## Implicit Bias of Linear Equivariant Networks



**Hannah Lawrence** 



Bobak T. Kiani



Kristian Georgiev

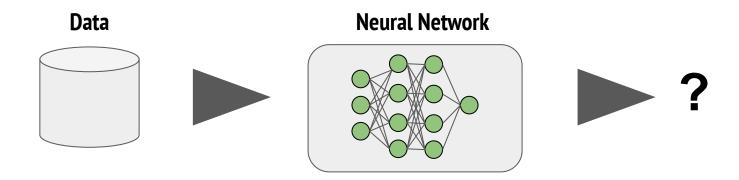


**Andrew Dienes** 



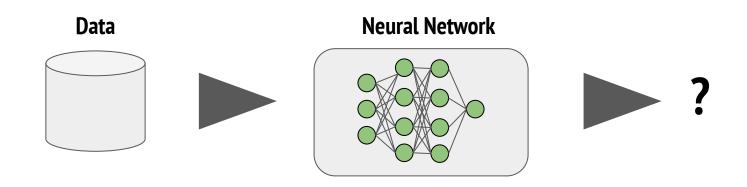


**Background:** overparameterized neural networks can perfectly fit the training data in different ways





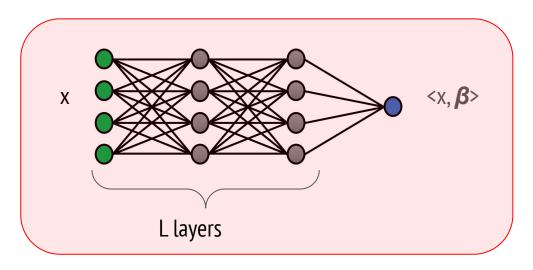
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When there are fewer datapoints than parameters, which function does the optimization pick?



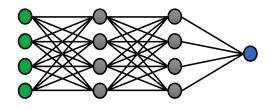
#### What is the **implicit bias** of **linear networks?**



#### **Question:**

Consider gradient flow under exponential loss for a binary classification problem. If end-to-end neural network on input x computes  $\langle x, \beta \rangle$  fitting the training data, what is this linearization  $\beta$ ?

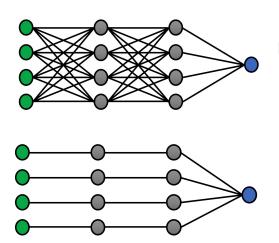




Fully Connected Network:

$$\underset{\boldsymbol{\beta} \in \mathbb{R}^D}{\operatorname{argmin}} \|\boldsymbol{\beta}\|_2^2 \text{ s.t. } \forall n, y_n \langle \boldsymbol{\beta}, \mathbf{x}_n \rangle \ge 1$$





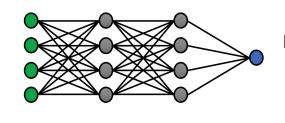
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Diagonal Network:

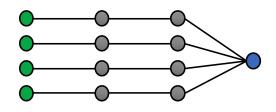
$$\underset{\boldsymbol{\beta} \in \mathbb{R}^D}{\operatorname{argmin}} \|\boldsymbol{\beta}\|_{2/L}^{2/L} \text{ s.t. } \forall n, y_n \langle \boldsymbol{\beta}, \mathbf{x}_n \rangle \ge 1$$





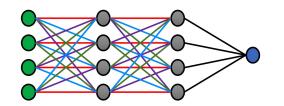
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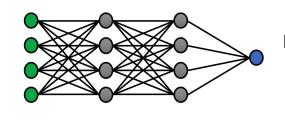
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Full-width Convolutional Network:

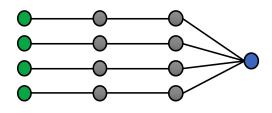
$$\underset{\boldsymbol{\beta} \in \mathbb{R}^D}{\operatorname{argmin}} \|\widehat{\boldsymbol{\beta}}\|_{2/L}^{2/L} \text{ s.t. } \forall n, y_n \langle \boldsymbol{\beta}, \mathbf{x}_n \rangle \ge 1$$





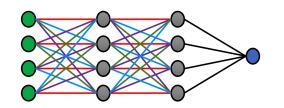
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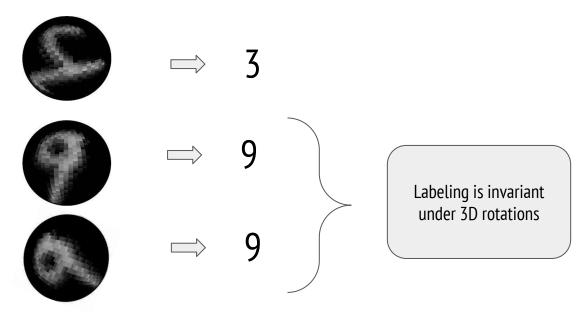
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## **Background:** group convolutional neural networks

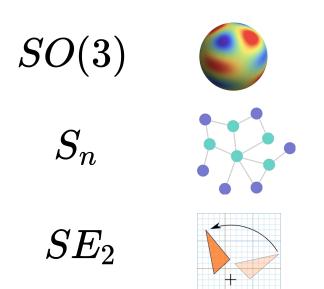
**Example:** Spherical CNN applied to e.g., "rotated MNIST on the sphere"





#### **Background:** group convolutional neural networks

**Main idea:** enforce **group** symmetries via architecture of network

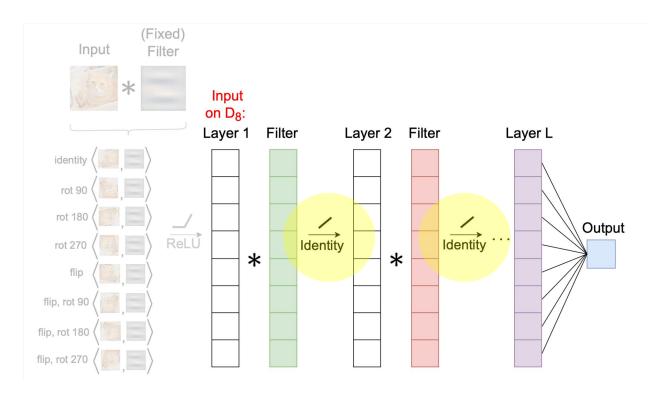


$$(f * g)(u) = \sum_{v \in G} f(uv^{-1}) g(v)$$

Group convolution equation



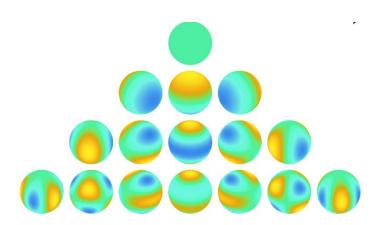
### How about linear group convolutional neural networks?

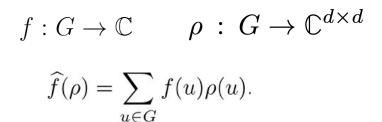


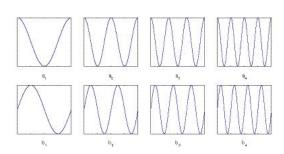


#### Irreducible representations generalize Fourier space to groups

Irreducible representations (irreps) form the Fourier basis for a function on a group



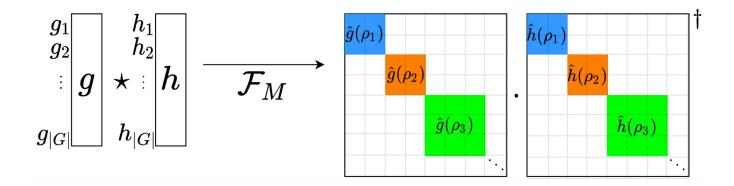






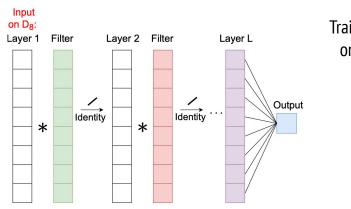
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**Convolution theorem:** Convolution is equivalent to matrix multiplication of irreps





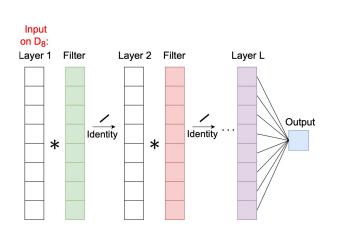




Train: gradient descent on exponential loss





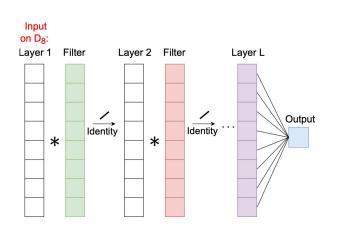


The linearization β is a first-order stationary

Train: gradient descent
on exponential loss

$$\min_{oldsymbol{eta}} \left\| \widehat{oldsymbol{eta}} 
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Train: gradient descent on exponential loss



The linearization β is a first-order stationary point of:

$$\min_{\boldsymbol{\beta}} \left\| \widehat{\boldsymbol{\beta}} \right\|_{2/L}^{(S)} \quad s.t. \quad y_i \boldsymbol{x}_i^T \boldsymbol{\beta} \geq 1 \quad \forall i \in [n]$$

**Schatten norm**: encourages low rankness of Fourier coefficients

$$\left\|\widehat{\boldsymbol{\beta}}\right\|_{2/L}^{(S)} = \left[\sum_{\rho \in \widehat{G}} d_{\rho} \left(\left\|\widehat{\boldsymbol{\beta}}(\rho)\right\|_{2/L}^{(S)}\right)^{2/L}\right]^{L/2}$$



### Real vs. Fourier space trade-off: support vs rank

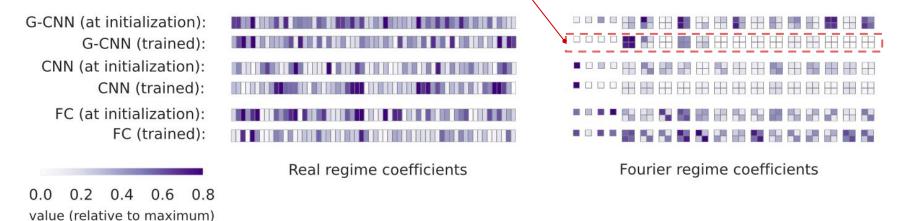
**Theorem 6.1** (Meshulam uncertainty theorem (Meshulam, 1992)). Given a finite group G and f:  $G \to \mathbb{C}$ , let  $\widehat{G}$  be the set of irreps of G and f be the vectorized function (see Definition 4.1). Then

$$|\operatorname{supp}(\boldsymbol{f})| \ \operatorname{rank}(\widehat{\boldsymbol{f}}) = |\operatorname{supp}(\boldsymbol{f})| \sum_{\rho \in \widehat{G}} d_{\rho} \operatorname{rank}\left(\widehat{\boldsymbol{f}}(\rho)\right) \geq |G|$$
 real Fourier | Intuition: if rank in Fourier space is constant, then support in real space grows with dimension



#### Visualization of implicit bias in real and Fourier space

#### Sparsity and low rankness evident in trained G-CNN



3-layer linear GCNN over the Dihedral group of order 64 trained via SGD



#### Real vs. Fourier space trade-offs in implicit bias, empirically

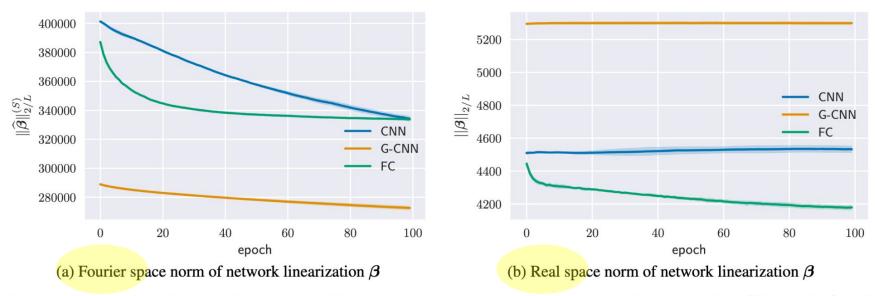
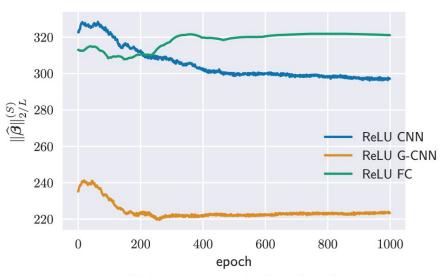


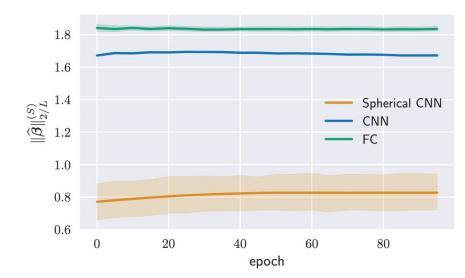
Figure 4: Norms of the linearizations of three different linear architectures for the non-abelian group  $G = (C_{28} \times C_{28}) \times D_8$  trained using the digits 1 and 5 from the MNIST dataset.



#### Nonlinear networks *may* locally have the same implicit bias



(a) A G-CNN on non-abelian  $G = D_{60}$ .



(b) A Spherical CNN on bandlimited G = SO(3).



#### Conclusion

Linear G-CNNs trained by gradient descent are implicitly biased towards **low-rank Fourier** coefficients

- Low-rank structure might be useful for efficient storage
- Implication on generalization performance is problem-dependent
- Theoretical results on CNNs G-CNNs using group Fourier theory
- Future directions: local analysis of non-linear case, multi-class, etc.

#### References:

Lawrence, H., Georgiev, K., Dienes, A., & Kiani, B. T. (2021). Implicit Bias of Linear Equivariant Networks. arXiv preprint arXiv:2110.06084.; Wigderson, A., & Wigderson, Y. (2021). The uncertainty principle: variations on a theme. Bulletin of the American Mathematical Society, 58(2), 225-261. Cohen, Taco S., et al. "Spherical cnns." arXiv preprint arXiv:1801.10130 (2018).

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