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# PLATINUM: Semi-Supervised Model Agnostic Meta-Learning using Submodular Mutual Information

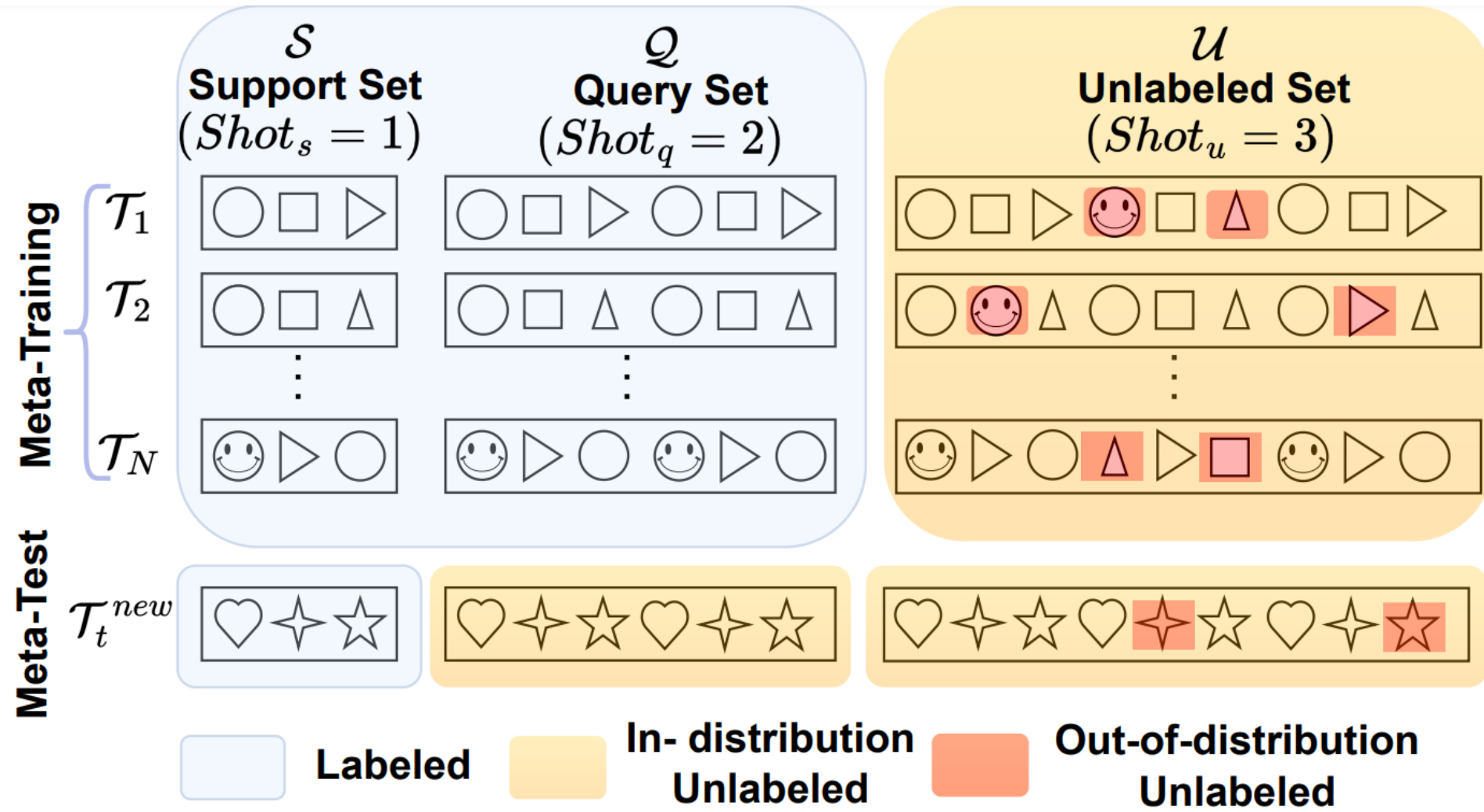
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\*Equal Contribution

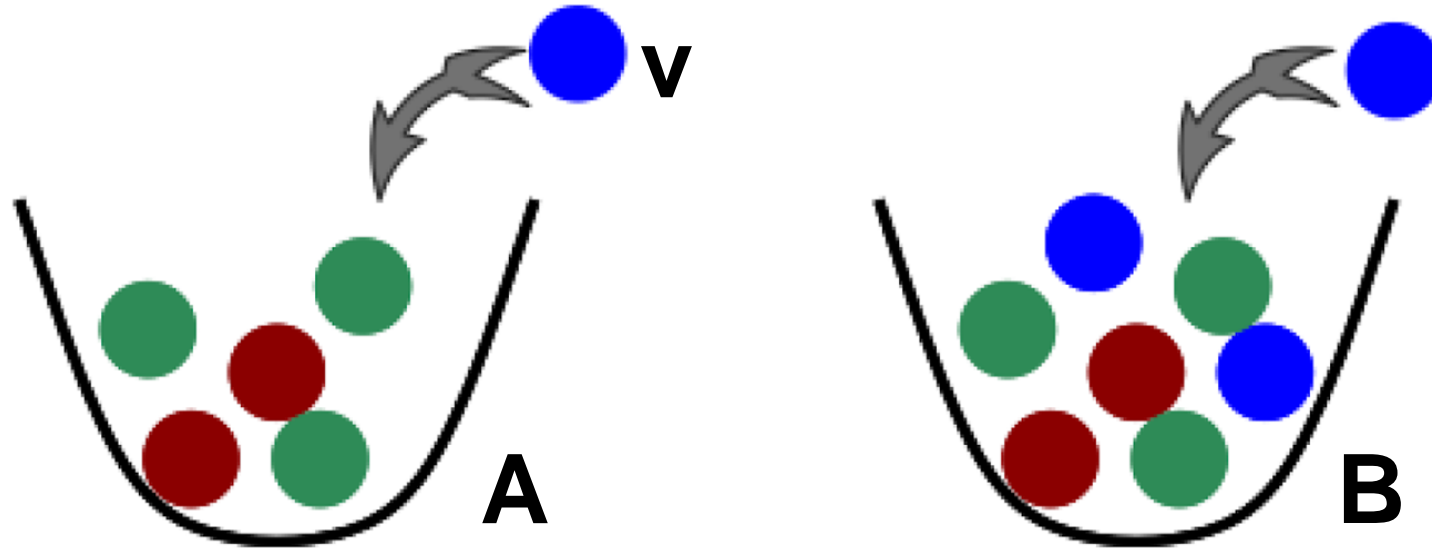
# Semi-supervised Few-shot Learning



# Submodular Functions

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$$f(A \cup v) - f(A) \geq f(B \cup v) - f(B), \text{ if } A \subseteq B$$



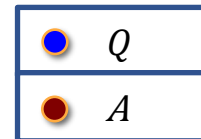
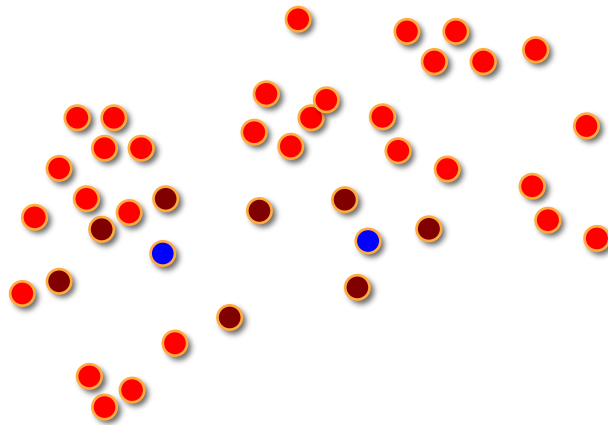
$f = \#$  of distinct colors of balls in the urn.

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**How to select subsets from unlabeled data to augment each task?**

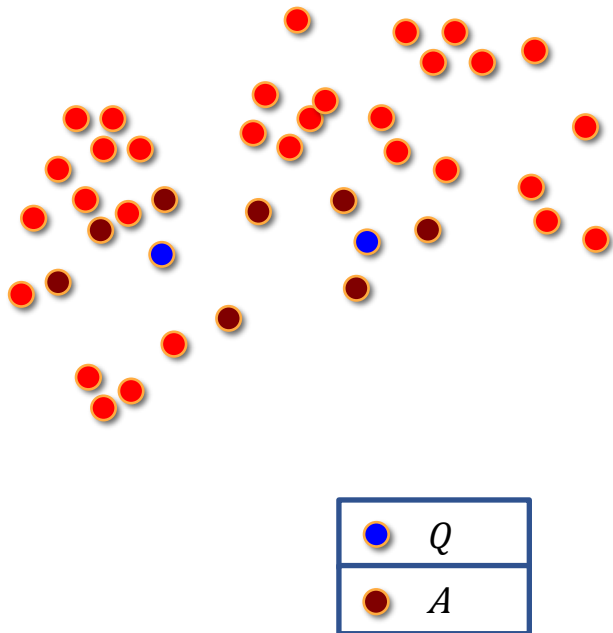
# Submodular Mutual Information (SMI)

- Given a set of data points  $V = \{1, \dots, n\}$ , and sets  $A, Q \subseteq V$ , the Submodular Mutual Information  $I_F(A; Q) = F(A) + F(Q) - F(A \cup Q)$ , where the information of a **set** of points is  $F(A)$  and  $F$  is a submodular function.



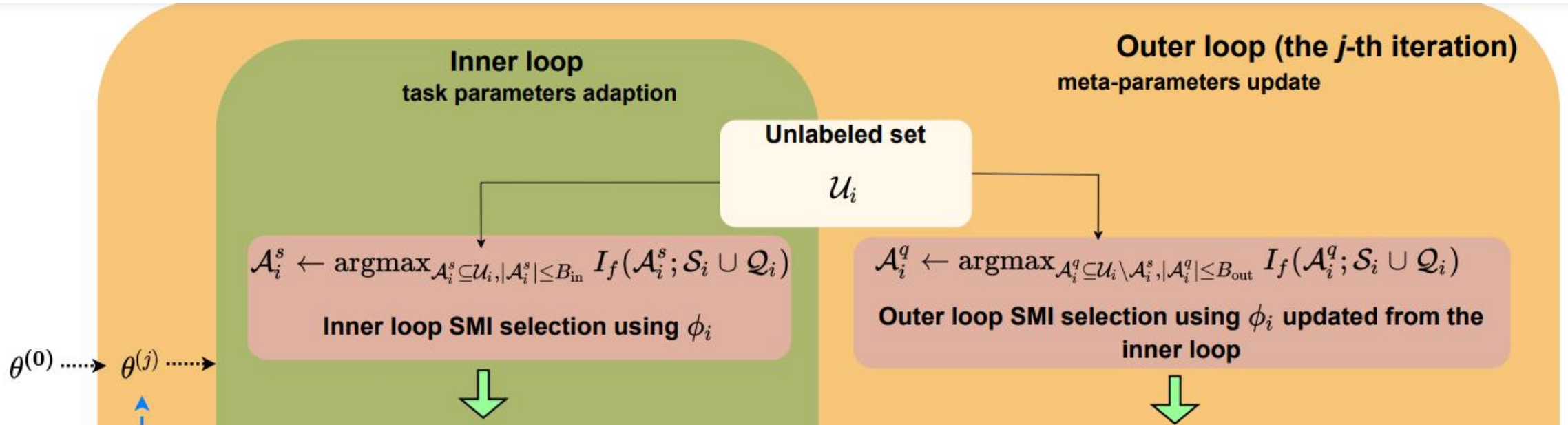
# Submodular Mutual Information (SMI)

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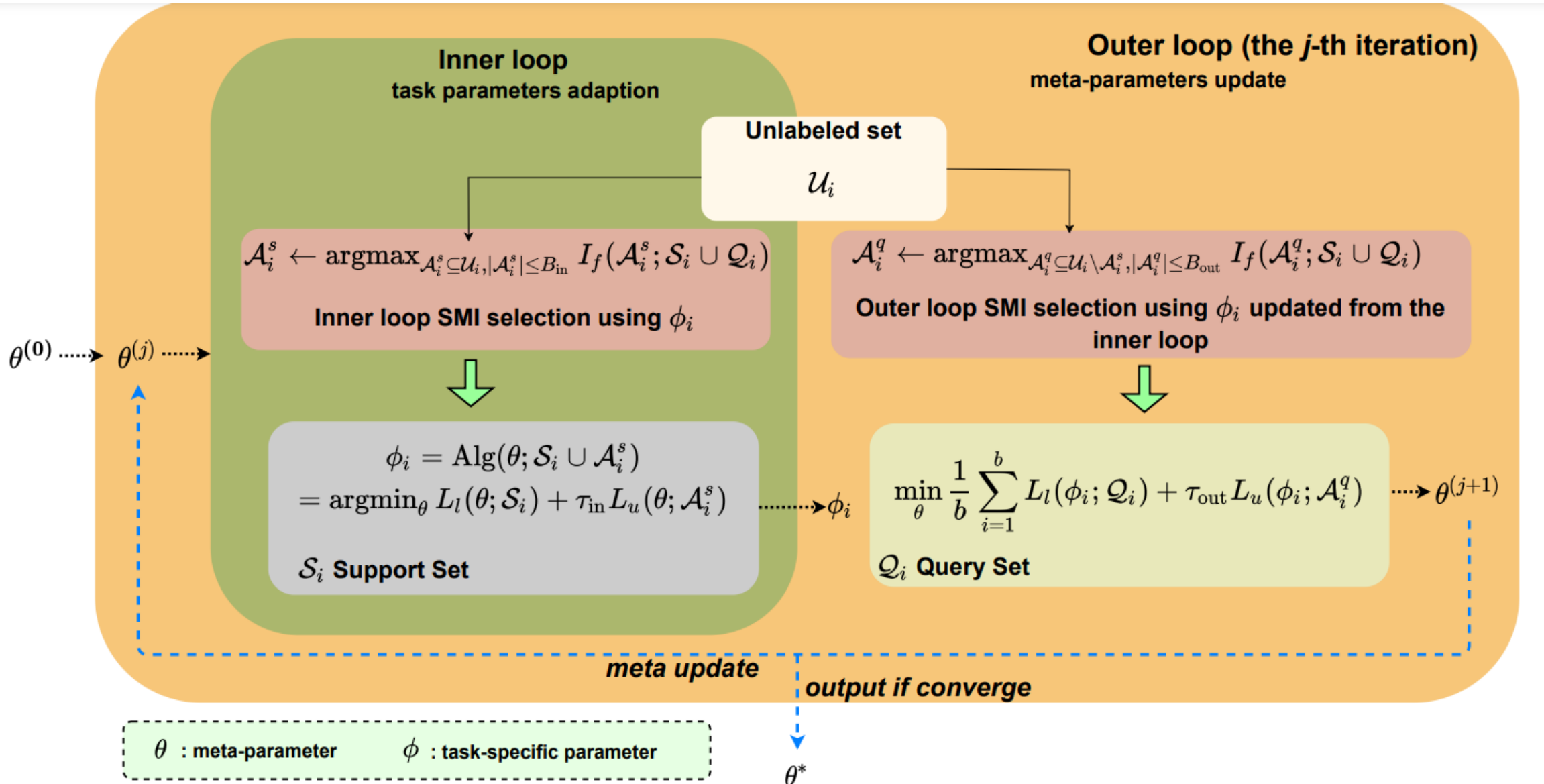
Name	$I_F(A; Q)$
Graph Cut MI (GCMi)	$2 \sum_{i \in A} \sum_{j \in Q} s_{ij}$
Facility Location MI (FLMI)	$\sum_{i \in Q} \max_{j \in A} s_{ij} + \eta \sum_{i \in A} \max_{j \in Q} s_{ij}$

# Overview of PLATINUM



- ❖ Use Submodular Mutual Information (SMI) for semi-supervision.
- ❖ Augment both Support and Query sets in Inner and Outer loop of MAML.
- ❖ Support and Query sets are augmented using per-class instantiations of SMI.

# Overview of PLATINUM





# Experimental Setting

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## Datasets

- *minilmageNet*, *tieredImageNet*, CIFAR-FS

## Semi-supervised few-shot classification (Ren et al., 2018)

- 5-way 1-shot (5-shot)
- backbones: 4-layer CONV (for all approaches)
- Two scenarios
  - There exist OOD examples in unlabeled set
  - There's no OOD examples in unlabeled set
- Smaller  $\rho$  (1%, 10%, 20%, ...),  $\rho = \frac{\text{Count}(\text{labeled examples per class})}{\text{Count}(\text{Total examples per class})}$   
( $\rho = 40\%$  for *minilmageNet*, 10% for *tieredImageNet* in Ren et al., 2018)

# Experiments

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## PLATINUM (ours)

- SMI functions: GCMI, FLMI
- On the top of first-order MAML

## Meta-learning based baselines:

- Extended prototypical network (Ren et al., 2018)
- TPN-semi (Liu et al., 2019)
- LST (Li et al., 2019)
- MAML: only supervised setting is considered.

Note: we did not consider transfer-learning based approaches for fair comparison.

## 5-way classification accuracy

- *minilmageNet*
- $\rho = 1\%$

Methods	<i>1-shot</i>		<i>5-shot</i>	
	<i>w/o OOD</i>	<i>w/ OOD</i>	<i>w/o OOD</i>	<i>w/ OOD</i>
Soft k-Means (Ren et al., 2018)	24.61±0.64	23.57±0.63	38.20±1.64	38.07±1.53
Soft k-Means+Cluster (Ren et al., 2018)	15.76±0.59	9.77±0.51	33.65±1.53	30.47±1.42
Masked Soft k-Means (Ren et al., 2018)	25.48±0.67	25.03±0.68	39.33±1.55	38.48±1.74
TPN-semi (Liu et al., 2019)	40.25±0.92	26.70±0.98	46.27±1.67	36.81±0.87
LST( <i>small</i> ) (Li et al., 2019)	37.65±0.78	37.82±0.91	61.50±0.92	57.67±0.85
LST( <i>large</i> ) (Li et al., 2019)	41.36±0.98	39.32±0.95	61.51±0.98	59.24±0.95
MAML <sup>†</sup> (Finn et al., 2017)	35.26±0.85	35.26±0.85	60.22±0.83	60.20±0.83
VAT (Miyato et al., 2018)	36.55±0.86	34.03±0.84	61.60±0.83	61.24±0.88
PL (Lee et al., 2013)	37.71±0.94	35.16±0.85	60.64±0.92	60.31±0.87
GCMi (ours)	41.94±0.96	<b>42.57</b> ±0.93	63.62±0.95	<b>63.54</b> ±0.94
FLMI (ours)	<b>42.27</b> ±0.95	41.53±0.97	<b>63.80</b> ±0.92	63.44±0.99

- *tiredImageNet*
- $\rho = 1\%$

Methods	<i>1-shot</i>		<i>5-shot</i>	
	<i>w/o OOD</i>	<i>w/ OOD</i>	<i>w/o OOD</i>	<i>w/ OOD</i>
Soft k-Means (Ren et al., 2018)	27.53±0.74	27.04±0.76	44.63±1.19	44.78±1.05
Soft k-Means+Cluster (Ren et al., 2018)	30.48±0.84	31.30±0.86	46.93±1.18	49.33±1.17
Masked Soft k-Means (Ren et al., 2018)	33.85±0.84	32.99±0.87	47.63±1.12	47.35±1.08
TPN-semi (Liu et al., 2019)	44.13±1.04	31.83±1.09	58.53±1.57	56.92±1.67
LST( <i>small</i> ) (Li et al., 2019)	42.86±0.86	42.33±0.95	59.55±0.92	58.82±0.93
LST( <i>large</i> ) (Li et al., 2019)	44.34±0.97	44.59±0.99	61.45±0.90	60.75±0.93
MAML <sup>†</sup> (Finn et al., 2017)	41.96±0.84	41.96±0.84	61.30±0.85	61.30±0.85
VAT (Miyato et al., 2018)	41.52±0.82	41.51±0.79	59.98±0.83	60.01±0.87
PL (Lee et al., 2013)	41.22±0.89	40.87±0.83	61.70±0.77	60.57±0.87
GCMi (ours)	45.49±0.91	45.55±0.90	63.67±0.83	<b>62.59</b> ±0.85
FLMI (ours)	<b>45.63</b> ±0.86	<b>46.19</b> ±0.94	<b>63.75</b> ±0.87	62.19±0.91

## 5-way classification accuracy

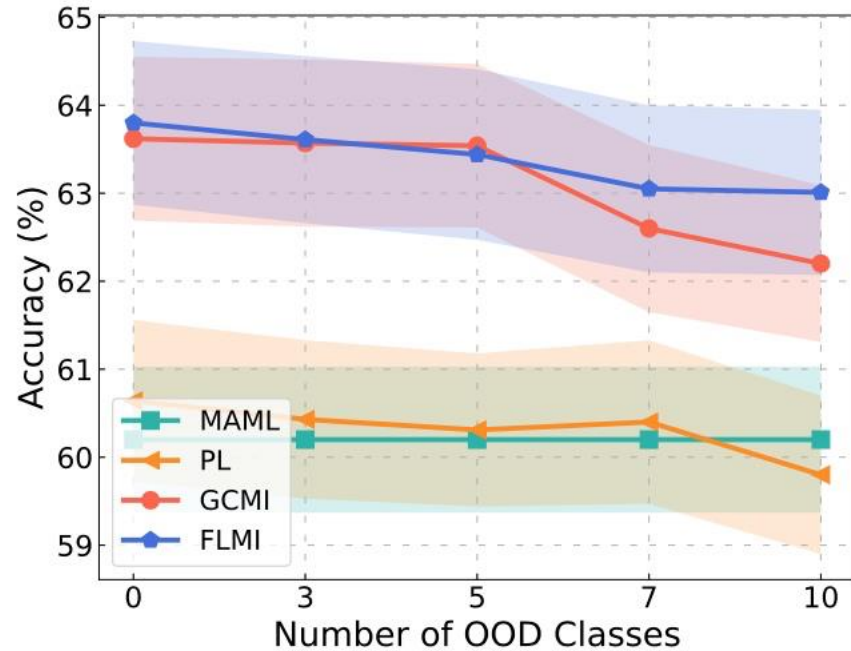
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- *minilmageNet*
- $\rho = 40\%$ , exactly the same setting as previous works.

Methods	<i>1-shot</i>		<i>5-shot</i>	
	<i>w/o OOD</i>	<i>w/ OOD</i>	<i>w/o OOD</i>	<i>w/ OOD</i>
Soft k-Means (Ren et al., 2018)	50.09 $\pm$ 0.45	48.70 $\pm$ 0.32	64.59 $\pm$ 0.28	63.55 $\pm$ 0.28
Soft k-Means Cluster (Ren et al., 2018)	49.03 $\pm$ 0.24	48.86 $\pm$ 0.32	63.08 $\pm$ 0.18	61.27 $\pm$ 0.24
Masked Soft k-Means (Ren et al., 2018)	50.41 $\pm$ 0.31	49.04 $\pm$ 0.31	64.39 $\pm$ 0.24	62.96 $\pm$ 0.14
TPN-semi (Liu et al., 2019)	<b>52.78</b> $\pm$ 0.27	<b>50.43</b> $\pm$ 0.84	66.42 $\pm$ 0.21	64.95 $\pm$ 0.73
GCMi ( <i>large</i> , ours)	<b>51.35</b> $\pm$ 0.93	<b>50.85</b> $\pm$ 0.89	<b>66.65</b> $\pm$ 0.75	<b>66.66</b> $\pm$ 0.74
FLMI ( <i>large</i> , ours)	51.06 $\pm$ 0.96	49.83 $\pm$ 0.91	<b>67.34</b> $\pm$ 0.72	<b>66.20</b> $\pm$ 0.73

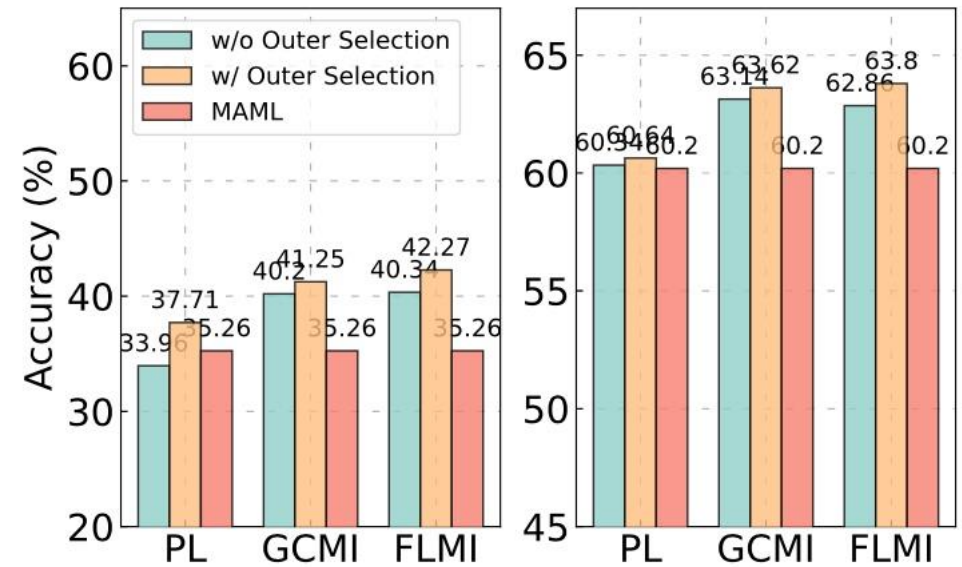
# Ablation

- Different number of OOD classes



Comparison under different number of OOD classes in the Unlabeled Set for 5-shot case on *minImageNet*

- $w/$  vs.  $w/o$  outer selection



Left: 1-shot, Right: 5-shot.  
Both of them are on *minImageNet*.

# Ablation

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## Other Backbones?

The accuracy (%) of 5-way 5-shot experiment

- on *minilmageNet*
- Pretrained ResNet-12
- $\rho = 40\%$  (the same ratio from Ren et al., 2018 and Li et al., 2019)

MAML	LST (Li et al., 2019)	GCM1 ( <i>large, ours</i> )
75.21 $\pm$ 0.65	78.70 $\pm$ 0.80	79.44 $\pm$ 0.76



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# Conclusion

- PLATINUM: A novel semi-supervised model-agnostic meta-learning framework.
- It leverages submodular mutual information functions as per-class acquisition functions to select more data from unlabeled data in the inner and outer loop of meta-learning.
- Meta-learning based semi-supervised few-shot learning experiments validates the effectiveness of embedding semi-supervision on the top of first-order MAML, especially for small ratio of labeled to unlabeled samples.

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# Thank You



*For more details, do visit our **poster**.*