

# A NEURAL TANGENT KERNEL PERSPECTIVE OF GANS

ICML 2022 – July 17th to 23rd, 2022

*J.-Y. Franceschi*<sup>1,2</sup> *E. de Bézenac*<sup>3,2</sup> *I. Ayed*<sup>2,4</sup>  
*M. Chen*<sup>5</sup> *S. Lamprier*<sup>2</sup> *P. Gallinari*<sup>2,1</sup>

<sup>1</sup>Criteo AI Lab, Paris, France

<sup>2</sup>Sorbonne Université, CNRS, ISIR, F-75005 Paris, France

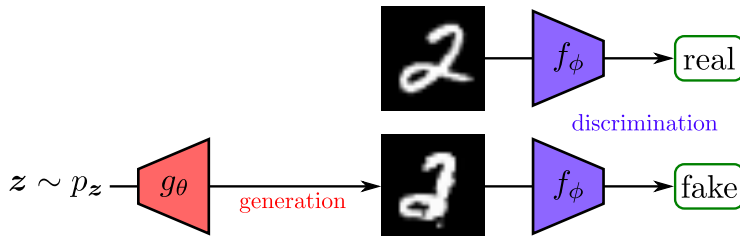
<sup>3</sup>Seminar for Applied Mathematics, D-MATH, ETH Zürich, Zürich-8092, Switzerland

<sup>4</sup>ThereSIS Lab, Thales, Palaiseau, France    <sup>5</sup>Valeo.ai, Paris, France

We solve fundamental flaws of GAN analyses via a theoretical framework based on NTKs.

## Principle

- ▶ The generator  $g_\theta$  generates a distribution  $\alpha_\theta$ , with target  $\beta$ .
- ▶  $g_\theta$  is trained in competition with a discriminator  $f_\phi$ .
- ▶  $g_\theta$  and  $f_\phi$  have conflicting objectives:
  - ▶  $f$  aims at distinguishing between fake and target samples;
  - ▶  $g$  should make fake and target samples indistinguishable for  $f$ .



- ▶ This is typically framed as, for some loss  $\mathcal{L}$ :

$$\inf_{\theta} \sup_{\phi} \mathcal{L}(g_{\theta}, f_{\phi}).$$

- ▶ This is typically framed as, for some loss  $\mathcal{L}$ :

$$\inf_{\theta} \sup_{\phi} \mathcal{L}(g_{\theta}, f_{\phi}).$$

- ▶ Many analyses solve the inner optimization problem and find that for some loss  $\mathcal{C}$  and optimal  $f_{\phi_{\theta}^*}$ :

$$\inf_{\theta} \sup_{\phi} \mathcal{L}(g_{\theta}, f_{\phi}) = \inf_{\theta} \mathcal{L}(g_{\theta}, f_{\phi_{\theta}^*}) \approx \inf_{\theta} \mathcal{C}(\alpha_{\theta}, \beta).$$

- ▶ In vanilla GAN,  $\mathcal{C}$  is a Jensen-Shannon (JS) divergence.
- ▶ In WGAN,  $\mathcal{C}$  is the earth mover's distance  $\mathcal{W}_1$ .

- ▶ This is typically framed as, for some loss  $\mathcal{L}$ :

$$\inf_{\theta} \sup_{\phi} \mathcal{L}(g_{\theta}, f_{\phi}).$$

- ▶ Many analyses solve the inner optimization problem and find that for some loss  $\mathcal{C}$  and optimal  $f_{\phi_{\theta}^*}$ :

$$\inf_{\theta} \sup_{\phi} \mathcal{L}(g_{\theta}, f_{\phi}) = \inf_{\theta} \mathcal{L}(g_{\theta}, f_{\phi_{\theta}^*}) \approx \inf_{\theta} \mathcal{C}(\alpha_{\theta}, \beta).$$

- ▶ In vanilla GAN,  $\mathcal{C}$  is a Jensen-Shannon (JS) divergence.
- ▶ In WGAN,  $\mathcal{C}$  is the earth mover's distance  $\mathcal{W}_1$ .
- ▶ Gradient received by  $g_{\theta}$ :

$$\nabla_{\theta} \mathcal{L}(g_{\theta}, f_{\phi_{\theta}^*}).$$

- ▶ In practice, GANs are iteratively optimized as follows:

$$\begin{aligned}\theta &\leftarrow \theta - \eta \nabla_{\theta} \mathcal{L}(g_{\theta}, f_{\phi}); \\ \phi &\leftarrow \phi + \lambda \nabla_{\phi} \mathcal{L}(g_{\theta}, f_{\phi}).\end{aligned}$$

- ▶  $f_{\phi}$  and  $g_{\theta}$  are considered to be independent of each other.

- ▶ In practice, GANs are iteratively optimized as follows:

$$\begin{aligned}\theta &\leftarrow \theta - \eta \nabla_{\theta} \mathcal{L}(g_{\theta}, f_{\phi}); \\ \phi &\leftarrow \phi + \lambda \nabla_{\phi} \mathcal{L}(g_{\theta}, f_{\phi}).\end{aligned}$$

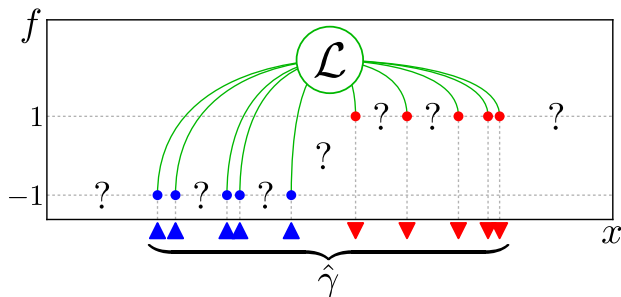
- ▶  $f_{\phi}$  and  $g_{\theta}$  are considered to be independent of each other.
- ▶ Gradient received by  $g_{\theta}$ :

$$\cancel{\nabla_{\theta} \mathcal{L}(g_{\theta}, f_{\phi_{\theta}^*})} \quad \Rightarrow \quad \nabla_{\theta} \mathcal{L}(g_{\theta}, f_{\phi}).$$

## Consequence

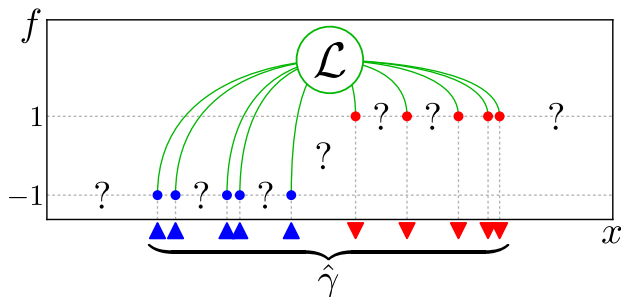
Altering the gradient changes the loss  $\mathcal{L}$  minimized by the generator.





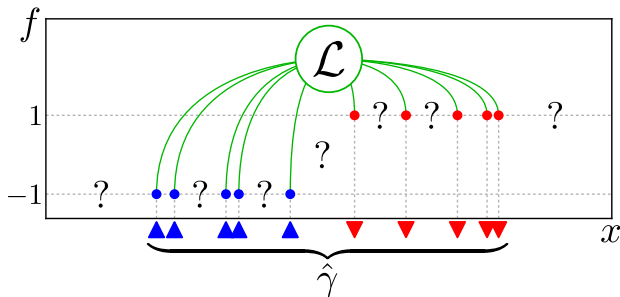
In an Alternating Optimization setting:

- Computing gradient of generator requires  $\nabla f$  (chain rule).



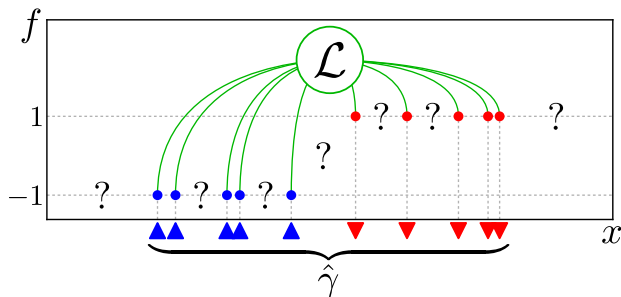
In an Alternating Optimization setting:

- ▶ Computing gradient of generator requires  $\nabla f$  (chain rule).
- ▶ Without any assumption on the structure of  $f$ , as loss  $\mathcal{L}$  is only defined on training points,  $\nabla f$  is not defined.



In an Alternating Optimization setting:

- ▶ Computing gradient of generator requires  $\nabla f$  (chain rule).
- ▶ Without any assumption on the structure of  $f$ , as loss  $\mathcal{L}$  is only defined on training points,  $\nabla f$  is not defined.
- ▶ The gradient of the generator is thus also ill-defined.



In an Alternating Optimization setting:

- ▶ Computing gradient of generator requires  $\nabla f$  (chain rule).
- ▶ Without any assumption on the structure of  $f$ , as loss  $\mathcal{L}$  is only defined on training points,  $\nabla f$  is not defined.
- ▶ The gradient of the generator is thus also ill-defined.
- ▶ *Need to take into account structure of  $f$ .*

## Problem

Most prior analyses fail to model practical GAN settings, leading to:

- ▶ be unable to determine the true loss  $\mathcal{L}$ ;
- ▶ ill-defined gradient issues.

## Our Work

We propose a *finer-grained* framework solving these issues, modeling the discriminator's architecture along with alternating optimization.

## Infinite-Width NTK Framework

- ▶ We consider the NNs in the NTK regime (Jacot et al., 2018).
- ▶ Allows theoretical analysis of evolution of NNs during training.

## Infinite-Width NTK Framework

- ▶ We consider the NNs in the NTK regime (Jacot et al., 2018).
- ▶ Allows theoretical analysis of evolution of NNs during training.

## Theorem (Smoothness of the discriminator, Informal)

*The discriminator trained with gradient descent is infinitely differentiable (almost) everywhere.*

- ▶ Gradients of both the discriminator and generator well defined.

We analyze evolution of generated distribution  $\alpha_\theta$  during training:

- ▶ Follows *Stein gradient flow* w.r.t. loss  $\mathcal{C}$  (Duncan et al., 2019);
- ▶  $\mathcal{C}$  is automatically non-increasing during adversarial training;
- ▶  $\mathcal{C}$  can be analyzed theoretically; in particular:



We analyze evolution of generated distribution  $\alpha_\theta$  during training:

- ▶ Follows *Stein gradient flow* w.r.t. loss  $\mathcal{C}$  (Duncan et al., 2019);
- ▶  $\mathcal{C}$  is automatically non-increasing during adversarial training;
- ▶  $\mathcal{C}$  can be analyzed theoretically; in particular:

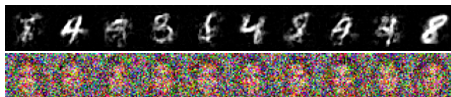
## GAN Loss for IPMs

For the IPM loss,  $\mathcal{C}$  is the squared MMD with the NTK as kernel:

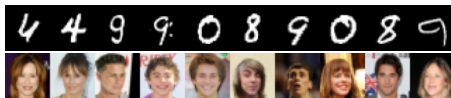
$$\mathcal{C}(\alpha_\theta, \beta) = \text{MMD}_k^2(\alpha_\theta, \beta).$$

- ▶ More results of this type in the paper!

RBF



ReLU

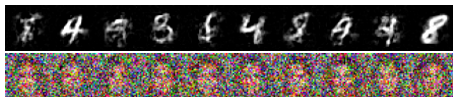


ReLU (no bias)

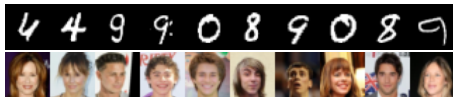


- ▶ We conduct empirical analysis,
- ▶ Yields insights into GAN training,

RBF



ReLU



ReLU (no bias)



- ▶ We conduct empirical analysis,
- ▶ Yields insights into GAN training,

## Experimental Framework

Code: <https://github.com/emited/gantk2>.