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Flow-based Recurrent Belief State Learning for POMDPs

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Background

- ❑ **Partially Observable Markov Decision Process (POMDP)** provides a principled and generic framework to model real world sequential decision making processes.



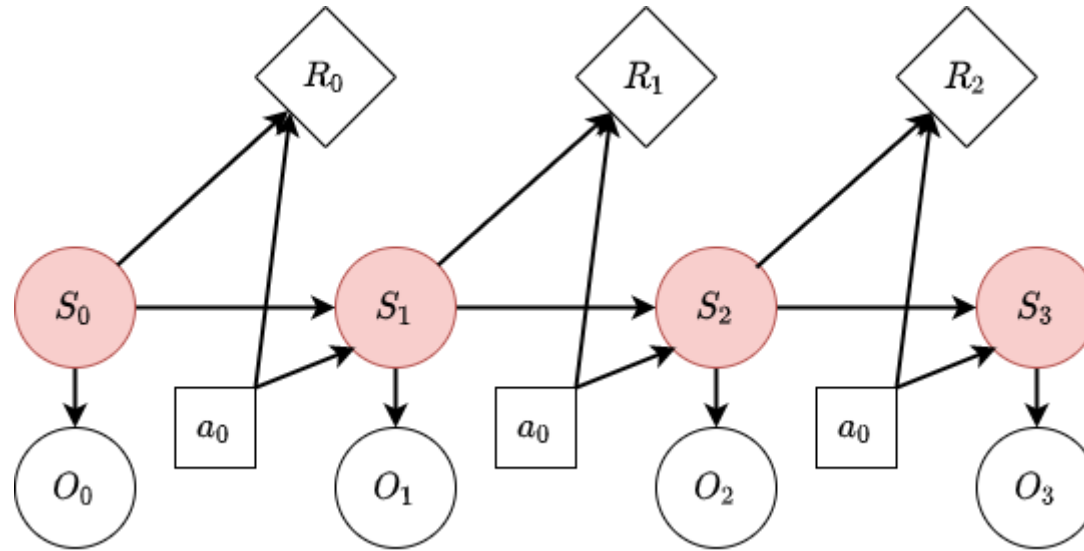
Intelligent vehicles



Intelligent robots

Partially Observable Markov Decision Process (POMDP)

- ❑ True environment states s_t are **unobservable**.
- ❑ Observations are **high-dimensional** and **non-Markovian**.
- ❑ The decision should be made based on **all past information** $\tau = \{o_{1:t}, a_{1:t-1}\}$.



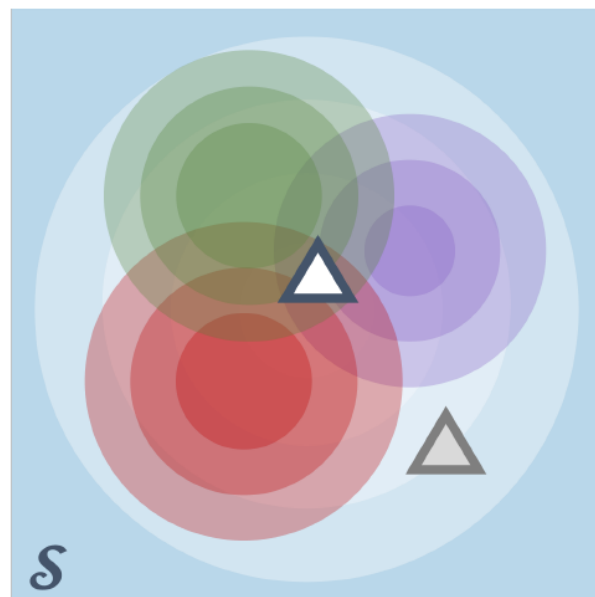
POMDP (red circle for unobservable)

Belief State Learning

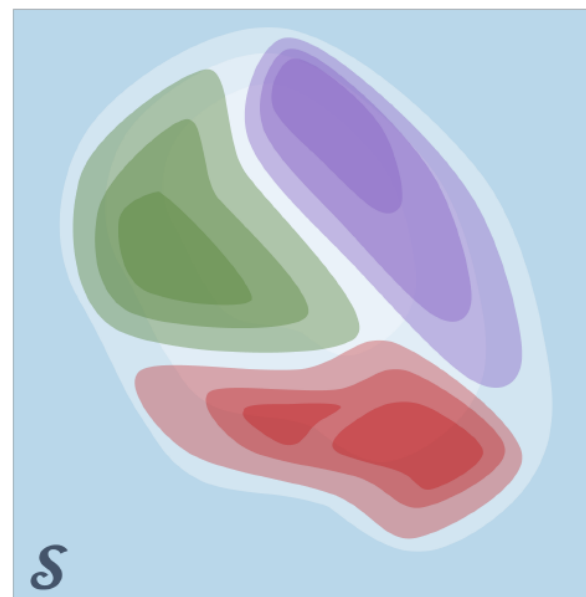
- One effective solution is to obtain the **belief state**:
 - $b(s_t) = p(s_t | o_1, a_1, \dots, o_{t-1}, a_{t-1}, o_t)$
 - The probability distribution of the unobservable environment state conditioned on the past observations and actions.
- Traditional methods of calculating belief states assume **finite discrete space** with **a known model**.
- Recently, a branch of works have been proposed to learn the belief states of POMDPs with **unknown model** and **continuous state space**.

Belief State Learning

- However, they still cannot capture general belief states due to the intractability of complex distributions in high-dimensional continuous space.



(a) Approximated Gaussian Belief



(b) True Belief

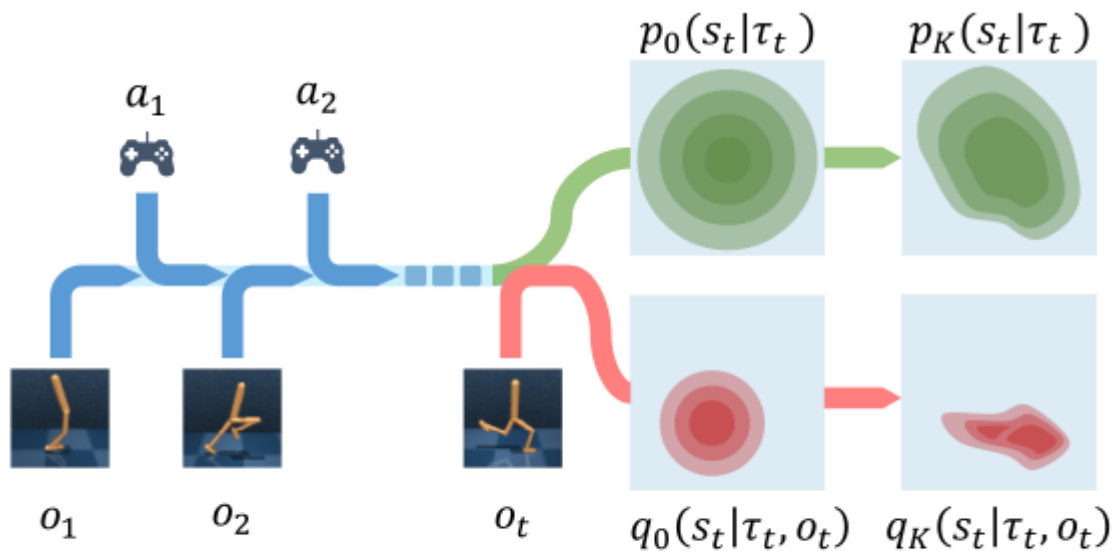


FLOw-based Recurrent BELief State model (FORBES)

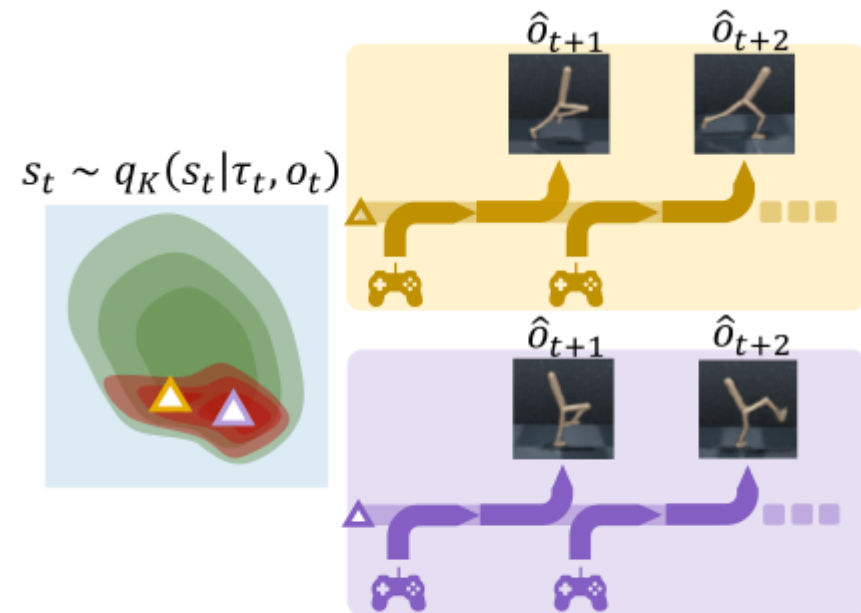
□ Rather than using Gaussian family, it is more desirable to use a family of distributions that is highly flexible.

□ $f_\theta: \mathbb{R}^D \rightarrow \mathbb{R}^D$ is an invertible and differentiable mapping:

$$z_K = f_{\theta_K} \circ f_{\theta_{K-1}} \circ \dots \circ f_{\theta_1}(z_0)$$



(a) Belief state inference



(b) Predictions beginning from different samples

POMDP RL framework based on FORBES

- To better exploit the flexibility within the belief distribution, we run the sampling method N times to capture the diverse predictions.

$$\mathcal{J}_{\text{Critic}}(\xi) = \mathbb{E}_{s_{i,0} \sim q_K, a_\tau \sim q_\phi, s_{i,\tau} \sim p_\psi} \left[\sum_{i=1}^N \sum_{\tau=t}^{t+H} \frac{1}{2} \left(v_\xi(s_{i,\tau}) - \text{sg}(V_{i,\tau}^\lambda) \right)^2 \right].$$

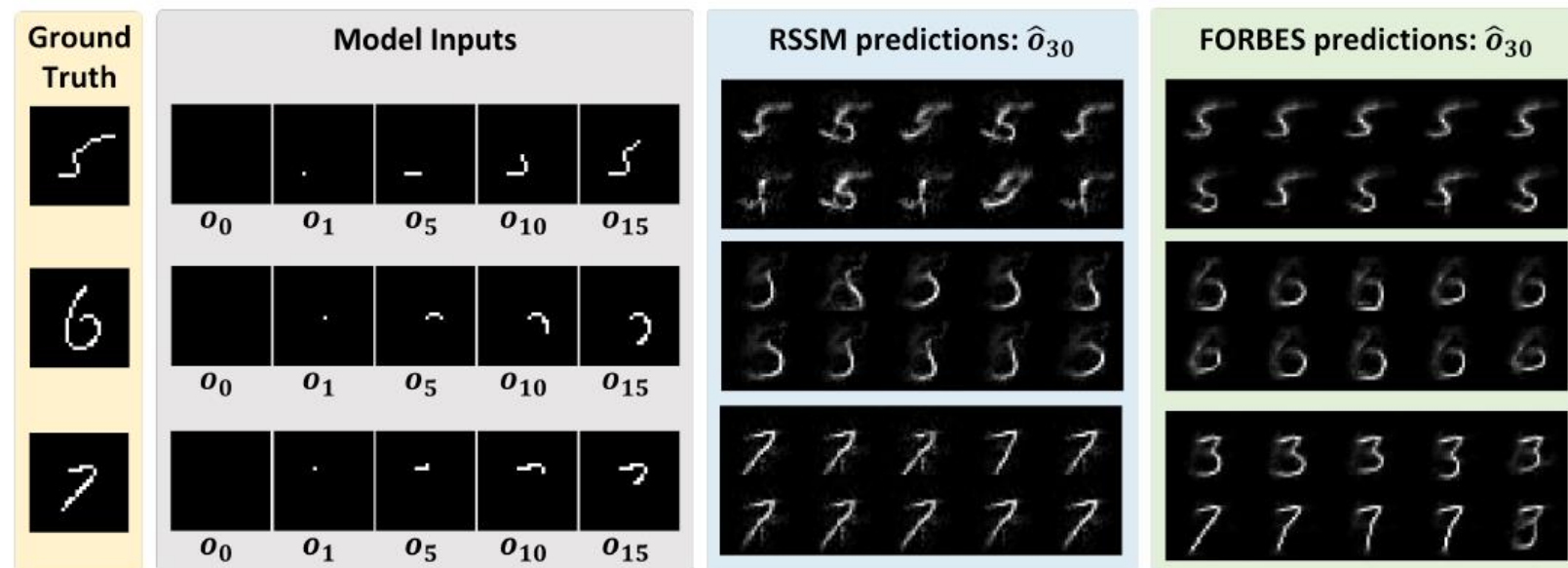
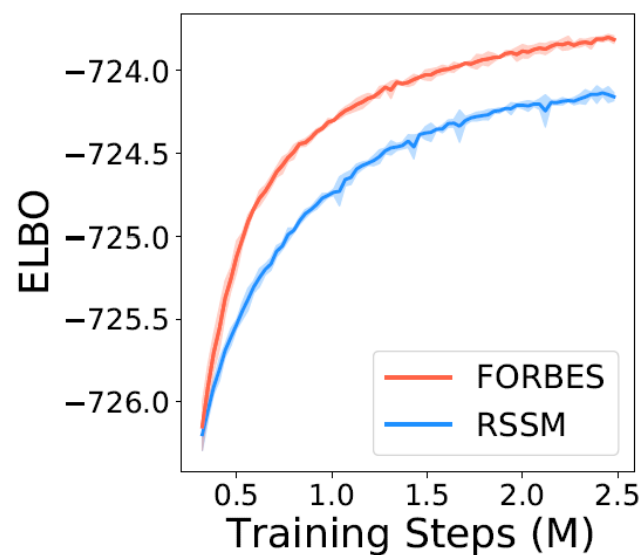
$$\mathcal{J}_{\text{Actor}}(\phi) = \mathbb{E}_{s_{i,0} \sim q_K, a_\tau \sim q_\phi, s_{i,\tau} \sim p_\psi} \left(\sum_{i=1}^N \sum_{\tau=t}^{t+H} V_{i,\tau}^\lambda \right)$$

$$\min_{\psi, \xi, \phi, \theta, \omega} \mathcal{J}_{\text{FORBES}} = \alpha_0 \mathcal{J}_{\text{Critic}}(\xi) - \alpha_1 \mathcal{J}_{\text{Actor}}(\phi) - \alpha_2 \mathcal{J}_{\text{Model}}(\psi, \theta, \omega)$$

Experiments: Digit Writing Task

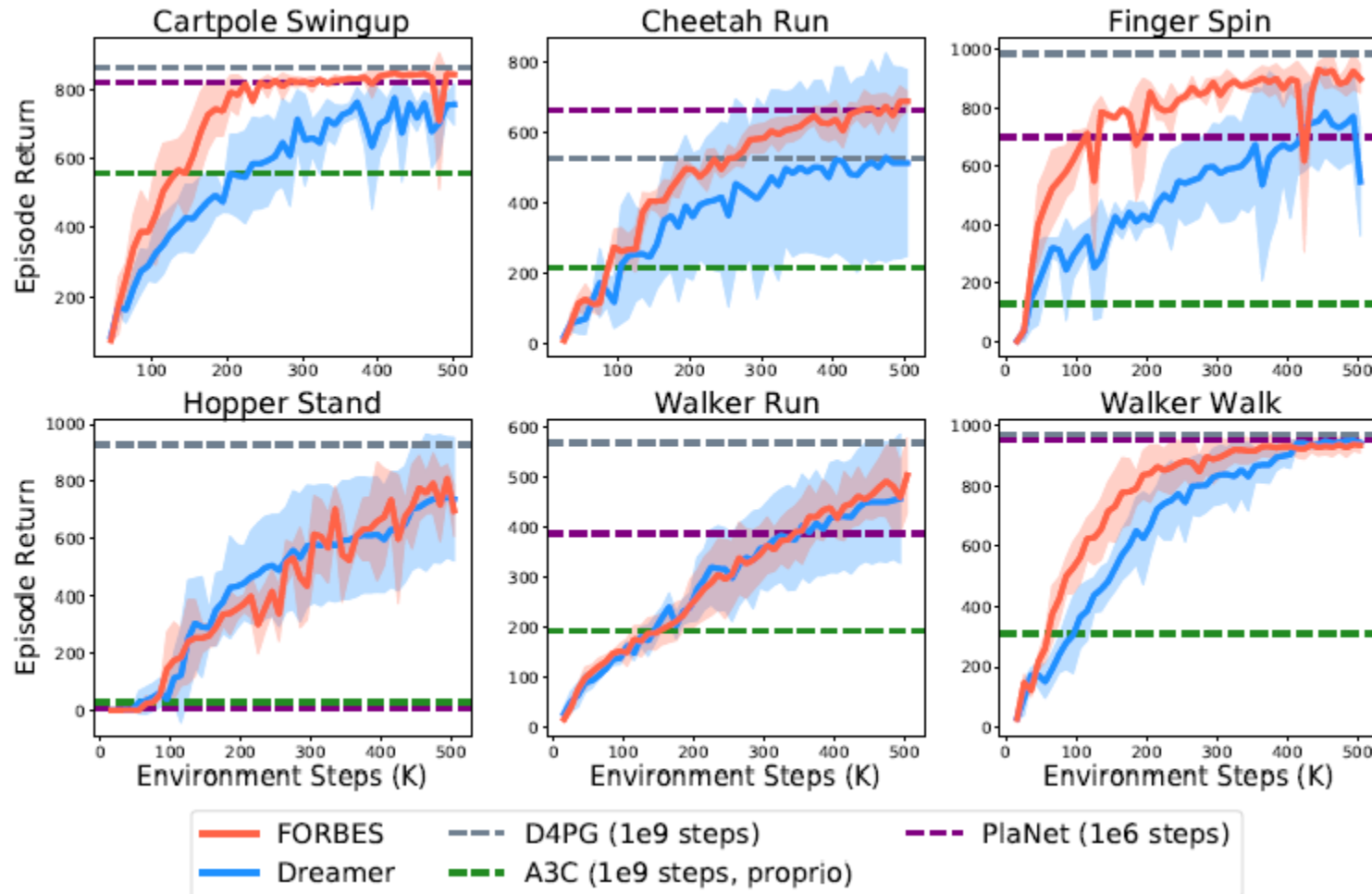
Digit writing task

- Inputs: The first 15 frames
- Output: Predictions of the following strokes



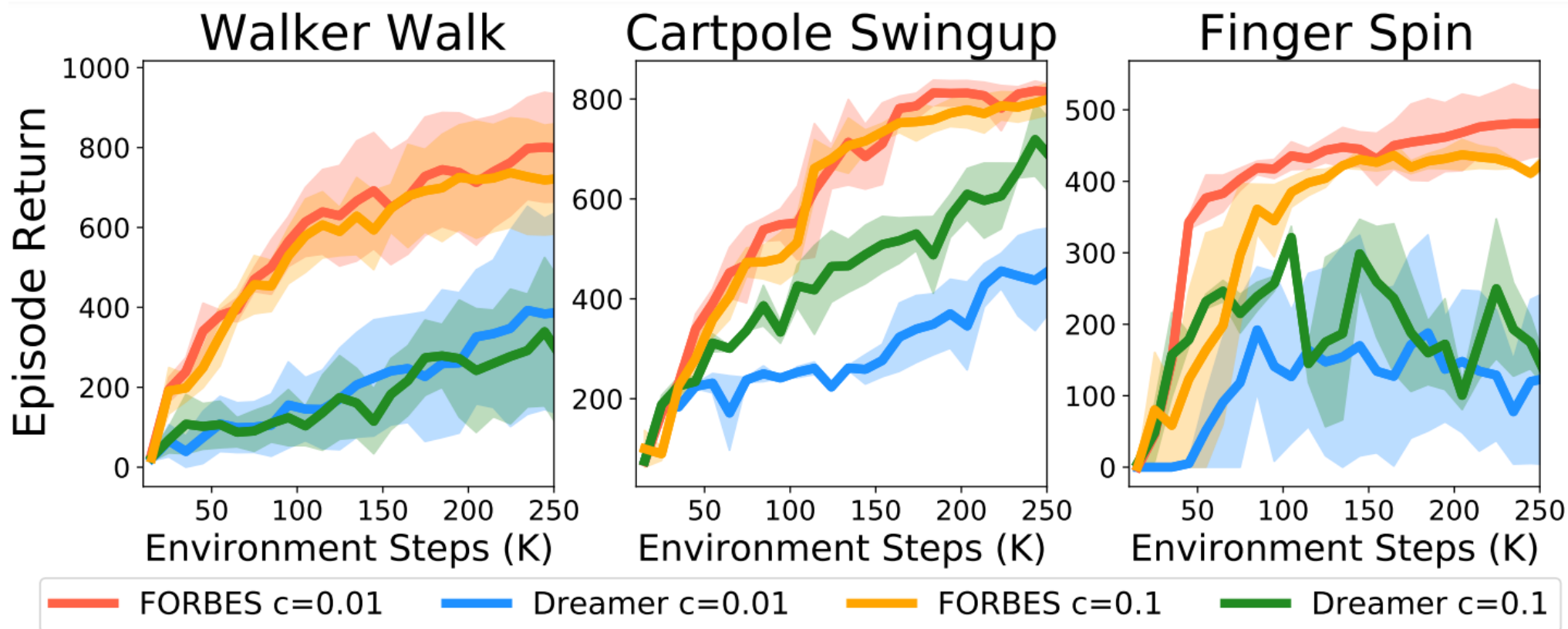
Experiment: Visual-Motor Control Task

□ FORBES can achieve better sample efficiency and performance.



Experiment: Multimodal Visual-Motor Control Task

- Multimodal DMC: we randomly sample m from $\{+1, -1\}$ at the beginning of the episode and add $m \cdot c$ to the actions.





Thanks for your attention

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