

# Implicit Regularization with Polynomial Growth in Deep Tensor Factorization

Kais Hariz<sup>1,2</sup>, Hachem Kadri<sup>1</sup>, Stéphane Ayache<sup>1</sup>, Maher Moakher<sup>2</sup>, Thierry Artières<sup>1,3</sup>

<sup>1</sup>Aix-Marseille University, CNRS, LIS, Marseille, France

<sup>2</sup>LAMSIN, National Engineering School of Tunis, University of Tunis El Manar, Tunis, Tunisia

<sup>3</sup>Ecole Centrale de Marseille, Marseille, France



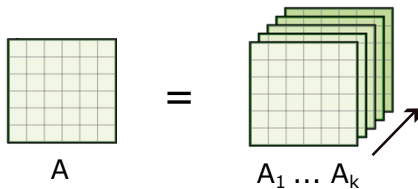
# Generalization in deep learning

How deep neural networks generalize well?

- # learnable parameters  $\gg$  # training examples
- **Role of implicit regularization**
- Measure of complexity: matrix rank, tensor rank, ...?

# The role of implicit regularization in deep learning

- Deep Matrix Factorization



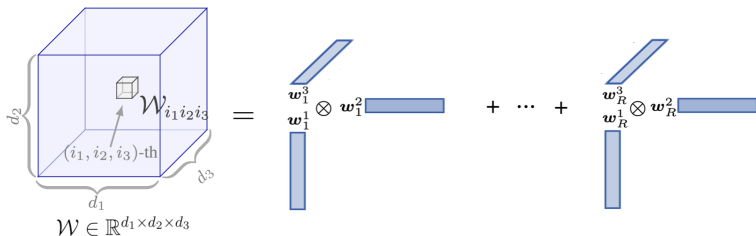
Arora et al., 2019

For  $A \in \mathbb{R}^{d_1 \times d_2}$  and depth  $k \geq 2$ , for any  $r = 1, \dots, \min(d_1, d_2)$ ,

$$\frac{d}{dt} \sigma_r(t) = k \alpha_r(t) \cdot (\sigma_r(t))^{2 - \frac{2}{k}}$$

# The role of implicit regularization in deep learning

- (Shallow) Tensor CP Factorization



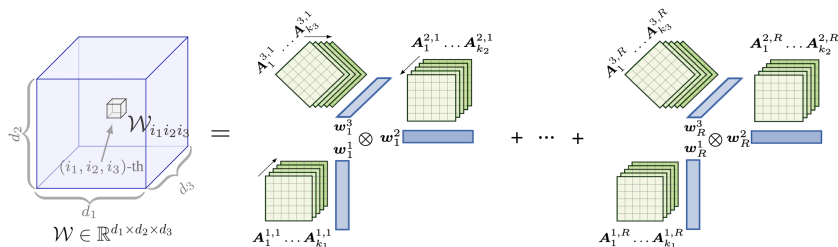
Razin et al., 2021

Under certain assumptions, for any  $r = 1, \dots, R$ ,

$$\frac{d}{dt} \left\| \bigotimes_{n=1}^N \mathbf{w}_r^n(t) \right\| = N \gamma_r(t) \cdot \left\| \bigotimes_{n=1}^N \mathbf{w}_r^n(t) \right\|^{2 - \frac{2}{N}}$$

# The role of implicit regularization in deep learning

- Deep Tensor CP Factorization



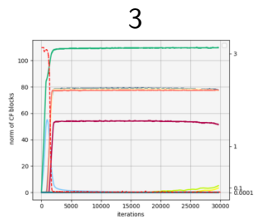
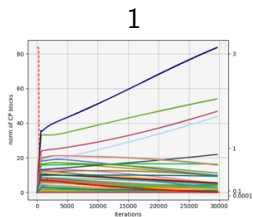
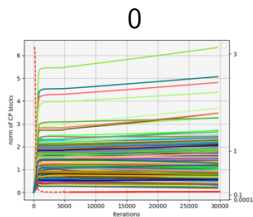
## This work

Under certain assumptions, for any  $r = 1, \dots, R$ ,

$$\frac{d}{dt} \left\| \bigotimes_{n=1}^N w_r^n(t) \right\| = N \delta_r(t) \left\| \bigotimes_{n=1}^N w_r^n(t) \right\|^{2 - \frac{2}{N} + k}$$

# Effect of the depth on tensor rank

Depth

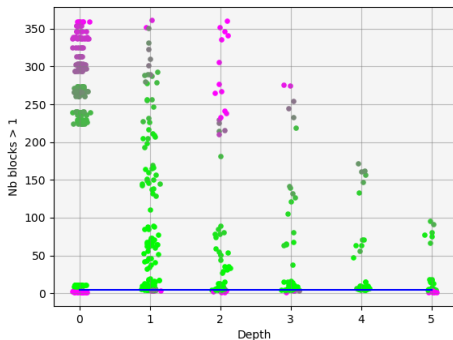


How this impacts the implicit regularization on the learned tensor  $\mathcal{W}$

$$\left\| \bigotimes_{n=1}^N \prod_{i=1}^k \mathbf{A}_i^{n,r}(t) \mathbf{w}_r^n(t) \right\| \leq \left\| \bigotimes_{n=1}^N \mathbf{w}_r^n(t) \right\|^{1+k}$$

# How depth yields low-rank solutions?

Rank



Generalization Loss

