

# GenLabel: Mixup Relabeling using Generative Models

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#### Preview

- Goal: Data augmentation (DA) for robust ML
- Motivation: Classifiers are brittle to adversarial attacks

#### Key results:



## Preliminary: Mixup

```
cat dog
[ 1 0 ]
```



Feature  $\boldsymbol{x}$ Label  $\boldsymbol{y}$ 

cat dog [ 0 1 ]

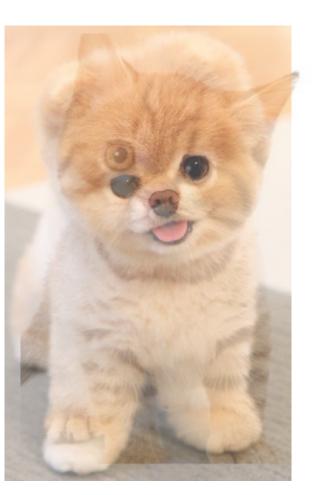


Feature x'Label y'

## Preliminary: Mixup



Feature  $\boldsymbol{x}$ Label  $\boldsymbol{y}$ 



cat dog [ 0.5 0.5 ]



Feature x'Label y'

Feature 
$$x^{\text{mix}} = \lambda x + (1 - \lambda)x'$$
  
Label  $y^{\text{mix}} = \lambda y + (1 - \lambda)y'$ 

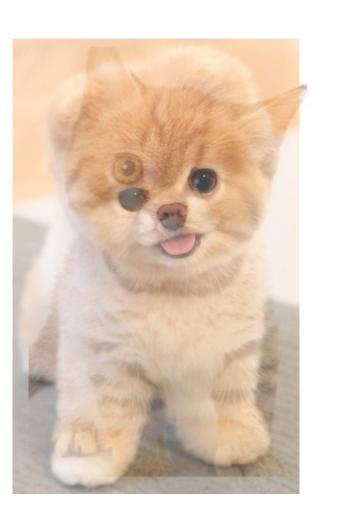
Mixup: Convex combination in feature & label domain

## Preliminary: Mixup

cat dog
[ 1 0 ]



Feature  $\boldsymbol{x}$ Label  $\boldsymbol{y}$ 



cat dog [ 0.5 ]

cat dog 0 1 ]



Feature x'Label y'

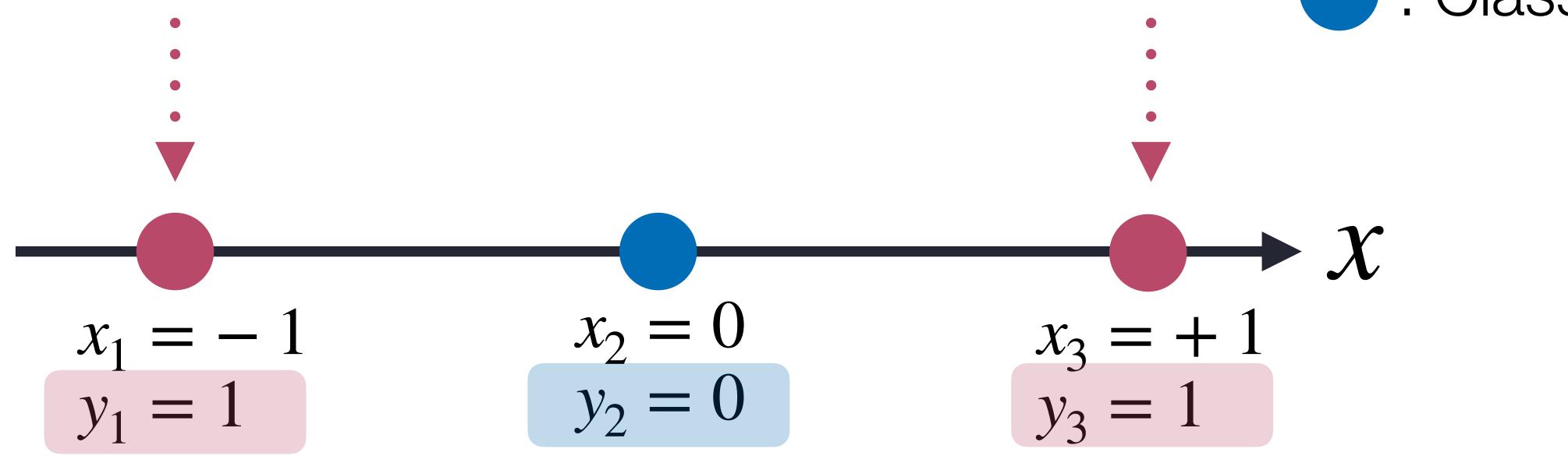
Feature 
$$x^{\text{mix}} = \lambda x + (1 - \lambda)x'$$
  
Label  $y^{\text{mix}} = \lambda y + (1 - \lambda)y'$ 

Train with mixup sample improves accuracy/robustness

#### Problem: Label Conflict





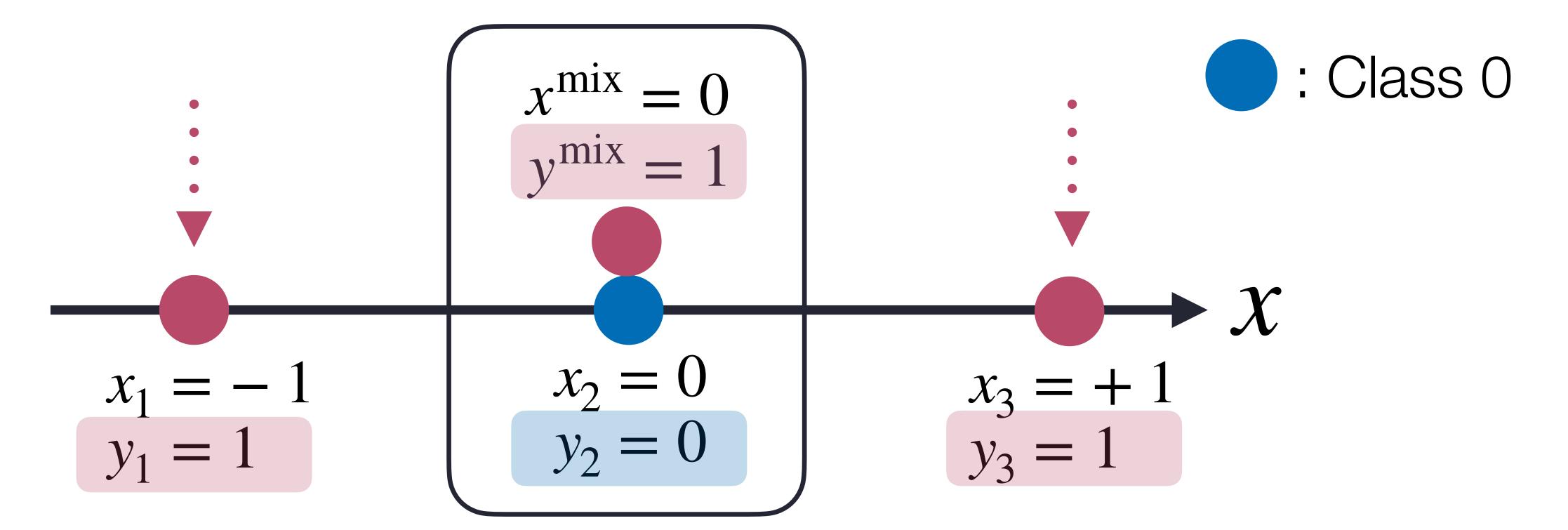


Mixing  $(x_1, y_1)$  and  $(x_3, y_3)$  generates

$$x^{\text{mix}} = 0.5x_1 + 0.5x_3 = 0$$
$$y^{\text{mix}} = 0.5y_1 + 0.5y_3 = 1$$

#### Problem: Label Conflict



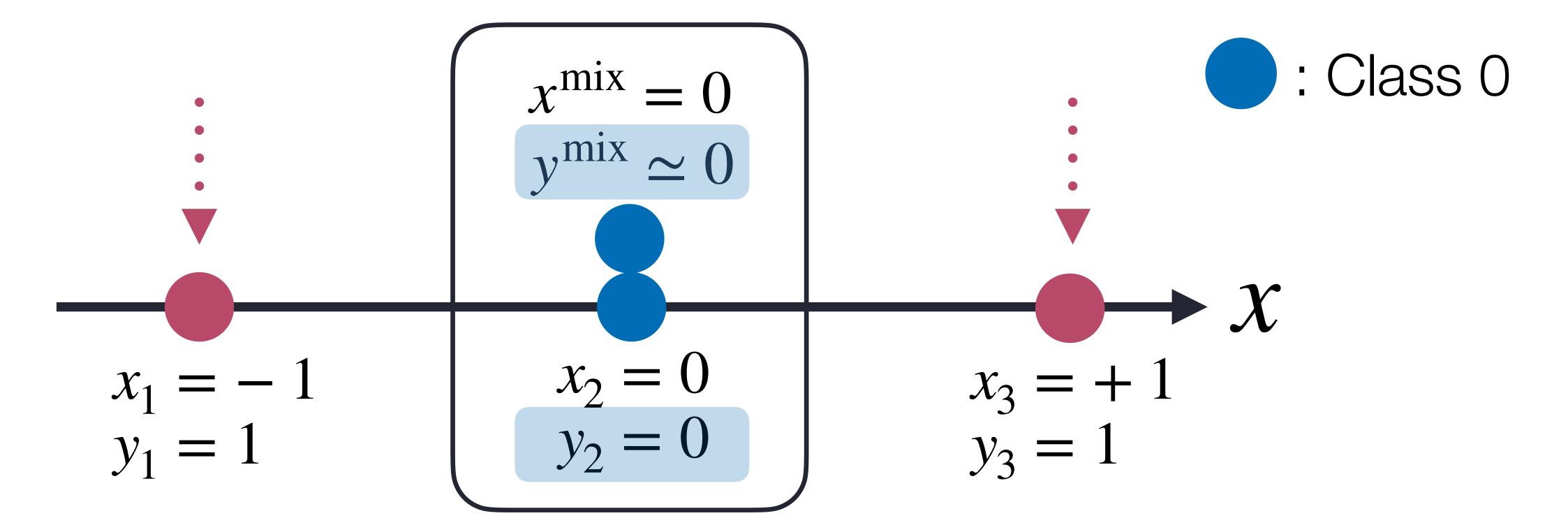


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#### Our Solution: Re-Label





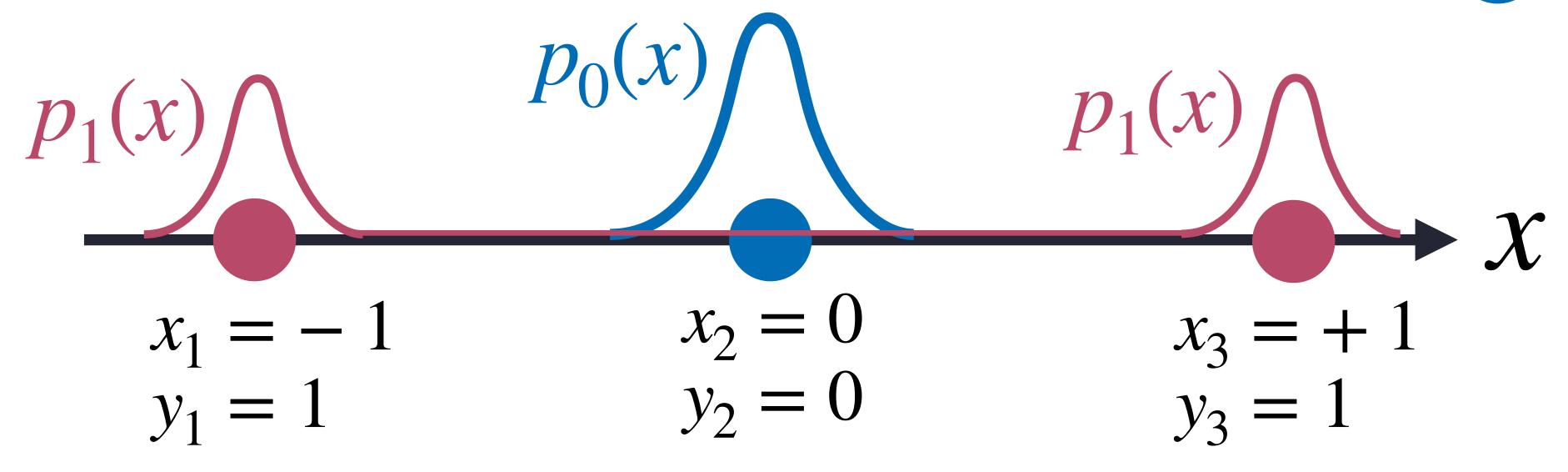
Mixing  $(x_1, y_1)$  and  $(x_3, y_3)$  generates

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## Step 1. Learn Distribution



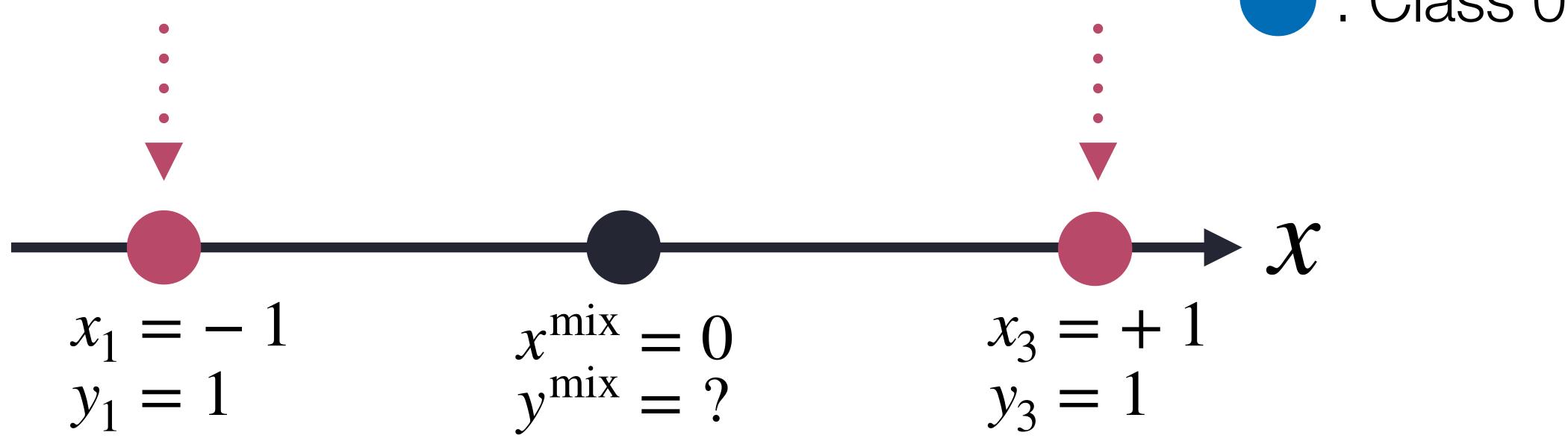




## Step 2. Generate Mixup Sample







## Step 3. Label Mixup Sample





$$p_1(x)$$
 $p_1(x)$ 
 $p_1(x)$ 
 $x_1 = -1$ 
 $y_1 = 1$ 
 $x_1 = -1$ 
 $x_2 = 0$ 
 $x_3 = +1$ 
 $x_3 = 1$ 

$$y^{\text{mix}} = \frac{p_1(x^{\text{mix}})}{p_0(x^{\text{mix}}) + p_1(x^{\text{mix}})} \cdot 1 \stackrel{\succeq}{=} 0$$

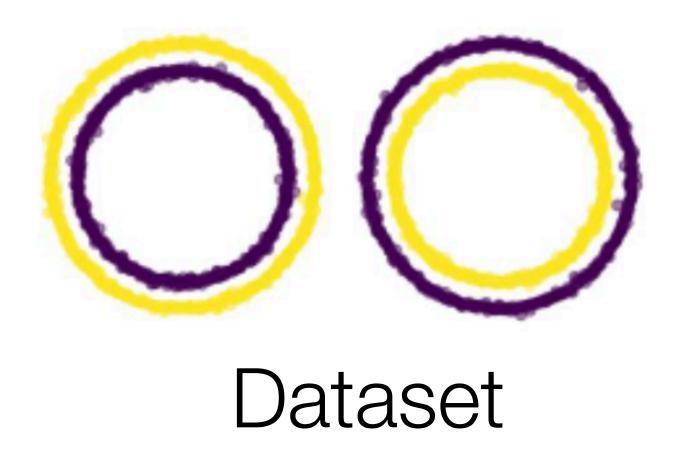
### Step 3. Label Mixup Sample

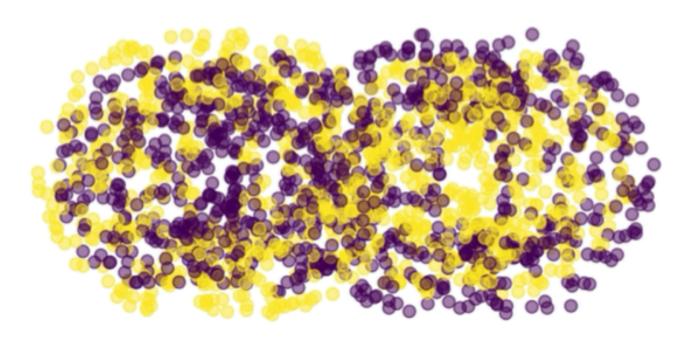
#### GenLabel

(Generative Model-based Labeling)

$$y^{\text{mix}} = \frac{p_1(x^{\text{mix}})}{p_0(x^{\text{mix}}) + p_1(x^{\text{mix}})} \cdot 1 \stackrel{\triangleright}{=} 0$$

### Key Results: Margin

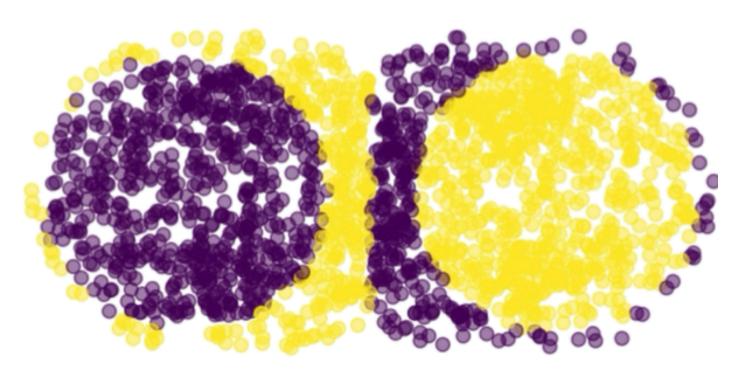




Top-1 label of mixup



Decision boundary of mixup



Top-1 label of mixup+GenLabel



Decision boundary of mixup+GenLabel

### Key Results: Accuracy

Methods \ OpenML Dataset ID	721	777	792	830	855	913	1413	1498
Vanilla	79.67	58.67	73.20	77.60	63.33	70.80	95.56	66.91
AdaMixup	80.33	64.00	73.87	78.40	66.67	70.53	92.44	66.76
Mixup	79.33	62.67	73.47	76.27	66.00	69.87	88.00	66.76
Mixup + Excluding MI	79.67	62.67	74.53	78.13	66.40	71.47	93.33	66.33
Mixup + GenLabel (GM)	81.00	58.67	75.47	86.13	66.40	71.47	96.00	67.63
Mixup + GenLabel (KDE)	79.67	58.67	75.87	77.33	67.60	72.67	96.00	66.33
Mixup + GenLabel (CV)	80.33	64.00	75.60	84.53	67.33	73.20	96.44	67.77

GenLabel improves accuracy of mixup up to 8 – 10%

#### Key Results: Robustness

Methods \ OpenML ID	446	468	683	755	763	1413
Vanilla	29.67	34.55	51.11	41.05	64.27	68.00
AdaMixup	30.33	37.27	51.11	37.89	63.20	67.11
Mixup	30.67	37.27	50.00	36.84	65.07	67.56
Mixup + Excluding MI	31.67	31.82	52.22	38.95	63.20	70.67
Mixup + GenLabel (GM)	37.00	42.73	52.22	43.16	61.87	71.11
Mixup + GenLabel (NN)	38.00	32.73	46.67	43.16	66.93	77.33

#### GenLabel improves robustness of mixup up to 7 – 10%

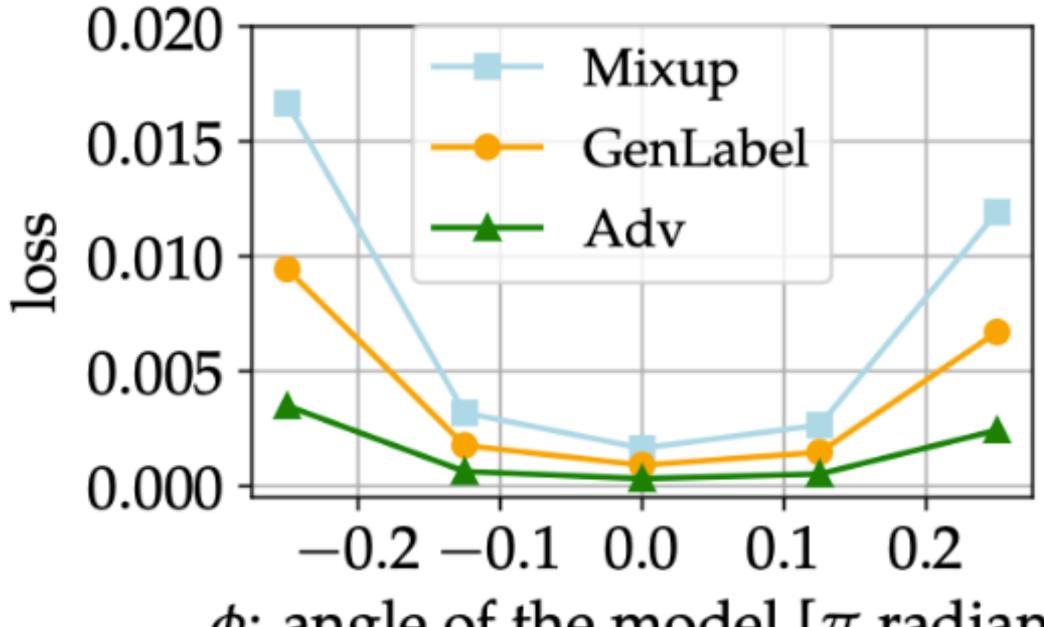
<sup>\*</sup> black-box attack,  $\varepsilon = 0.1$ 

### Key Results: Robustness

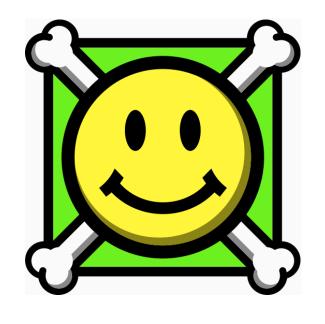
[Thm] For logistic regression model & FC ReLU networks,

Mixup loss ≥ Mixup+GenLabel loss ≥ Adversarial loss

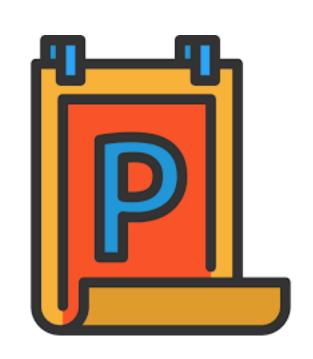
(Tighter Upper Bound)



 $\phi$ : angle of the model [ $\pi$  radian]



## Full version available at <a href="https://arxiv.org/pdf/2201.02354.pdf">https://arxiv.org/pdf/2201.02354.pdf</a>



## Hall E, Poster Session 2, #525