

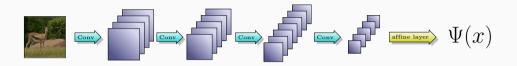


# On the Connection Between Adversarial Robustness and Saliency Map Interpretability

Christian Etmann<sup>\*,1,3</sup>, Sebastian Lunz<sup>\*,2</sup>, Peter Maass<sup>1</sup>, Carola-Bibiane Schönlieb<sup>2</sup> 13th June, 2019

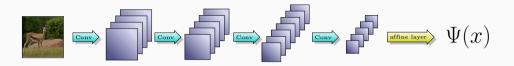
1: ZeTeM, University of Bremen, 2: Cambridge Image Analysis, University of Cambridge, 3: Work done at Cambridge

# Saliency Maps



For a logit  $\Psi^{i}(x)$ , we call its gradient  $\nabla \Psi^{i}(x)$  the *saliency map* in *x*. It *should* show us the discriminative portions of the image.

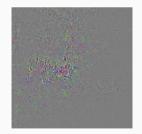
# Saliency Maps



For a logit  $\Psi^{i}(x)$ , we call its gradient  $\nabla \Psi^{i}(x)$  the *saliency map* in *x*. It *should* show us the discriminative portions of the image.



Original Image



Saliency map of a ResNet50

#### An Unexplained Phenomenon

Models trained to be more robust to adversarial attacks seem to exhibit 'interpretable' saliency  ${\sf maps}^1$ 



Original Image



#### Saliency map of a robustified ResNet50

<sup>&</sup>lt;sup>1</sup>Tsipras et al, 2019: 'Robustness may be at odds with accuracy.'

# An Unexplained Phenomenon

Models trained to be more robust to adversarial attacks seem to exhibit 'interpretable' saliency  ${\sf maps}^1$ 



Original Image



Saliency map of a robustified ResNet50

#### This phenomenon has a remarkably simple explanation!

<sup>1</sup>Tsipras et al, 2019: 'Robustness may be at odds with accuracy.'

We call

$$\rho(x) = \inf_{e \in X} \{ \|e\| : F(x+e) \neq F(x) \}$$

the *adversarial robustness* of the classifier F (with respect to euclidean norm  $\|\cdot\|$ ).

• Adversarial attacks are tiny perturbations that 'fool' the classifier

We call

$$\rho(x) = \inf_{e \in X} \{ \|e\| : F(x+e) \neq F(x) \}$$

the *adversarial robustness* of the classifier F (with respect to euclidean norm  $\|\cdot\|$ ).

- Adversarial attacks are tiny perturbations that 'fool' the classifier
- $\bullet\,$  A higher robustness to these attacks  $\Rightarrow$  greater distance to the decision boundary

We call

$$\rho(x) = \inf_{e \in X} \{ \|e\| : F(x+e) \neq F(x) \}$$

the *adversarial robustness* of the classifier F (with respect to euclidean norm  $\|\cdot\|$ ).

- Adversarial attacks are tiny perturbations that 'fool' the classifier
- A higher robustness to these attacks  $\Rightarrow$  greater distance to the decision boundary
- A larger distance to the decision boundary results in a lower angle between x and  $\nabla \Psi^i(x)$

We call

$$\rho(x) = \inf_{e \in X} \{ \|e\| : F(x+e) \neq F(x) \}$$

the *adversarial robustness* of the classifier F (with respect to euclidean norm  $\|\cdot\|$ ).

- Adversarial attacks are tiny perturbations that 'fool' the classifier
- A higher robustness to these attacks  $\Rightarrow$  greater distance to the decision boundary
- A larger distance to the decision boundary results in a lower angle between x and  $\nabla \Psi^i(x)$
- We perceive this as a higher visual alignment between image and saliency map

We call

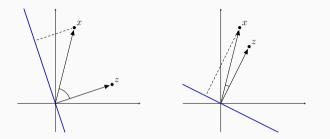
$$\rho(x) = \inf_{e \in X} \{ \|e\| : F(x+e) \neq F(x) \}$$

the *adversarial robustness* of the classifier F (with respect to euclidean norm  $\|\cdot\|$ ).

- Adversarial attacks are tiny perturbations that 'fool' the classifier
- A higher robustness to these attacks  $\Rightarrow$  greater distance to the decision boundary
- A larger distance to the decision boundary results in a lower angle between x and  $\nabla \Psi^i(x)$
- We perceive this as a higher visual alignment between image and saliency map

... but not quite

#### A Simple Toy Example



First, we consider a linear, binary classifier

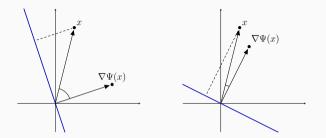
$$F(x) = \operatorname{sgn}(\Psi(x)),$$

where  $\Psi(x) := \langle x, z \rangle$  for some z. Then

$$p(x) = \frac{|\langle x, z \rangle|}{\|z\|} = \frac{|\langle x, \nabla \Psi(x) \rangle|}{\|\nabla \Psi(x)\|}.$$

Note that  $\rho(x) = ||x|| \cdot |\cos(\delta)|$ , where  $\delta$  is the angle between x and z.

#### A Simple Toy Example



First, we consider a linear, binary classifier

$$F(x) = \operatorname{sgn}(\Psi(x)),$$

where  $\Psi(x) := \langle x, z \rangle$  for some *z*. Then

$$\rho(x) = rac{|\langle x, z 
angle|}{\|z\|} = rac{|\langle x, \nabla \Psi(x) 
angle|}{\|\nabla \Psi(x)\|}.$$

Note that  $\rho(x) = ||x|| \cdot |\cos(\delta)|$ , where  $\delta$  is the angle between x and z.

#### **Definition (Alignment)**

Let  $\Psi = (\Psi^1, \dots, \Psi^n) : X \to \mathbb{R}^n$  be differentiable in x. Then for an *n*-class classifier defined a.e. by  $F(x) = \arg \max_i \Psi^i(x)$ , we call  $\nabla \Psi^{F(x)}$  the saliency map of F. We further call

$$\alpha(x) := \frac{|\langle x, \nabla \Psi^{F(x)}(x) \rangle|}{\|\nabla \Psi^{F(x)}(x)\|}$$

the alignment with respect to  $\Psi$  in x.

For binary, linear models by construction:  $\rho(x) = \alpha(x)$ 

#### **Definition (Alignment)**

Let  $\Psi = (\Psi^1, \dots, \Psi^n) : X \to \mathbb{R}^n$  be differentiable in x. Then for an *n*-class classifier defined a.e. by  $F(x) = \arg \max_i \Psi^i(x)$ , we call  $\nabla \Psi^{F(x)}$  the saliency map of F. We further call

$$\alpha(x) := \frac{|\langle x, \nabla \Psi^{F(x)}(x) \rangle|}{\|\nabla \Psi^{F(x)}(x)\|}$$

the alignment with respect to  $\Psi$  in x.

For binary, linear models by construction:  $\rho(x) = \alpha(x)$  ....but already wrong for affine models.

There is no closed expression for robustness. One idea is to linearize.

#### **Definition (Linearized Robustness)**

Let  $\Psi(x)$  be the differentiable score vector for the classifier F in x. We call

$$ilde{
ho}(x) := \min_{j \neq i^*} rac{\Psi^{i^*}(x) - \Psi^j(x)}{\| 
abla \Psi^{i^*}(x) - 
abla \Psi^j(x) \|},$$

the *linearized robustness* in x, where  $i^* := F(x)$  is the predicted class at point x.

# Bridging the Gap Between Linearized Robustness and Alignment

Using

- a homogeneous decomposition theorem
- the 'binarization' of our classifier

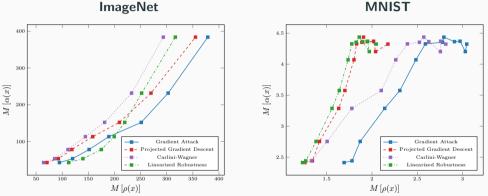
we get

#### Theorem (Bound for general models)

Let  $g := \nabla \Psi^{i^*}(x)$ . Furthermore, let  $g^{\dagger} := \nabla \Psi^{\dagger}_x(x)$  and  $\beta^{\dagger}$  the non-homogeneous portion of  $\Psi^{\dagger}_x$ . Denote by  $\bar{v}$  the  $\|\cdot\|$ -normed  $v \neq 0$ . Then

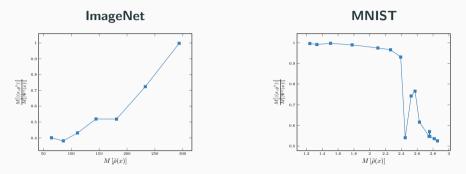
$$\widetilde{
ho}(x) \leq lpha(x) + \|x\| \cdot \|\overline{g}^{\dagger} - \overline{g}\| + rac{|eta^{\dagger}|}{\|g^{\dagger}\|}.$$

#### **Experiments:** Robustness vs. Alignment



- Linearized robustness is a reasonable approximation
  - Alignment increases with robustness
  - Superlinear growth for ImageNet and saturating effect on MNIST

# **Experiments: Explaining the Observations**



Fraction of homogeneous part of logit

- The degree of homogeneity largely determines how strong the connection between  $\alpha$  and  $\tilde{\rho}$  is
- ImageNet: higher robustness + more homogeneity = superlinear growth
- MNIST: higher robustness + less homogeneity = effects start cancelling out

# Thank you and see you at the poster! Pacific Ballroom, #70