Delving into Deep Imbalanced Regression



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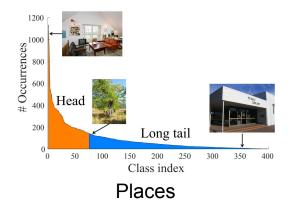
ICML 2021 Long Oral Presentation

http://dir.csail.mit.edu

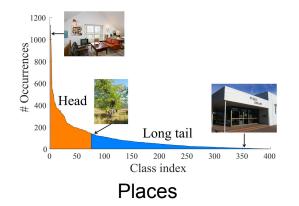


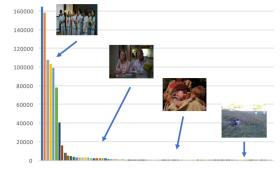
What is imbalanced data?

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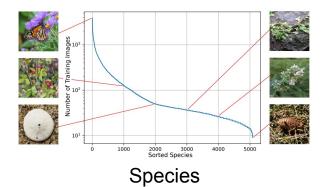


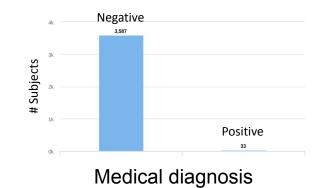
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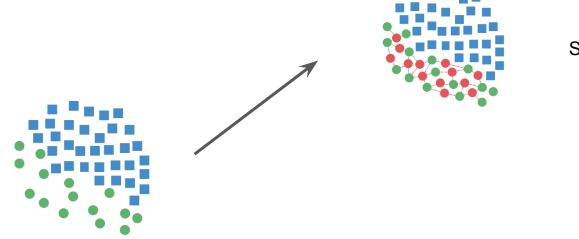




Actions

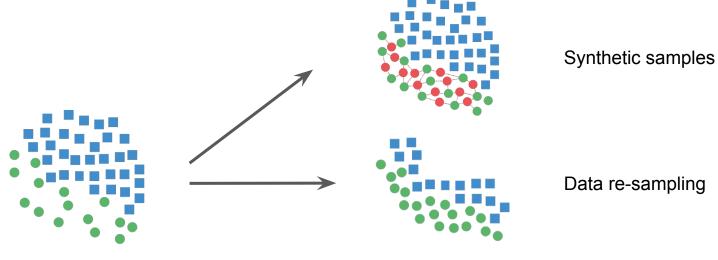




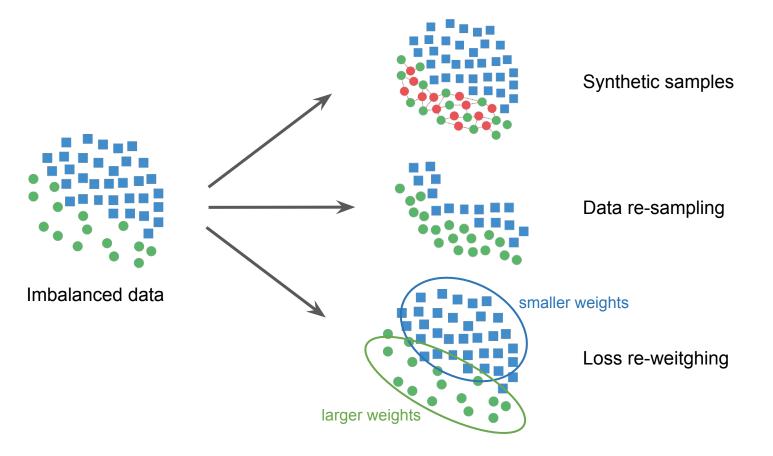


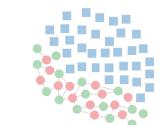
Synthetic samples

Imbalanced data



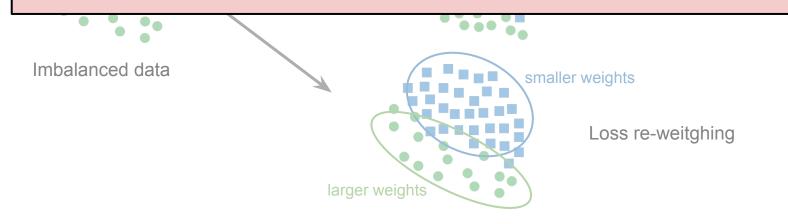
Imbalanced data





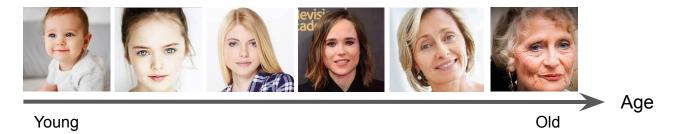
Synthetic samples

Problem: Current solutions are only for Classification

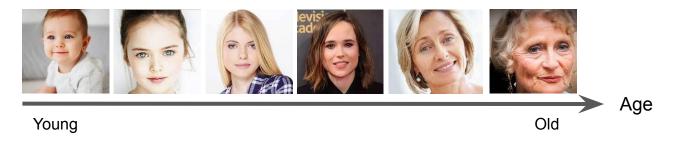


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• Vision application: Inferring age from visual appearance



• Vision application: Inferring age from visual appearance



• Medical application: Physiological signals that are continuous







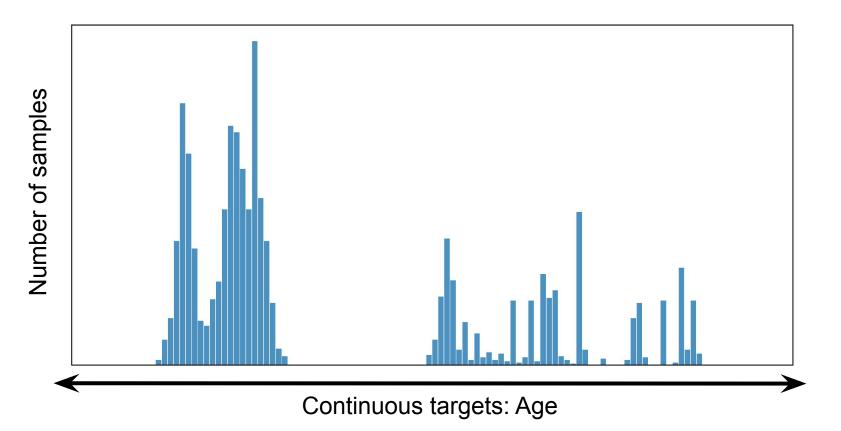
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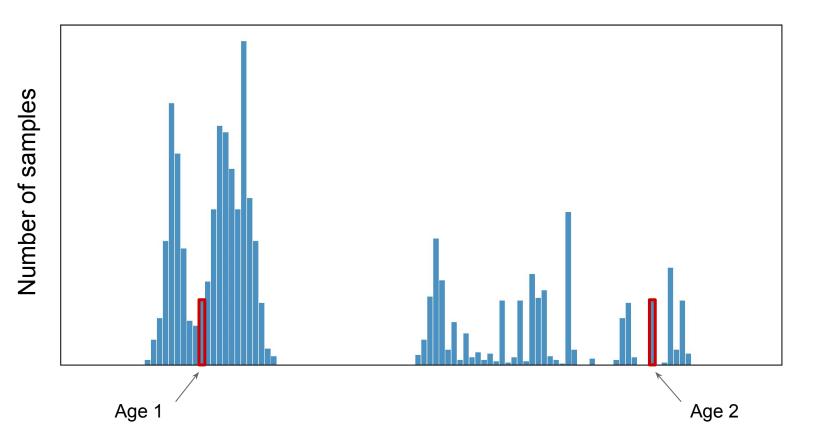


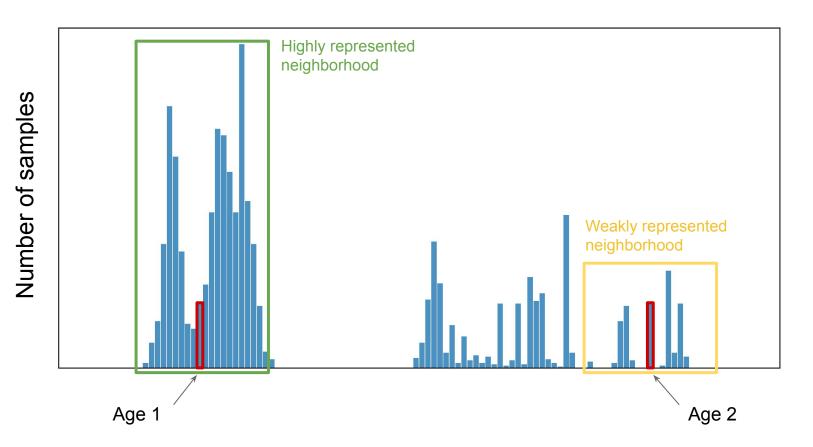
Why is regression different for imbalanced data?

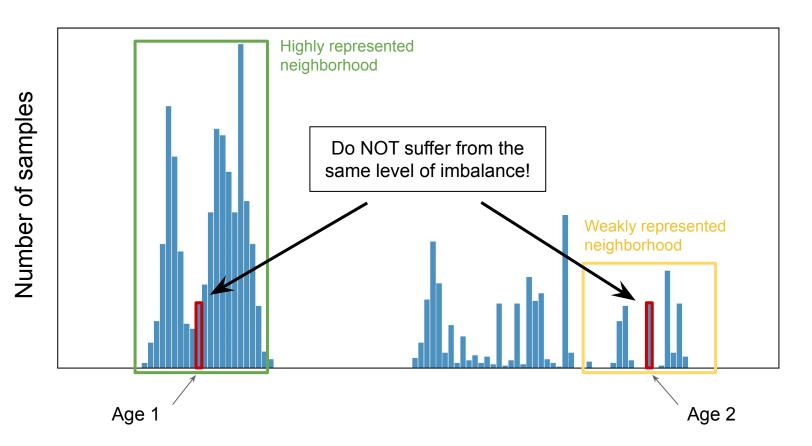




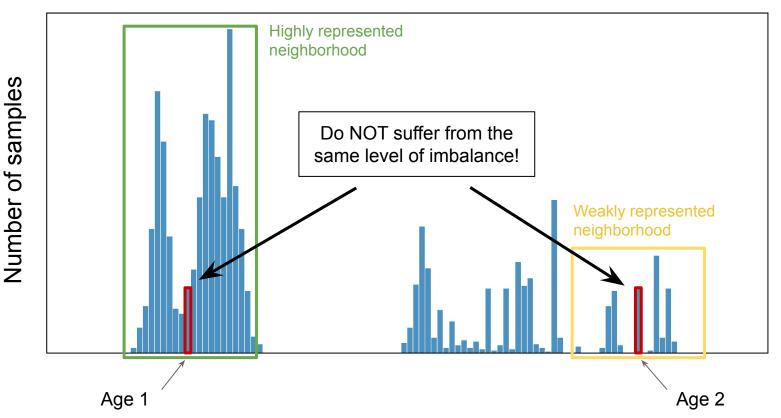


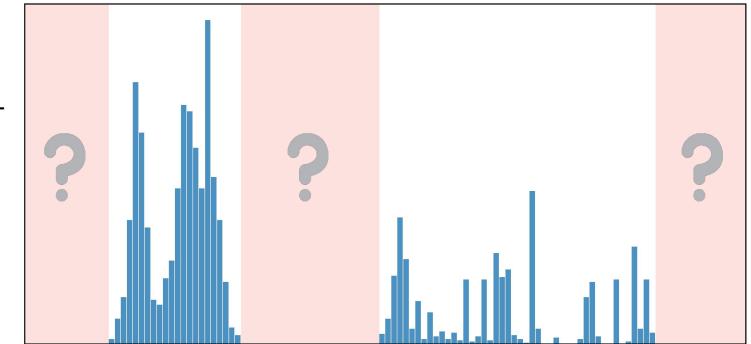




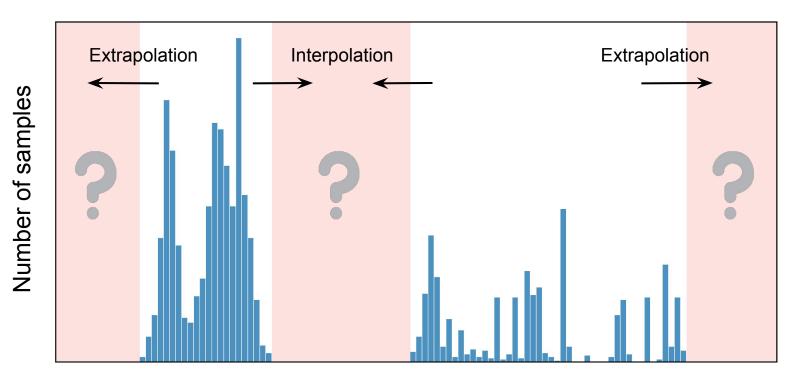


Difference #1: Equal number of examples does not mean equal balanceness

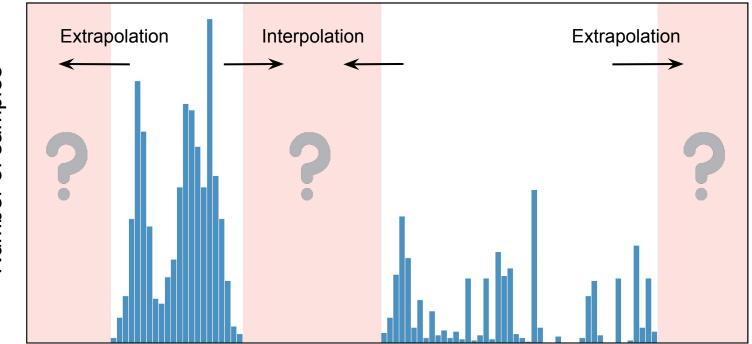




Number of samples

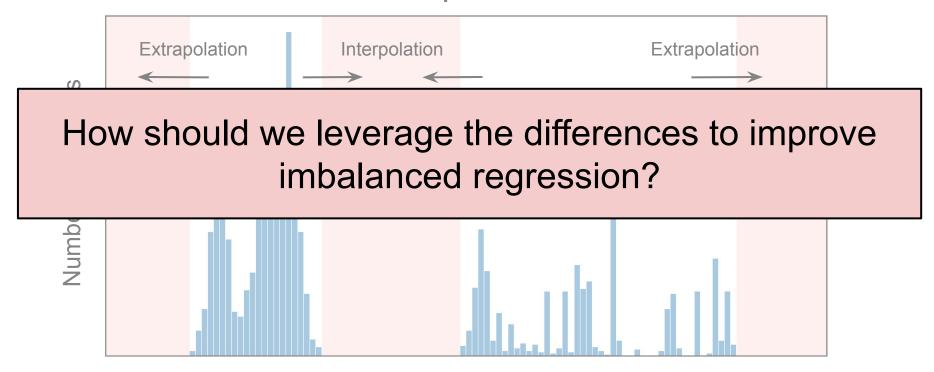


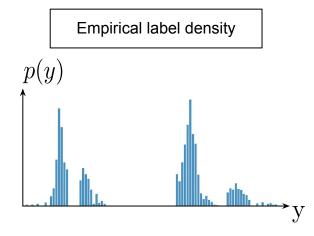
Difference #2: Continuity implies interpolation and extrapolation

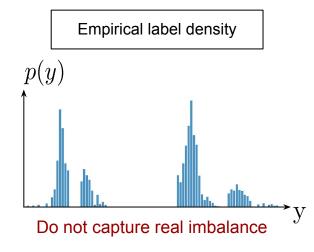


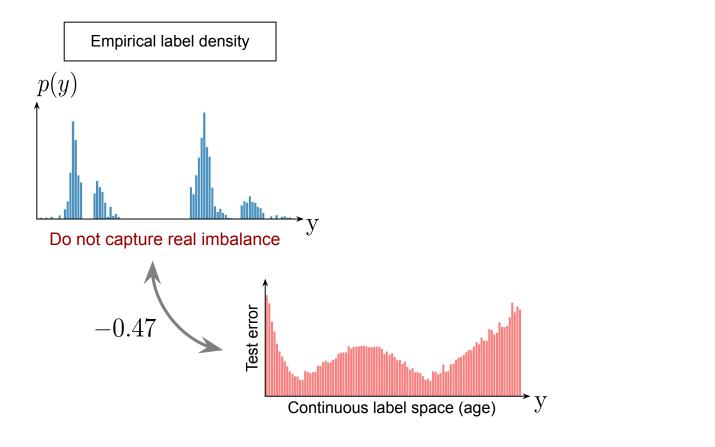
Number of samples

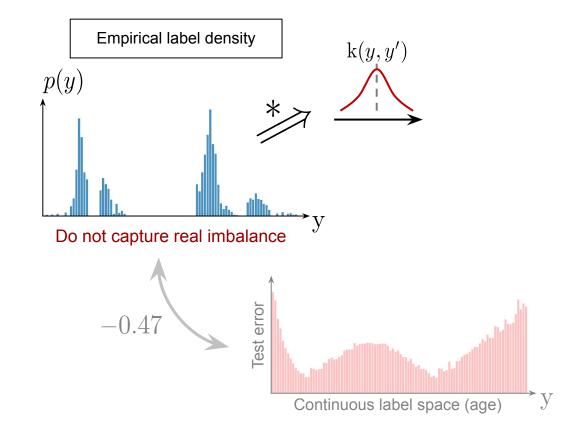
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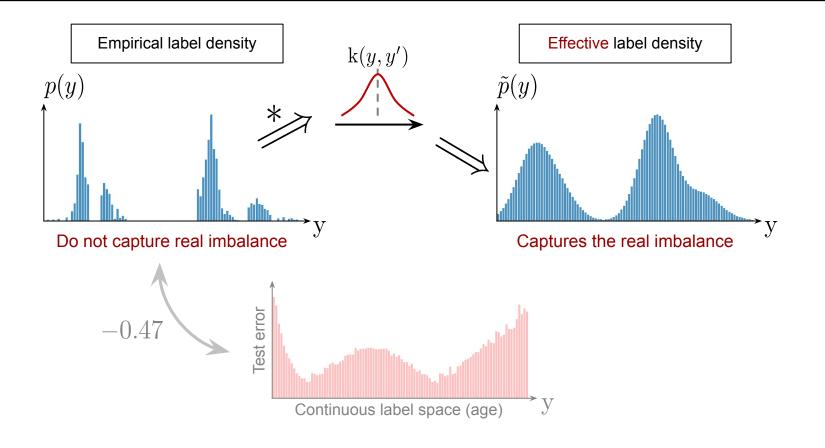


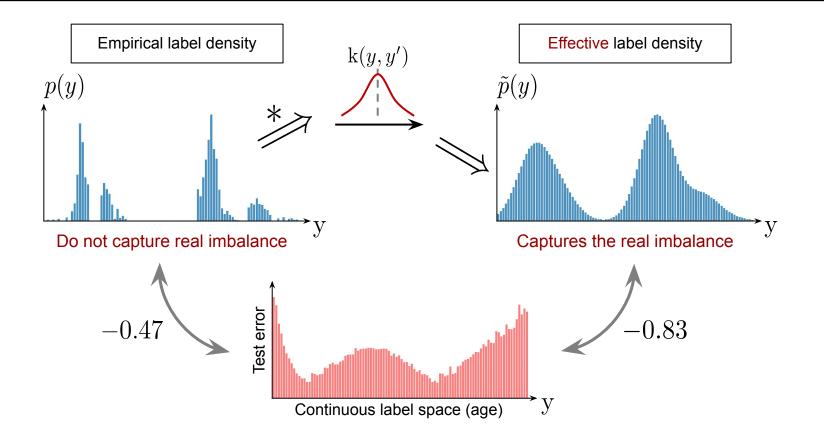


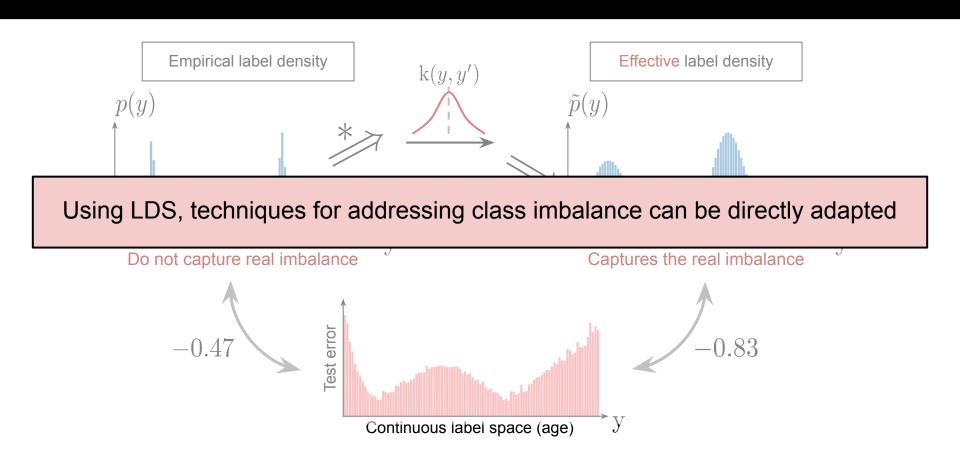


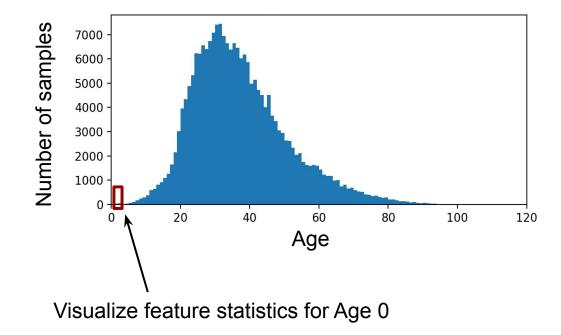


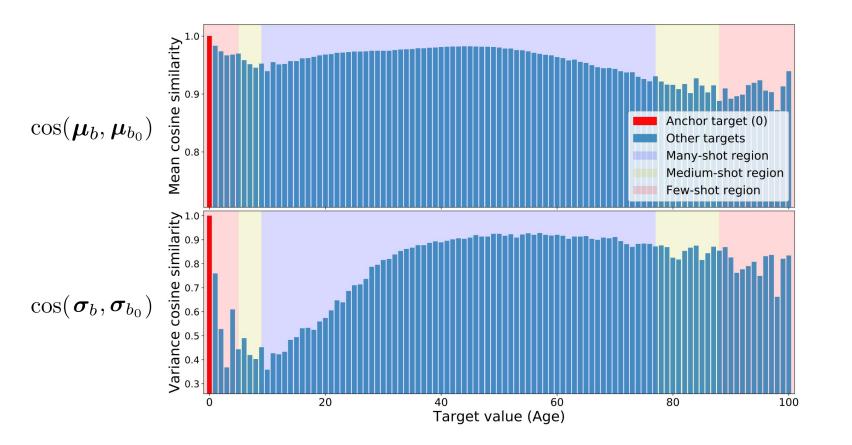


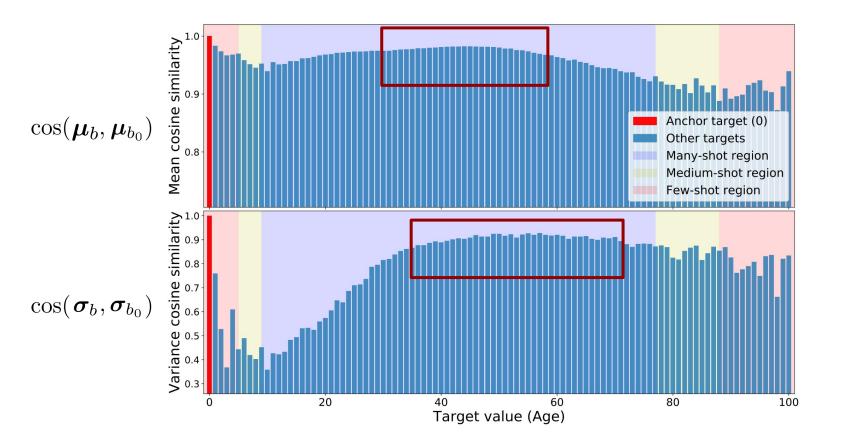


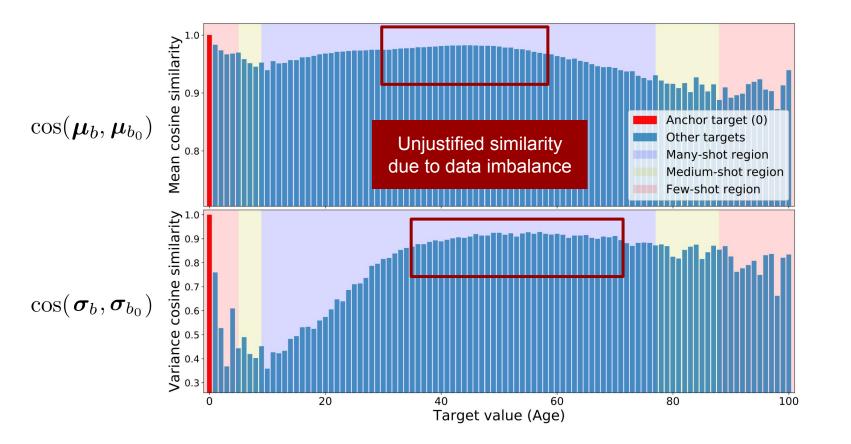


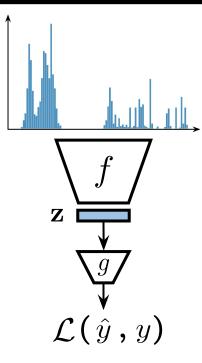


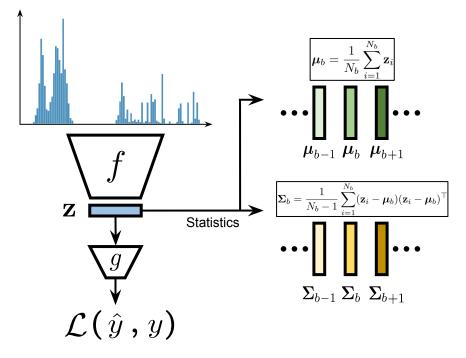


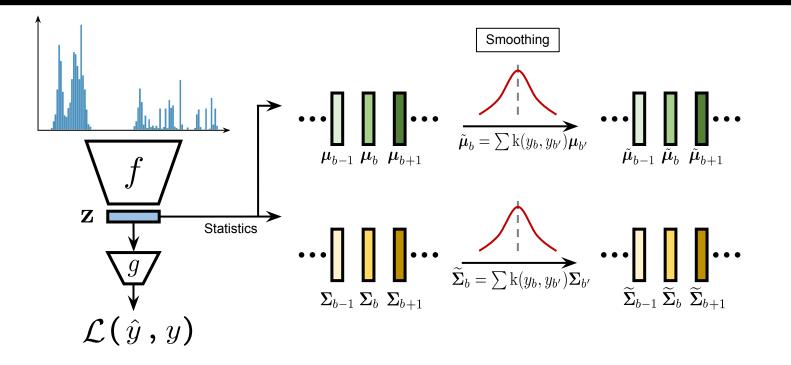


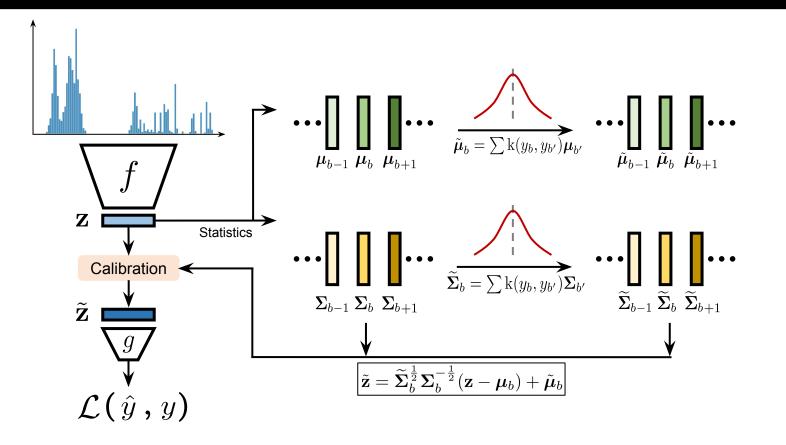


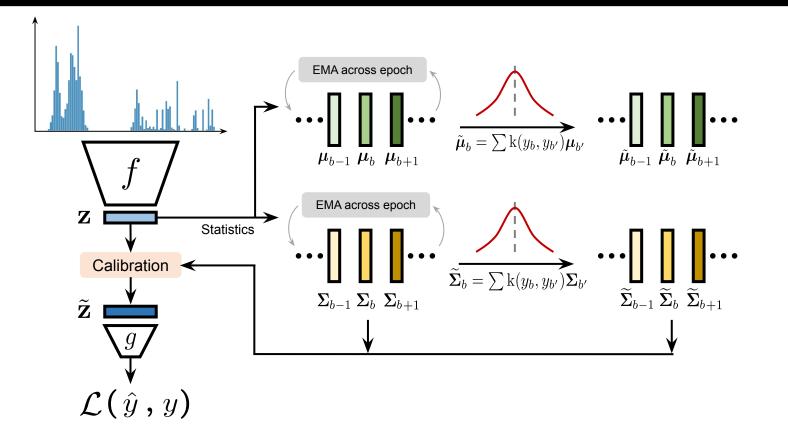


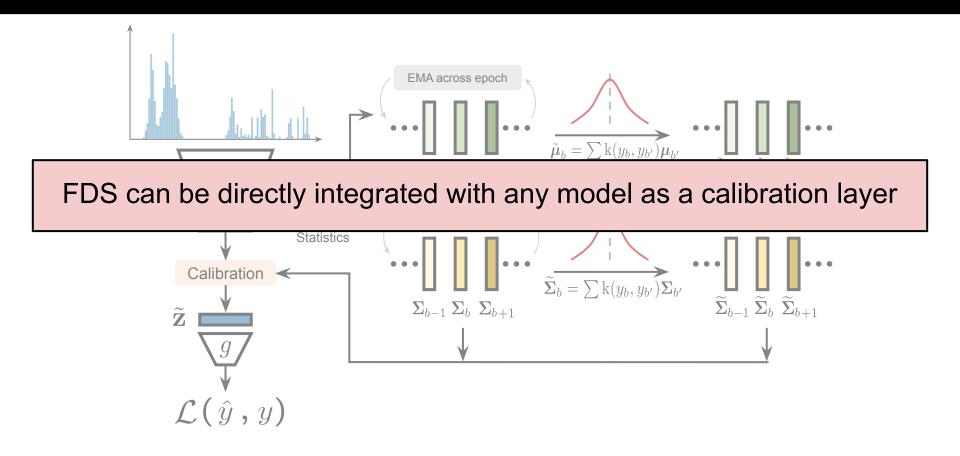


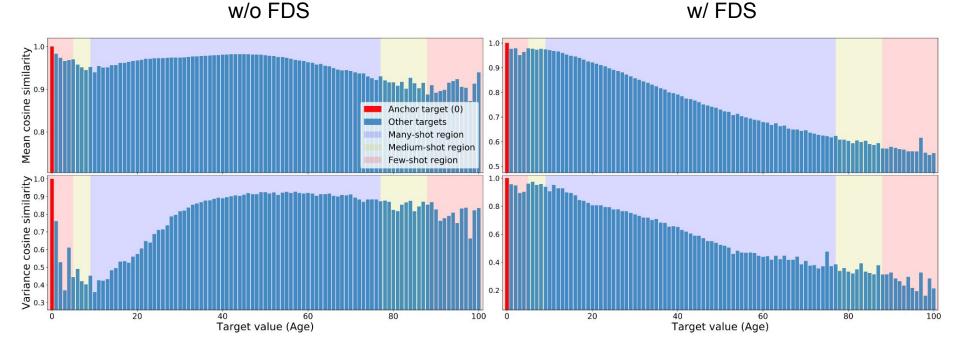






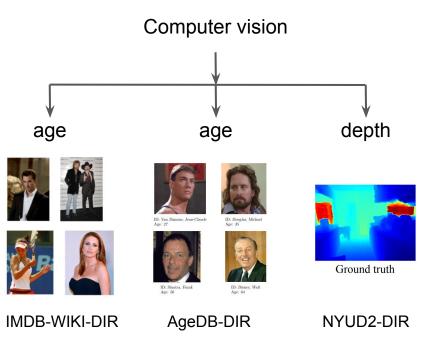




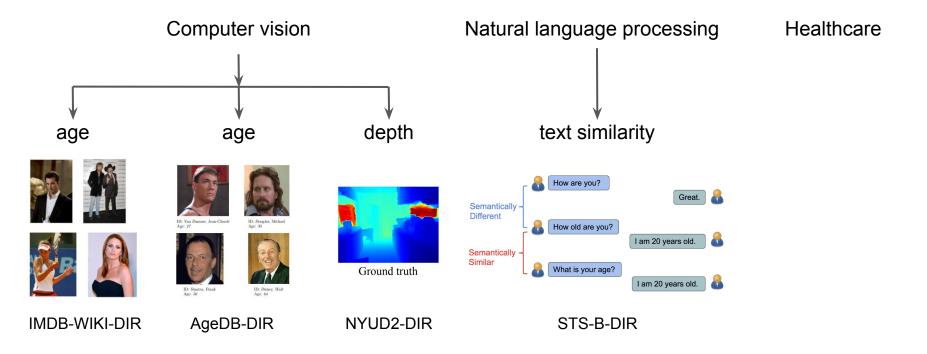


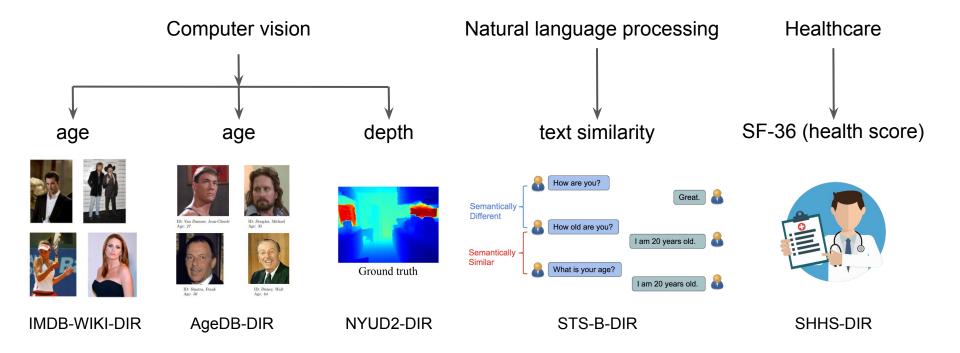
Computer vision

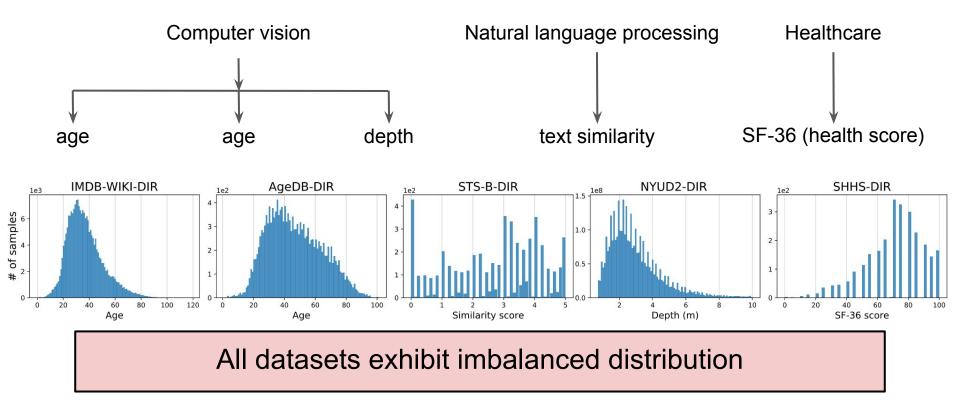
Natural language processing Healthcare



Natural language processing Healthcare







- Baselines: adapt from imbalanced classification
 - <u>Synthetic samples</u>: (1) SmoteR (2) SMOGN
 - Error-aware loss: (3) Focal-R $(\frac{1}{n}\sum_{i=1}^{n}\sigma(|\beta e_i|)^{\gamma}e_i)$
 - <u>Two-stage training</u>: (4) regressor re-training (RRT)
 - <u>Cost-sensitive re-weighting</u>: (5) naive inverse (INV) (6) square-root inverse (SQINV)

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All compatible with our solutions

- LDS
- FDS
- LDS + FDS

Metrics
Shot
VANILLA
SMOTER (Torgo et al., 2013)SMOGN (Branco et al., 2017)SMOGN + LDSSMOGN + FDSSMOGN + LDS + FDS
FOCAL-R FOCAL-R + LDS FOCAL-R + FDS FOCAL-R + LDS + FDS
RRT RRT + LDS RRT + FDS RRT + LDS + FDS
SQINV SQINV + LDS SQINV + FDS SQINV + LDS + FDS
OURS (BEST) VS. VANILLA

Metrics	
Shot	
VANILLA	
SMOTER (Torgo et al., 2013) SMOGN (Branco et al., 2017) SMOGN + LDS SMOGN + FDS SMOGN + LDS + FDS	
FOCAL-R FOCAL-R + LDS FOCAL-R + FDS FOCAL-R + LDS + FDS	4 group sections according to basic strategies
RRT RRT + LDS RRT + FDS RRT + LDS + FDS	
SQINV SQINV + LDS SQINV + FDS SQINV + LDS + FDS	
OURS (BEST) VS. VANILLA	

Metrics		MA	$E\downarrow$			GN	$A\downarrow$	
Shot	All	Many	Med.	Few	All	Many	Med.	Few
VANILLA								
SMOTER (Torgo et al., 2013) SMOGN (Branco et al., 2017) SMOGN + LDS SMOGN + FDS SMOGN + LDS + FDS								
FOCAL-R FOCAL-R + LDS FOCAL-R + FDS FOCAL-R + LDS + FDS								
RRT RRT + LDS RRT + FDS RRT + LDS + FDS								
SQINV SQINV + LDS SQINV + FDS SQINV + LDS + FDS								
OURS (BEST) VS. VANILLA								

Metrics		MA	ĿE↓			GN	⁄I↓	
Shot	All	Many	Med.	Few	All	Many	Med.	Few
VANILLA	8.06	7.23	15.12	26.33	4.57	4.17	10.59	20.46
SMOTER (Torgo et al., 2013) SMOGN (Branco et al., 2017) SMOGN + LDS SMOGN + FDS SMOGN + LDS + FDS								
FOCAL-R FOCAL-R + LDS FOCAL-R + FDS FOCAL-R + LDS + FDS								
RRT RRT + LDS RRT + FDS RRT + LDS + FDS								
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OURS (BEST) VS. VANILLA								

Metrics		MA	E↓			GN	$A\downarrow$	
Shot	All	Many	Med.	Few	All	Many	Med.	Few
VANILLA	8.06	7.23	15.12	26.33	4.57	4.17	10.59	20.46
SMOTER (Torgo et al., 2013)	8.14	7.42	14.15	25.28	4.64	4.30	9.05	19.46
SMOGN (Branco et al., 2017)	8.03	7.30	14.02	25.93	4.63	4.30	8.74	20.12
SMOGN + LDS	8.02	7.39	13.71	23.22	4.63	4.39	8.71	15.80
SMOGN + FDS	8.03	7.35	14.06	23.44	4.65	4.33	8.87	16.00
SMOGN + LDS + FDS	7.97	7.38	13.22	22.95	4.59	4.39	7.84	14.94
FOCAL-R	7.97	7.12	15.14	26.96	4.49	4.10	10.37	21.20
Focal-R + LDS	7.90	7.10	14.72	25.84	4.47	4.09	10.11	19.14
Focal-R + FDS	7.96	7.14	14.71	26.06	4.51	4.12	10.16	19.56
Focal-R + LDS + FDS	7.88	7.10	14.08	25.75	4.47	4.11	9.32	18.67
RRT	7.81	7.07	14.06	25.13	4.35	4.03	8.91	16.96
RRT + LDS	7.79	7.08	13.76	24.64	4.34	4.02	8.72	16.92
RRT + FDS	7.65	7.02	12.68	23.85	4.31	4.03	7.58	16.28
RRT + LDS + FDS	7.65	7.06	12.41	23.51	4.31	4.07	7.17	15.44
SQINV	7.87	7.24	12.44	22.76	4.47	4.22	7.25	15.10
SQINV + LDS	7.83	7.31	12.43	22.51	4.42	4.19	7.00	13.94
SQINV + FDS	7.83	7.23	12.60	22.37	4.42	4.20	6.93	13.48
SQINV + LDS + FDS	7.78	7.20	12.61	22.19	4.37	4.12	7.39	12.61
OURS (BEST) VS. VANILLA	+0.41	+0.21	+2.71	+4.14	+0.26	+0.15	+3.66	+7.85

• IMDB-WIKI-DIR

LDS / FDS boosts results consistently!

Metrics		MA	Ŀ			GN	⁄1↓	
Shot	All	Many	Med.	Few	All	Many	Med.	Few
VANILLA	8.06	7.23	15.12	26.33	4.57	4.17	10.59	20.46
SMOTER (Torgo et al., 2013)	8.14	7.42	14.15	25.28	4.64	4.30	9.05	19.46
SMOGN (Branco et al., 2017)	8.03	7.30	14.02	25.93	4.63	4.30	8.74	20.12
SMOGN + LDS	8.02	7.39	13.71	23.22	4.63	4.39	8.71	15.80
SMOGN + FDS	8.03	7.35	14.06	23.44	4.65	4.33	8.87	16.00
SMOGN + LDS + FDS	7.97	7.38	13.22	22.95	4.59	4.39	7.84	14.94
Focal-R	7.97	7.12	15.14	26.96	4.49	4.10	10.37	21.20
Focal-R + LDS	7.90	7.10	14.72	25.84	4.47	4.09	10.11	19.14
Focal-R + FDS	7.96	7.14	14.71	26.06	4.51	4.12	10.16	19.56
Focal-R + LDS + FDS	7.88	7.10	14.08	25.75	4.47	4.11	9.32	18.67
RRT	7.81	7.07	14.06	25.13	4.35	4.03	8.91	16.96
RRT + LDS	7.79	7.08	13.76	24.64	4.34	4.02	8.72	16.92
RRT + FDS	7.65	7.02	12.68	23.85	4.31	4.03	7.58	16.28
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Metrics		MA	ĿE↓			GN	⁄I↓	
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FOCAL-R	7.97	7.12	15.14	26.96	4.49	4.10	10.37	21.20
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RRT + FDS	7.65	7.02	12.68	23.85	4.31	4.03	7.58	16.28
RRT + LDS + FDS	7.65	7.06	12.41	23.51	4.31	4.07	7.17	15.44
SQINV	7.87	7.24	12.44	22.76	4.47	4.22	7.25	15.10
SQINV + LDS	7.83	7.31	12.43	22.51	4.42	4.19	7.00	13.94
SQINV + FDS	7.83	7.23	12.60	22.37	4.42	4.20	6.93	13.48
SQINV + LDS + FDS	7.78	7.20	12.61	22.19	4.37	4.12	7.39	12.61
OURS (BEST) VS. VANILLA	+0.41	+0.21	+2.71	+4.14	+0.26	+0.15	+3.66	+7.85

Large improvements over Vanilla



• Other datasets

Table 2. Benchmarking results on AgeDB-DIR.

Metrics		MA	ĿĻ			GM	1↓	
Shot	All	Many	Med.	Few	All	Many	Med.	Few
VANILLA	7.77	6.62	9.55	13.67	5.05	4.23	7.01	10.75
SMOTER (Torgo et al., 2013)	8.16	7.39	8.65	12.28	5.21	4.65	5.69	8.49
SMOGN (Branco et al., 2017)	8.26	7.64	9.01	12.09	5.36	4.90	6.19	8.44
SMOGN + LDS	7.96	7.44	8.64	11.77	5.03	4.68	5.69	7.98
SMOGN + FDS	8.06	7.52	8.75	11.89	5.02	4.66	5.63	8.02
${\rm SMOGN} + {\bf LDS} + {\bf FDS}$	7.90	7.32	8.51	11.19	4.98	4.64	5.41	7.35
FOCAL-R	7.64	6.68	9.22	13.00	4.90	4.26	6.39	9.52
FOCAL-R + LDS	7.56	6.67	8.82	12.40	4.82	4.27	5.87	8.83
FOCAL-R + FDS	7.65	6.89	8.70	11.92	4.83	4.32	5.89	8.04
FOCAL-R + LDS + FDS	7.47	6.69	8.30	12.55	4.71	4.25	5.36	8.59
RRT	7.74	6.98	8.79	11.99	5.00	4.50	5.88	8.63
RRT + LDS	7.72	7.00	8.75	11.62	4.98	4.54	5.71	8.27
RRT + FDS	7.70	6.95	8.76	11.86	4.82	4.32	5.83	8.08
RRT + LDS + FDS	7.66	6.99	8.60	11.32	4.80	4.42	5.53	6.99
SQINV	7.81	7.16	8.80	11.20	4.99	4.57	5.73	7.77
SQINV + LDS	7.67	6.98	8.86	10.89	4.85	4.39	5.80	7.45
SQINV + FDS	7.69	7.10	8.86	9.98	4.83	4.41	5.97	6.29
SQINV + LDS + FDS	7.55	7.01	8.24	10.79	4.72	4.36	5.45	6.79
OURS (BEST) VS. VANILLA	+0.30	-0.05	+1.31	+3.69	+0.34	-0.02	+1.65	+4.46

Table 3. Benchmarking results on STS-B-DIR.

Metrics		MS	E↓		Pears	on cor	elation	(%) ↑
Shot	All	Many	Med.	Few	All	Many	Med.	Few
VANILLA	0.974	0.851	1.520	0.984	74.2	72.0	62.7	75.2
SMOTER (Torgo et al., 2013)	1.046	0.924	1.542	1.154	72.6	69.3	65.3	70.6
SMOGN (Branco et al., 2017)	0.990	0.896	1.327	1.175	73.2	70.4	65.5	69.2
SMOGN + LDS	0.962	0.880	1.242	1.155	74.0	71.5	65.2	69.8
SMOGN + FDS	0.987	0.945	1.101	1.153	73.0	69.6	68.5	69.9
SMOGN + LDS + FDS	0.950	0.851	1.327	1.095	74.6	72.1	65.9	71.7
FOCAL-R	0.951	0.843	1.425	0.957	74.6	72.3	61.8	76.4
FOCAL-R + LDS	0.930	0.807	1.449	0.993	75.7	73.9	62.4	75.4
FOCAL-R + FDS	0.920	0.855	1.169	1.008	75.1	72.6	66.4	74.7
FOCAL-R + LDS + FDS	0.940	0.849	1.358	0.916	74.9	72.2	66.3	77.3
RRT	0.964	0.842	1.503	0.978	74.5	72.4	62.3	75.4
RRT + LDS	0.916	0.817	1.344	0.945	75.7	73.5	64.1	76.6
RRT + FDS	0.929	0.857	1.209	1.025	74.9	72.1	67.2	74.0
RRT + LDS + FDS	0.903	0.806	1.323	0.936	76.0	73.8	65.2	76.7
Inv	1.005	0.894	1.482	1.046	72.8	70.3	62.5	73.2
INV + LDS	0.914	0.819	1.319	0.955	75.6	73.4	63.8	76.2
INV + FDS	0.927	0.851	1.225	1.012	75.0	72.4	66.6	74.2
INV + LDS + FDS	0.907	0.802	1.363	0.942	76.0	74.0	65.2	76.6
OURS (BEST) VS. VANILLA	+.071	+.049	+.419	+.068	+1.8	+2.0	+5.8	+2.1

Table 4. Benchmarking results on NYUD2-DIR.

Metrics		$\mathbf{RMSE}\downarrow$				$\delta_1 \uparrow$				
Shot	All	Many	Med.	Few	All	Many	Med.	Few		
VANILLA	1.477	0.591	0.952	2.123	0.677	0.777	0.693	0.570		
VANILLA + LDS	1.387	0.671	0.913	1.954	0.672	0.701	0.706	0.630		
VANILLA + FDS	1.442	0.615	0.940	2.059	0.681	0.760	0.695	0.596		
VANILLA + LDS + FDS	1.338	0.670	0.851	1.880	0.705	0.730	0.764	0.655		
OURS (BEST) VS. VANILL	A +.139	024	+.101	+.243	+.028	017	+.071	+.085		

Table 5. Benchmarking results on SHHS-DIR.

Metrics		MA	Æ↓			GM	⁄1↓	
Shot	All	Many	Med.	Few	All	Many	Med.	Few
VANILLA	15.36	12.47	13.98	16.94	10.63	8.04	9.59	12.20
FOCAL-R	14.67	11.70	13.69	17.06	9.98	7.93	8.85	11.95
FOCAL-R + LDS	14.49	12.01	12.43	16.57	9.98	7.89	8.59	11.40
FOCAL-R + FDS	14.18	11.06	13.56	15.99	9.45	6.95	8.81	11.13
FOCAL-R + LDS + FDS	14.02	11.08	12.24	15.49	9.32	7.18	8.10	10.39
RRT	14.78	12.43	14.01	16.48	10.12	8.05	9.71	11.96
RRT + LDS	14.56	12.08	13.44	16.45	9.89	7.85	9.18	11.82
RRT + FDS	14.36	11.97	13.33	16.08	9.74	7.54	9.20	11.31
RRT + LDS + FDS	14.33	11.96	12.47	15.92	9.63	7.35	8.74	11.17
Inv	14.39	11.84	13.12	16.02	9.34	7.73	8.49	11.20
INV + LDS	14.14	11.66	12.77	16.05	9.26	7.64	8.18	11.32
INV + FDS	13.91	11.12	12.29	15.53	8.94	6.91	7.79	10.65
INV + LDS + FDS	13.76	11.12	12.18	15.07	8.70	6.94	7.60	10.18
OURS (BEST) VS. VANILLA	+1.60	+1.41	+1.80	+1.87	+1.93	+1.13	+1.99	+2.02

• Other datasets

Metrics Shot Table 2. Benchmarking results on AgeDB-DIR.

Table 3. Benchmarking results on S.	ГS-B-DIR.
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	Ĩ		MA	E↓	1		GN	1↓		Metr
		All	Many	Med.	Few	All	Many	Med.	Few	Shot
A	1	7.77	6.62	9.55	13.67	5.05	4.23	7.01	10.75	VAN

Metrics	MSE↓ All Many Med.			Pearson correlation (%) ↑						
Shot	All	Many	Med.	Few	All	Many	Med.	Few		
VANILLA	0.974	0.851	1.520	0.984	74.2	72.0	62.7	75.2		

Table 4. Benchmarking results on NYUD2-DIR.

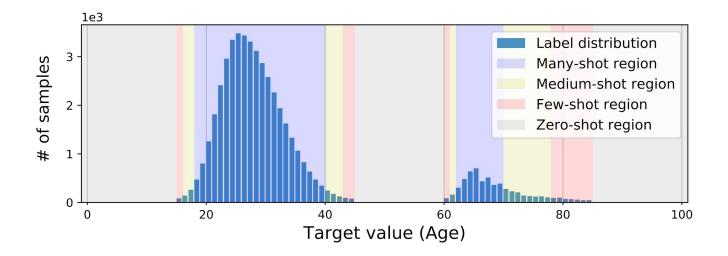
Metrics		RM	SE↓			$\delta_1 \uparrow$		
Shot	All	Many	Med.	Few	All	Many	Med.	Few
VANILLA	1.477	0.591	0.952	2.123	0.677	0.777	0.693	0.570
VANILLA + LDS	1.387	0.671	0.913	1.954	0.672	0.701	0.706	0.630
VANILLA + FDS				2.059				
VANUELA + LDC + EDC	1 2 2 0	0 670	0.051	1 990	0 705	0.720	0 764	0 (55

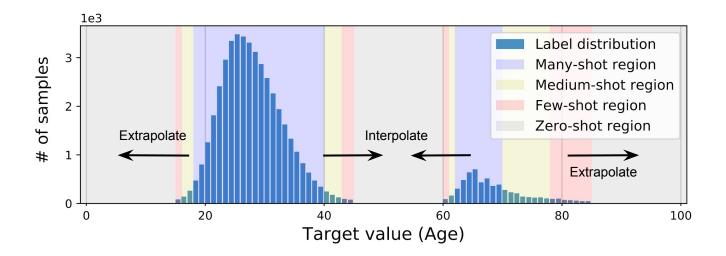
Superior performance across all DIR datasets! (complete results in paper)

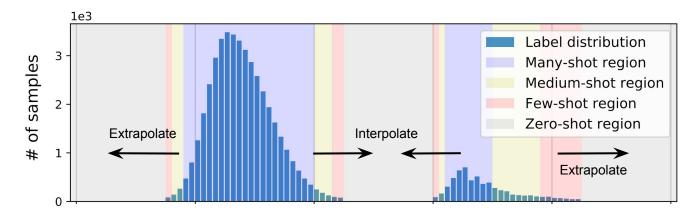
OURS (BEST) VS. VANILLA	+0.30	-0.05	+1.31	+3.69	+0.34	-0.02	+1.65	+4.46
SQINV + LDS + FDS	7.55	7.01	8.24	10.79	4.72	4.36	5.45	6.79
SQINV + FDS	7.69	7.10	8.86	9.98	4.83	4.41	5.97	6.29
SQINV + LDS	7.67	6.98	8.86	10.89	4.85	4.39	5.80	7.45
SQINV	7.81	7.16	8.80	11.20	4.99	4.57	5.73	7.77
RRT + LDS + FDS	7.66	6.99	8.60	11.32	4.80	4.42	5.53	6.99
RRT + FDS	7.70	6.95		11.86				8.08
RRT + LDS	7.72	7.00	8.75	11.62	4.98	4.54	5.71	8.27
		0.00	0.1.2		0.000		0.00	0.00

OURS (BEST) VS. VANILLA	+.071	+.049	+.419	+.068	+1.8	+2.0	+5.8	+2.1
INV + LDS + FDS	0.907	0.802	1.363	0.942	76.0	74.0	65.2	76.6
INV + FDS	0.927	0.851	1.225	1.012	75.0	72.4	66.6	74.2
INV + LDS	0.914	0.819	1.319	0.955	75.6	73.4	63.8	76.2
INV	1.005	0.894	1.482	1.046	72.8	70.3	62.5	73.2
RRT + LDS + FDS	0.903	0.806	1.323	0.936	76.0	73.8	65.2	76.7
RRT + FDS	0.929	0.857	1.209	1.025	74.9	72.1	67.2	74.0
RRT + LDS	0.916	0.817	1.344	0.945	75.7	73.5	64.1	76.6
IXIX I	0.904	0.842	1.505	0.978	74.5	12.4	02.3	75.4

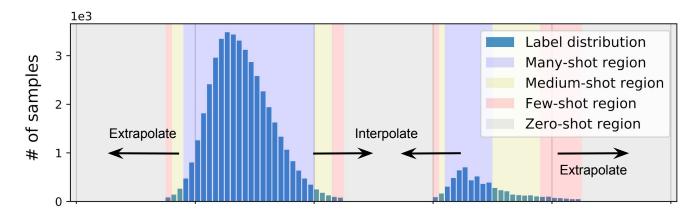
FOCAL-K	14.07	11.70	13.09	17.00	9.98	1.93	8.85	11.95
FOCAL-R + LDS	14.49	12.01	12.43	16.57	9.98	7.89	8.59	11.40
FOCAL-R + FDS	14.18	11.06	13.56	15.99	9.45	6.95	8.81	11.13
$FOCAL\text{-}R + \mathbf{LDS} + \mathbf{FDS}$	14.02	11.08	12.24	15.49	9.32	7.18	8.10	10.39
RRT	14.78	12.43	14.01	16.48	10.12	8.05	9.71	11.96
RRT + LDS	14.56	12.08	13.44	16.45	9.89	7.85	9.18	11.82
RRT + FDS	14.36	11.97	13.33	16.08	9.74	7.54	9.20	11.31
RRT + LDS + FDS	14.33	11.96	12.47	15.92	9.63	7.35	8.74	11.17
INV	14.39	11.84	13.12	16.02	9.34	7.73	8.49	11.20
INV + LDS	14.14	11.66	12.77	16.05	9.26	7.64	8.18	11.32
INV + FDS	13.91	11.12	12.29	15.53	8.94	6.91	7.79	10.65
$\mathrm{INV} + \mathbf{LDS} + \mathbf{FDS}$	13.76	11.12	12.18	15.07	8.70	6.94	7.60	10.18
OURS (BEST) VS. VANILLA	+1.60	+1.41	+1.80	+1.87	+1.93	+1.13	+1.99	+2.02







Metrics		MA	4E↓			G	M↓	
Shot	All	w/ data	Interp.	Extrap.	All	w/ data	Interp.	Extrap.
VANILLA	11.72	9.32	16.13	18.19	7.44	5.33	14.41	16.74
VANILLA + LDS	10.54	8.31	14.14	17.38	6.50	4.67	12.13	15.36
VANILLA + FDS	11.40	8.97	15.83	18.01	7.18	5.12	14.02	16.48
VANILLA + LDS + FDS	10.27	8.11	13.71	17.02	6.33	4.55	11.71	15.13
OURS (BEST) VS. VANILLA	A +1.45	+1.21	+2.42	+1.17	+1.11	+0.78	+2.70	+1.61



Metrics		MA	ΛE↓			GI	√ ↓	
Shot	All	w/ data	Interp.	Extrap.	All	w/ data	Interp.	Extrap.
VANILLA	11.72	9.32	16.13	18.19	7.44	5.33	14.41	16.74
VANILLA + LDS	10.54	8.31	14.14	17.38	6.50	4.67	12.13	15.36
VANILLA + FDS	11.40	8.97	15.83	18.01	7.18	5.12	14.02	16.48
VANILLA + LDS + FDS	10.27	8.11	13.71	17.02	6.33	4.55	11.71	15.13
OURS (BEST) VS. VANILLA	A +1.45	+1.21	+2.42	+1.17	+1.11	+0.78	+2.70	+1.61

Summary

- New task: Deep Imbalanced Regression (DIR)
- New techniques: Label distribution smoothing (LDS) & Feature distribution smoothing (FDS)
- New benchmarks: IMDB-WIKI-DIR / AgeDB-DIR / STS-B-DIR / NYUD2-DIR / SHHS-DIR

Check out our paper and code at...

- Paper: <u>https://arxiv.org/abs/2102.09554</u>
- Code + data: <u>https://github.com/YyzHarry/imbalanced-regression</u>