



# ICML

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# A New Perspective on the Effects of Spectrum in Graph Neural Networks

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# Agenda

- The effects of graph spectrum in existing GNN architectures
- A new architecture inspired by the effects of graph spectrum
- Results

The effects of graph spectrum in existing GNN  
architectures

# A unified formulation of Existing GNNs

$$H = \sigma(p_\gamma(S)f_\Theta(H))$$

Annotations for the components of the equation:

- Graph matrix, e.g. (normalized) Adjacency, Laplacian, etc
- Hidden features/signals
- MLP with parameters  $\Theta$
- Polynomial with coefficients  $\gamma$
- Nonlinear function

Table 1. A summary of  $p_\gamma$  in general graph convolutions.

	GCN	SGC	APPNP	GCNII	GDC	SSGC	GPR	ChebyNet	CayleNet	BernNet
Poly-basis	General	General	Residual	Residual	General	General	General	Chebyshev	Cayle	Bernstein
Poly-coefficient	Fixed	Fixed	Fixed	Fixed	Fixed	Fixed	Learnable	Fixed	Learnable	Learnable

# Correlation analysis

## Graph convolution on a single signal $\mathbf{h}$

Before convolution

$$\cos(\langle \mathbf{h}, \mathbf{p}_i \rangle) = \frac{\alpha_i}{\sqrt{\sum_{j=1}^n \alpha_j^2}}$$

After convolution

$$\cos(\langle \mathcal{S}\mathbf{h}, \mathbf{p}_i \rangle) = \frac{\alpha_i \lambda_i}{\sqrt{\sum_{j=1}^n \alpha_j^2 \lambda_j^2}}$$

$\mathbf{p}_i$  the  $i$ -th eigenvector of  $\mathcal{S} = p_\gamma(S)$ ,  $\mathbf{h} \in f_\Theta(H)$ ,  $\alpha_i = \mathbf{h}^\top \mathbf{p}_i$ .  
The convolution on the signal  $\mathbf{h}$  is represented as  $\mathcal{S}\mathbf{h}$ .

## Stacking multiple graph convolutions

- $\lim_{k \rightarrow \infty} |\cos(\langle \mathcal{S}^k \mathbf{h}, \mathbf{p}_1 \rangle)| = \lim_{k \rightarrow \infty} |\cos(\langle \mathcal{S}^k \mathbf{h}, \mathcal{S}^k \mathbf{h}' \rangle)| = 1$
- $|\cos(\langle \mathcal{S}^{k+1} \mathbf{h}, \mathbf{p}_1 \rangle)| \geq |\cos(\langle \mathcal{S}^k \mathbf{h}, \mathbf{p}_1 \rangle)|$  and  $|\cos(\langle \mathcal{S}^{k+1} \mathbf{h}, \mathbf{p}_n \rangle)| \leq |\cos(\langle \mathcal{S}^k \mathbf{h}, \mathbf{p}_n \rangle)|$

Spectral smoothness determines the correlations among signals

Correlation issue restricts developing deep models as well as powerful filters.

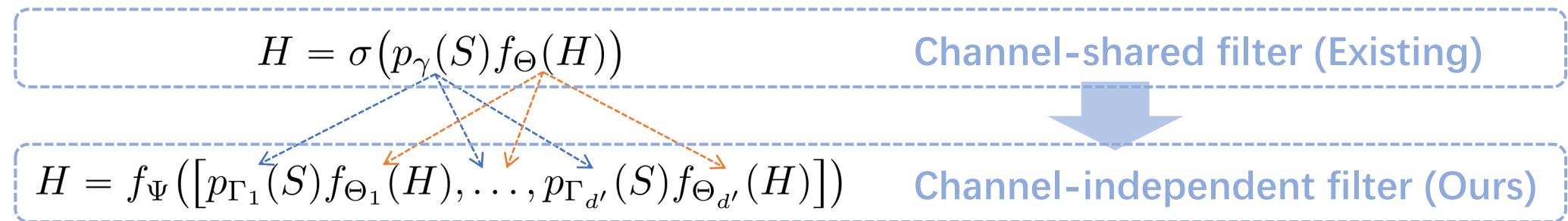
# Oversmoothing analysis VS correlation analysis

		<b>Convergence analysis</b>	
		Oversmoothing analysis based on Markov process	Correlation analysis (Ours)
Considered metric		Signal similarity	Signal cosine similarity
Requirements	Connected	Necessary	Unnecessary
	Normalized	Necessary	Unnecessary
	Deep enough	Necessary	Unnecessary
Convergence property	Monotonous	-	Yes

A new architecture inspired by the effects of graph spectrum

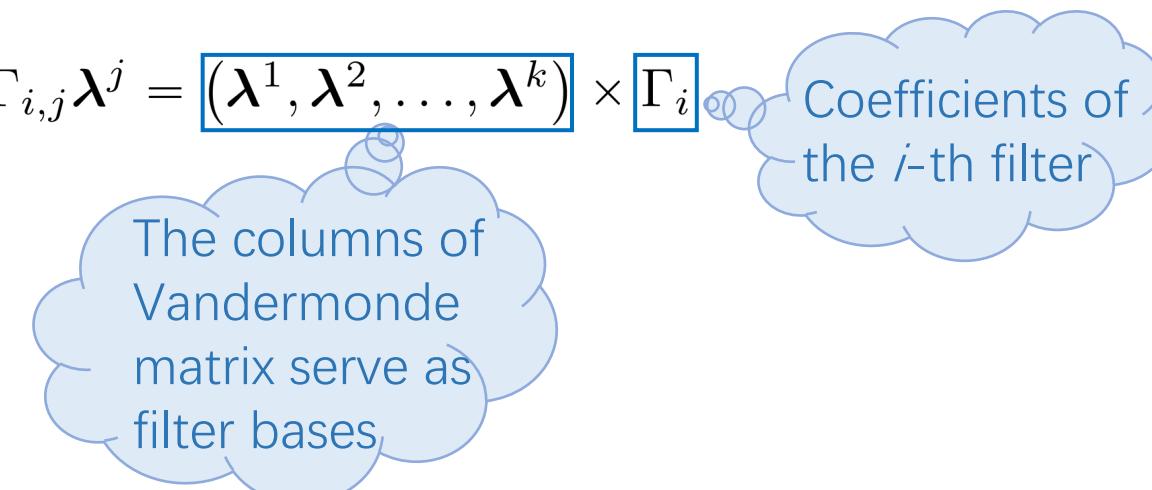
# Correlation-free architecture

## Correlation-free architecture



## Look into the $i$ -th filter

$$g_{\Gamma_i} = \sum_{j=0}^k \Gamma_{i,j} \boldsymbol{\lambda}^j = (\boldsymbol{\lambda}^1, \boldsymbol{\lambda}^2, \dots, \boldsymbol{\lambda}^k) \times \Gamma_i$$



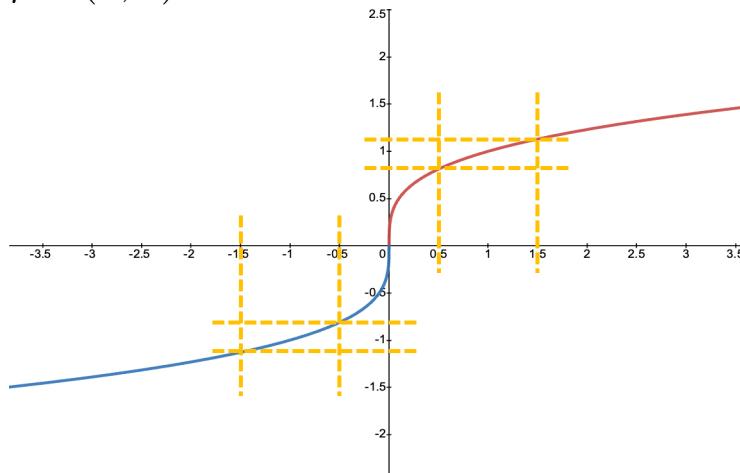
# Improve polynomial filters with optimized bases

## Eigendecomposition based

$$\tilde{A}_\rho = P \text{diag}(f_\rho(\lambda_i)) P^\top,$$

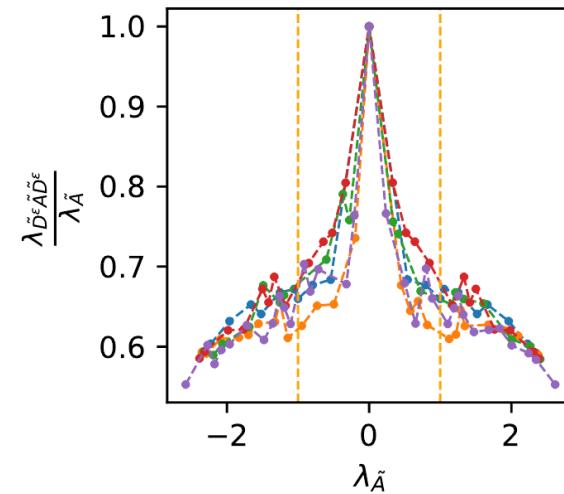
$$f_\rho(\lambda) = \begin{cases} -(-\lambda)^\rho, \lambda < 0 \\ \lambda^\rho, \lambda \geq 0 \end{cases},$$

$$\rho \in (0, 1)$$



## Generalized graph normalization

$$\tilde{D}^\epsilon \tilde{A} \tilde{D}^\epsilon = (D + \eta I)^\epsilon (A + \eta I) (D + \eta I)^\epsilon, \\ \epsilon \in [-0.5, 0]$$



# Results

# Ablation study: filter complexity

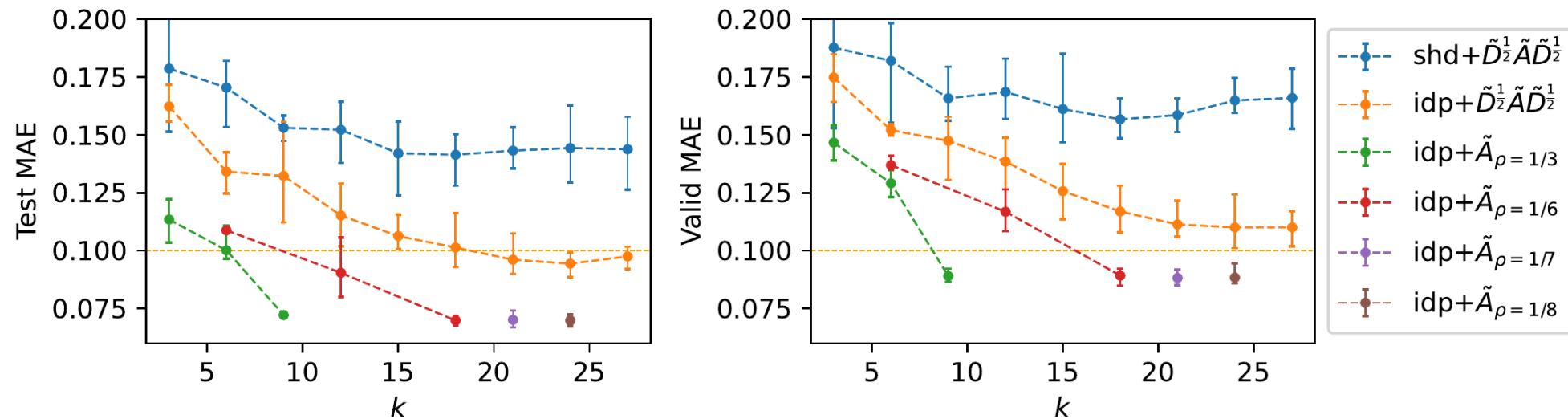


Figure 4. Ablation study results on ZINC with different number of bases  $k$ .

# Ablation study: model depth

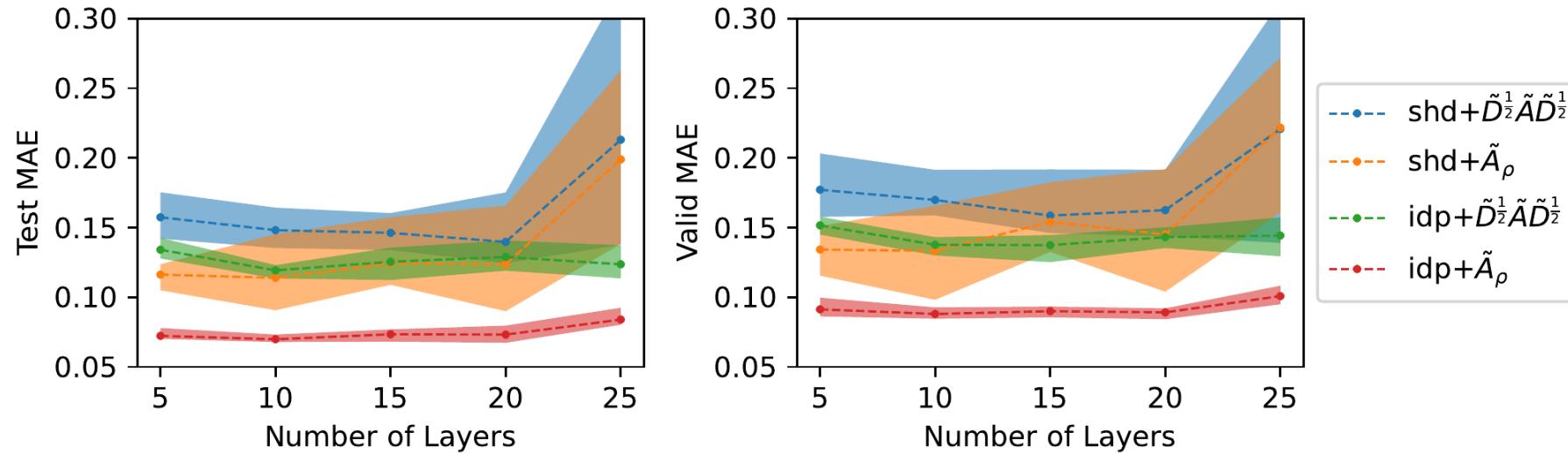


Figure 5. Ablation study results on ZINC with different number of layers.

# Summary

- Correlation analysis
  - We show that in existing architectures, the **unsmooth spectrum** results in the **correlation issue**, which acts as the obstacle to developing **deep models** as well as applying **more powerful graph filters**.
- Propose the **correlation-free architecture**
  - decouples the correlation issue from filter design.
- Improve the approximation abilities of polynomial filters
  - We show that the **spectral characteristics** of bases also hinder the approximation abilities of polynomial filters and address it by altering the graph's spectrum.

# Thank you for listening!

<https://github.com/qslim/gnn-spectrum>