



# Flow-based Recurrent Belief State Learning for POMDPs

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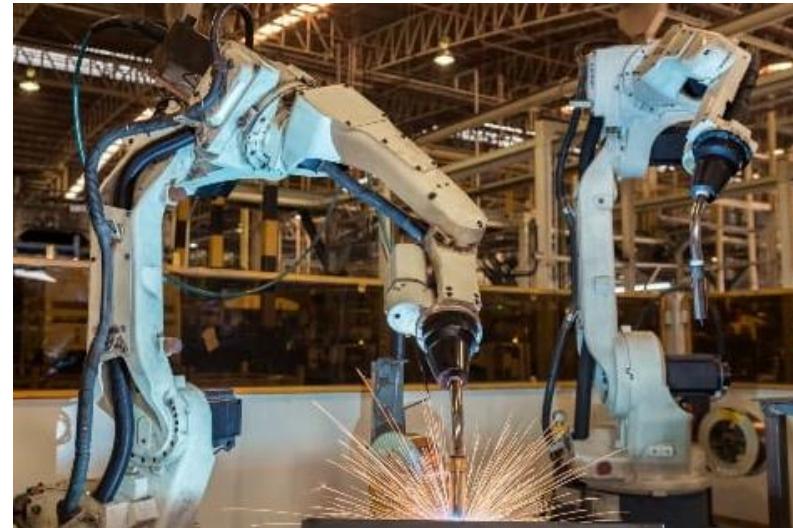
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# Background

- Partially Observable Markov Decision Process (POMDP) provides a principled and generic framework to model real world sequential decision making processes.



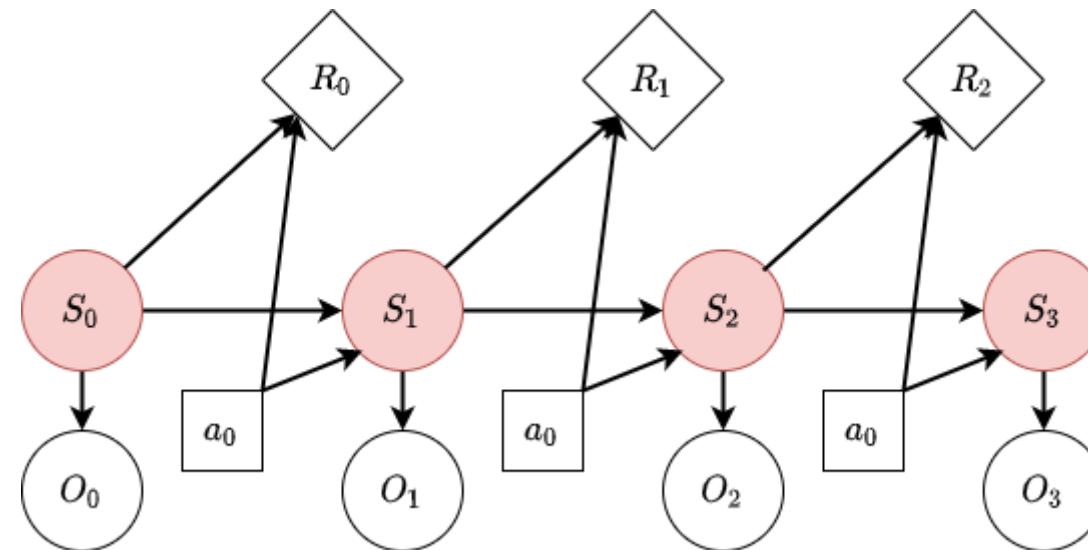
Intelligent vehicles



Intelligent robots

# Partially Observable Markov Decision Process (POMDP)

- True environment states  $s_t$  are **unobservable**.
- Observations are **high-dimensional** and **non-Markovian**.
- The decision should be made based on **all past information**  $\tau = \{o_{1:t}, a_{1:t-1}\}$ .



POMDP (red circle for unobservable)

# Belief State Learning

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□ One effective solution is to obtain the **belief state**:

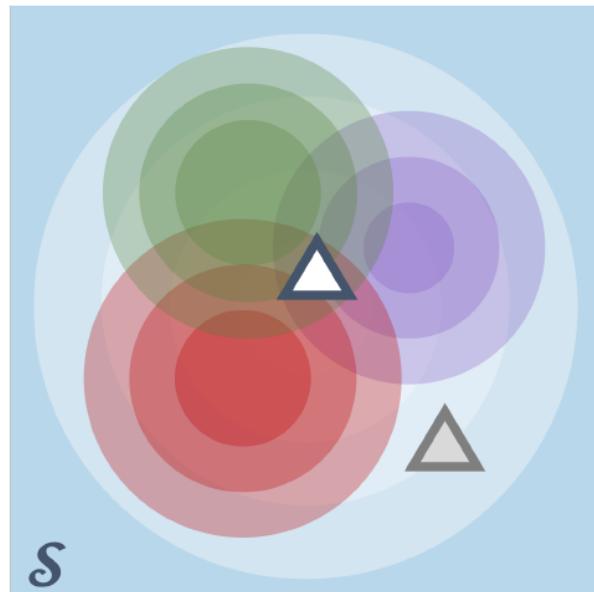
- $b(s_t) = p(s_t | o_1, a_1, \dots, o_{t-1}, a_{t-1}, o_t)$
- The probability distribution of the unobservable environment state conditioned on the past observations and actions.

□ Traditional methods of calculating belief states assume **finite discrete space** with a known model.

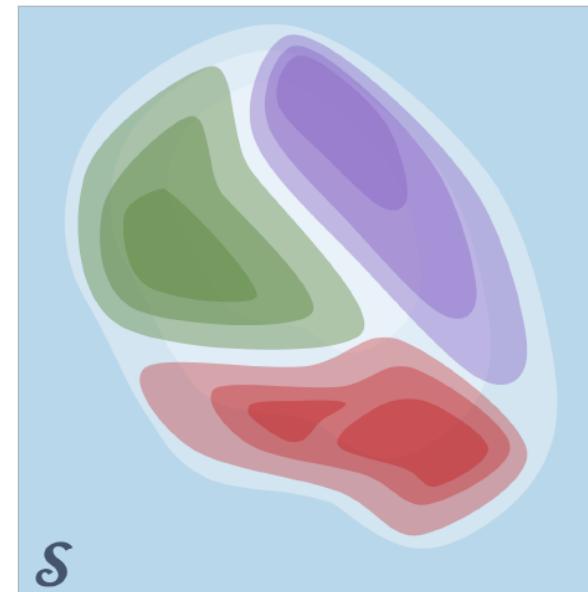
□ Recently, a branch of works have been proposed to learn the belief states of POMDPs with **unknown model** and **continuous state space**.

# Belief State Learning

- However, they still cannot capture general belief states due to the intractability of complex distributions in high-dimensional continuous space.



(a) Approximated Gaussian Belief



(b) True Belief



$p(s|\tau)$



$q(s|\tau, o)$



$q(s|\tau, o)$

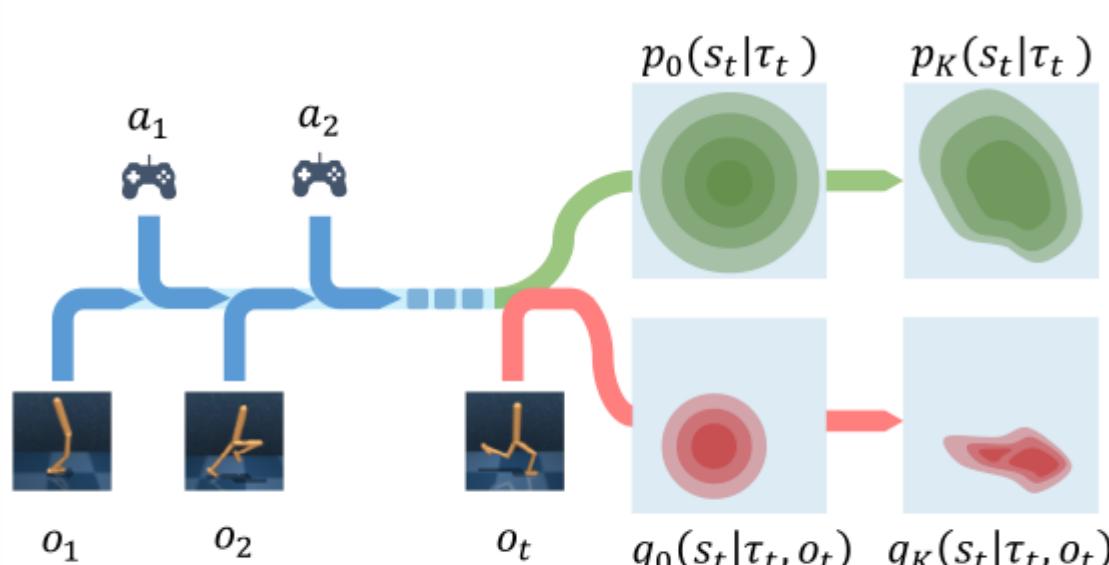


$q(s|\tau, o)$

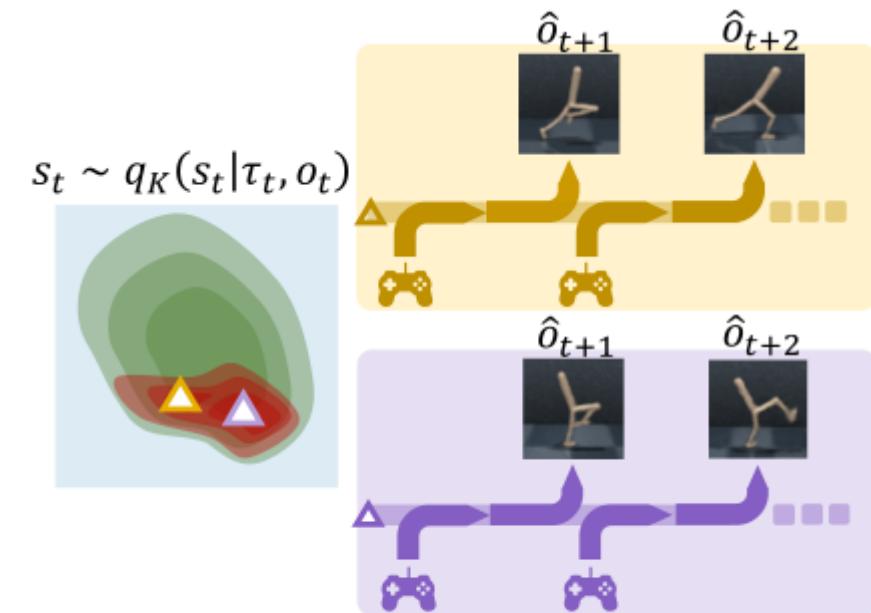
# FIow-based Recurrent BElief State model (FORBES)

- ❑ Rather than using Gaussian family, it is more desirable to use a family of distributions that is highly flexible.
- ❑  $f_\theta: \mathbb{R}^D \rightarrow \mathbb{R}^D$  is an invertible and differentiable mapping:

$$z_K = f_{\theta_K} \circ f_{\theta_{K-1}} \circ \cdots \circ f_{\theta_1}(z_0)$$



(a) Belief state inference



(b) Predictions beginning from different samples

## POMDP RL framework based on FORBES

- To better exploit the flexibility within the belief distribution, we run the sampling method  $N$  times to capture the diverse predictions.

$$\mathcal{J}_{\text{Critic}}(\xi) = \mathbb{E}_{s_{i,0} \sim q_K, a_\tau \sim q_\phi, s_{i,\tau} \sim p_\psi} \left[ \sum_{i=1}^N \sum_{\tau=t}^{t+H} \frac{1}{2} (v_\xi(s_{i,\tau}) - \text{sg}(V_{i,\tau}^\lambda))^2 \right].$$

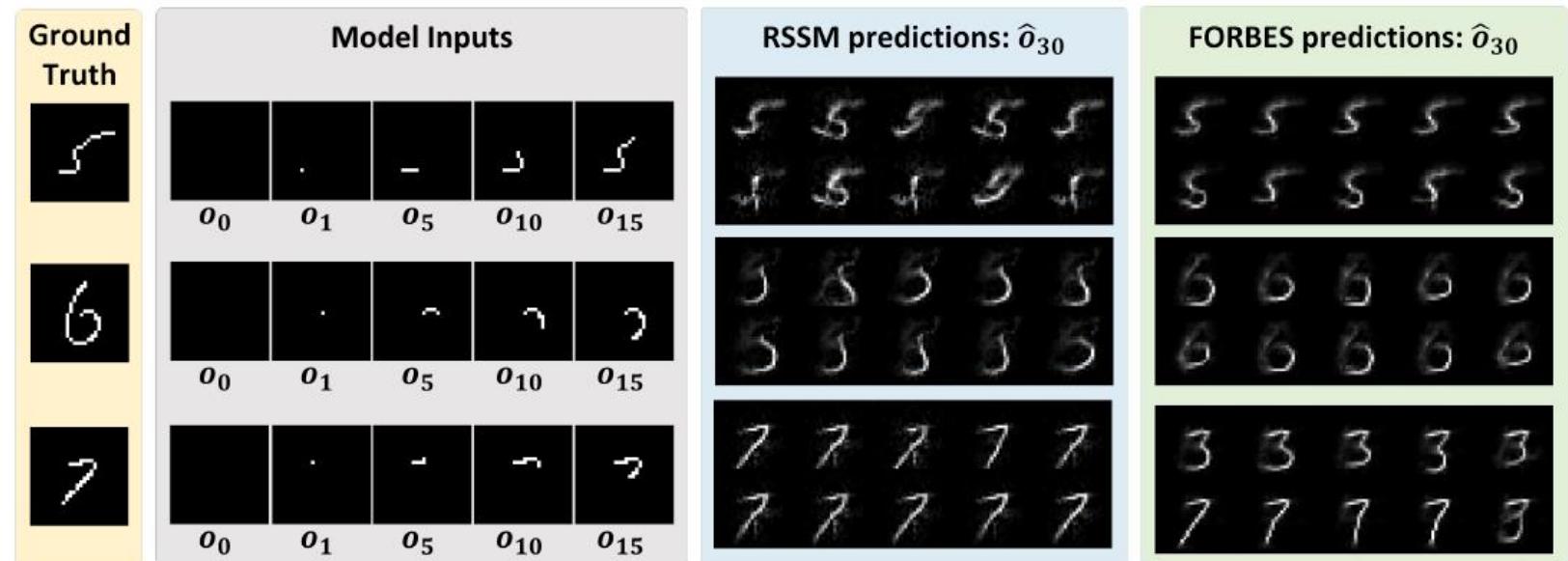
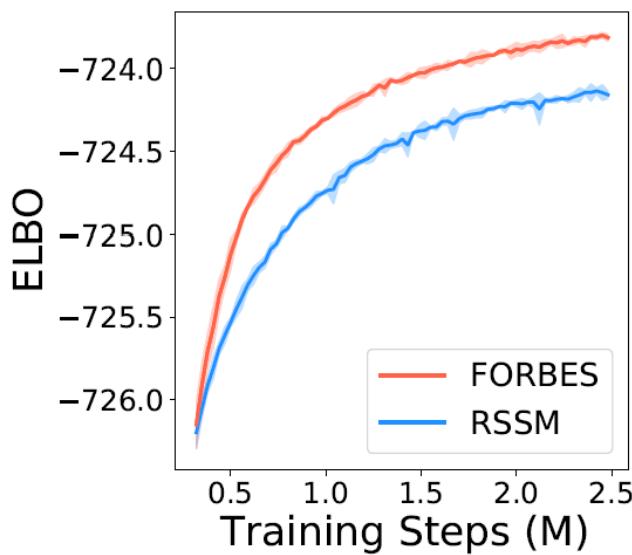
$$\mathcal{J}_{\text{Actor}}(\phi) = \mathbb{E}_{s_{i,0} \sim q_K, a_\tau \sim q_\phi, s_{i,\tau} \sim p_\psi} \left( \sum_{i=1}^N \sum_{\tau=t}^{t+H} V_{i,\tau}^\lambda \right)$$

$$\min_{\psi, \xi, \phi, \theta, \omega} \quad \mathcal{J}_{\text{FORBES}} = \alpha_0 \mathcal{J}_{\text{Critic}}(\xi) - \alpha_1 \mathcal{J}_{\text{Actor}}(\phi) - \alpha_2 \mathcal{J}_{\text{Model}}(\psi, \theta, \omega)$$

# Experiments: Digit Writing Task

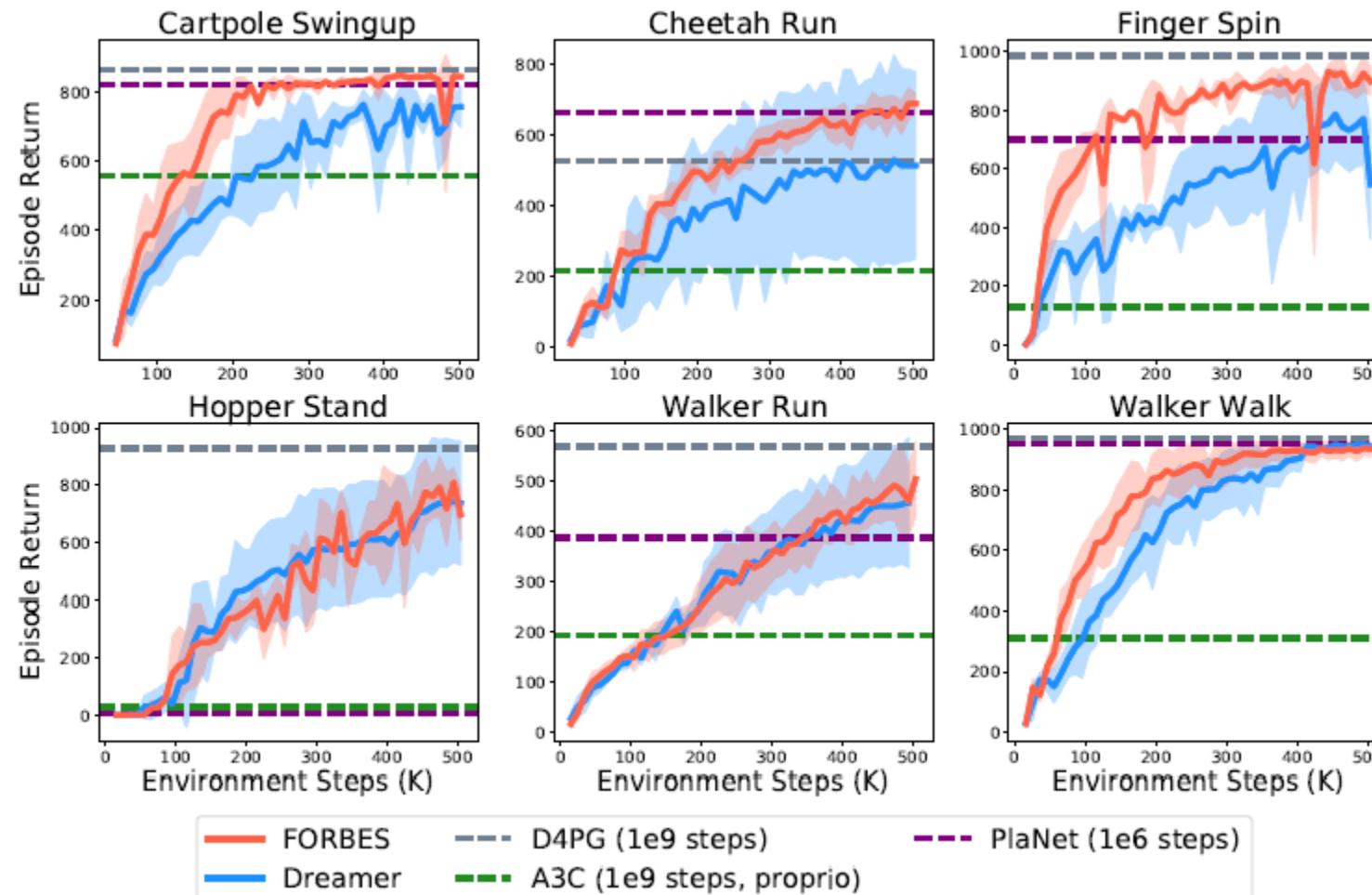
## □ Digit writing task

- Inputs: The first 15 frames
- Output: Predictions of the following strokes



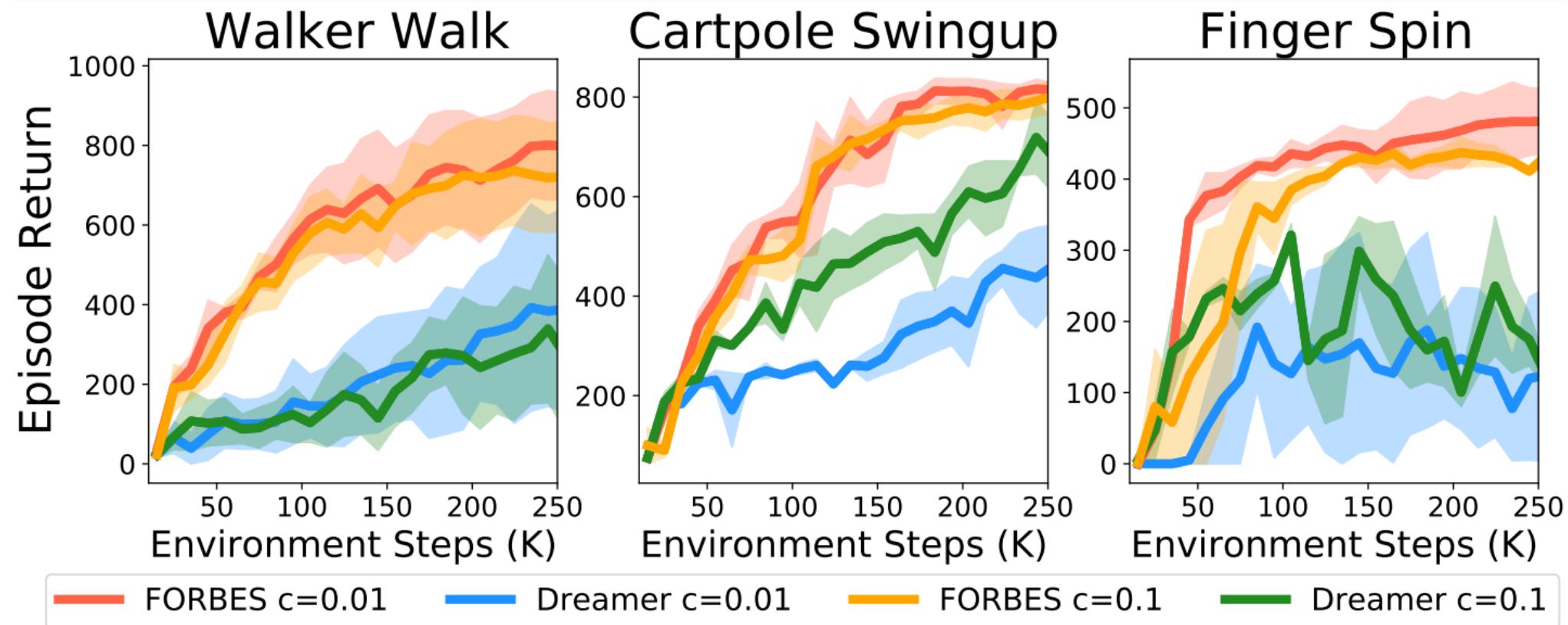
# Experiment: Visual-Motor Control Task

□ FORBES can achieve better sample efficiency and performance.



# Experiment: Multimodal Visual-Motor Control Task

□ Multimodal DMC: we randomly sample  $m$  from  $\{+1, -1\}$  at the beginning of the episode and add  $m \cdot c$  to the actions.





# Thanks for your attention

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