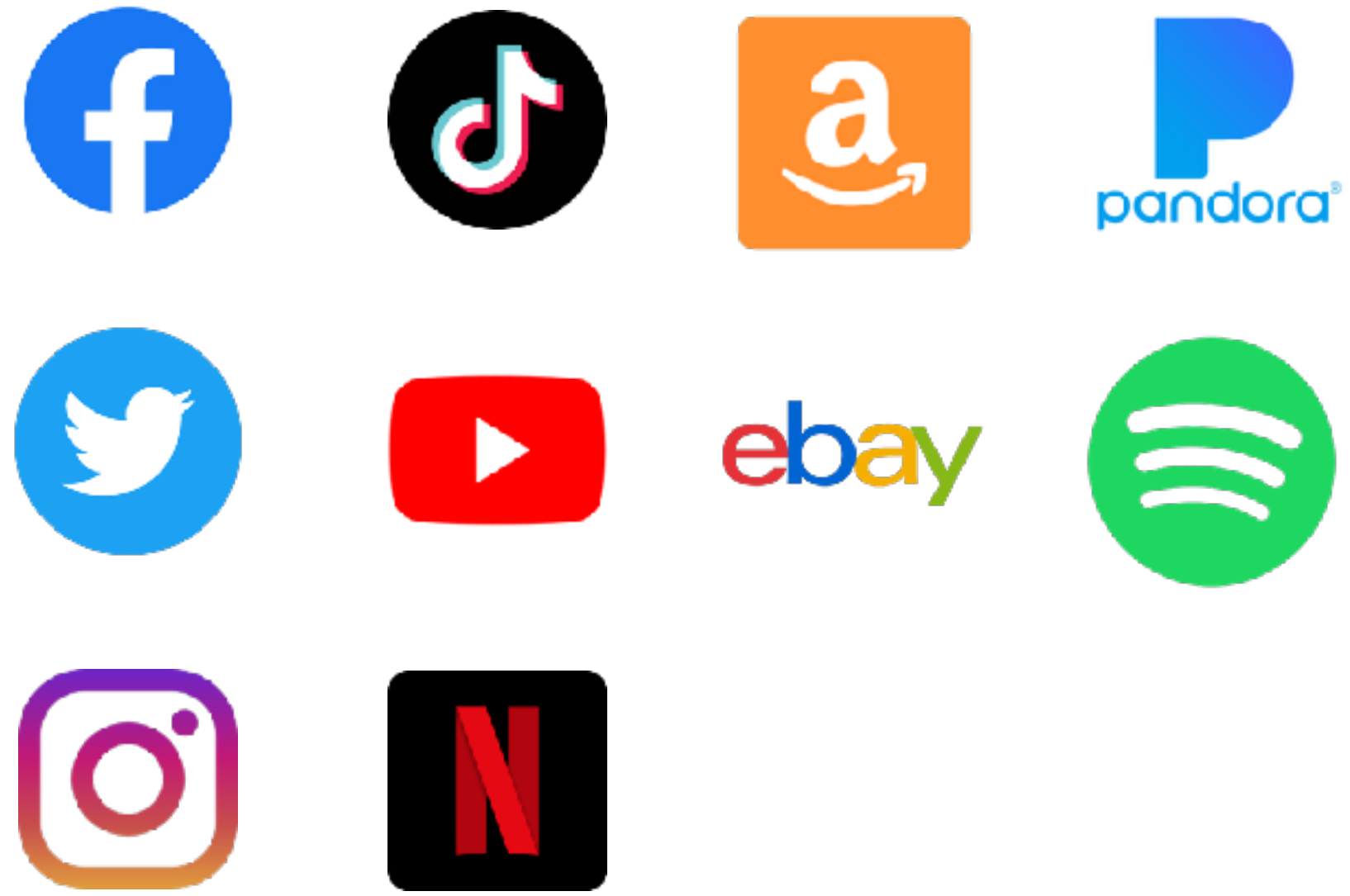








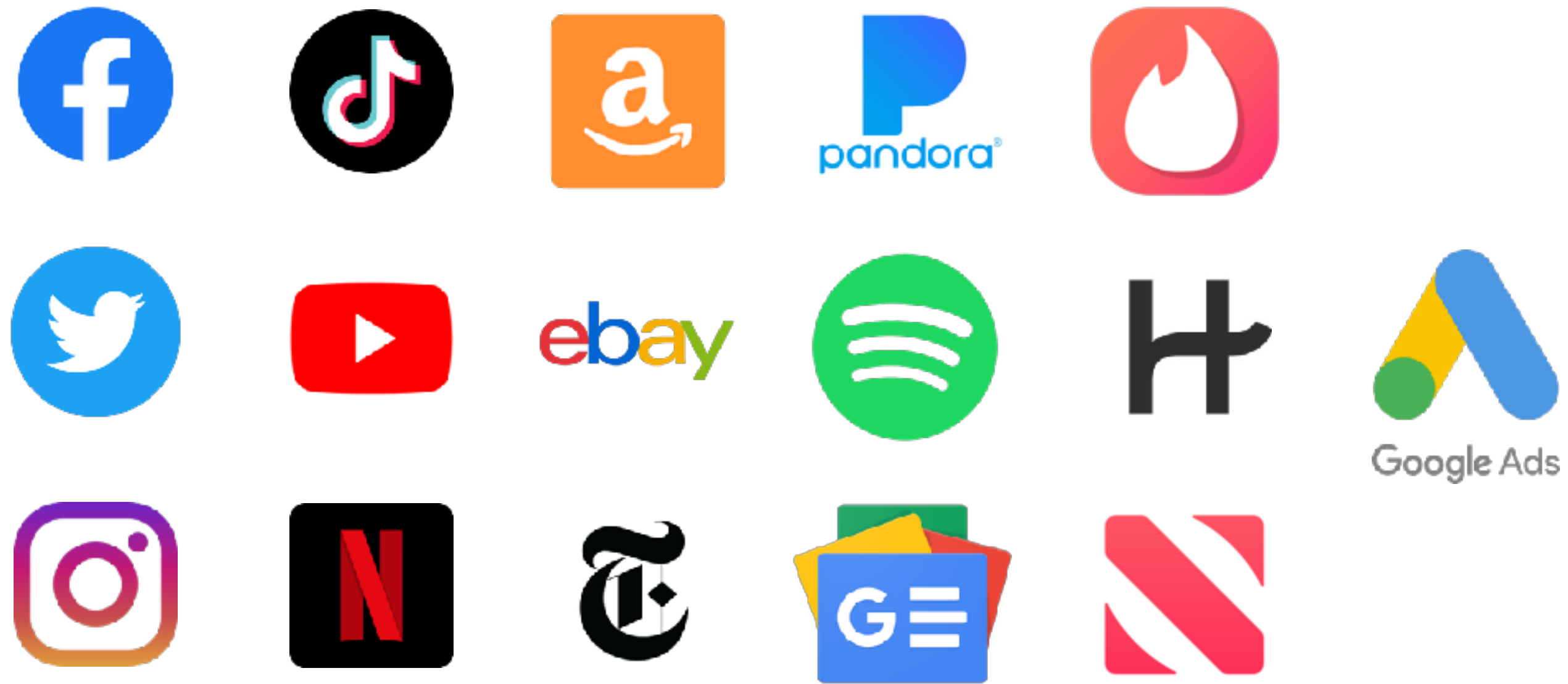


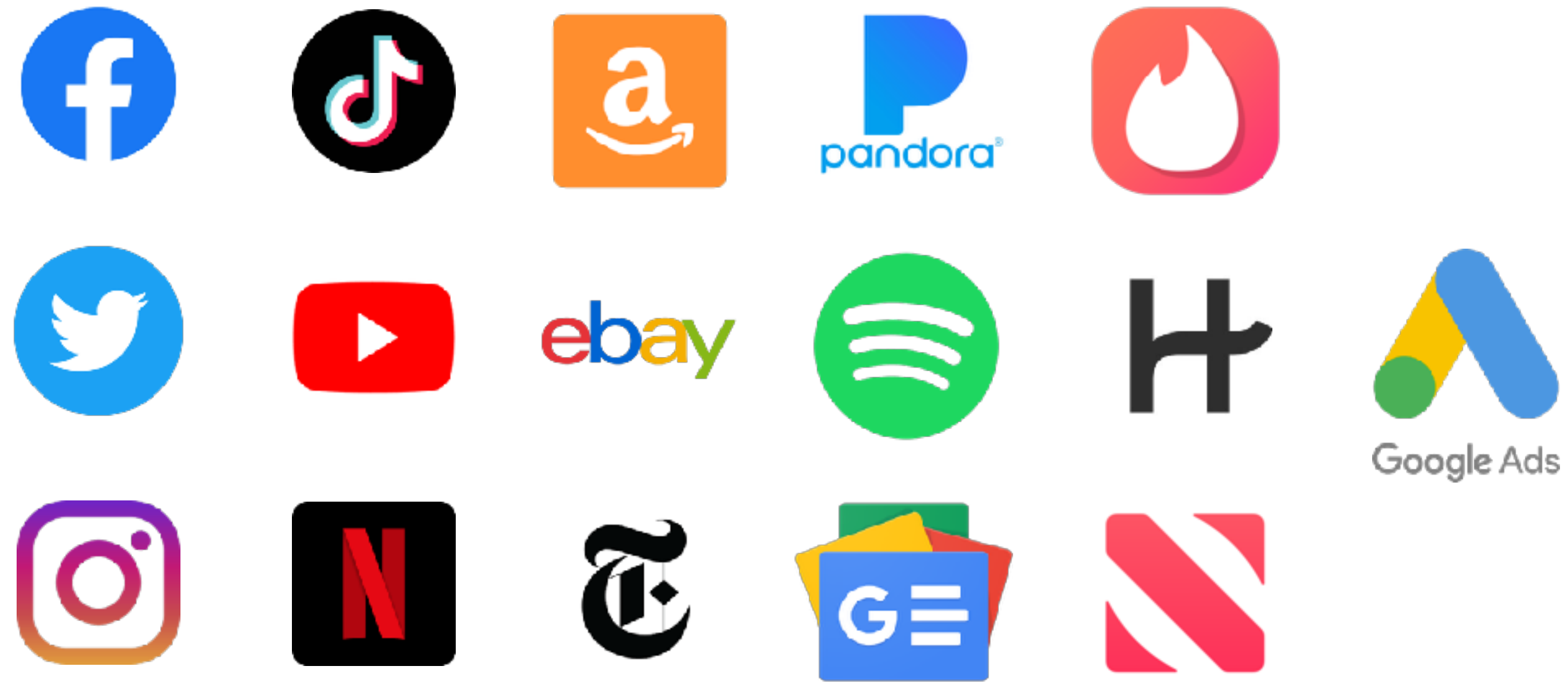




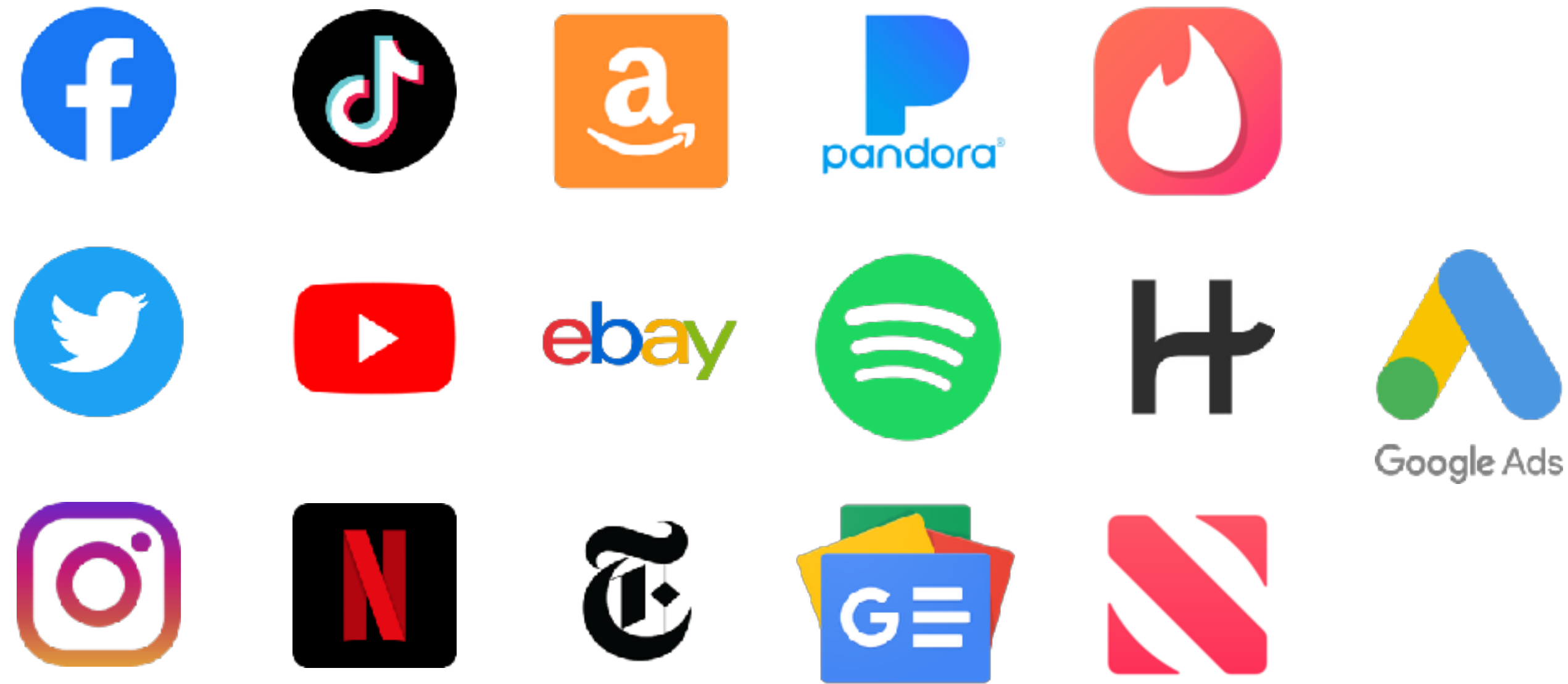








User preferences change



User [preferences](#) change, and recommenders will affect them

# Policy-induced preference shifts



# Policy-induced preference shifts



# Policy-induced preference shifts



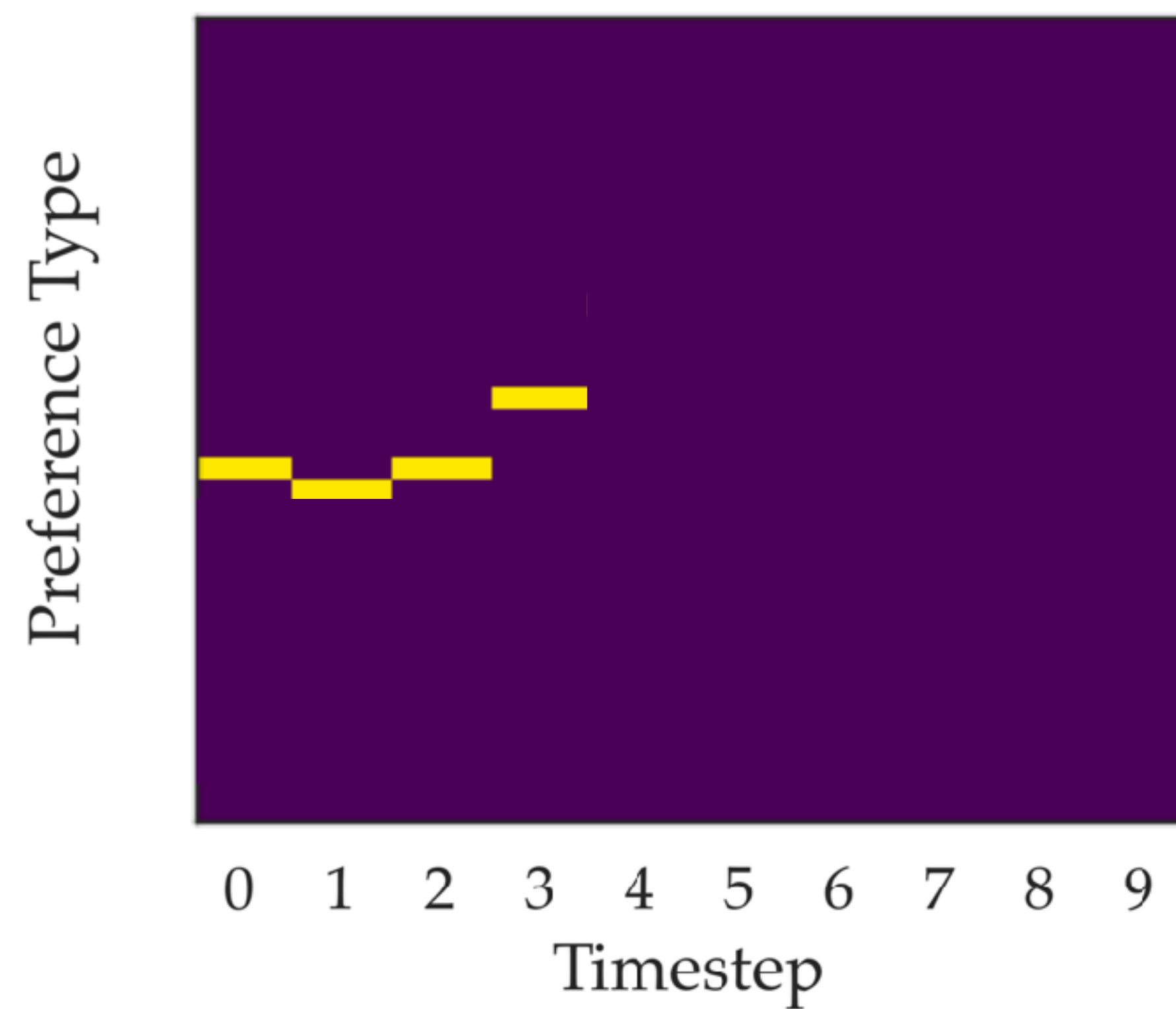
Preference Type



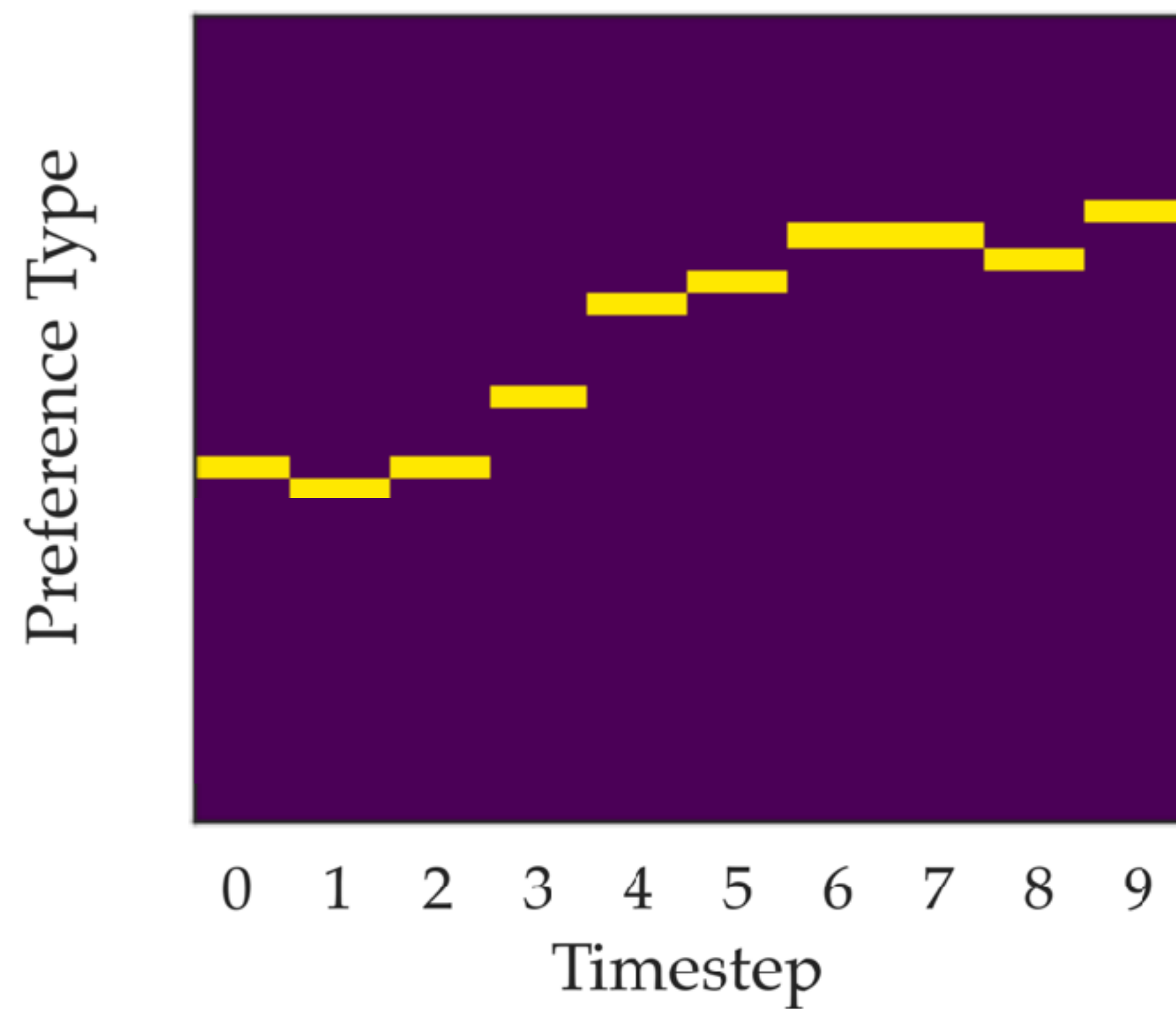
0 1 2 3 4 5 6 7 8 9

Timestep

# Policy-induced preference shifts

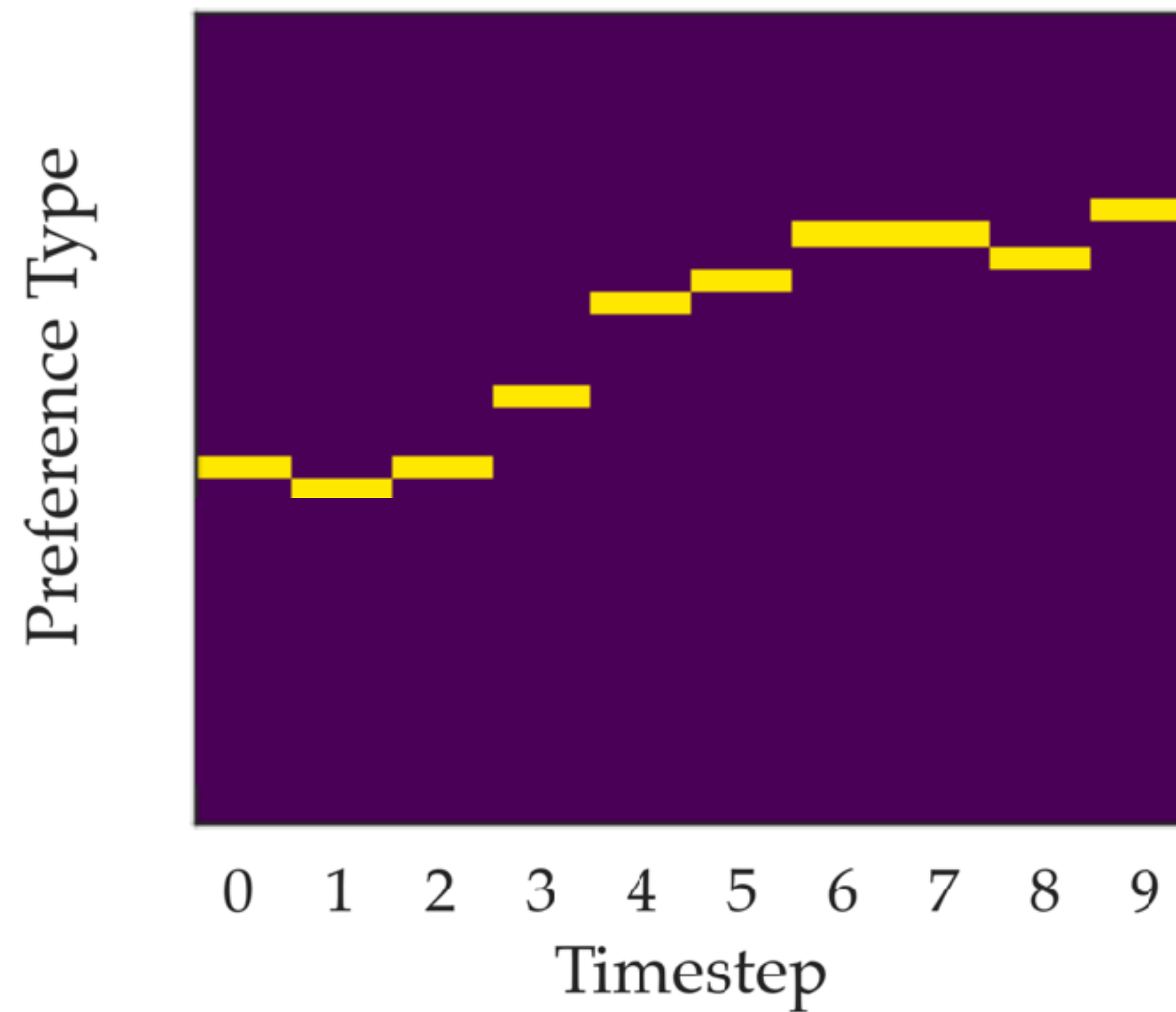


# Policy-induced preference shifts





# Policy-induced preference shifts

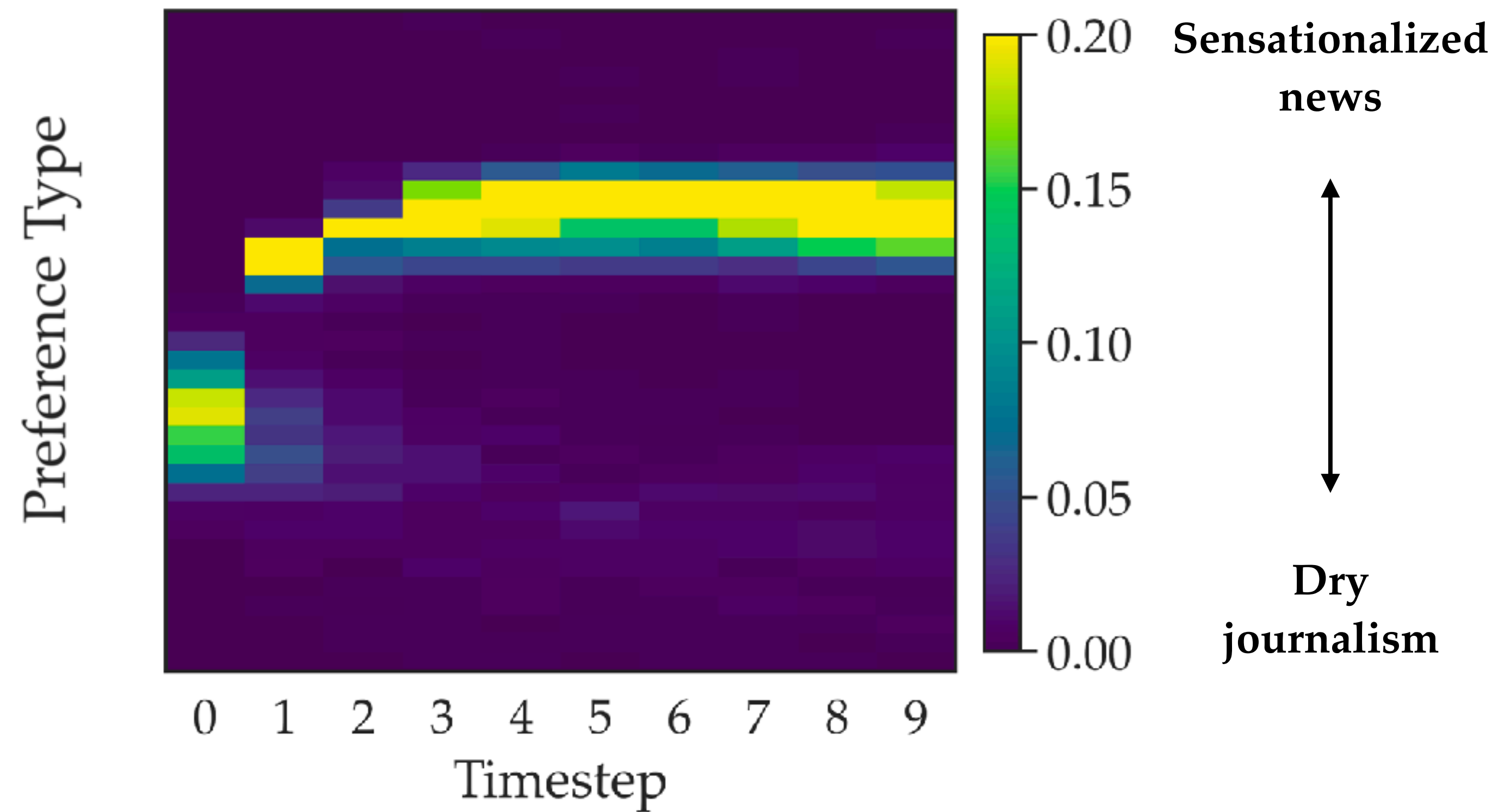


Sensationalized  
news

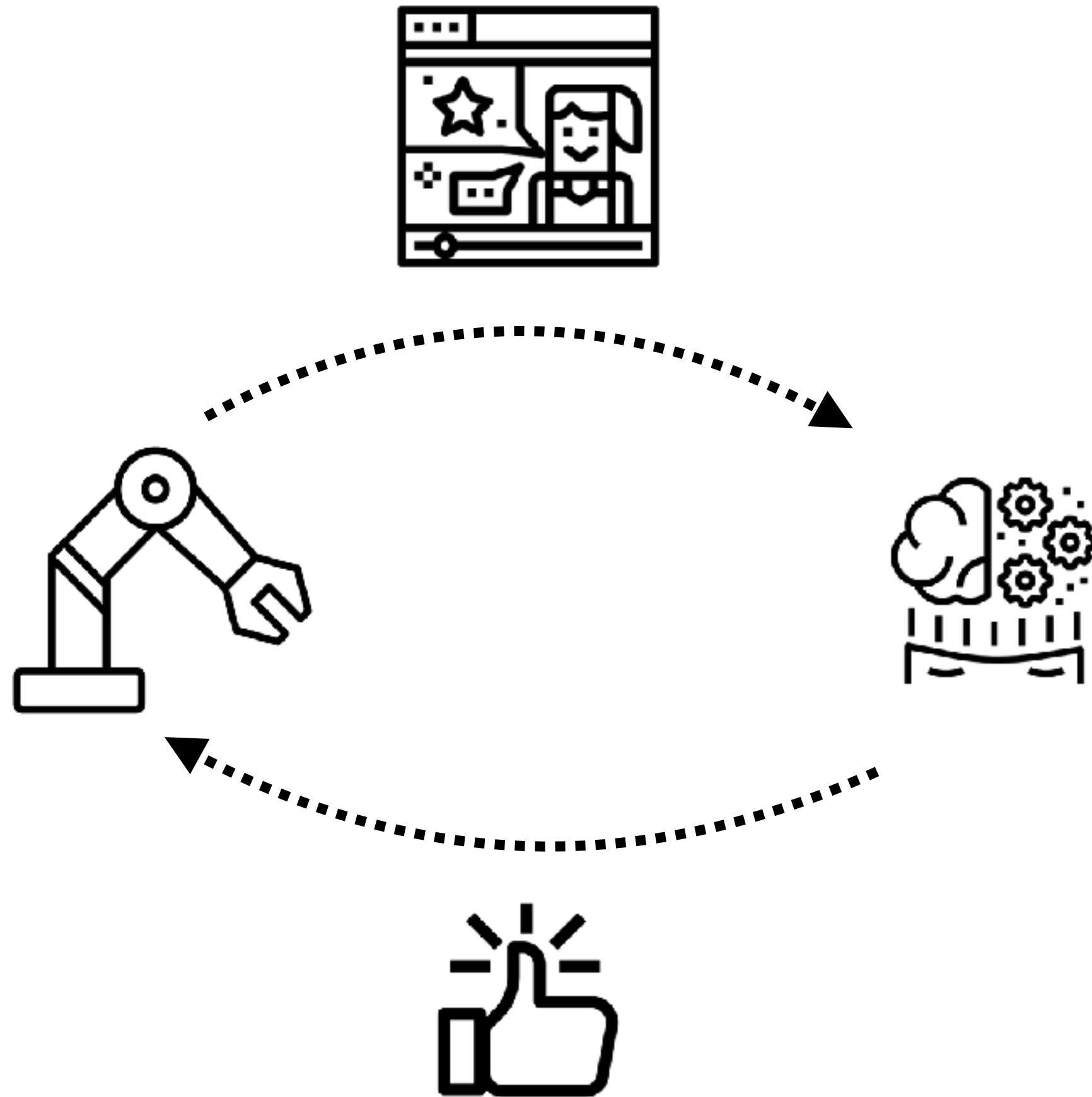


Dry  
journalism

# Policy-induced preference shifts



# Incentives for user manipulation



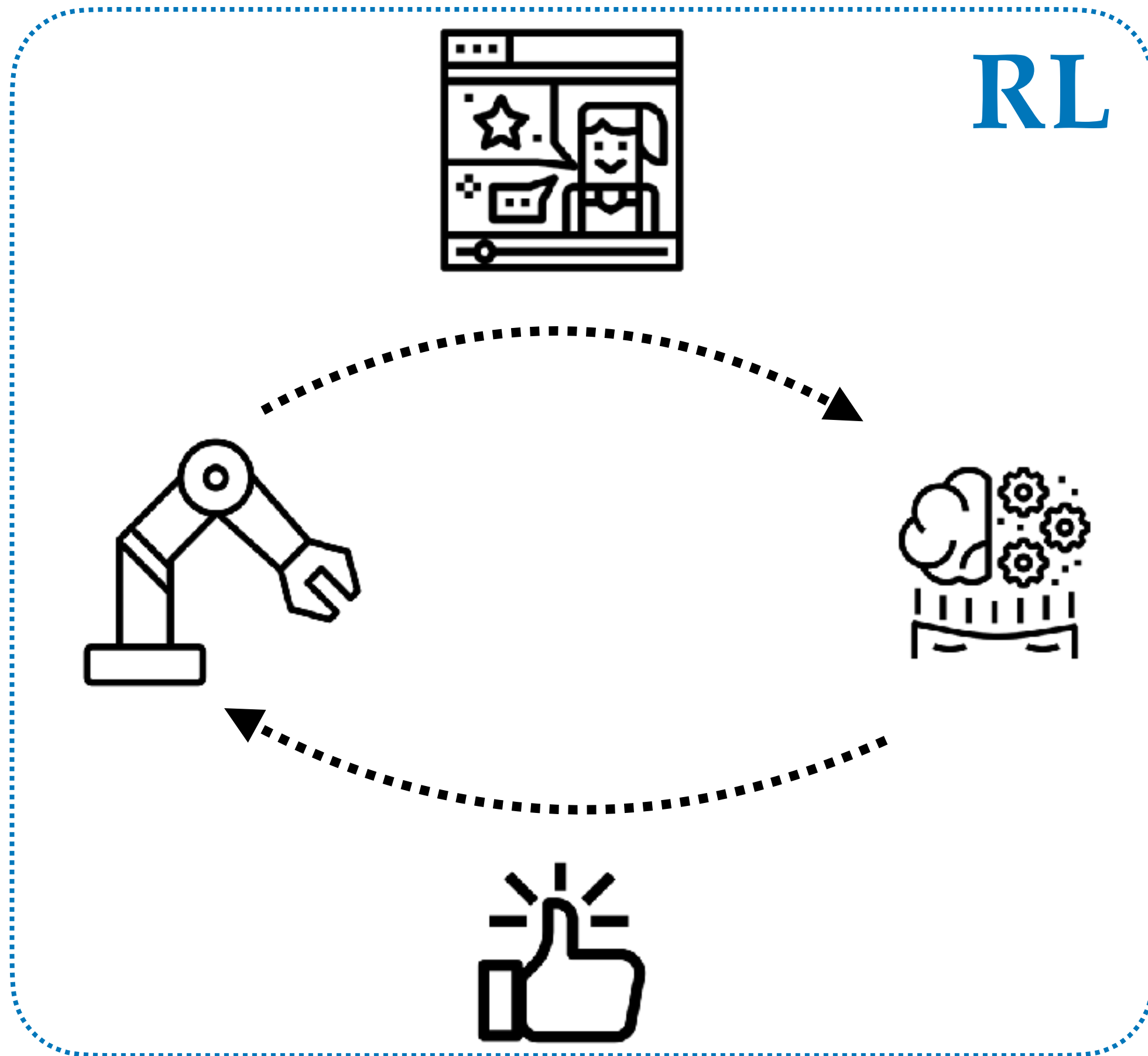
[Krueger et. al, 2020] Hidden Incentives for Auto-Induced Distributional Shift

[Carroll et. al, 2021] Estimating and Penalizing Induced Preference Shifts in Recommender Systems

[Evans et. al, 2021] User Tampering in Reinforcement Learning Recommender Systems

[Farquhar, Carey, Everitt, 2022] Path-Specific Objectives for Safer Agent Incentives

# Incentives for user manipulation



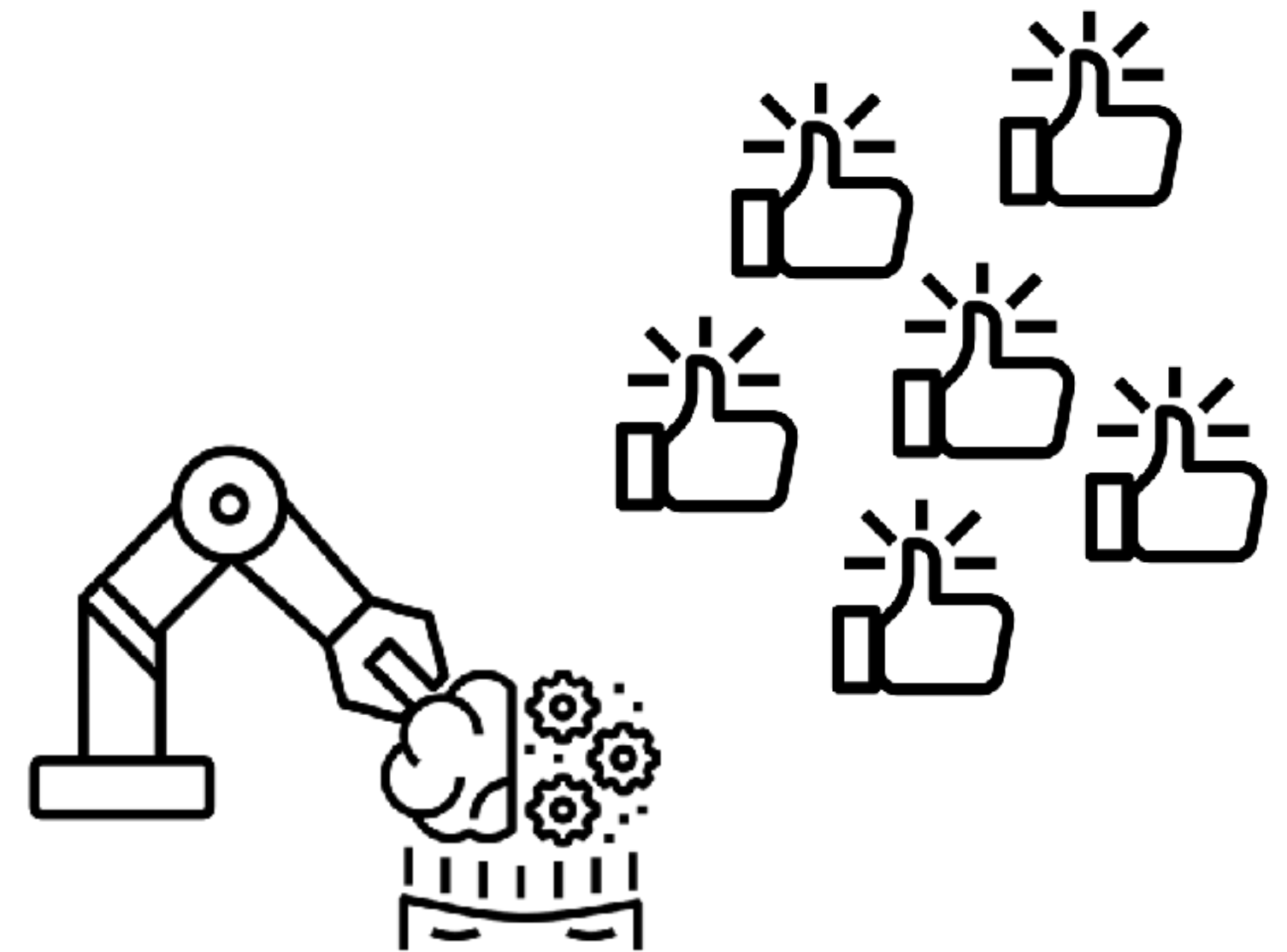
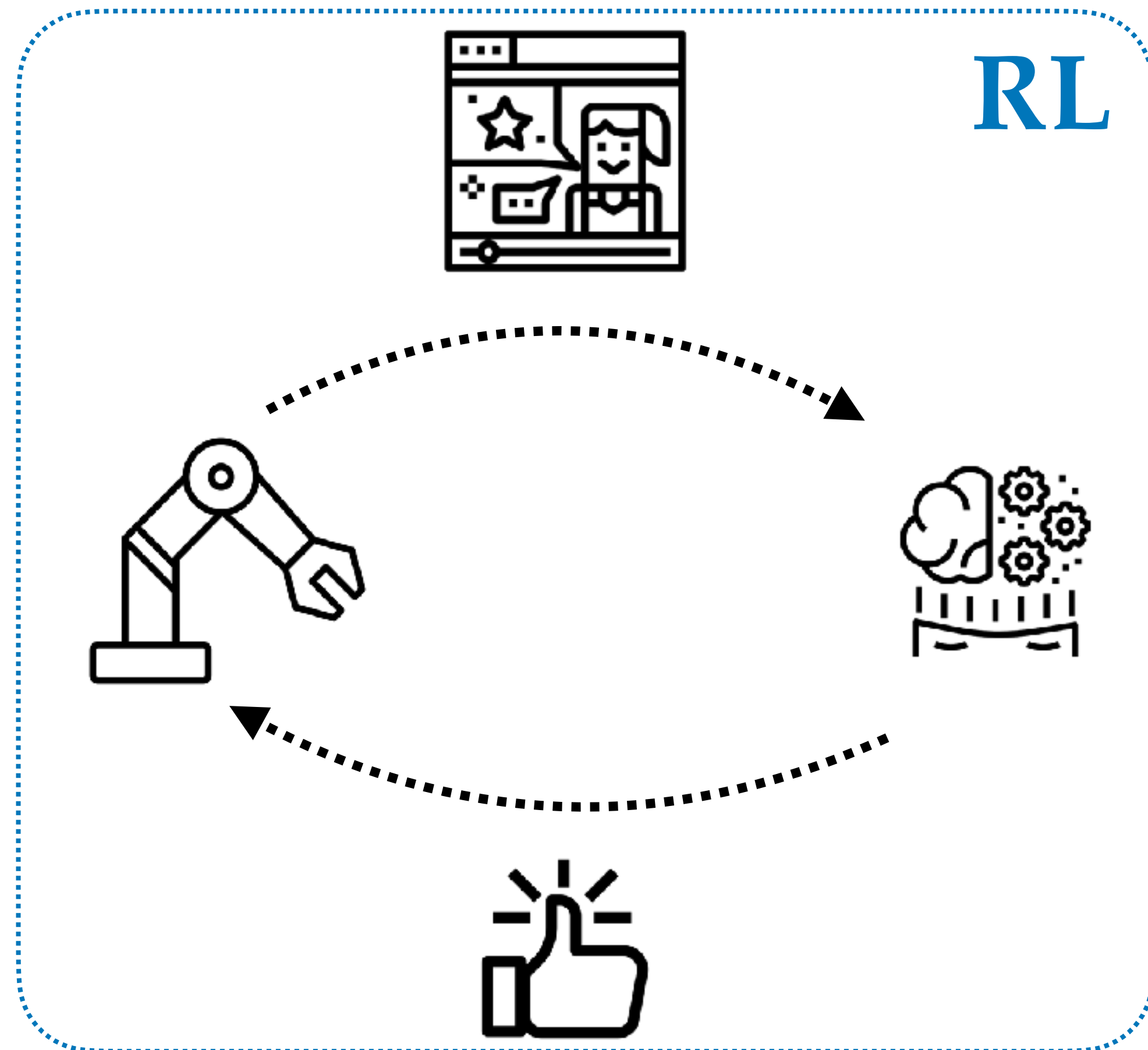
[Krueger et. al, 2020] Hidden Incentives for Auto-Induced Distributional Shift

[Carroll et. al, 2021] Estimating and Penalizing Induced Preference Shifts in Recommender Systems

[Evans et. al, 2021] User Tampering in Reinforcement Learning Recommender Systems

[Farquhar, Carey, Everitt, 2022] Path-Specific Objectives for Safer Agent Incentives

# Incentives for user manipulation



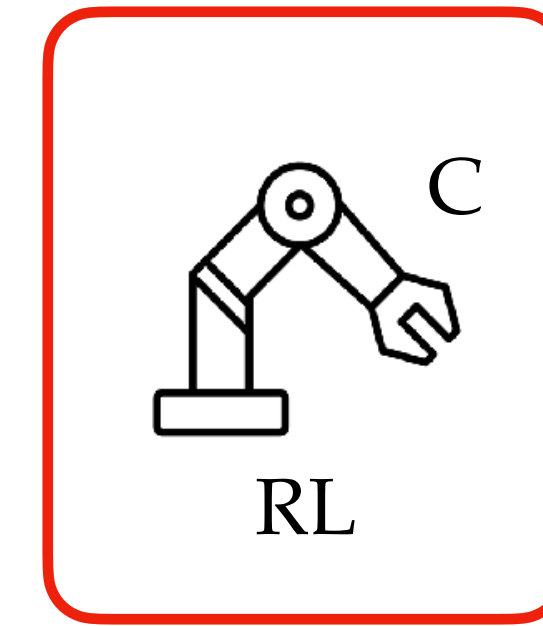
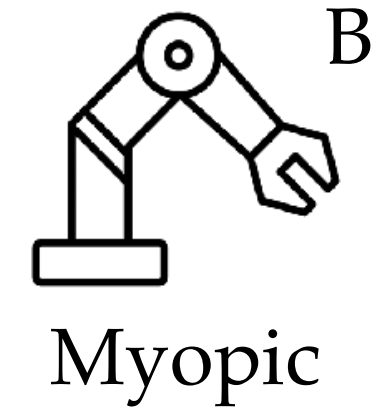
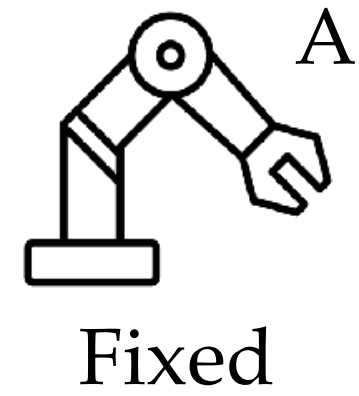
[Krueger et. al, 2020] Hidden Incentives for Auto-Induced Distributional Shift

[Carroll et. al, 2021] Estimating and Penalizing Induced Preference Shifts in Recommender Systems

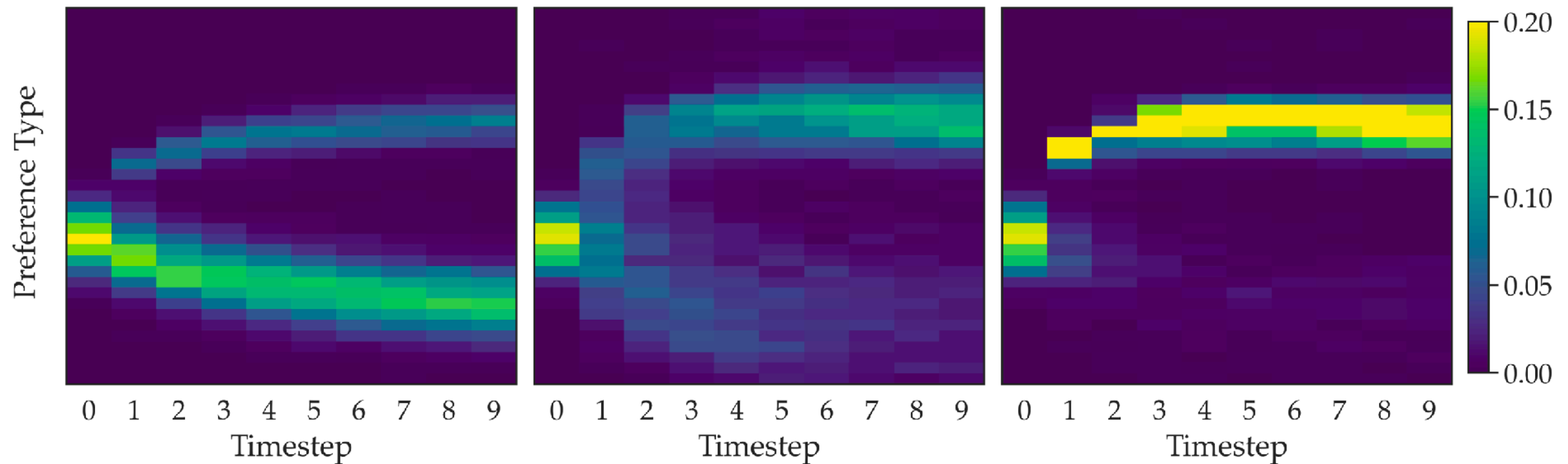
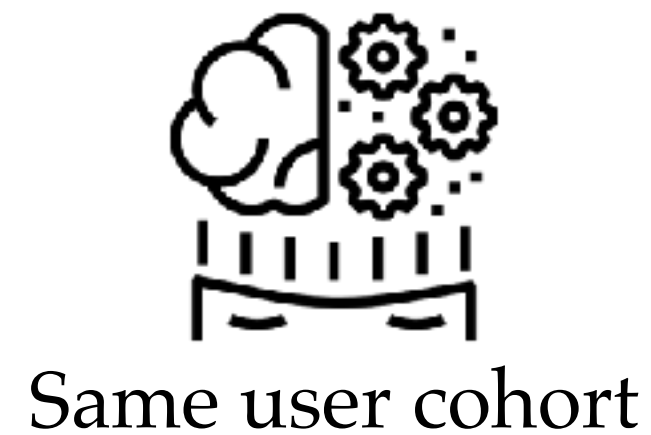
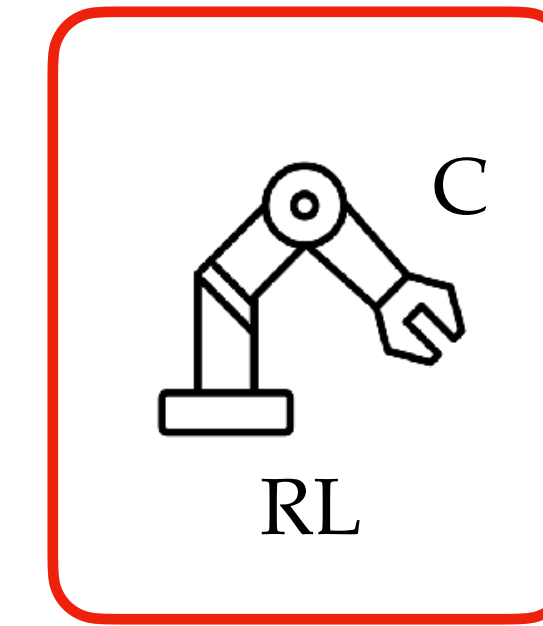
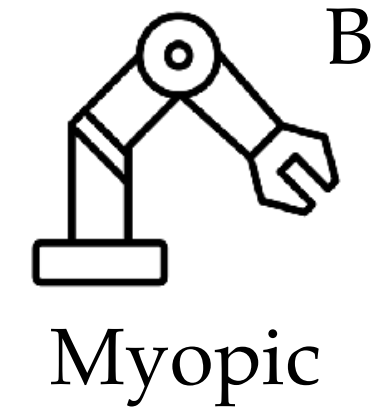
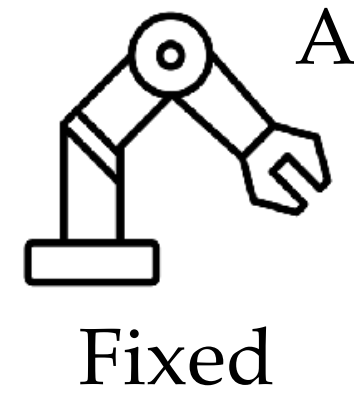
[Evans et. al, 2021] User Tampering in Reinforcement Learning Recommender Systems

[Farquhar, Carey, Everitt, 2022] Path-Specific Objectives for Safer Agent Incentives

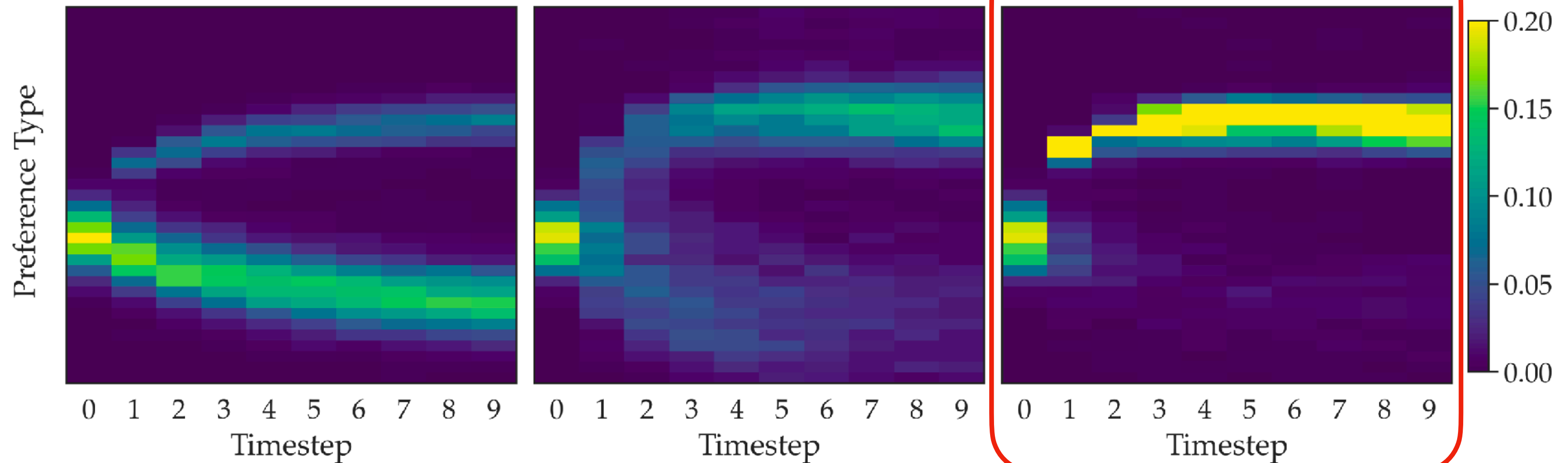
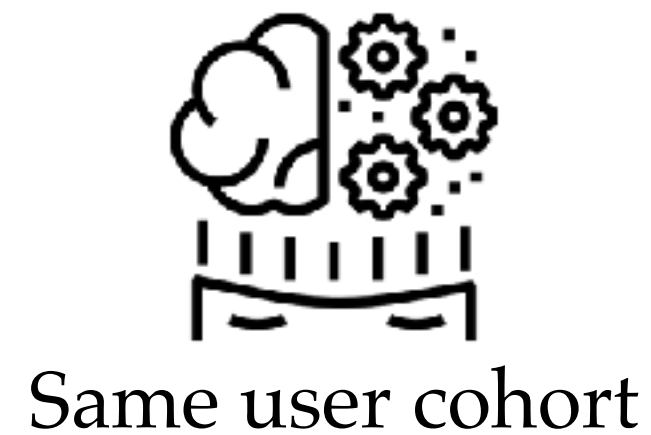
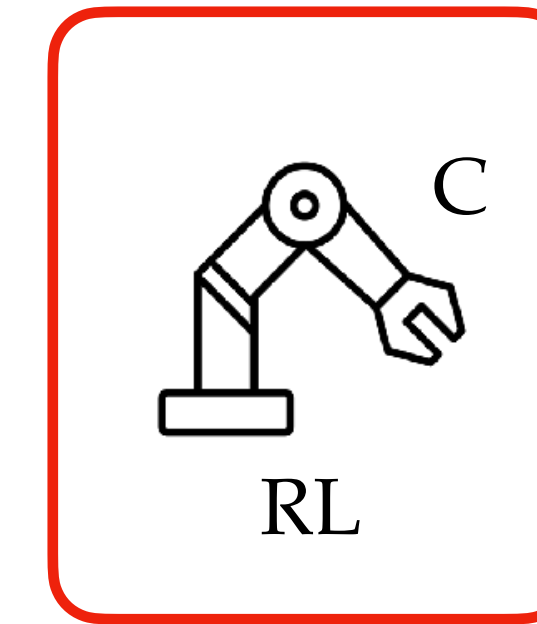
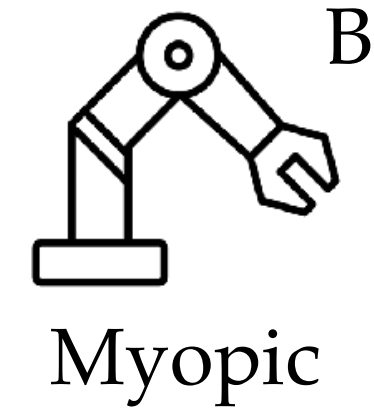
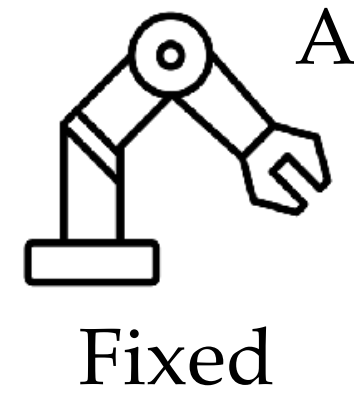
# Policy-induced preference shifts



# Policy-induced preference shifts

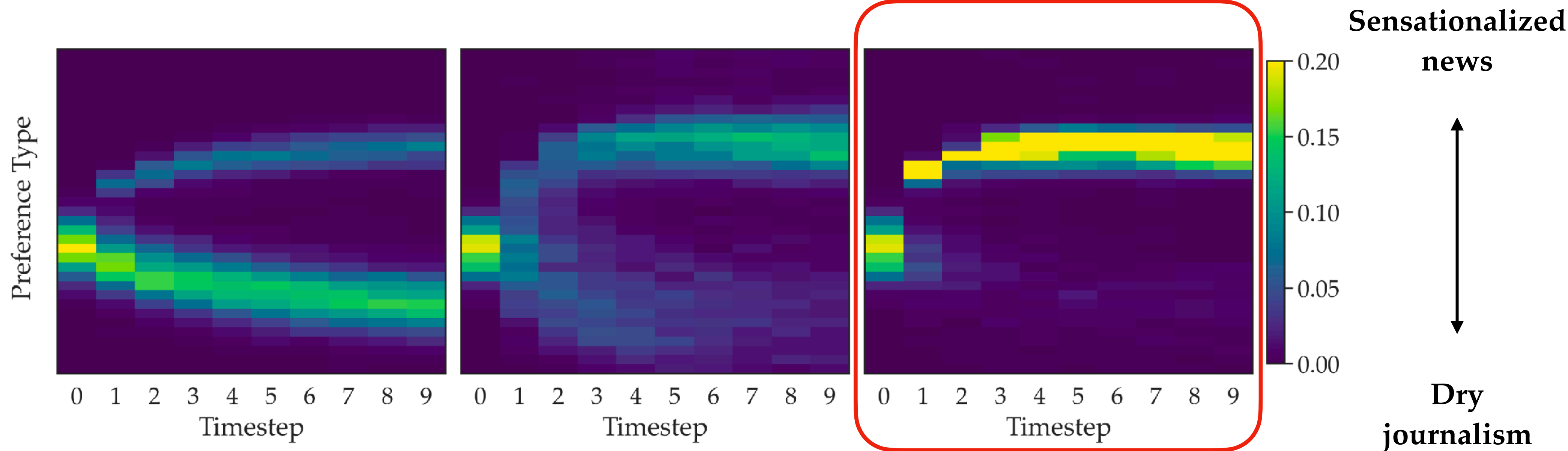
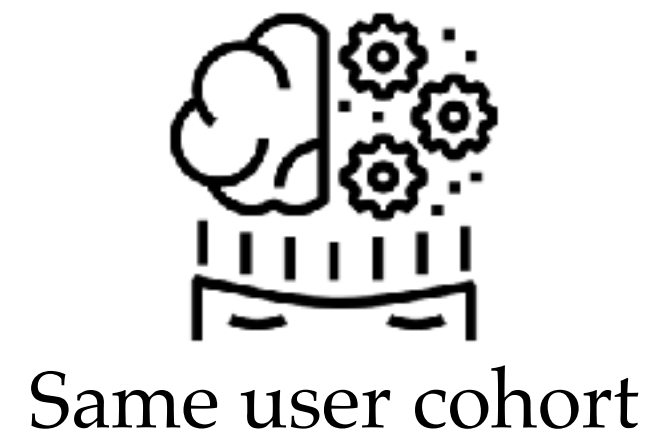
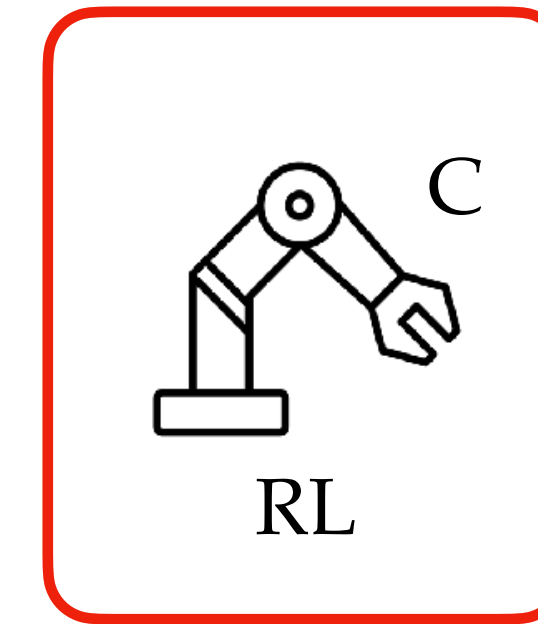
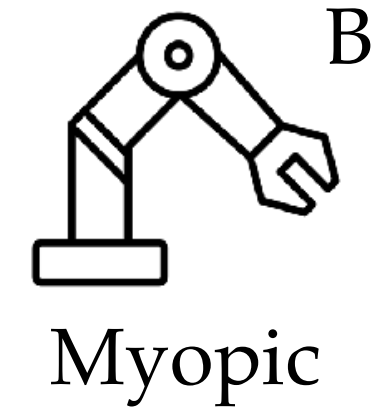
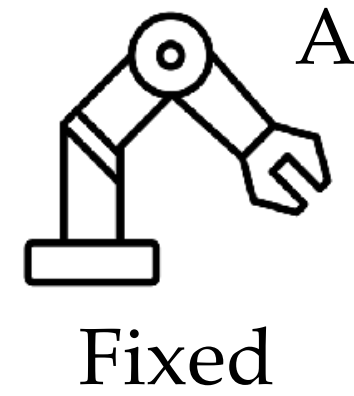


# Policy-induced preference shifts





# Policy-induced preference shifts



**How to cope with recommender-induced effects on users?**

# How to cope with recommender-induced effects on users?

1) Monitoring: estimating preference-shifts

## **How to cope with recommender-induced effects on users?**

- 1) Monitoring: estimating preference-shifts
- 2) Quantifying: flagging unwanted preference-shifts

## **How to cope with recommender-induced effects on users?**

- 1) Monitoring: estimating preference-shifts
- 2) Quantifying: flagging unwanted preference-shifts
- 3) Optimizing: penalizing unwanted preference-shifts

## How to cope with recommender-induced effects on users?

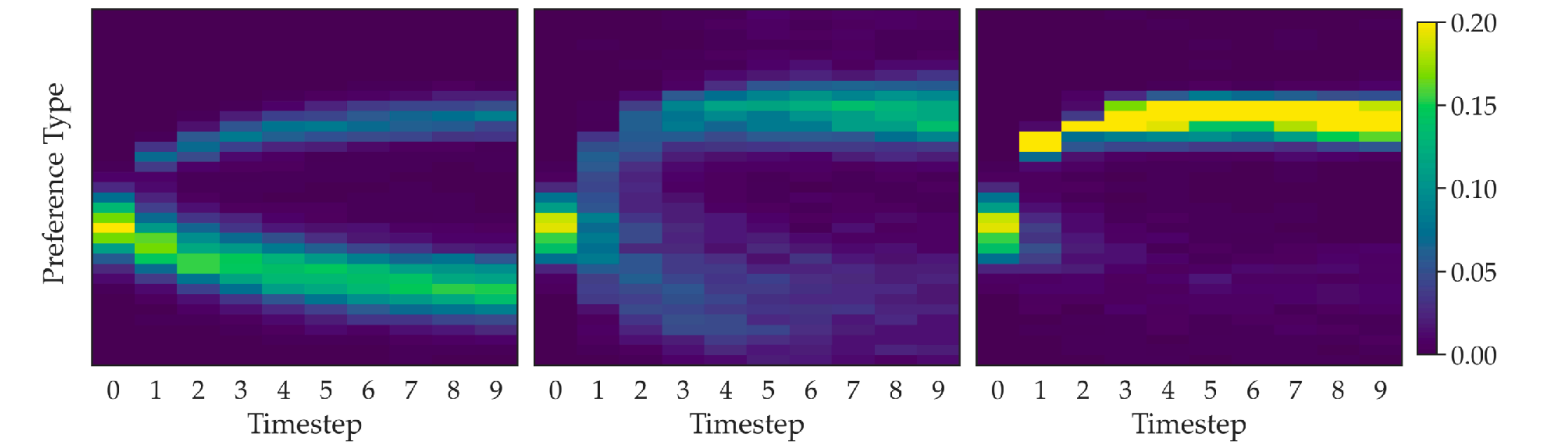
1) Monitoring: estimating preference-shifts

2) Quantifying: flagging unwanted preference-shifts

3) Optimizing: penalizing unwanted preference-shifts

# How to cope with recommender-induced effects on users?

1) Monitoring: estimating preference-shifts



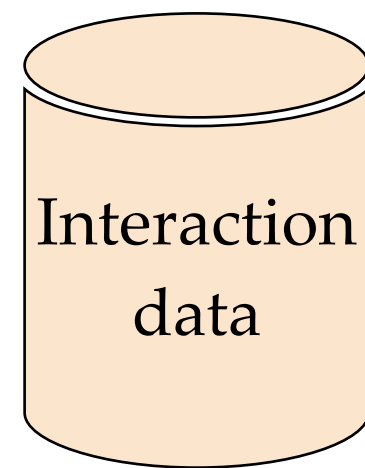
2) Quantifying: flagging unwanted preference-shifts

3) Optimizing: penalizing unwanted preference-shifts

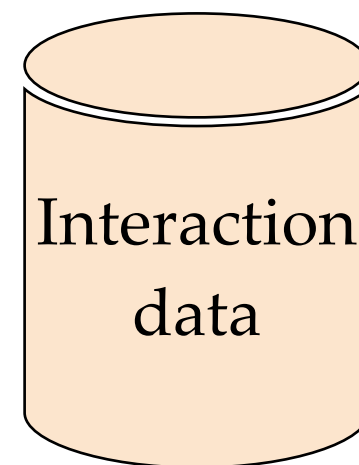
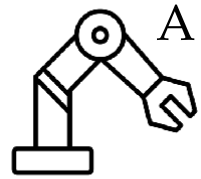
# Estimating policy-induced preference shifts



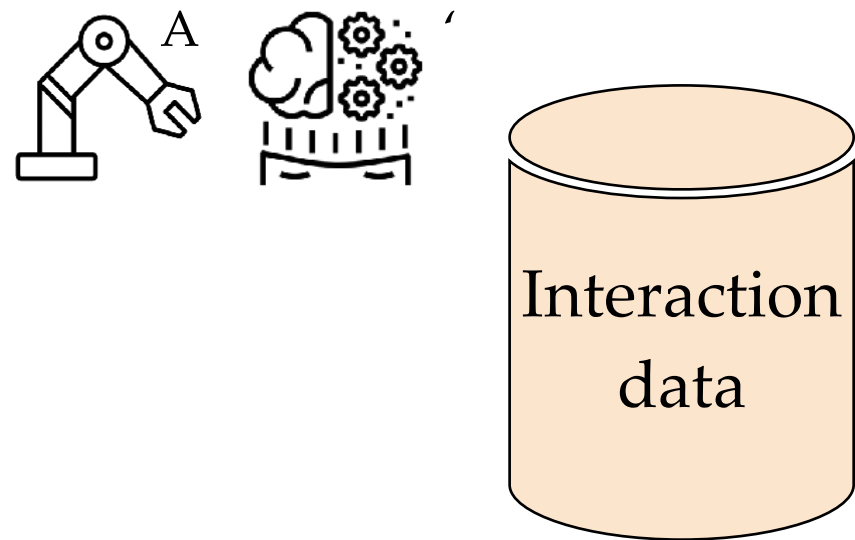
# Estimating policy-induced preference shifts



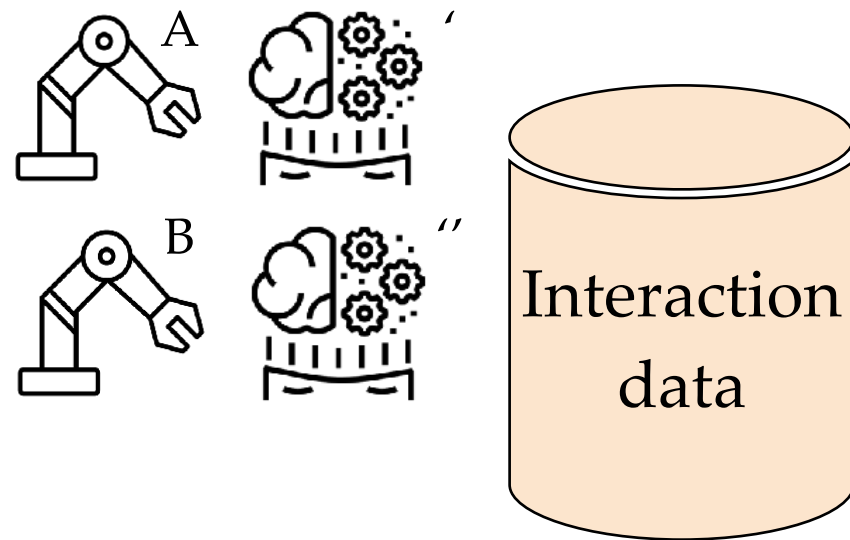
# Estimating policy-induced preference shifts



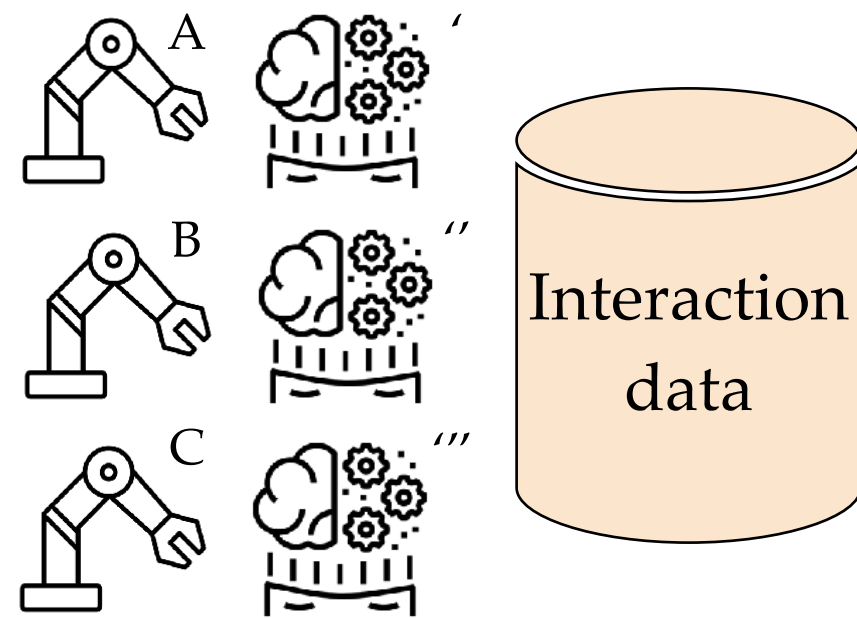
# Estimating policy-induced preference shifts



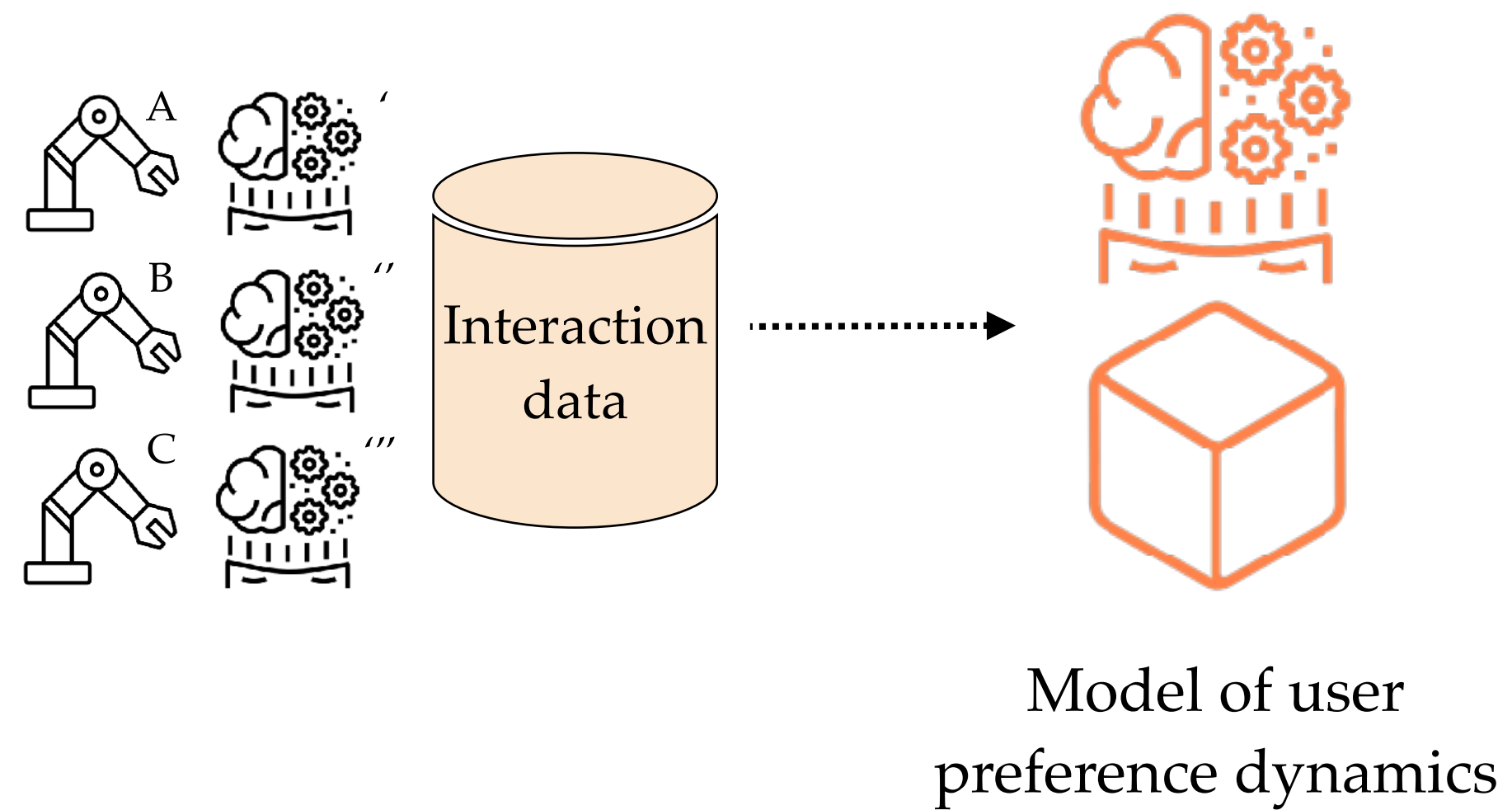
# Estimating policy-induced preference shifts



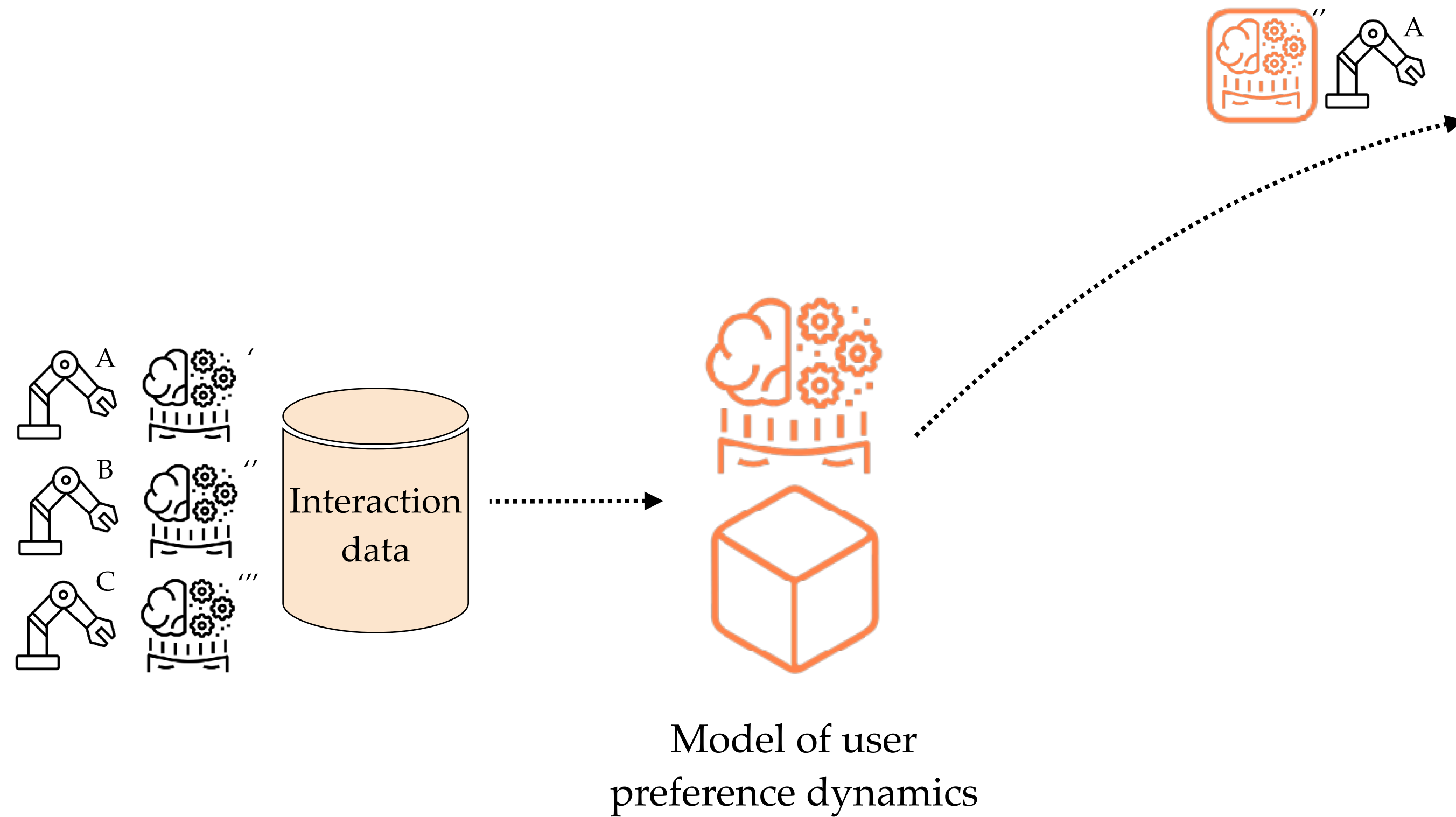
# Estimating policy-induced preference shifts



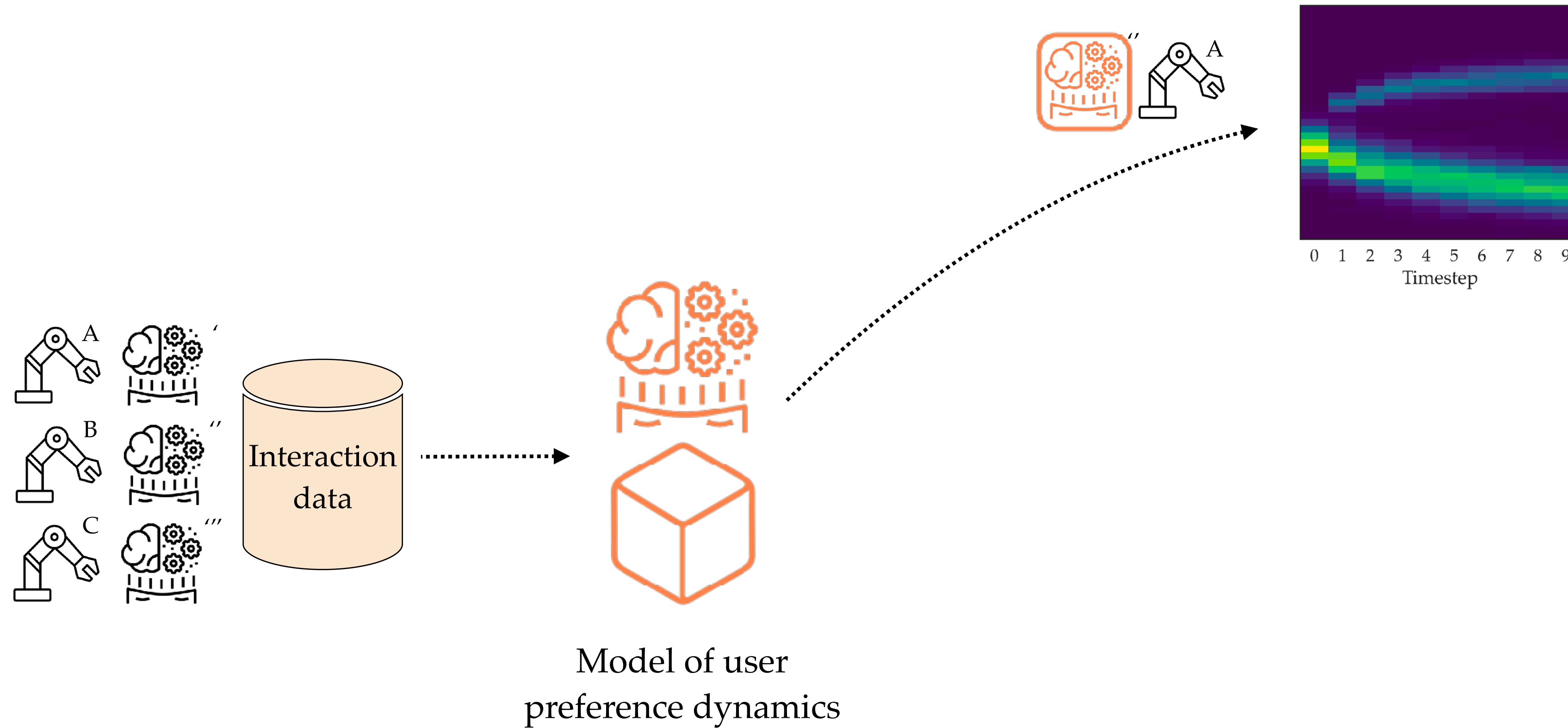
# Estimating policy-induced preference shifts



# Estimating policy-induced preference shifts

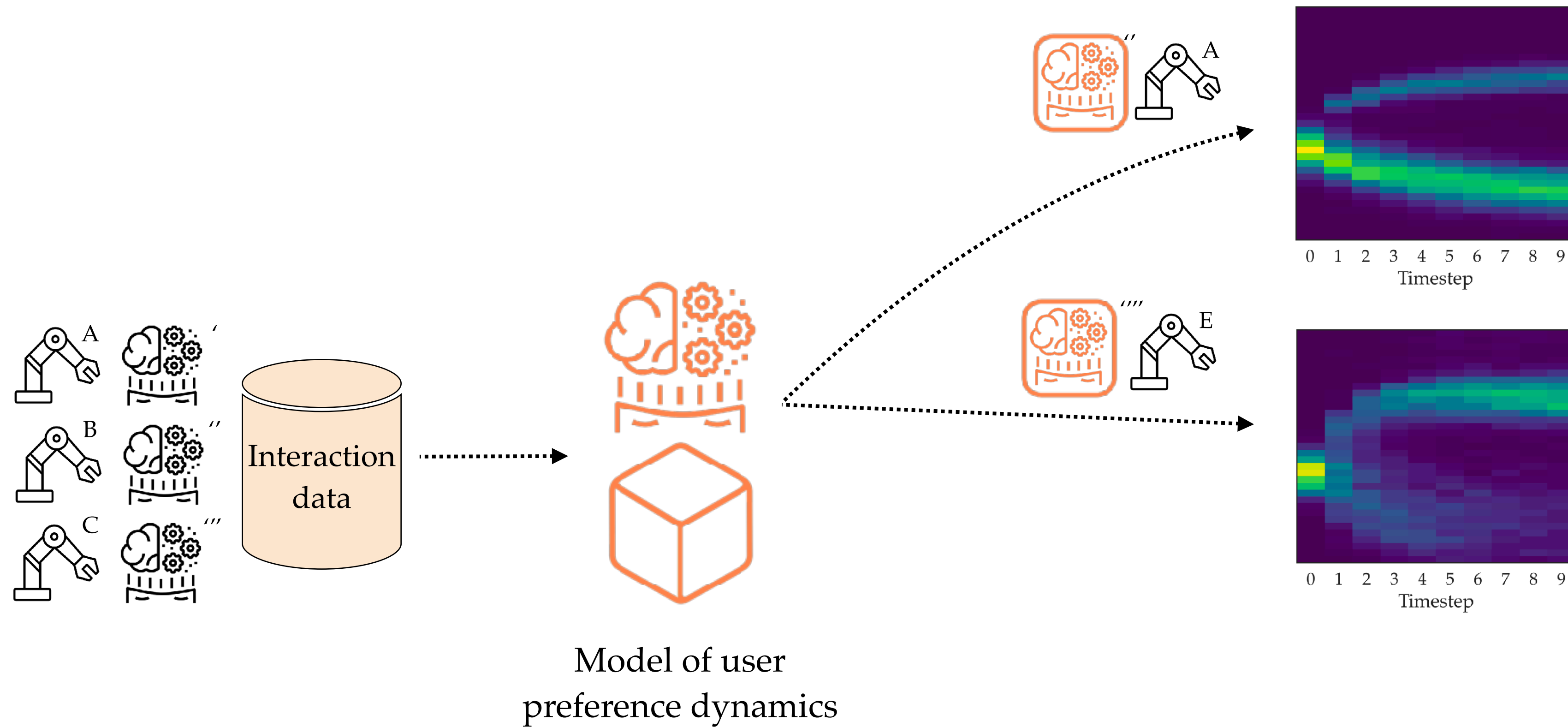


# Estimating policy-induced preference shifts

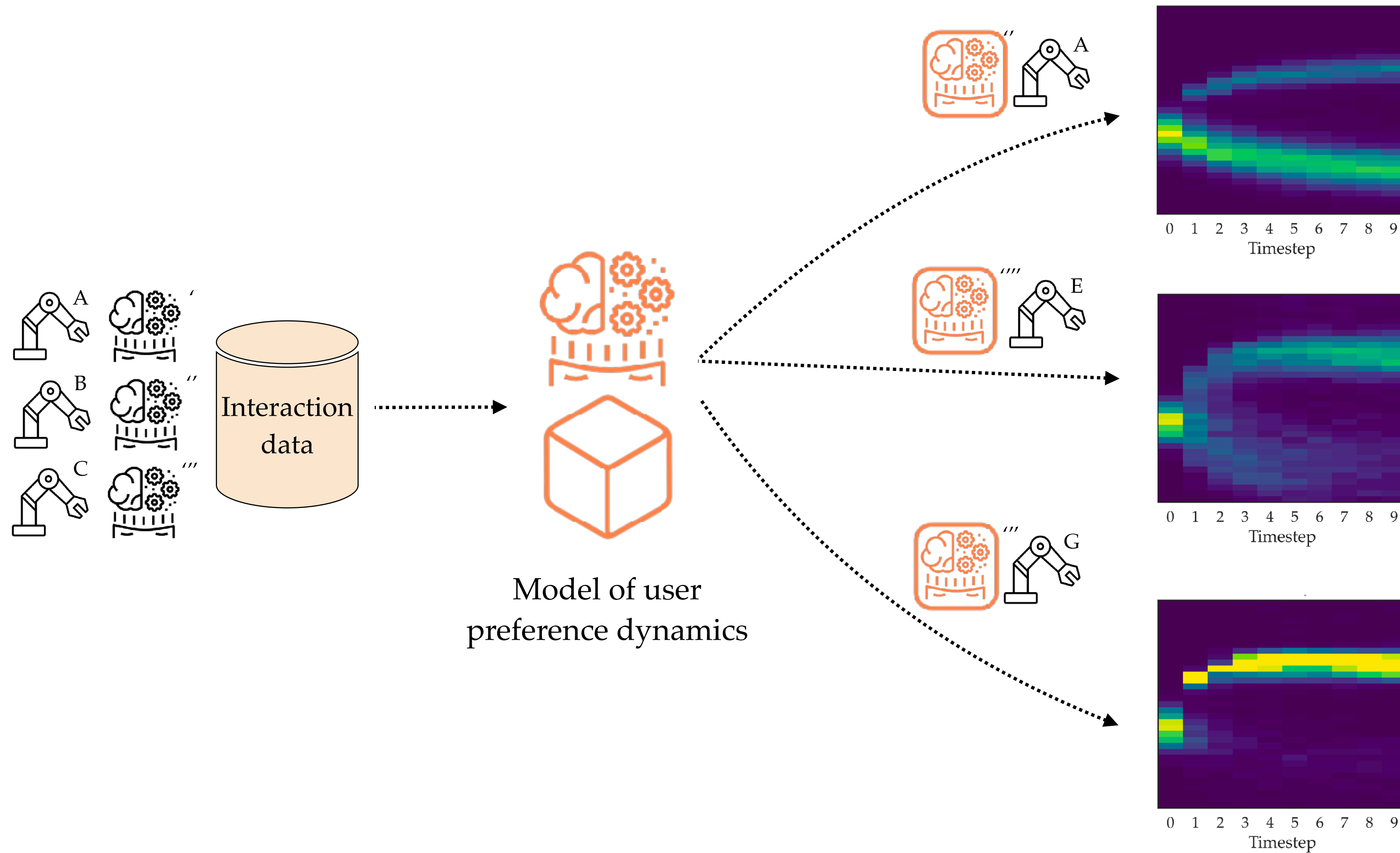




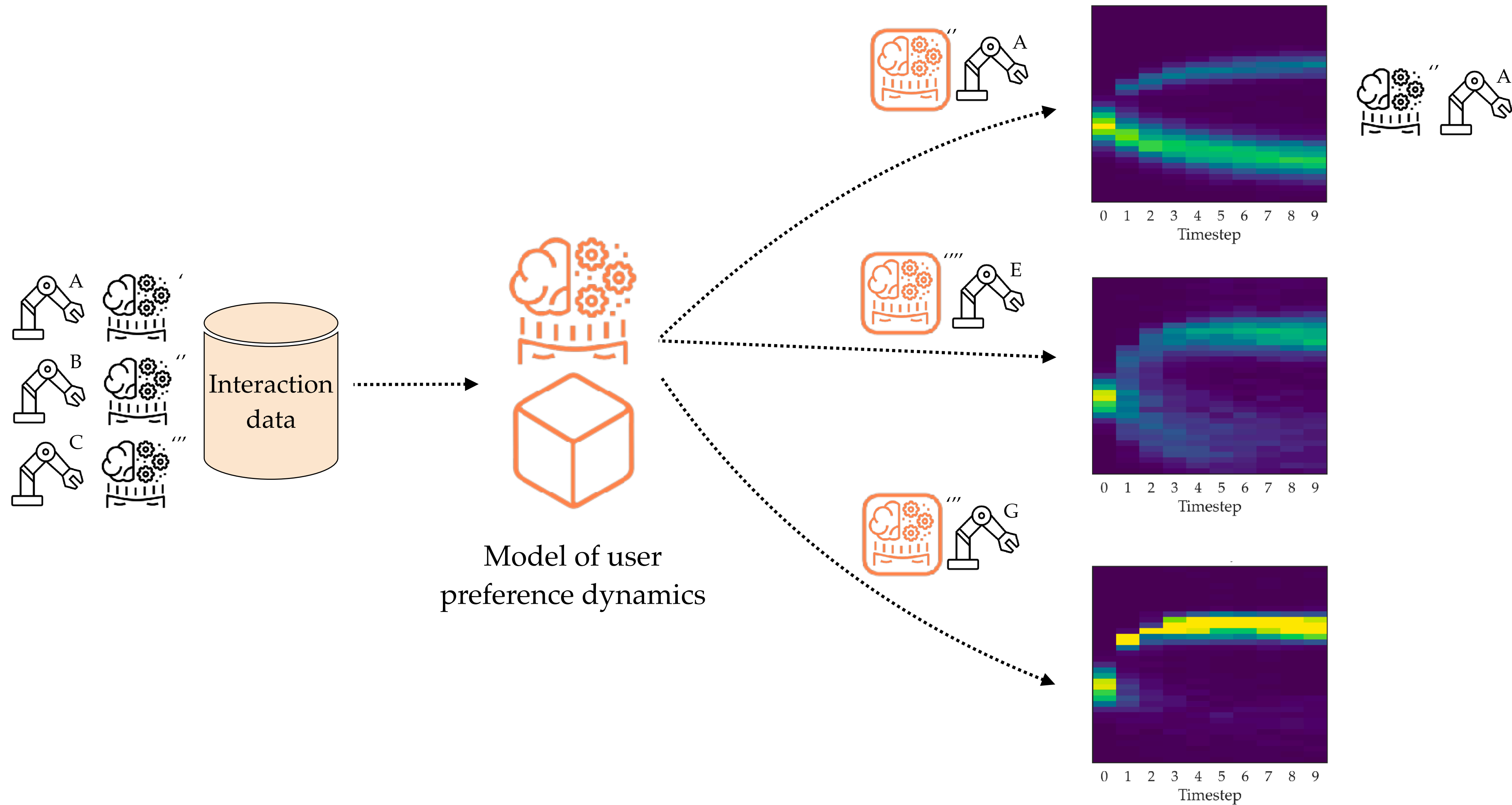
# Estimating policy-induced preference shifts



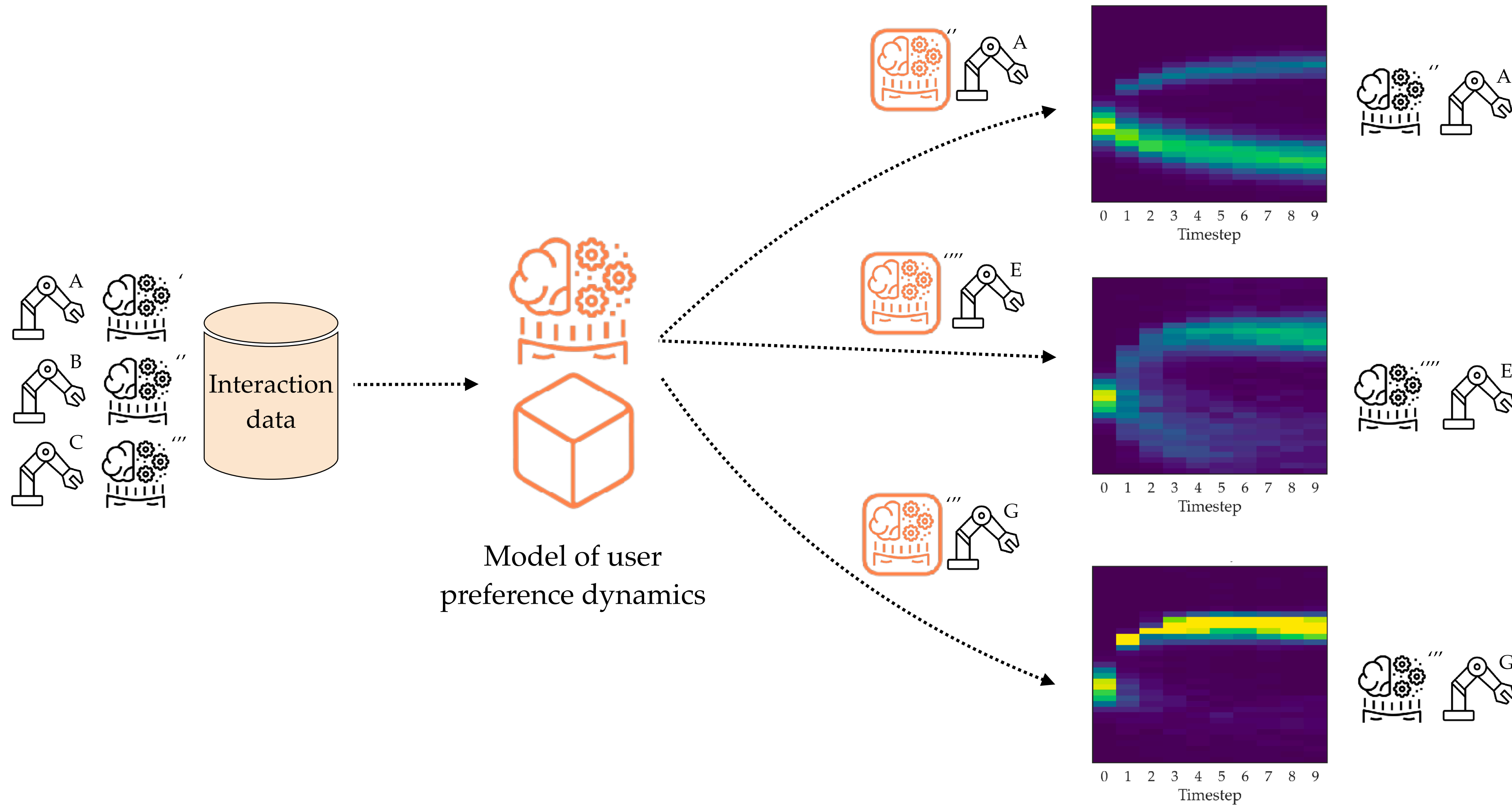
# Estimating policy-induced preference shifts



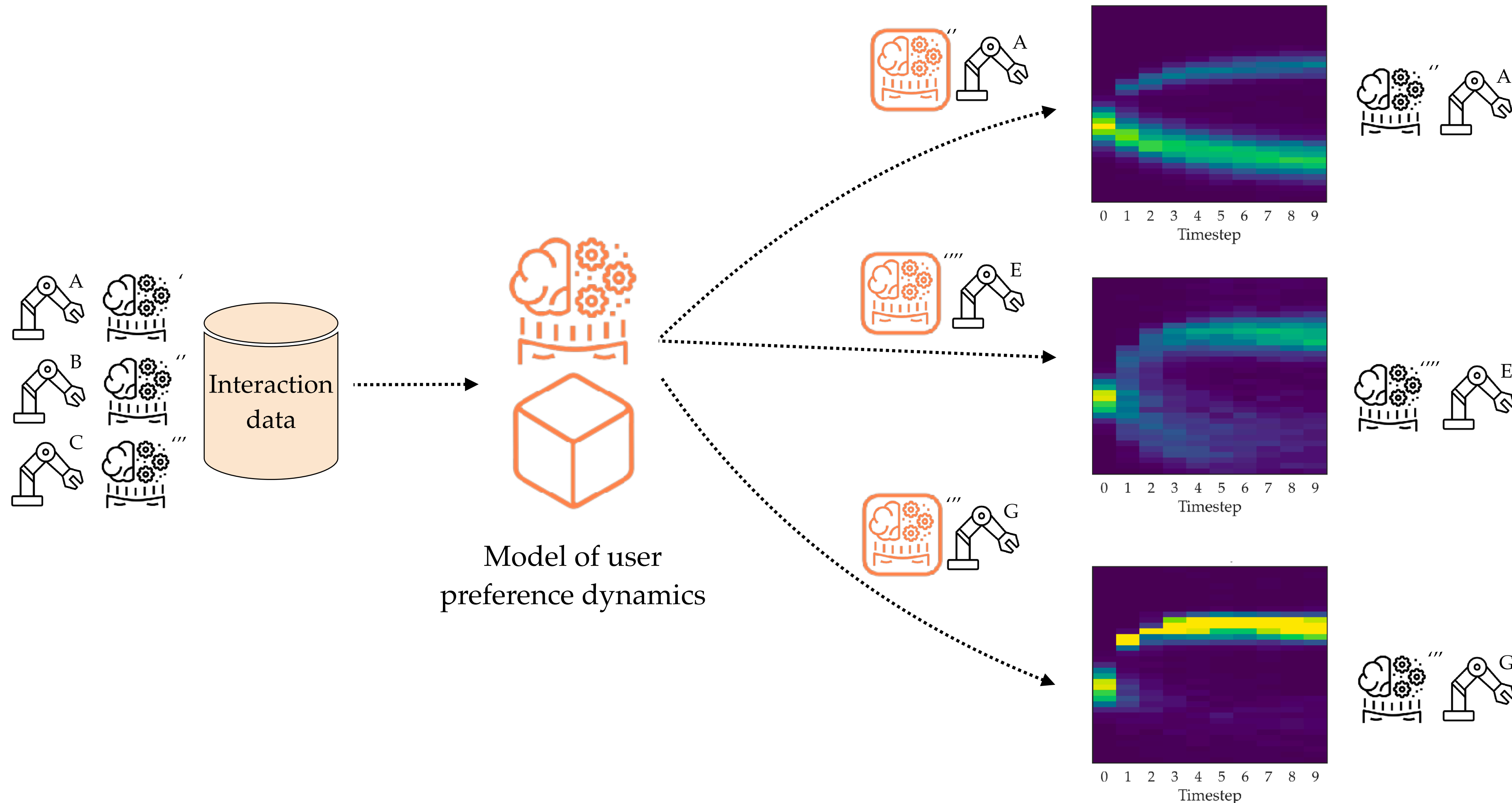
# Estimating policy-induced preference shifts



# Estimating policy-induced preference shifts



# Estimating policy-induced preference shifts



*Estimate the effects of recommenders on user's preferences before deployment*

**How to cope with recommender-induced effects on users?**

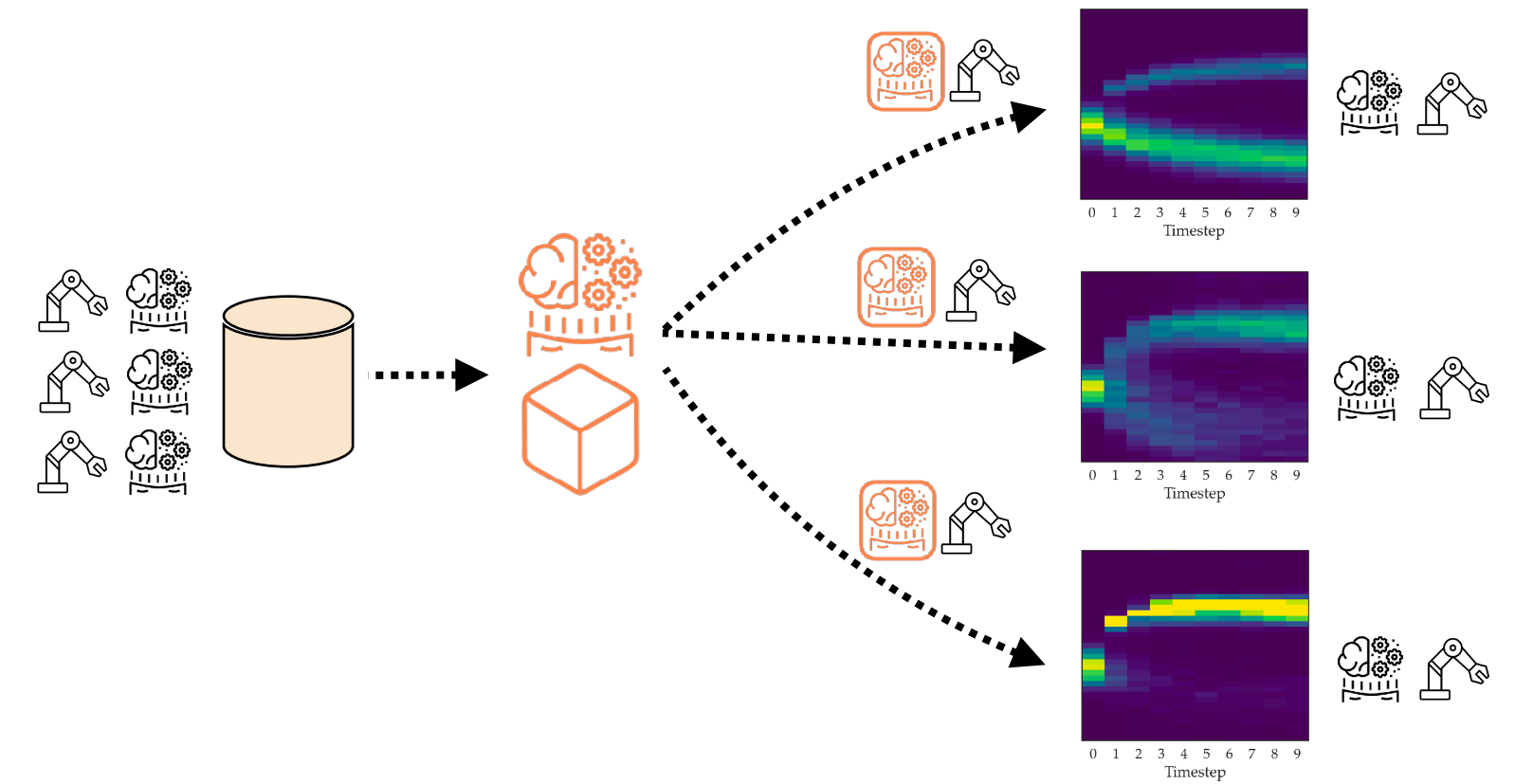
# How to cope with recommender-induced effects on users?

1) Monitoring: estimating preference-shifts



# How to cope with recommender-induced effects on users?

## 1) Monitoring: estimating preference-shifts





# **How to cope with recommender-induced effects on users?**

1) Monitoring: estimating preference-shifts

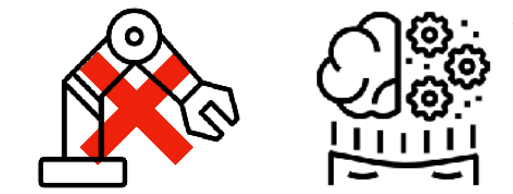
# How to cope with recommender-induced effects on users?

1) Monitoring: estimating preference-shifts

2) Quantifying: flagging unwanted preference-shifts

# How to cope with recommender-induced effects on users?

1) Monitoring: estimating preference-shifts

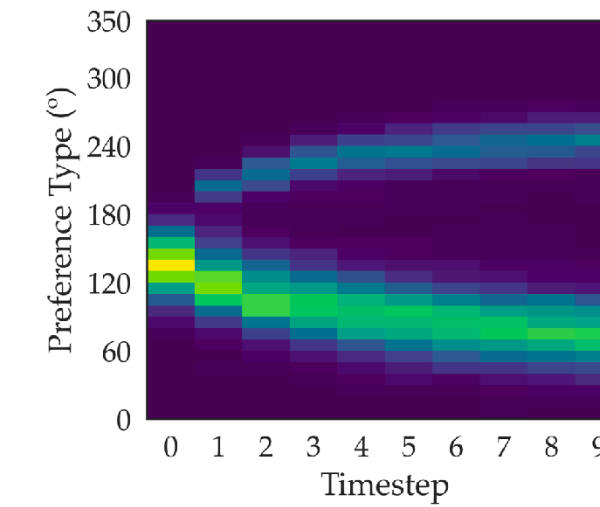
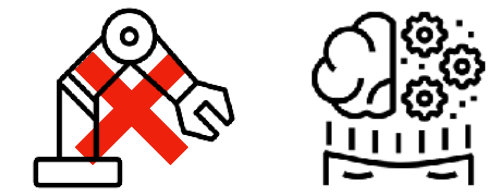


2) Quantifying: flagging unwanted preference-shifts

# How to cope with recommender-induced effects on users?

1) Monitoring: estimating preference-shifts

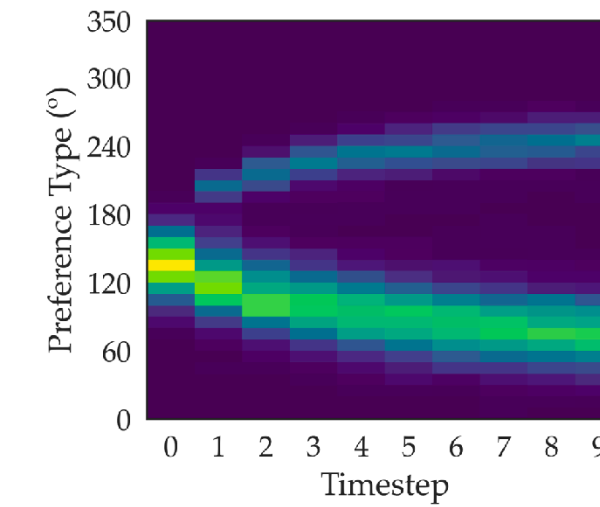
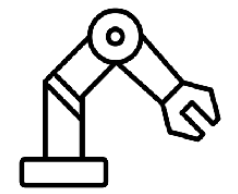
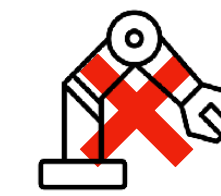
2) Quantifying: flagging unwanted preference-shifts



# How to cope with recommender-induced effects on users?

1) Monitoring: estimating preference-shifts

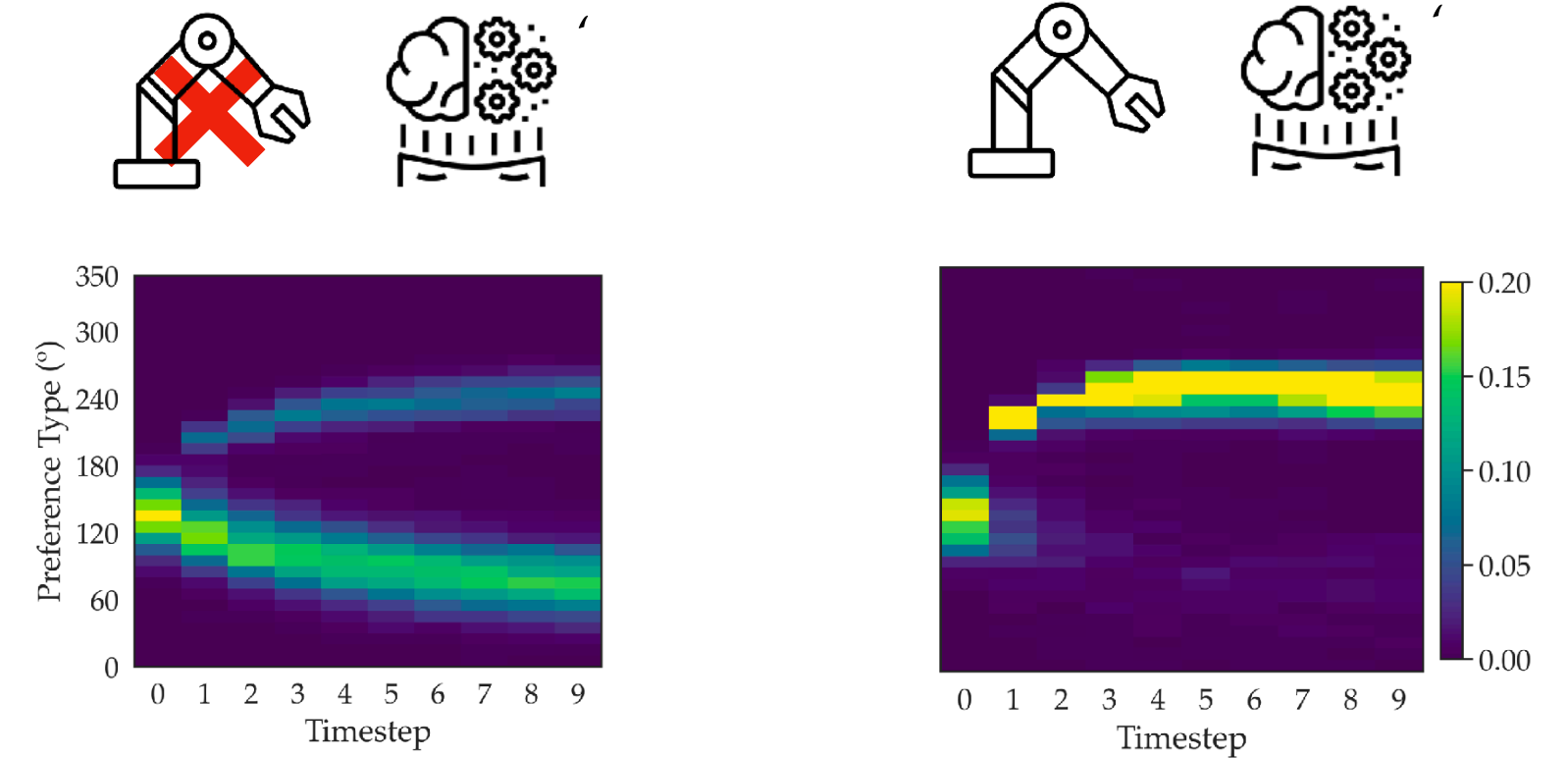
2) Quantifying: flagging unwanted preference-shifts



# How to cope with recommender-induced effects on users?

1) Monitoring: estimating preference-shifts

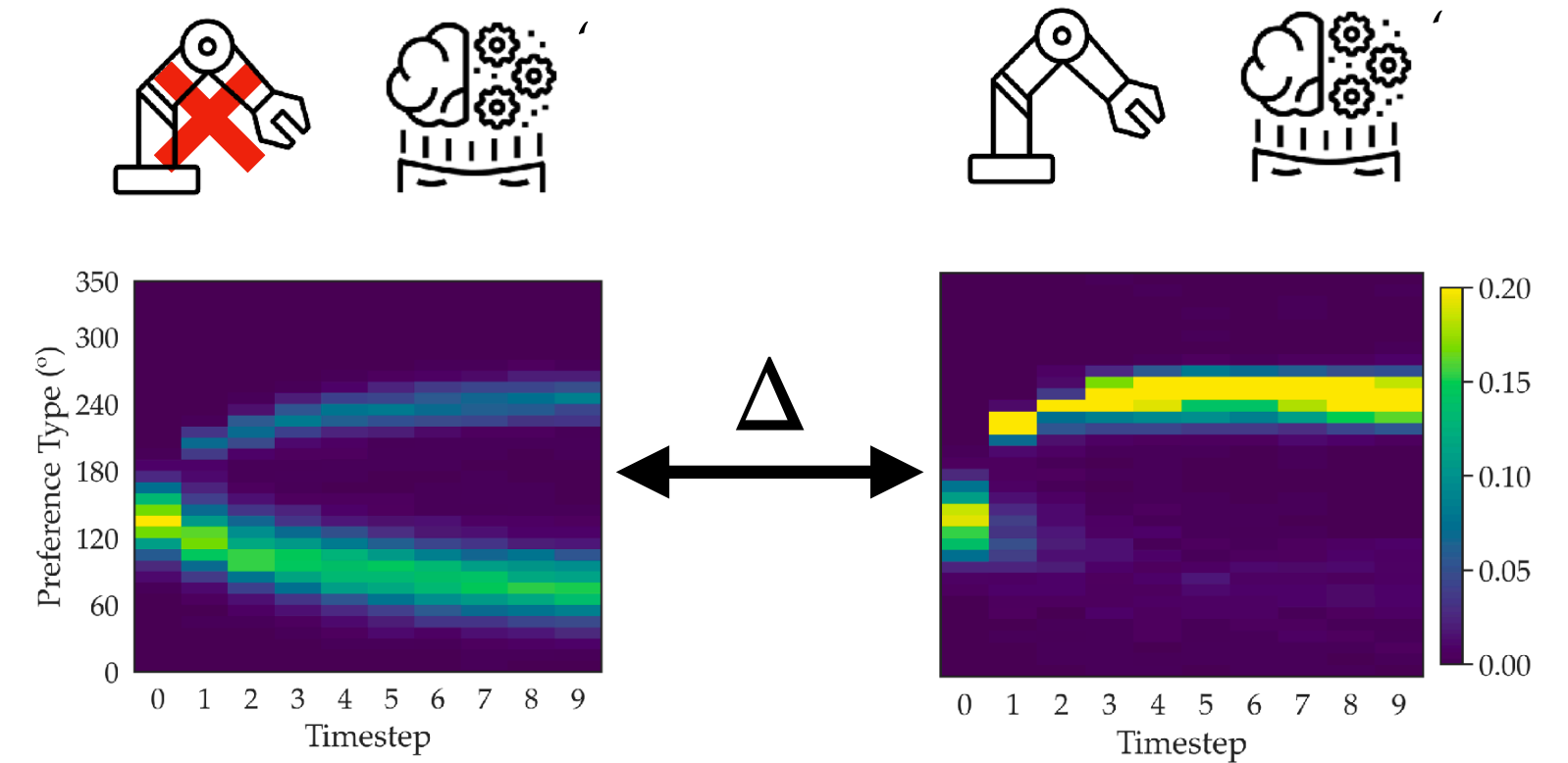
2) Quantifying: flagging unwanted preference-shifts



# How to cope with recommender-induced effects on users?

1) Monitoring: estimating preference-shifts

2) Quantifying: flagging unwanted preference-shifts

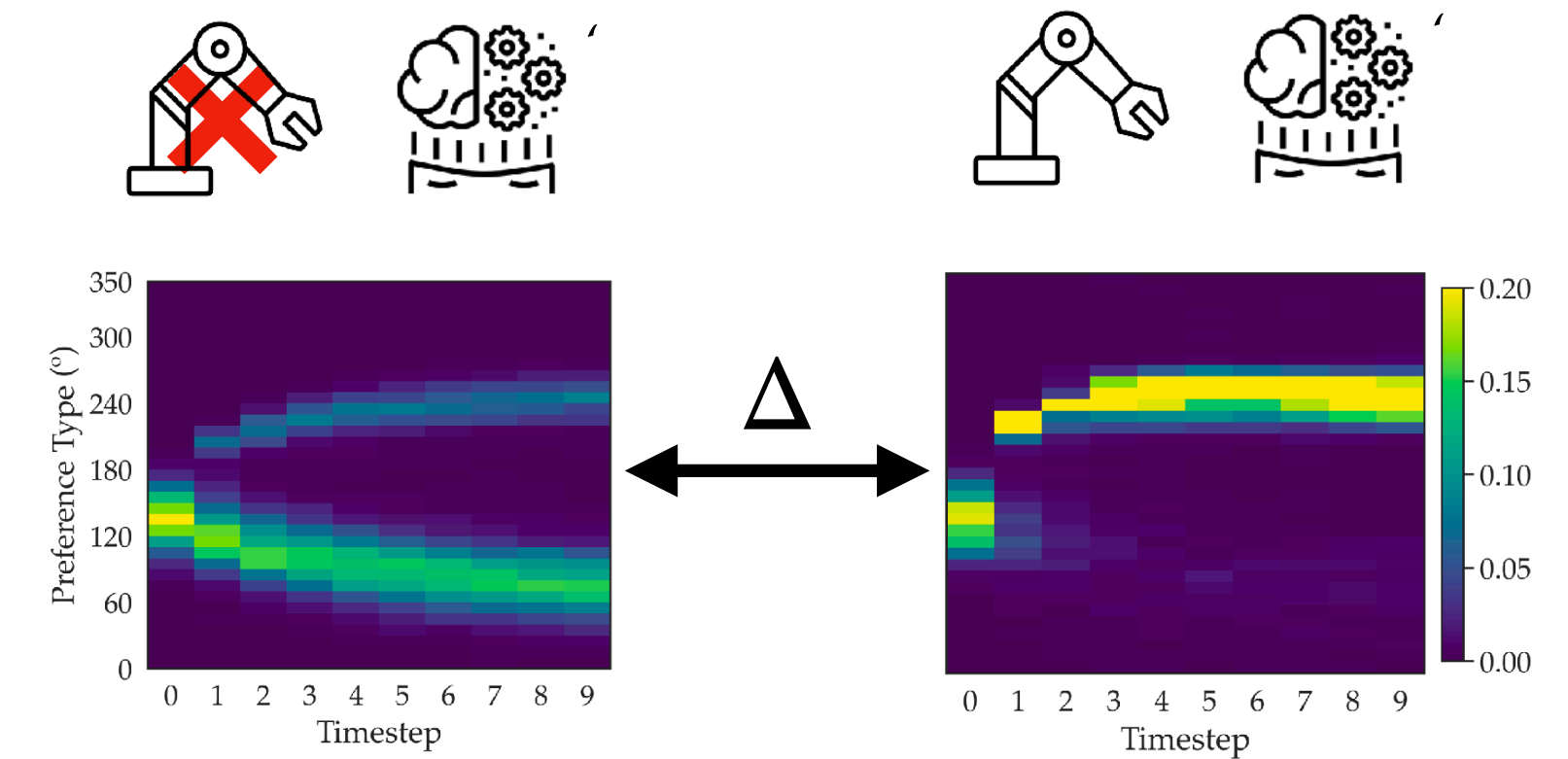


# How to cope with recommender-induced effects on users?

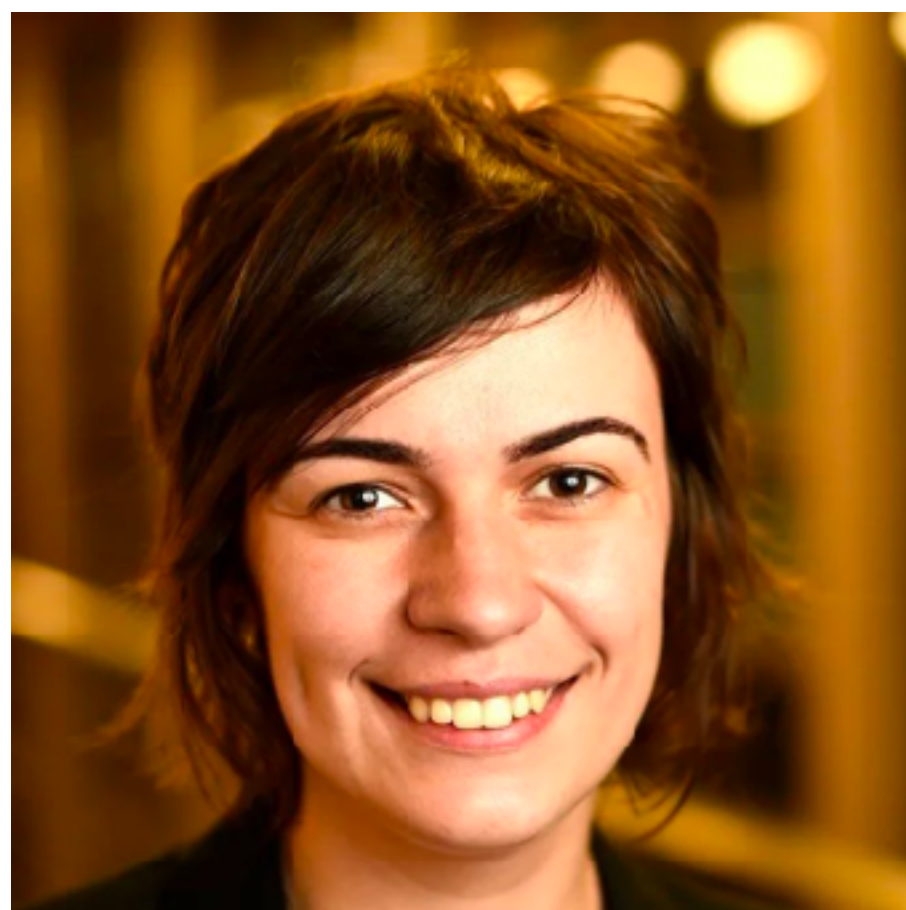
1) Monitoring: estimating preference-shifts

2) Quantifying: flagging unwanted preference-shifts

3) Optimizing: penalizing unwanted preference-shifts







See the paper for more details!

---

## Estimating and Penalizing Induced Preference Shifts in Recommender Systems

---

Micah Carroll<sup>1</sup> Anca Dragan<sup>1</sup> Stuart Russell<sup>1</sup> Dylan Hadfield-Menell<sup>2</sup>

### Abstract

The content that a recommender system (RS) shows to users influences them. Therefore, when choosing a recommender to deploy, one is implicitly also choosing to induce specific internal states

of changes in users' internal states: simple changes in the content displayed to users can affect their behavior (Wilhelm et al., 2018; Hohnhold et al., 2015), mood (Kramer et al., 2014), beliefs (Allcott et al., 2020), and preferences (Adomavicius et al., 2013; Epstein & Robertson, 2015).

