



Structural Entropy Guided Graph Hierarchical Pooling

Junran Wu^{1*}, Xueyuan Chen^{1*}, Ke Xu¹, Shangzhe Li¹²

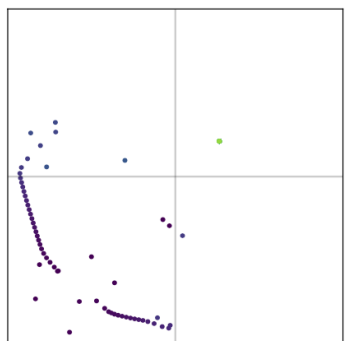
¹State Key Lab of Software Development Environment, Beihang University

²School of Mathematical Science, Beihang University

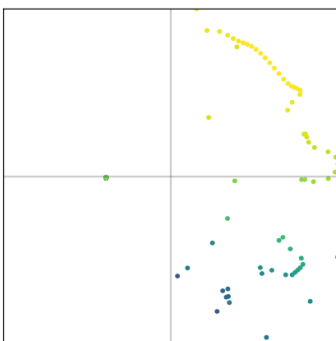


北京航空航天大学计算机学院
School of Computer Science and Engineering, Beihang University

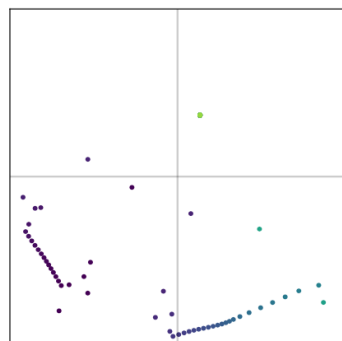
Motivation



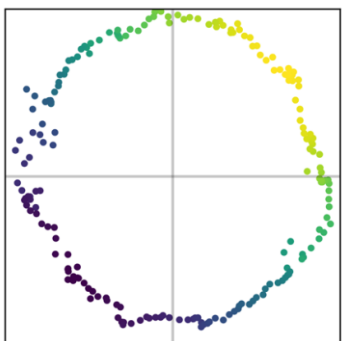
(a) TopKPool



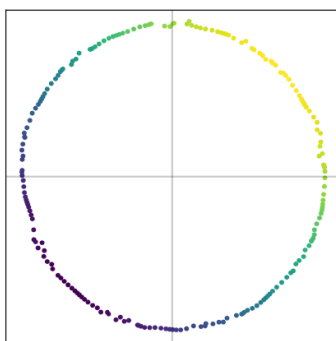
(b) SAGPool



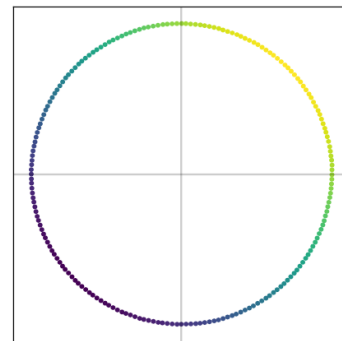
(c) ASAPPool



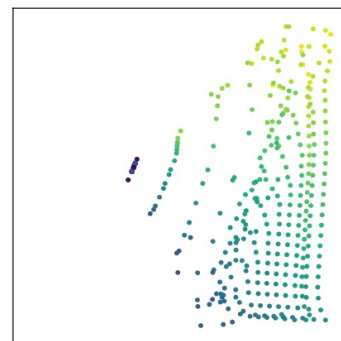
(d) DiffPool



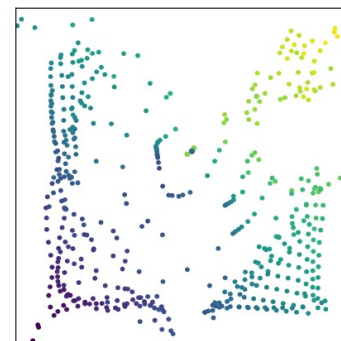
(e) minCutPool



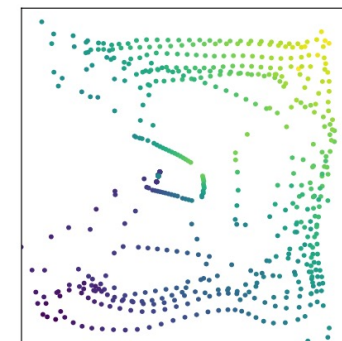
(f) SEP



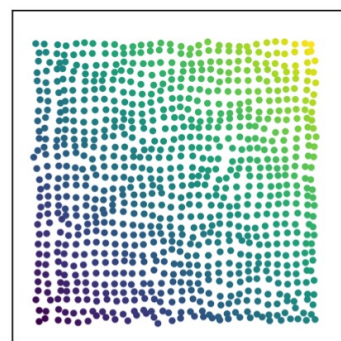
(a) TopKPool



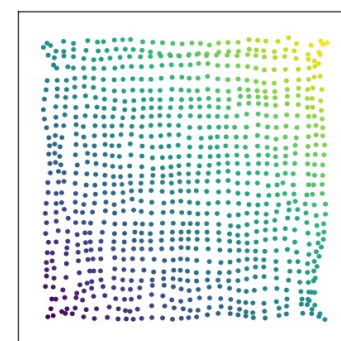
(b) SAGPool



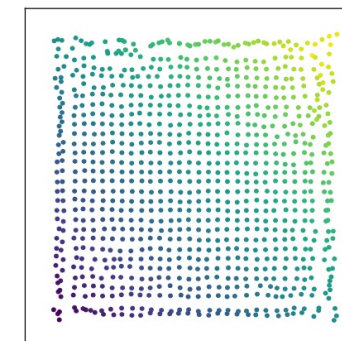
(c) ASAPPool



(d) DiffPool



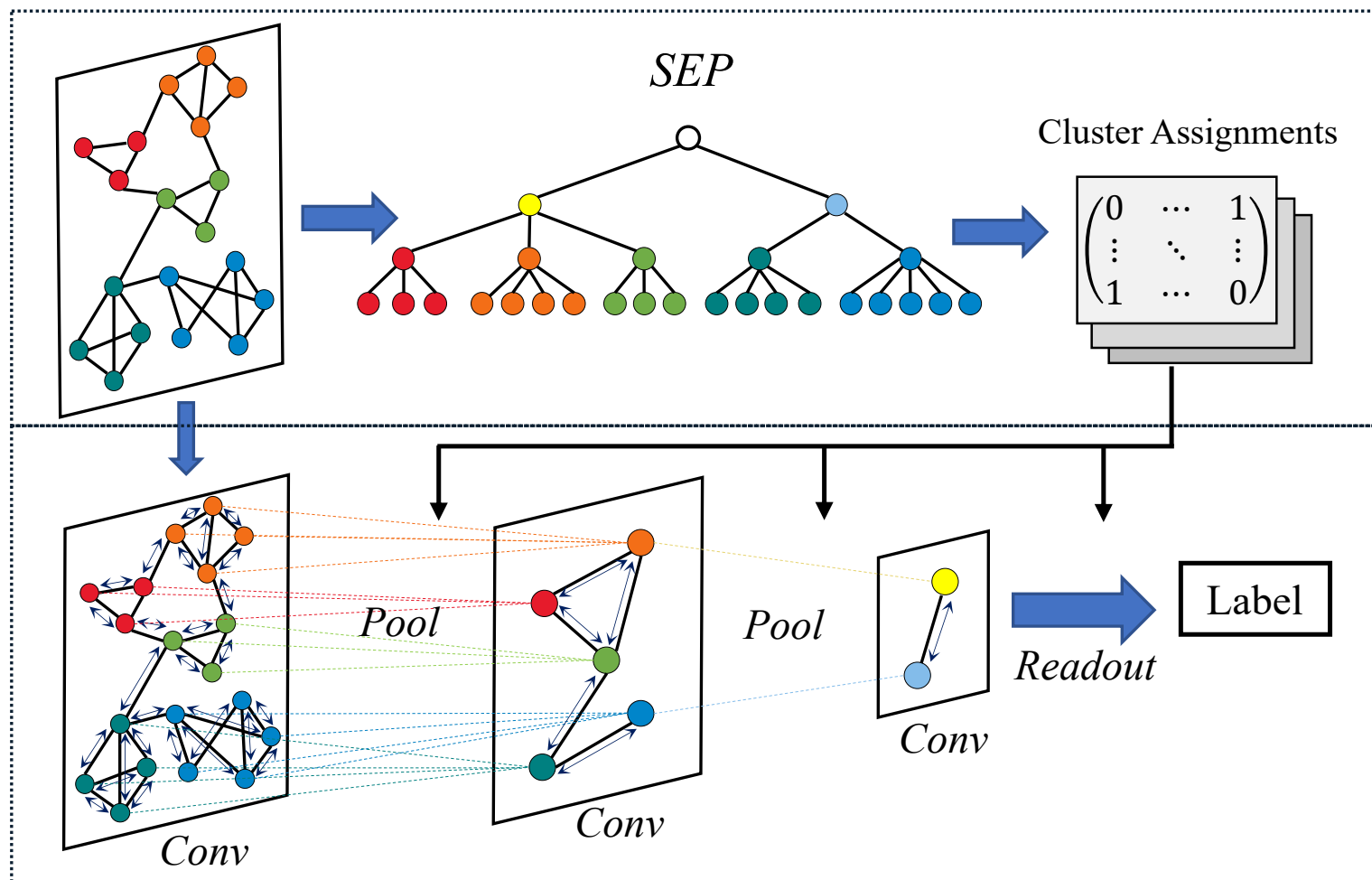
(e) minCutPool



(f) SEP

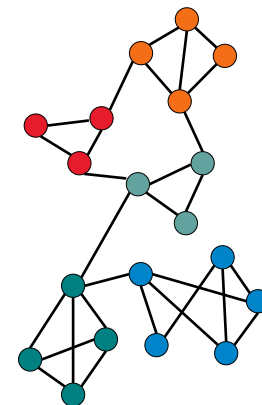


Framework Overview

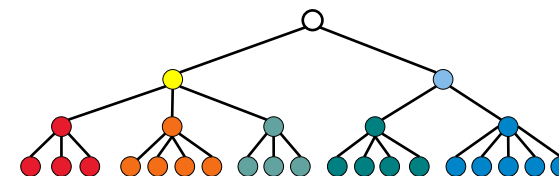


Structural Entropy

$$\mathcal{H}^T(G) = - \sum_{v_t \in T} \frac{g_{v_t}}{\text{vol}(V)} \log \frac{\text{vol}(v_t)}{\text{vol}(v_t^+)}$$



$$\mathcal{H}(G) = \min_T \{ \mathcal{H}^T(G) \}$$



k-Dimensional Structural Entropy

$$\mathcal{H}^{(k)}(G) = \min_{T: \text{height}(T) \leq k} \{ \mathcal{H}^T(G) \}$$

Structural Entropy Minimization

Definition 3.1. Let T be any coding tree for graph $G = (\mathcal{V}, \mathcal{E})$, v_r is the root node of T and \mathcal{V} are the leaf nodes of T . Given any two nodes (v_i, v_j) in T , in which $v_i \in v_r.children$ and $v_j \in v_r.children$.

Define a function $MERGE_T(v_i, v_j)$ for T to insert a new node v_ϵ between v_r and (v_i, v_j) :

$$v_\epsilon.children \leftarrow v_i; \quad (5)$$

$$v_\epsilon.children \leftarrow v_j; \quad (6)$$

$$v_r.children \leftarrow v_\epsilon; \quad (7)$$

Definition 3.2. Following the setting in Definition 3.1, given any two nodes (v_i, v_j) , in which $v_i \in v_j.children$.

Define a function $REMOVE_T(v_i)$ for T to remove v_i from T and merge $v_i.children$ to $v_j.children$:

$$v_j.children \leftarrow v_i.children; \quad (8)$$

Definition 3.3. Following the setting in Definition 3.1, given any two nodes (v_i, v_j) , in which $v_i \in v_j.children$ and $|Height(v_j) - Height(v_i)| > 1$.

Define a function $FILL(v_i, v_j)$ for T to insert a new node v_ϵ between v_i and v_j :

$$v_\epsilon.children \leftarrow v_i; \quad (9)$$

$$v_j.children \leftarrow v_\epsilon; \quad (10)$$

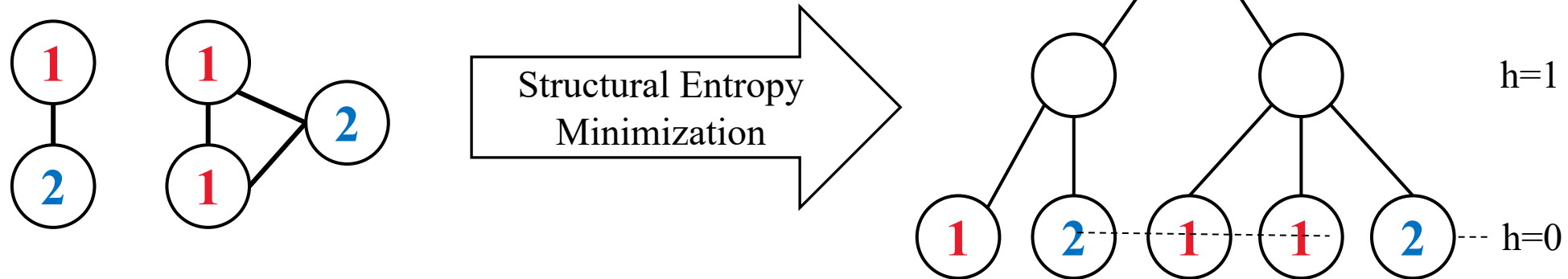


Structural Entropy Minimization

Given, $G = (V, E)$,

$CT = SE_{min}(G)$, where $CT = (V_T, E_T)$, and

$V_T = (V_T^0, V_T^1, \dots, V_T^k), V_T^0 = V$



Algorithm 1 Coding tree with height k via structural entropy minimization

Input: a graph $G = (V, E)$, a positive integer $k > 1$

Output: a coding tree T with height k

```

1: Generate a coding tree  $T$  with a root node  $v_r$  and all
   nodes in  $V$  as leaf nodes;
2: // Stage 1: Bottom to top construction;
3: while  $|v_r.children| > 2$  do
4:   Select  $v_i$  and  $v_j$  from  $v_r.children$ , conditioned on
      $argmax_{(v_i, v_j)} \{ \mathcal{H}^T(G) - \mathcal{H}^{T_{MERGE}(v_i, v_j)}(G) \}$ ;
5:   MERGE( $v_i, v_j$ );
6: end while
7: // Stage 2: Compress  $T$  to the certain height  $k$ ;
8: while Height( $T$ )  $> k$  do
9:   Select  $v_i$  from  $T$ , conditioned on
      $argmin_{v_i} \{ \mathcal{H}^{T_{REMOVE}(v_i)}(G) - \mathcal{H}^T(G) \}$ 
      $v_i \neq v_r \ \& \ v_i \notin V$ ;
10:  REMOVE( $v_i$ );
11: end while
12: // Stage 3: Fill  $T$  to avoid cross-layer links;
13: for  $v_i \in T$  do
14:   if  $|Height(v_i.parent) - Height(v_i)| > 1$  then
15:     FILL( $v_i, v_i.parent$ );
16:   end if
17: end for
18: return  $T$ ;

```



Graph / Node Classification

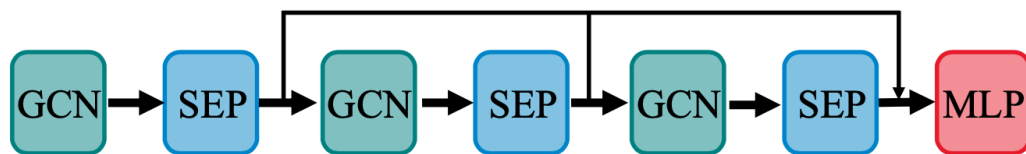


Figure 2: **The SEP-G architecture for graph classification.** Following the design of previous works in hierarchical pooling, the architecture is comprised of three GCN layers and each is followed by corresponding SEP layer.

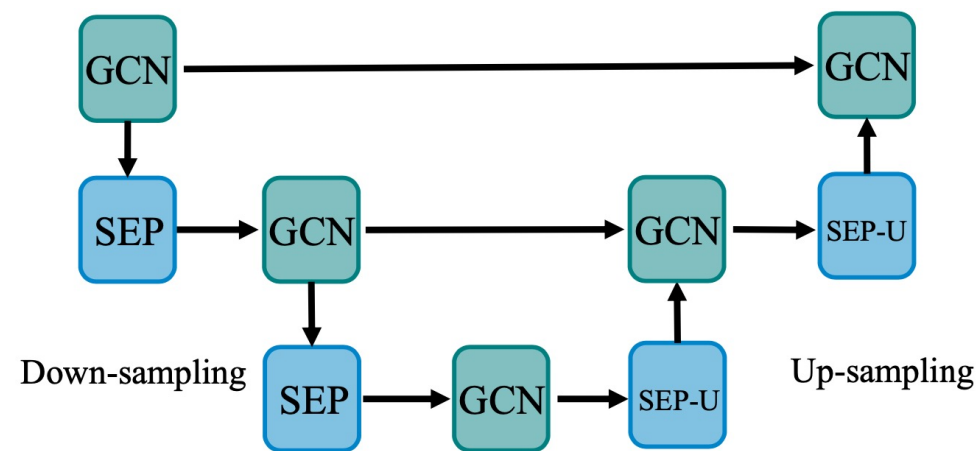


Figure 3: **The SEP-N architecture for node classification.** There are two encoder and two decoder blocks and each block is composed of a GCN layer and a pooling (unpooling) layer. Skip connection links the same-level encoder and decoder to enhance spatial feature transmission.



Evaluation-Graph Classification

Table 1: **Graph classification accuracies on seven benchmarks (%)**. The shown accuracies are mean and standard deviation over 10 different runs. We highlight the best results.

		Social Network			Bioinformatics			
		IMDB-BINARY	IMDB-MULTI	COLLAB	MUTAG	PROTEINS	D&D	NCI1
# Graphs		1,000	1,500	5,000	188	1,113	1,178	4,110
# Classes		2	3	3	2	2	2	2
Avg. # Nodes		19.8	13.0	74.5	17.9	39.1	284.3	29.8
Backbones	GCN	73.26±0.46	50.39±0.41	80.59±0.27	69.50±1.78	73.24±0.73	72.05±0.55	76.29±1.79
	GIN	72.78±0.86	48.13±1.36	78.19±0.63	81.39±1.53	71.46±1.66	70.79±1.17	80.00±1.40
Global Pooling	Set2Set	72.90±0.75	50.19±0.39	79.55±0.39	69.89±1.94	73.27±0.85	71.94±0.56	68.55±1.92
	SortPool	72.12±1.12	48.18±0.83	77.87±0.47	71.94±3.55	73.17±0.88	75.58±0.72	73.82±1.96
	SAGPool(G)	72.16±0.88	49.47±0.56	78.85±0.56	76.78±2.12	72.02±1.08	71.54±0.91	74.18±1.20
	StructPool	72.06±0.64	50.23±0.53	77.27±0.51	79.50±0.75	75.16±0.86	78.45±0.40	78.64±1.53
	GMT	73.48±0.76	50.66±0.82	80.74±0.54	83.44±1.33	75.09±0.59	78.72±0.59	76.35±2.62
	DiffPool	73.14±0.70	51.31±0.72	78.68±0.43	79.22±1.02	73.03±1.00	77.56±0.41	62.32±1.90
Hierarchical Pooling	SAGPool(H)	72.55±1.28	50.23±0.44	78.03±0.31	73.67±4.28	71.56±1.49	74.72±0.82	67.45±1.11
	TopKPool	71.58±0.95	48.59±0.72	77.58±0.85	67.61±3.36	70.48±1.01	73.63±0.55	67.02±2.25
	ASAP	72.81±0.50	50.78±0.75	78.64±0.5	77.83±1.49	73.92±0.63	76.58±1.04	71.48±0.42
	MinCutPool	72.65±0.75	51.04±0.70	80.87±0.34	79.17±1.64	74.72±0.48	78.22±0.54	74.25±0.86
	SEP-G	74.12±0.56	51.53±0.65	81.28±0.15	85.56±1.09	76.42±0.39	77.98±0.57	78.35±0.33



Evaluation-Node Classification

Table 3: Node classification accuracies on Cora, Citeseer, and Pubmed (%). We highlight our results and those that are significantly higher than all other methods.

	Cora	Citeseer	Pubmed
# Nodes	2,708	3,327	19,717
# Edges	5,429	4,732	44,338
# Features	1,433	3,703	4,500
# Classes	7	6	3
GCN	81.4±0.4	70.9±0.5	79.0±0.4
GAT	83.3±0.7	72.6±0.6	78.5±0.3
GIN	77.6±1.1	66.1±0.9	77.0±1.2
FastGCN	79.8±0.3	68.8±0.6	77.4±0.3
APPNP	83.3±0.5	71.7±0.6	80.1±0.2
MixHop	81.8±0.6	71.4±0.8	80.0±1.1
DGI	82.5±0.7	71.6±0.7	78.4±0.7
SGC	81.0±0.03	71.9±0.11	78.9±0.01
S ² GC	83.5±0.02	73.6±0.09	80.2±0.02
GCNII	85.5±0.5	73.4±0.6	80.3±0.4
g-U-Nets	84.4±0.6	73.2±0.5	79.6±0.2
SEP-N	84.8±0.4	72.9±0.7	80.2±0.8

	Model (#Convs)				
	S ² GC(4)	S ² GC(8)	GCNII(4)	GCNII(8)	SEP-N(5)
Cora	79.8	82.2	82.6	84.2	84.8
Citeseer	72.6	72.7	68.9	70.6	72.9
Pubmed	79.2	79.7	78.8	79.3	80.2

Cora			Citeseer		Pubmed	
Depth	g-U-Nets	SEP-N	g-U-Nets	SEP-N	g-U-Nets	SEP-N
1	—	84.3±0.6	—	73.3±0.6	—	78.9±0.6
2	82.6±0.6	84.8±0.4	71.8±0.5	72.9±0.7	79.1±0.3	80.2±0.8
3	83.8±0.7	84.5±0.3	72.7±0.7	72.1±0.6	79.4±0.4	79.5±0.5
4	84.4±0.6	83.6±0.6	73.2±0.5	72.1±0.2	79.6±0.2	78.5±0.3
5	84.1±0.5	83.9±0.5	72.8±0.6	72.4±0.6	79.5±0.3	79.8±0.7



Contributions

- We uncover two crucial issues in previous hierarchical pooling works that hinder the development of GNNs, including the local structure damage and suboptimal problem because of the fixed compression quota and stepwise pooling design.
- Through the introduction of the structural information theory, we present a novel hierarchical pooling approach, termed SEP, to address the unveiled issues.
- We extensively validate SEP on graph reconstruction, graph classification, and node classification tasks, in which outperformances are observed in comparison the SOTA hierarchical pooling methods.



Thanks for watching

Code will be available at:

<https://github.com/Wu-Junran/SEP>

