

Poster: 13<sup>th</sup> June, Pacific Ballroom #77



↑ Paper Link

# Approximation and Non-parametric Estimation of ResNet-type Convolutional Neural Networks

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# Key Takeaway

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A. Hidden **sparse structure** promotes good performance.

# Problem Setting

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$f^\circ$ : True function (e.g., Hölder, Barron, Besov class),  $\xi$ : Gaussian noise

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Goal: Evaluate the estimation error

$$\mathcal{R}(\hat{f}) := \mathbb{E}_X |\hat{f}(X) - f^\circ(X)|^2$$

# Prior Work

$$\mathcal{R}(\hat{f}) \lesssim \underbrace{\inf_{f \in \mathcal{F}} \|f - f^\circ\|_\infty^2}_{\text{Approximation Error}} + \underbrace{\tilde{O}(M_{\mathcal{F}}/N)}_{\text{Model Complexity}}$$

Approximation Error

Model Complexity

$N$ : Sample size

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# Contribution

ResNet-type CNNs can achieve minimax-optimal rates **without unrealistic constraints.**

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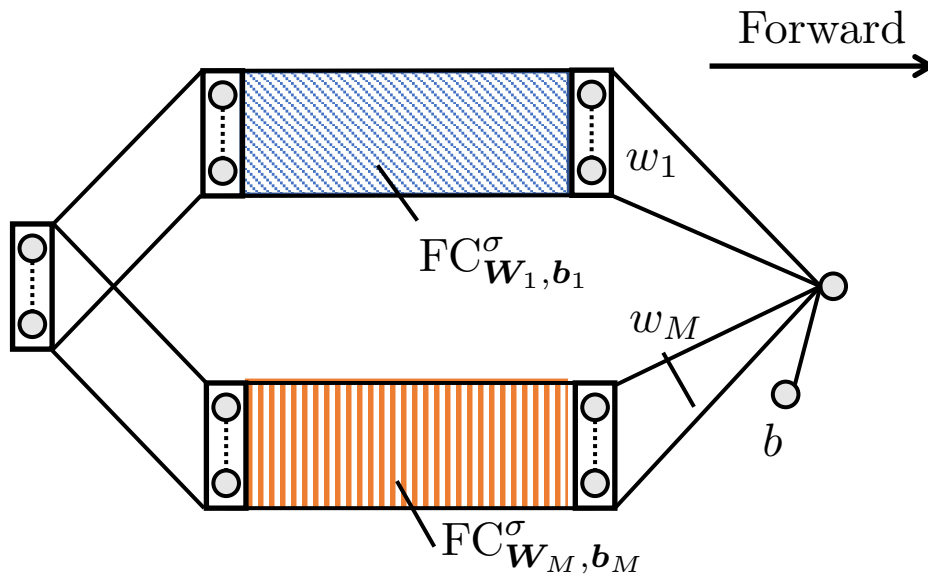
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## Key Observation

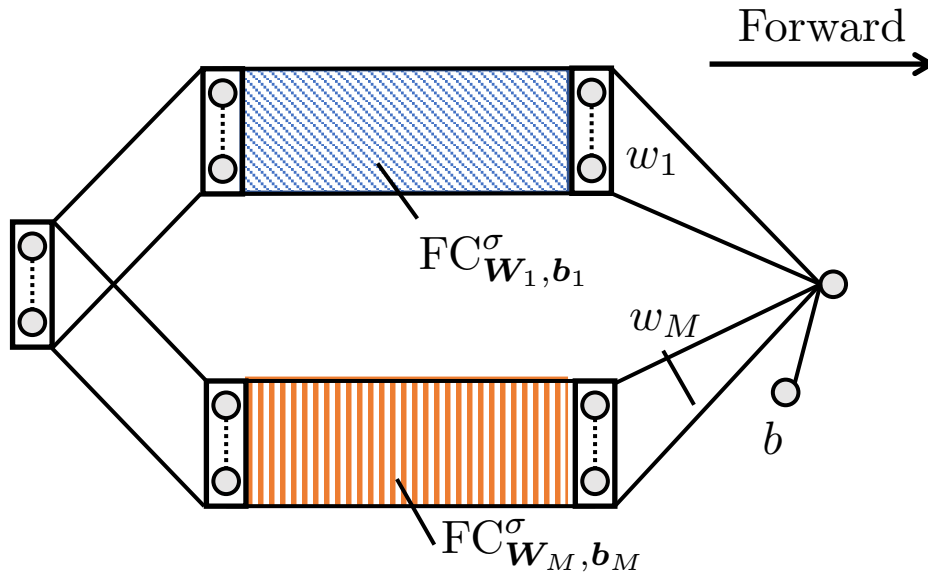
Known optimal **FNNs** have **block-sparse** structures

# Block-sparse FNN



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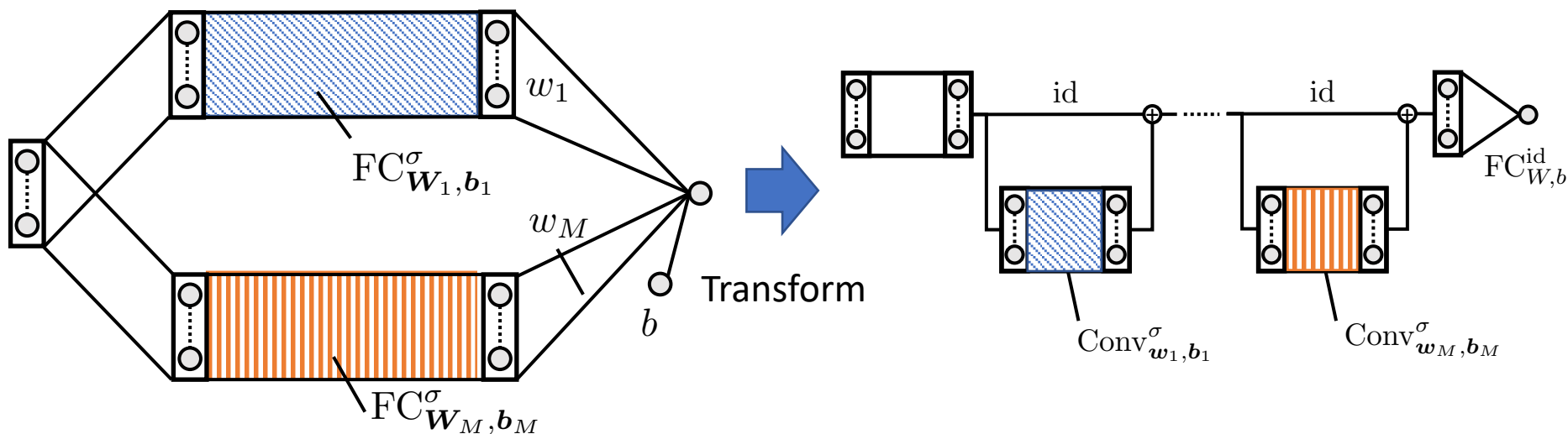


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- Barron [Klusowski & Barron, 18]
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- Besov [Suzuki, 19].

# Block-sparse FNN to ResNet-type CNN



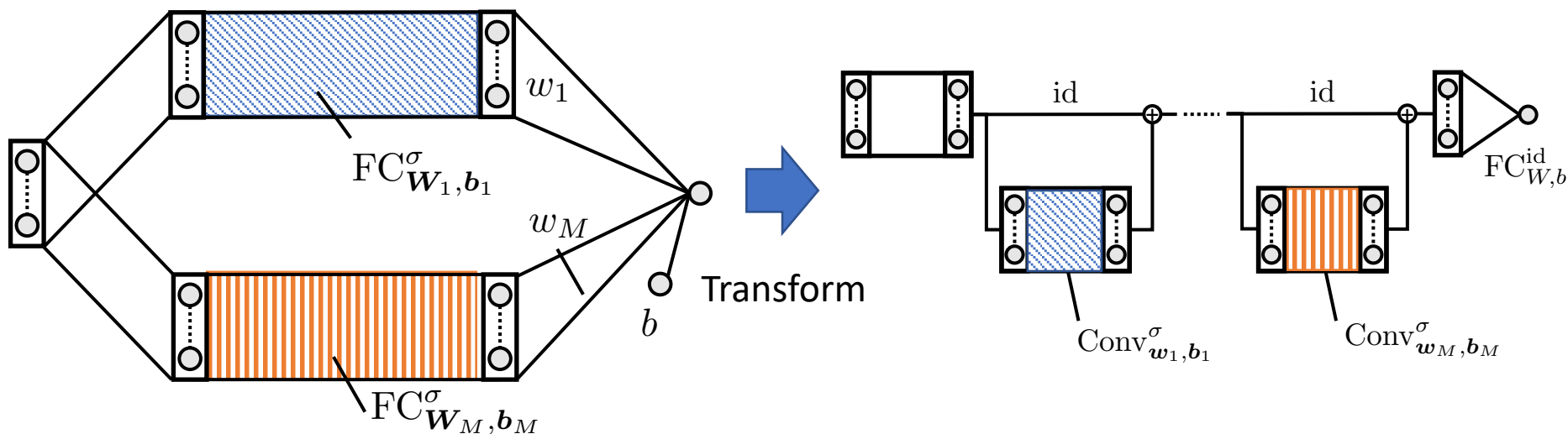
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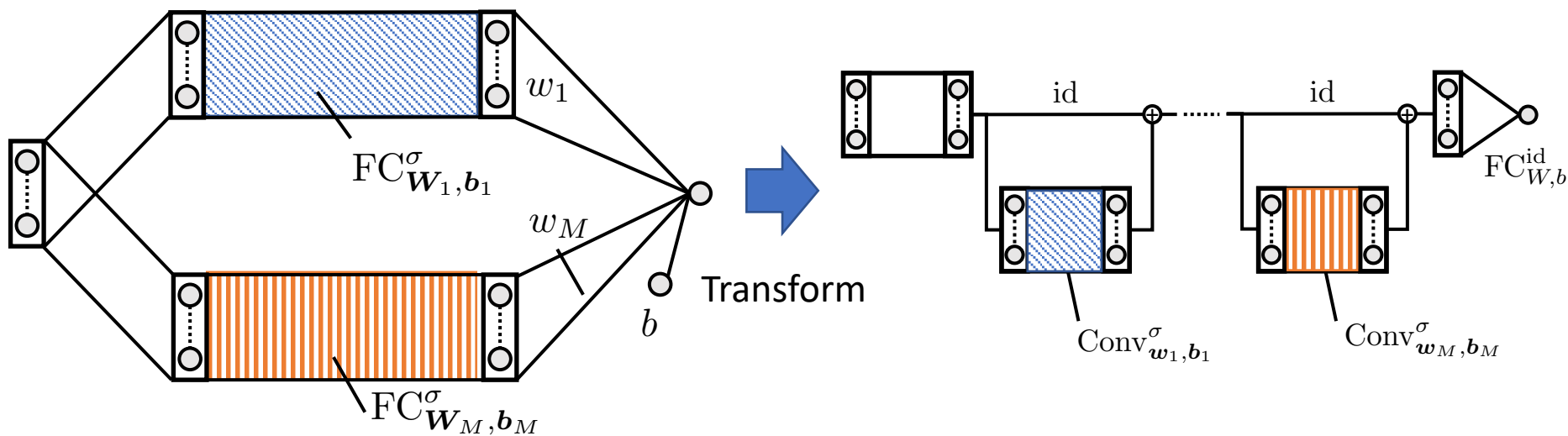
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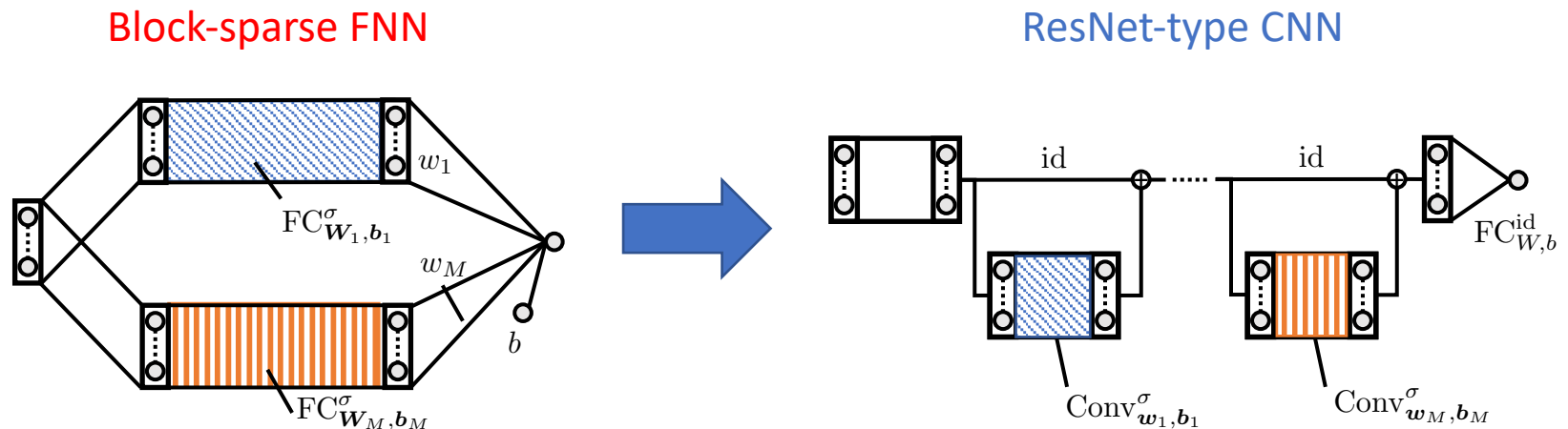
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# Block-sparse FNN to ResNet-type CNN

## Theorem

For any **block-sparse FNN** with  $M$  blocks, there exists a **ResNet-type CNN** with  $M$  residual blocks which has  $O(M)$  more parameters and which is identical (as a function) to the FNN.



# Optimality of ResNet-type CNNs

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Suppose the true function  $f^\circ$  is  $\beta$ -Hölder. There exists a set of ResNet-type CNNs  $\mathcal{F}$  such that:

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Note

- Using the same strategy, we can prove that ResNet-type CNNs can achieve the same rate as FNNs for the Barron class etc.
- We remove unrealistic constraints on channels size, too (see the paper).

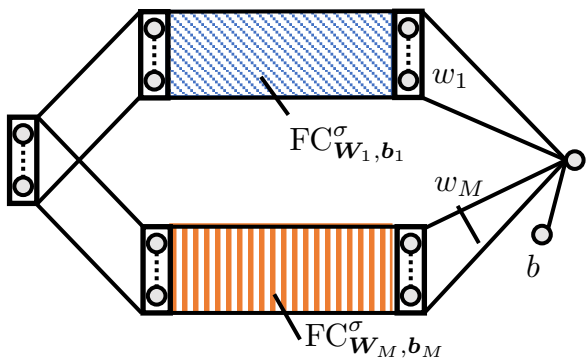


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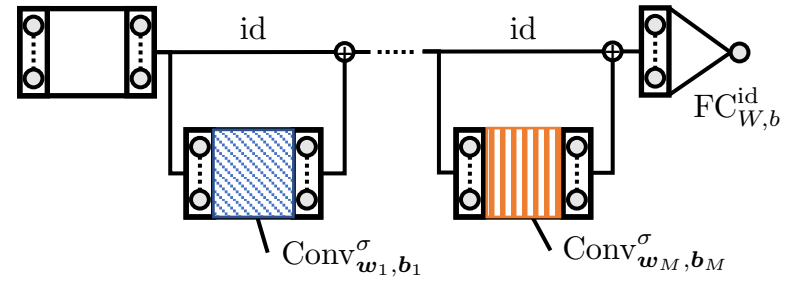
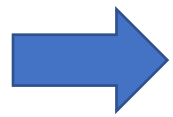
# Conclusion

ResNet-type CNNs can achieve minimax-optimal rates in several function classes without implausible constraints.

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