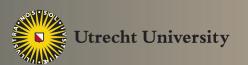
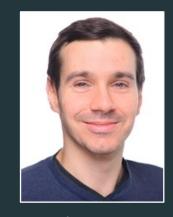


Near-Exact Recovery for Tomographic Inverse Problems via Deep Learning





Martin Genzel
Helmholtz-Zentrum Berlin

(work done while at Utrecht University)



Ingo Gühring
TU Berlin
(Machine Learning Group)



Jan Macdonald

TU Berlin

(Institute of Mathematics)



Maximilian März

TU Berlin

(Institute of Mathematics)

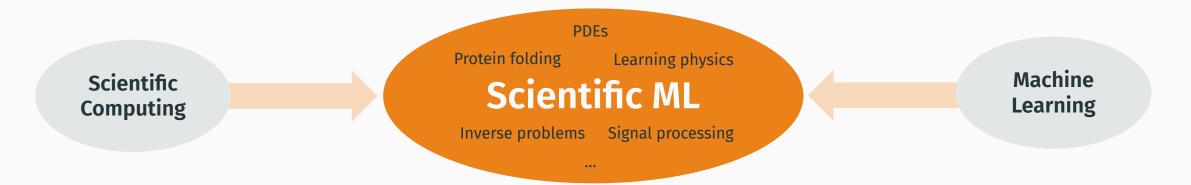


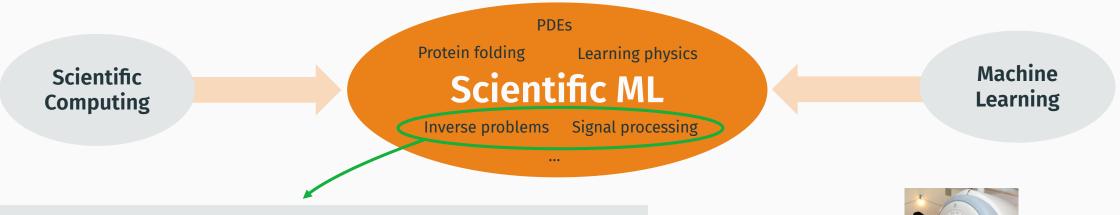






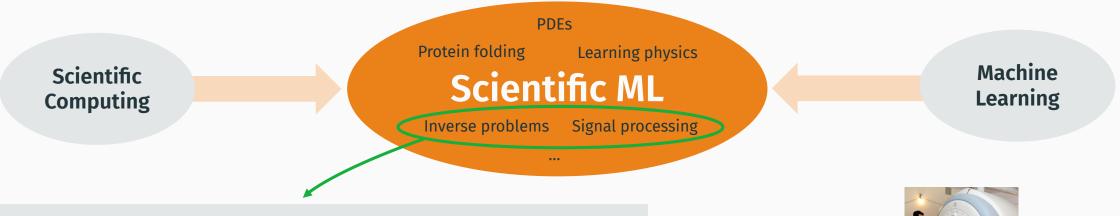
39th International Conference on Machine Learning Baltimore, Jul 17 – Jul 23, 2022





Given a forward operator $A \in \mathbb{R}^{m \times d}$ and corrupted measurements $y = Ax_0 + e$ with $\|e\|_2 \le \eta$, reconstruct the signal x_0 .

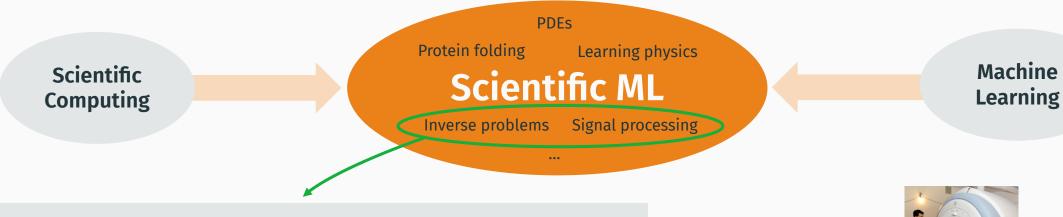




Given a forward operator $A \in \mathbb{R}^{m \times d}$ and corrupted measurements $y = Ax_0 + e$ with $\|e\|_2 \le \eta$, reconstruct the signal x_0 .

 \hookrightarrow Often ill-posed due to undersampling ($m \ll d$) or ill-conditioned forward operator A





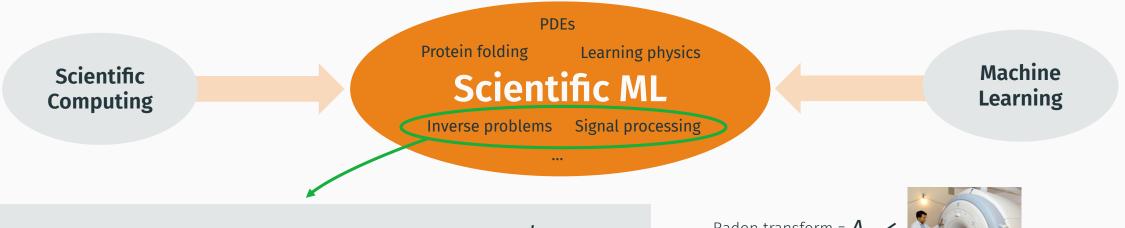
Given a forward operator $A \in \mathbb{R}^{m \times d}$ and corrupted measurements $y = Ax_0 + e$ with $\|e\|_2 \le \eta$, reconstruct the signal x_0 .

 \hookrightarrow Often ill-posed due to undersampling ($m \ll d$) or ill-conditioned forward operator A

Classical variational methods

$$x_0 \approx \underset{x}{\operatorname{arg\,min}} \|y - Ax\|_2^2 + \lambda \cdot R(x)$$





Given a forward operator $A \in \mathbb{R}^{m \times d}$ and corrupted measurements $y = Ax_0 + e$ with $\|e\|_2 \le \eta$, reconstruct the signal x_0 .

 \hookrightarrow Often ill-posed due to undersampling ($m \ll d$) or ill-conditioned forward operator A



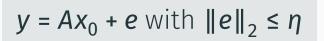
Classical variational methods

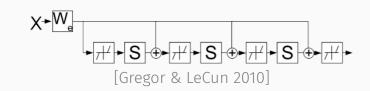
$$x_0 \approx \underset{x}{\operatorname{arg\,min}} \|y - Ax\|_2^2 + \lambda \cdot R(x)$$

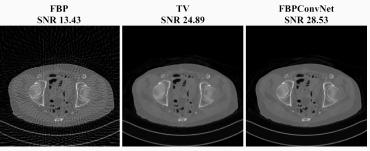
Modern deep learning methods

$$X_0 \approx \text{Net}[\hat{\theta}](y) \qquad \min_{\theta} \ \frac{1}{M} \sum_{i=1}^{M} \|\text{Net}[\theta](y^i) - x_0^i\|_2^2$$
"Infer knowledge directly from data $\{(y^i, x_0^i)\}_{i=1}^{M}$."

► Since 2016: Paradigm shift from sparsity-based regularization to deep learning [Arridge et al. 2019; Ongie et al. 2020] (post-processing, unrolling, gen. models, PnP, learned reg., DIP, ...)





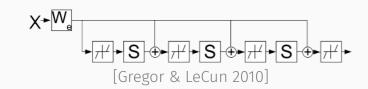


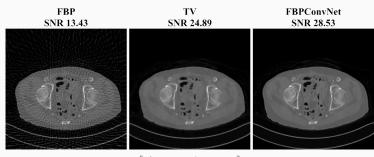
[Jin et al. 2017]

- ► Since 2016: Paradigm shift from sparsity-based regularization to deep learning [Arridge et al. 2019; Ongie et al. 2020] (post-processing, unrolling, gen. models, PnP, learned reg., DIP, ...)
- ► A lack of theoretical foundation has sparked a controversial debate about reliability [Antun et al. 2020]

$$\|x_0 - \text{Net}(y)\|_2 \le \|x_0 - \text{Net}(Ax_0)\|_2 + \|\text{Net}(Ax_0) - \text{Net}(y)\|_2$$
Accuracy
Robustness

 $y = Ax_0 + e$ with $||e||_2 \le \eta$



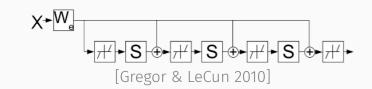


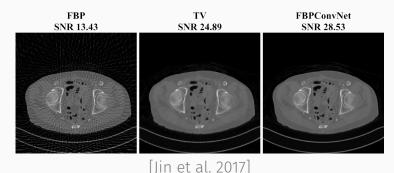
[Jin et al. 2017]

- ► Since 2016: Paradigm shift from sparsity-based regularization to deep learning [Arridge et al. 2019; Ongie et al. 2020] (post-processing, unrolling, gen. models, PnP, learned reg., DIP, ...)
- ► A lack of theoretical foundation has sparked a controversial debate about reliability [Antun et al. 2020]

$$\|x_0 - \text{Net}(y)\|_2 \le \|x_0 - \text{Net}(Ax_0)\|_2 + \|\text{Net}(Ax_0) - \text{Net}(y)\|_2$$
Accuracy
Robustness

$$y = Ax_0 + e$$
 with $\|e\|_2 \le \eta$





Optimistic results in

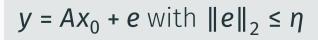
Solving Inverse Problems With Deep Neural Networks – Robustness Included?

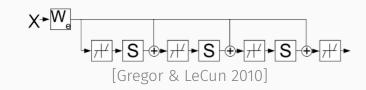
Genzel, Macdonald, März [IEEE TPAMI 2022]

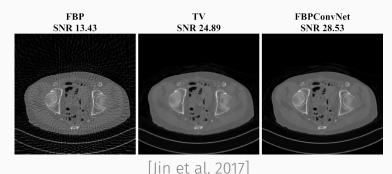
- ► Since 2016: Paradigm shift from sparsity-based regularization to deep learning [Arridge et al. 2019; Ongie et al. 2020] (post-processing, unrolling, gen. models, PnP, learned reg., DIP, ...)
- ► A lack of theoretical foundation has sparked a controversial debate about reliability [Antun et al. 2020]

$$\|x_0 - \text{Net}(y)\|_2 \le \|x_0 - \text{Net}(Ax_0)\|_2 + \|\text{Net}(Ax_0) - \text{Net}(y)\|_2$$
Accuracy
Robustness

What happens at the noisefree limit $\eta = 0$? Can NNs produce near-perfect solutions, i.e., $\|x_0 - \text{Net}(Ax_0)\|_2 \approx 0$?





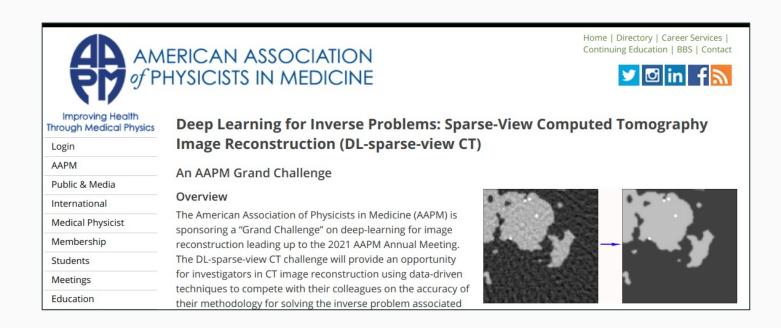


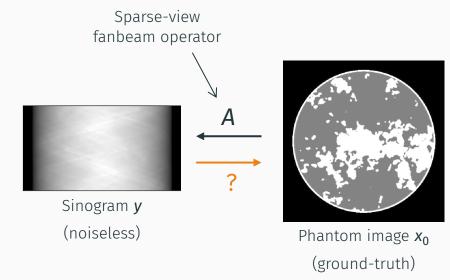
Optimistic results in

Solving Inverse Problems With Deep Neural Networks – Robustness Included?

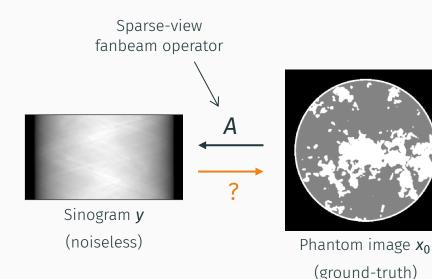
Genzel, Macdonald, März [IEEE TPAMI 2022]

- Based on research by Emil Sidky et al. on sparse-view breast CT
- Answer for post-processing by U-net: No!
- ► Goal of AAPM Challenge: "The challenge seeks the data-driven methodology that provides the most accurate reconstruction of sparse-view CT data."

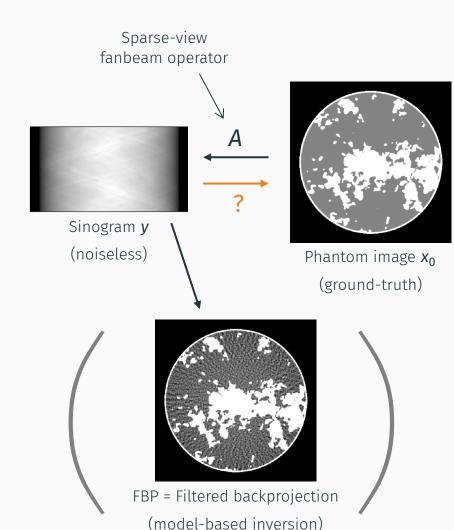




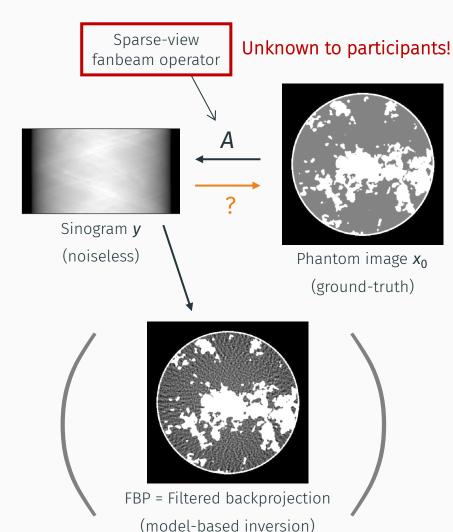
- Based on research by Emil Sidky et al. on sparse-view breast CT
- Answer for post-processing by U-net: No!
- ► Goal of AAPM Challenge: "The challenge seeks the data-driven methodology that provides the most accurate reconstruction of sparse-view CT data."
- ► Challenge dataset: M = 4000 pairs of
 - breast-phantom images (*d*=512x512)
 - noiseless sparse-view sinograms (128 views)
 - (sparse-view FBP images)
- ► Evaluation by RMSE $(=\frac{1}{M}\sum_{i=1}^{M}\sqrt{\|x_0^i \hat{x}_0^i\|_2^2/d})$
 - → TV minimization can solve the problem (RMSE ≈ 1e-6)
- ► Timeline: Mar 17 Jun 1, 2021
 - → Approx. 60 groups participated (25 in final phase)



- ► Based on research by Emil Sidky et al. on sparse-view breast CT
- Answer for post-processing by U-net: No!
- ► Goal of AAPM Challenge: "The challenge seeks the data-driven methodology that provides the most accurate reconstruction of sparse-view CT data."
- ► Challenge dataset: M = 4000 pairs of
 - breast-phantom images (*d*=512x512)
 - noiseless sparse-view sinograms (128 views)
 - (sparse-view FBP images)
- ► Evaluation by RMSE $(=\frac{1}{M}\sum_{i=1}^{M}\sqrt{\|x_0^i \hat{x}_0^i\|_2^2/d})$
 - → TV minimization can solve the problem (RMSE ≈ 1e-6)
- ► Timeline: Mar 17 Jun 1, 2021
 - → Approx. 60 groups participated (25 in final phase)

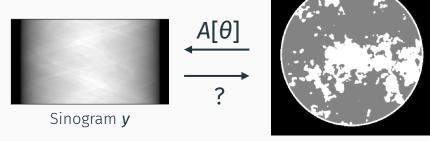


- Based on research by Emil Sidky et al. on sparse-view breast CT
- Answer for post-processing by U-net: No!
- ► Goal of AAPM Challenge: "The challenge seeks the data-driven methodology that provides the most accurate reconstruction of sparse-view CT data."
- ► Challenge dataset: M = 4000 pairs of
 - breast-phantom images (*d*=512x512)
 - noiseless sparse-view sinograms (128 views)
 - (sparse-view FBP images)
- ► Evaluation by RMSE $(=\frac{1}{M}\sum_{i=1}^{M}\sqrt{\|x_0^i \hat{x}_0^i\|_2^2/d})$
 - → TV minimization can solve the problem (RMSE ≈ 1e-6)
- ► Timeline: Mar 17 Jun 1, 2021
 - → Approx. 60 groups participated (25 in final phase)



▶ Step 1: Fully data-driven operator identification based on a parameterized fwd. model $A[\theta]$

$$\min_{\theta} \sum_{i} \|A[\theta] (x_0^i) - y^i\|_2^2$$

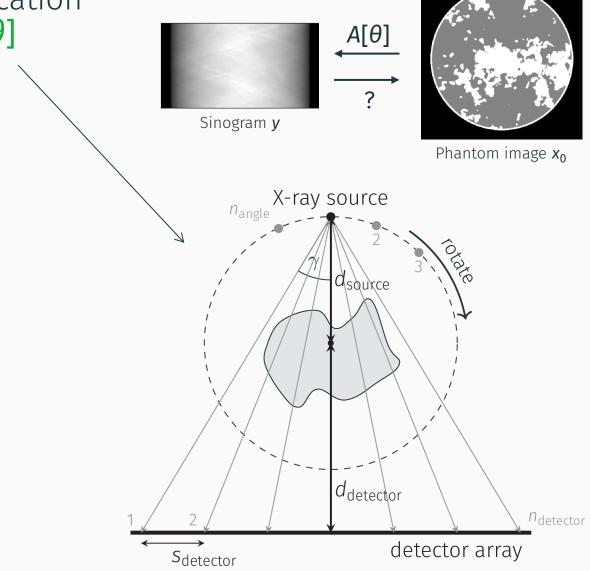


Phantom image x_0

▶ Step 1: Fully data-driven operator identification based on a parameterized fwd. model $A[\theta]$

 $\min_{\theta} \sum_{i} \|A[\theta](x_0^i) - y^i\|_2^2$

Deep-learning-style optimization (backprop/autodiff)

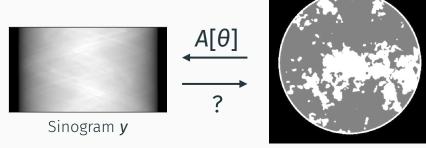


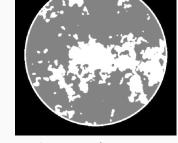
► Step 1: Fully data-driven operator identification based on a parameterized fwd. model $A[\theta]$

$$\min_{\theta} \sum_{i} \|A[\theta] (x_0^i) - y^i\|_2^2$$

Step 2: Pre-train a UNet as FBP-post-processor

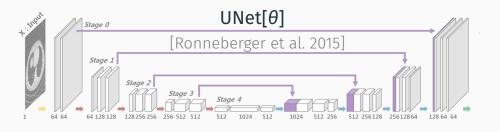
$$\min_{\theta} \sum_{i} \|x_0^i - [\mathsf{UNet}[\theta] \cdot \mathsf{FBP}](y^i)\|_2^2$$

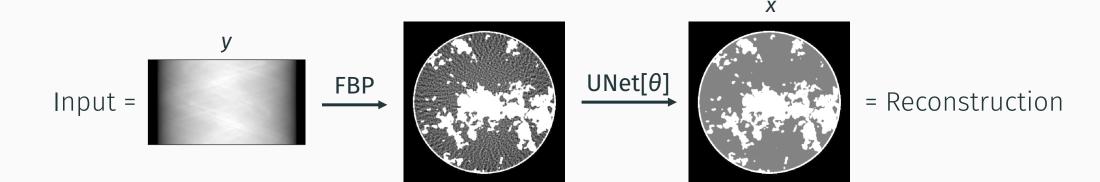




Phantom image x_0

[Jin et al. 2017; Kang et al. 2017; ...]





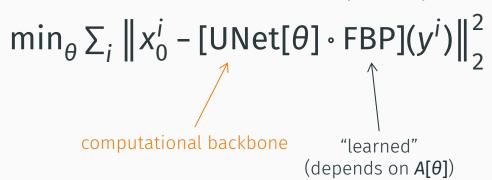
▶ Step 1: Fully data-driven operator identification based on a parameterized fwd. model $A[\theta]$

$$\min_{\theta} \sum_{i} \|A[\theta](x_0^i) - y^i\|_2^2$$

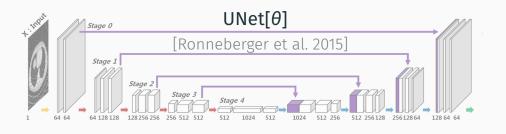
 $A[\theta]$?
Sinogram y

Phantom image x₀

Step 2: Pre-train a UNet as FBP-post-processor



[Jin et al. 2017; Kang et al. 2017; ...]



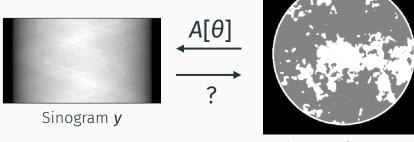


ICML 2022

4

▶ Step 1: Fully data-driven operator identification based on a parameterized fwd. model $A[\theta]$

$$\min_{\theta} \sum_{i} \|A[\theta](x_0^i) - y^i\|_2^2$$



Phantom image **x**₀

- Step 2: Pre-train a UNet as FBP-post-processor
- Step 3: Construct an iterative scheme (= ItNet)

[Jin et al. 2017; Kang et al. 2017; ...]

[Aggarwal et al. 2018; Schlemper et al. 2019;

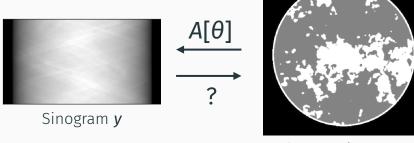
Hammernik, Schlemper, et al. 2021; ...]

ICML 2022

4

▶ Step 1: Fully data-driven operator identification based on a parameterized fwd. model $A[\theta]$

$$\min_{\theta} \sum_{i} \|A[\theta](x_0^i) - y^i\|_2^2$$



Phantom image x_0

- Step 2: Pre-train a UNet as FBP-post-processor
- Step 3: Construct an iterative scheme (= ItNet)

[Aggarwal et al. 2018; Schlemper et al. 2019;

Hammernik, Schlemper, et al. 2021; ...]

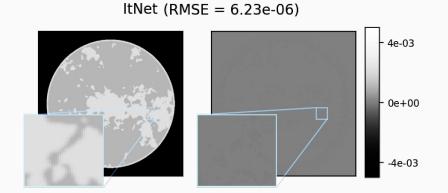
[Jin et al. 2017; Kang et al. 2017; ...]

Challenge Results - Team: robust-and-stable

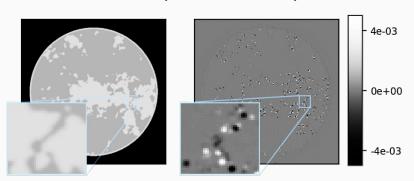
	Baselines		Our Network Variants		Comparison Networks	
	Chall. FBP	Our FBP	U-net	ItNet	Tiramisu	LPD
RMSE	5.72e-3	3.40e-3	3.50e-4	6.37e-6	2.24e-4	1.24e-4

[Jégou et al. 2017; Adler & Öktem 2018]

			Results				
#	User	Entries	Date of Last Entry	Team Name	RMSE ▲		
1	Max	3	05/31/21	robust-and-stable	0.00000637 (1)		
2	TUM	4	05/31/21	YM & RH	0.00003989 (2)		
3	cebel67	4	05/31/21	DEEP_UL	0.00012923 (3)		
4	deepx	3	05/31/21		0.00015935 (4)		
5	Haimiao	4	05/29/21	HBB	0.00018119 (5)		
6	HKim	2	05/31/21	MIR	0.00026678 (6)		
7	luke199629	5	05/31/21		0.00028064 (7)		
8	yume	3	05/26/21	list	0.00029180 (8)		



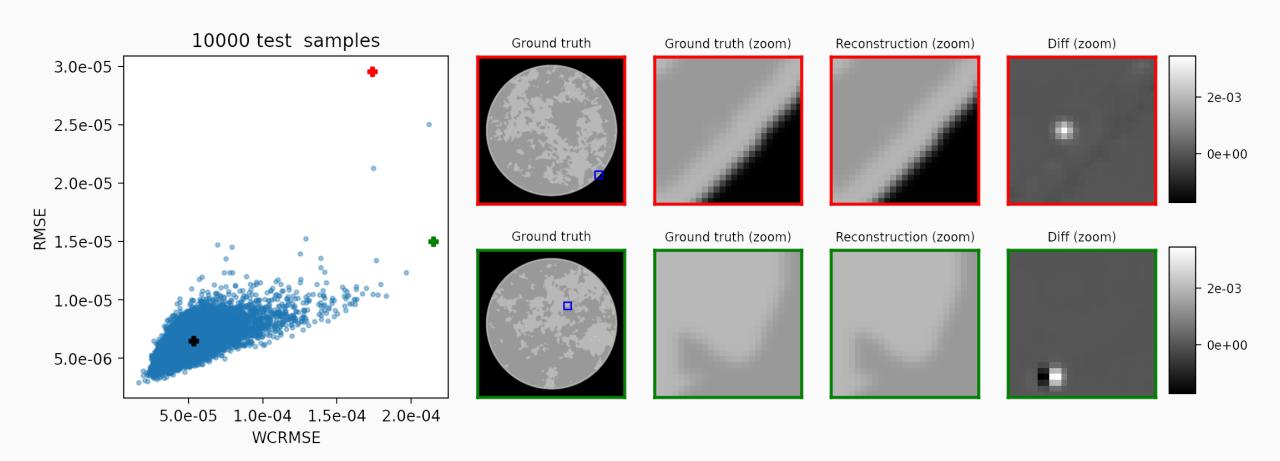
Tiramisu (RMSE = 2.75e-04)



Further Analysis & Take-Aways (1/4)

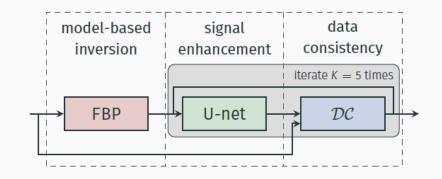
Near-exact image recovery via end-to-end NNs is possible

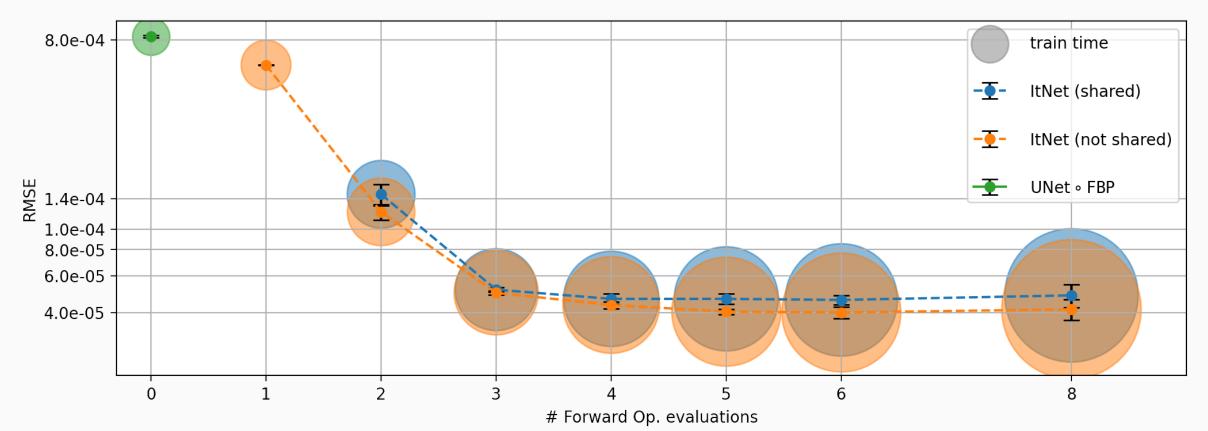
→ "practical solution" to the inverse problem



ICML 2022 6

Further Analysis & Take-Aways (2/4)

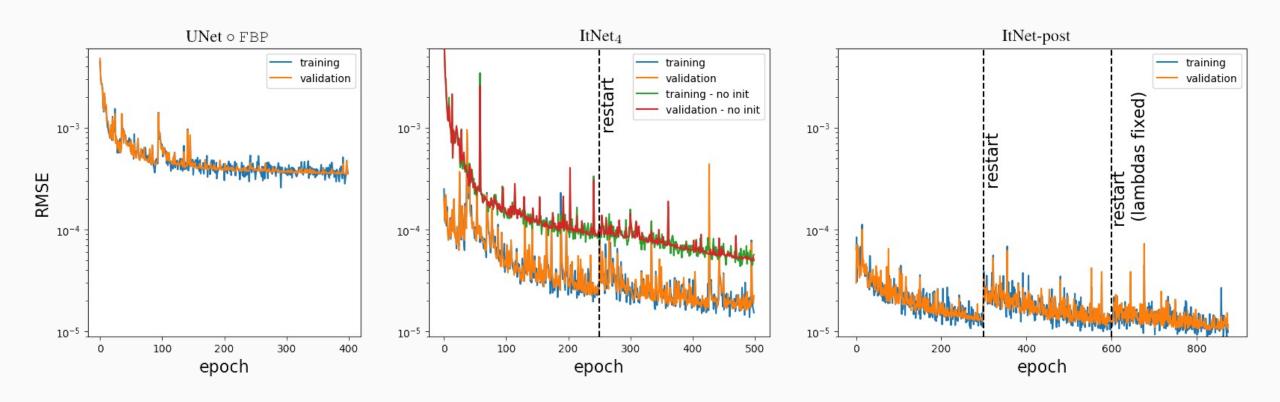




Further Analysis & Take-Aways (3/4)

Model-based knowledge and pre-training is key

→ "Simple models, but trained well!"

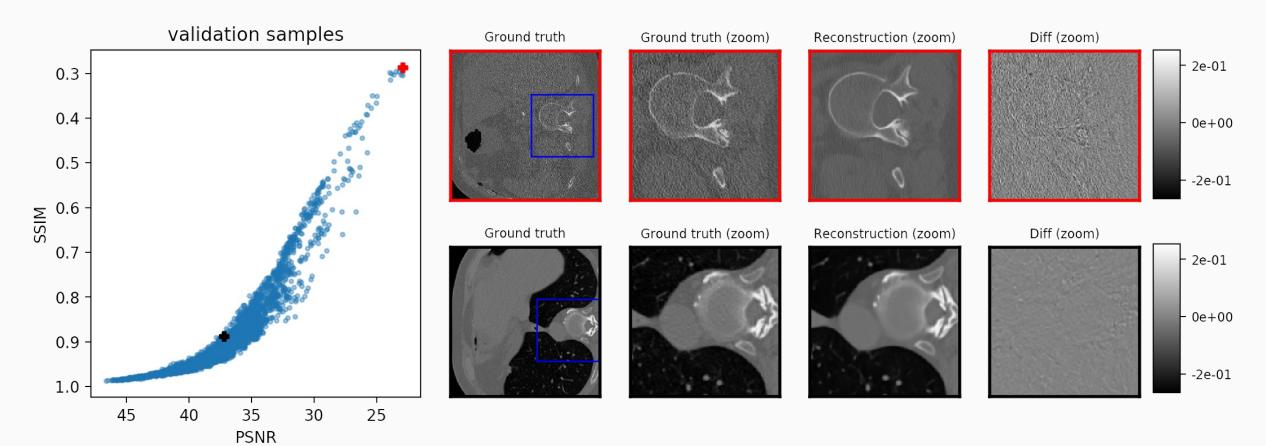


Further Analysis & Take-Aways (4/4)

ItNet is also SOTA for real-world CT image data

# 1	User (Team)	N	Created	₩	Mean Position
1st	RobustAndStable 🚣		4 Nov. 2021		1.3
2nd	RobustAndStable 🚉		1 May 2021		2.8
2nd			19 Aug. 2021		2.8
4th	RobustAndStable 🐣		28 April 2021		5.5
5th	iRIMforCT 🚣		31 July 2021		6.8

LoDoPaB-CT – Leuschner et al. 2021



THANK YOU!

Official Challenge Report:

E. Sidky & X. Pan. Report on the AAPM deep-learning sparse-view CT (DL-sparse-view CT) Grand Challenge. *Med. Phys.* (2022), arXiv:2109.09640

Our code:

https://github.com/jmaces/aapm-ct-challenge

Find us on 🍠

@MartinGenzel @Iguhring @jan_maces @MaximilianMarz