# Training Characteristic Functions with Reinforcement Learning 

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## Z|B

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Prediction probabilities
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Text with highlighted words
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LIME saliency ${ }^{1}$

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- ML: Saliency $\leftrightarrow$ Coop. Game Theory: Surplus Attribution

```
Prediction probabilities
\begin{tabular}{ll} 
sincere \\
\cline { 2 - 3 } \\
insincere \\
\cline { 2 - 4 }
\end{tabular}
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| sincere |  |
| :---: | :---: |
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- Problem: We don't have characteristic functions!

[^4]Interpretations Rely on a Model of the Data Manifold

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- Approach from Lundberg et al ${ }^{1}$ :

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\nu_{\phi, \mathbf{x}}(S)=\mathbb{E}_{\mathbf{y}}\left[\Phi(\mathbf{y}) \mid \mathbf{y}_{S}=\mathbf{x}_{S}\right]=\int \Phi(\mathbf{x}) \mathrm{d} \mathbb{P}\left[\mathbf{x}_{S_{c}} \mid \mathbf{x}_{S}\right] .
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Gradient, Integrated gradients ${ }^{2,3}$, LRP $^{2,4,7}$,
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- Best Performer RDE creates new features! ${ }^{8}$

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- Idea: Directly train a characteristic function!

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[^12]
## Interpretability with Characteristic Functions

1) "Deep Neural Network Training with Frank-Wolfe", Pokutta et al. [10] ICML 2022 (Stephan Wäldchen)

- Let $t \in[42]$
- Let $t \in[42], \mathbf{x} \in[0,1]^{3 \times 6 \times 7}$
- Let $t \in[42], \mathbf{x} \in[0,1]^{3 \times 6 \times 7}, S \in[t]$


## Interpretability with Characteristic Functions

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- Let furthermore $a^{*}=\operatorname{argmax}_{a} P(a ; \mathbf{x})$
- We define $\nu^{\mathrm{pol}}: 2^{[t]} \rightarrow[0,1]$ and $\nu^{\text {val }}: 2^{[t]} \rightarrow[-1,1]$ as

$$
\nu_{\mathrm{pol}}^{\mathrm{pol}}(S)=P\left(a^{*} ; \mathbf{x}^{(S)}\right) \quad \text { and } \quad \nu^{\mathrm{val}}(S)=V\left(\mathbf{x}^{(S)}\right) .
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- We can approximate the Shapley sum by sampling from $\mathcal{U}(\Pi([t]))$ :

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- $\mathbb{P}\left[\left|\phi_{i}-\bar{\phi}_{i}\right| \leq \epsilon\right] \geq 1-\delta \rightarrow(0.01,0.01)$-approximation $\approx 26500$ samples (Hoeffding)

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- Calculate PIE with Frank-Wolfe optimiser solving ${ }^{1}$ convex relaxation of

$$
S^{*}=\underset{|S| \leq\left\lfloor p_{h} t\right\rfloor}{\operatorname{argmin}}(\nu([t])-\nu(S))^{2} .
$$

[^14]
## Example Saliencies for different Methods

| $\begin{gathered} \text { xox } \\ \text { xxox } \\ \text { ooxoorox } \end{gathered}$ | $\begin{aligned} & \text { xox } \\ & \text { xxox } \\ & \text { oxoonax } \end{aligned}$ | $\begin{aligned} & x o x \\ & \begin{array}{c} x \times x \\ \text { oxocor } \end{array} \\ & \hline \end{aligned}$ | $\begin{gathered} \text { xox } \\ \text { xxox } \\ \text { oxocox } \end{gathered}$ | $\begin{gathered} \text { xox } \\ \text { xxox } \\ \text { oxotox } \end{gathered}$ |
| :---: | :---: | :---: | :---: | :---: |
| $\begin{aligned} & \text { xox } \\ & \text { xxoox } \\ & 00 \times 010 \times x \end{aligned}$ | $\begin{aligned} & \text { xax } \\ & \text { xxoox } \\ & \text { ox ocgox } \end{aligned}$ |  |  | $\begin{gathered} x-x \\ \text { xxox } \\ \text { ooxorox } \end{gathered}$ |
| Gradient | DeepShap | GuidedBP | SmoothGrad | LRP |
| $\begin{aligned} & \text { xox } \\ & \text { xoxooox } \\ & \text { ooxoor } \end{aligned}$ | $\begin{array}{r} x 08 \\ x \times 00 \times \\ 00 \times 000 x \\ \hline \end{array}$ | $\times$ $\times \times 00 \times$ -oxo ox | $\begin{array}{r} \quad x^{x} \\ \begin{array}{c} x^{x} 0 \times 0 \\ 0 \end{array} \\ \hline \end{array}$ | 1.0 0.5 |
|  |  | $\begin{aligned} & x+x \\ & \text { xox } \\ & \text { xxoox } \\ & \text { xox } \end{aligned}$ |  | $0.0$ |
| DeepTaylor | Random | Shapley Sampling | FW |  |

## Information-Performance Comparison



## Round-Robin Tournament



## Round-Robin Tournament

Masker1


Player2


## Limitations and Outlook

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$\Rightarrow$ Train value function instead
$\Rightarrow$ Q-Learning could be a more stable approach


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8 Contact: waeldchen@zib.de
Faper: Training Characteristic Functions with Reinforcement Learning:
XAI-methods play Connect Four, S Wäldchen, F Huber, S Pokutta arXiv preprint arXiv:2202.11797

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Appendix

## Ground Truth Comparison: Winning Move



## Tournament: Standard Deviation and Illegal Move Rate



| $48^{20}$ |  | 0.01 | 0.01 | 0.01 | 0.00 | 0.01 | 0.01 | 0.01 | 0.01 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 0.02 |  | 0.02 | 0.01 | 0.02 | 0.01 | 0.02 | 0.01 | 0.01 |
|  | 0.06 | 0.05 |  | 0.04 | 0.03 | 0.03 | 0.03 | 0.01 | 0.02 |
| * | 0.06 | 0.03 | 0.04 |  | 0.02 | 0.04 | 0.02 | 0.01 | 0.01 |
| $0^{00^{2} e^{x}}$ | 0.02 | 0.02 | 0.02 | 0.01 |  | 0.02 | 0.02 | 0.01 | 0.01 |
| $8^{8_{4}^{4}}$ | 0.02 | 0.03 | 0.01 | 0.01 | 0.01 |  | 0.01 | 0.01 | 0.01 |
|  | 0.03 | 0.03 | 0.03 | 0.03 | 0.02 | 0.03 |  | 0.01 | 0.01 |
|  | 0.01 | 0.00 | 0.01 | 0.00 | 0.01 | 0.00 | 0.01 |  | 0.00 |
| $8^{0^{10}}$ | 0.00 | 0.01 | 0.01 | 0.01 | 0.01 | 0.00 | 0.01 | 0.01 |  |
|  |  | $e^{e^{\left(e^{2}\right.}}$ |  |  |  | $v^{8^{2}}$ |  | Cos |  |


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[^1]:    1) "Explainable ai: A review of machine learning interpretability methods", Linardatos et al. [7]
[^2]:    

[^3]:     n-person games" Shapley [12]

[^4]:     n-person games" Shapley [12]

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