

Leveraging Approximate Symbolic Models for Reinforcement Learning via Skill Diversity

Lin Guan^{*}, Sarath Sreedharan^{*}, Subbarao Kambhampati
School of Computing & AI, Arizona State University
lguan9@asu.edu

Integrating Symbolic Planning and RL

Symbolic Planning Based Methods

Examples: PDDL, STRIPS

Pros:

- A natural way to express human knowledge about actions

Cons:

- Hard to capture all the details of the task and environment

Reinforcement Learning Based Methods

Examples: DQN, TRPO, SAC

Pros:

- Can start from scratch

Cons:

- Extremely high sample complexity



Integrating Symbolic Planning & RL

- Guide RL with symbolic knowledge/advice (better sample efficiency)
- A natural interface for humans to specify goals & constraints (i.e. to define task rewards)

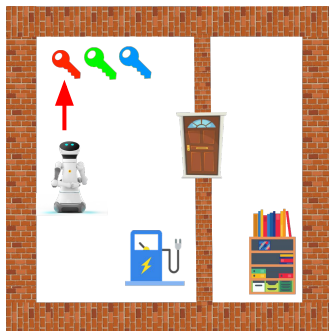
Integrating Symbolic Planning and RL

Learn temporally extended operators (**options**) for symbolic actions:

Pickup Key:

Precondition(s): N/A

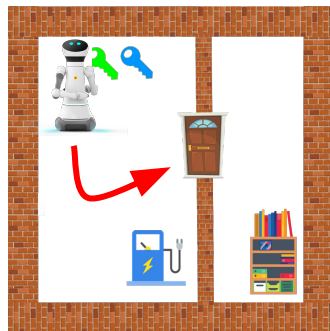
Effect(s): has-key



Open Door:

Precondition(s): has-key

Effect(s): door-open

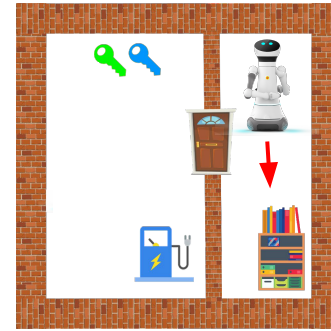


...

Go to Shelf:

Precondition(s): at-right-room

Effect(s): at-destination



Execute the learned options sequentially according to the symbolic plan

Integrating Symbolic Planning and RL

Learn temporally extended operators (**options**) for symbolic actions:

<u>Pickup Key:</u> Precondition(s): N/A Effect(s): has-key	<u>Open Door:</u> Precondition(s): has-key Effect(s): door-open	...	<u>Go to Shelf:</u> Precondition(s): at-right-room Effect(s): at-destination
--	---	-----	--

Most prior works assume the symbolic models are **correct and complete**



But we can't guarantee this in practice!



Sources of Incorrectness:

- Human mistakes
- Plans/models given by other ML models, e.g., LLMs

Example 1: Partially Specified Precondition(s)

- The human may overlook the fact that only the blue key can open the door:

```
(:action open_door
  :parameters ()
  :precondition (has-key)
  :effect (and (door-open)))
```

- If the robot myopically learns a policy to pick up a key, it will only pick up the nearest key (which is the wrong key)

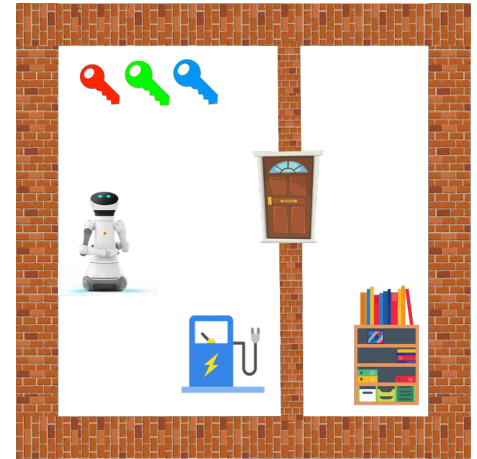


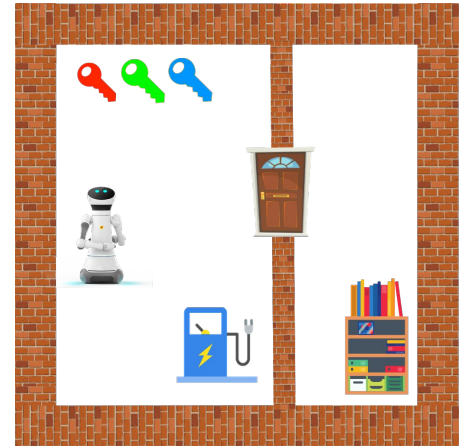
Fig. 1. The Household environment.

Example 2: Incorrect Action Effect(s)

- There may not be one exact state that satisfies all action effects:

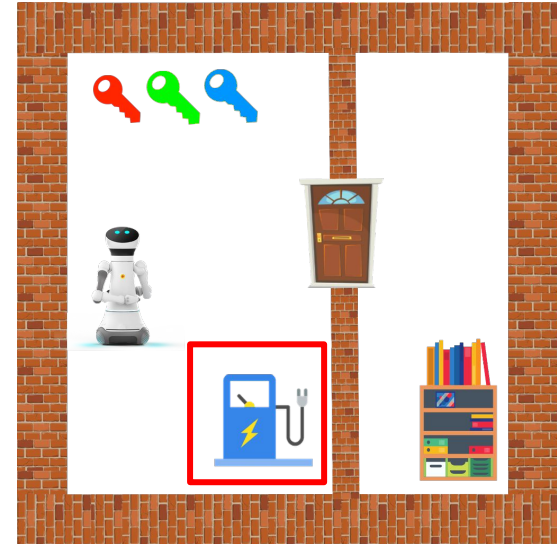
```
(:action pass_through_door
  :parameters ()
  :precondition (and )
  :effect (and (at-right-room)
               (door-ajar)))
```

- But no actual low-level state with `at-right-room` and `at-right-room` being True at the same time, because the door will close once the robot enters the room.
- So no option can be learned for `pass_through_door`.



Example 3: Completely Missing Feature(s)

- The human might not know that this particular robot model has limited battery capacity.
- State variable related to the charging dock is completely missing.



Approximate Symbolic Models Guided RL (ASGRL)

Extracting Task-Hierarchy Information from an Approximate Symbolic Model

- Given the model, we extract **fact landmarks** and their **relative orderings**.
- Landmark information holds in **all plans** for the symbolic model and are thus reliable sources of information about the underlying task.
- **Landmarks as subgoals:**
Example: `has-key > door-unlock > at-right-room > at-destination`
- Allow us to leverage incorrect symbolic models.

Approximate Symbolic Models Guided RL (ASGRL)

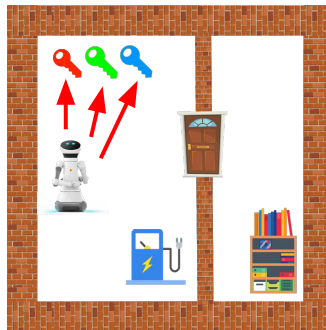
Learning a Diverse Set of Skills Per Subgoal

- Given the sources of incompleteness: (a) there could be missing feature(s); (b) one symbolic subgoal state may correspond to a diverse set of low-level states.
- Learn a diverse set of skills to cover different reachable terminal MDP states of a subgoal.
- Can be achieved via an information-theoretic objective: $\min \mathcal{H}(Z_f|G_f)$

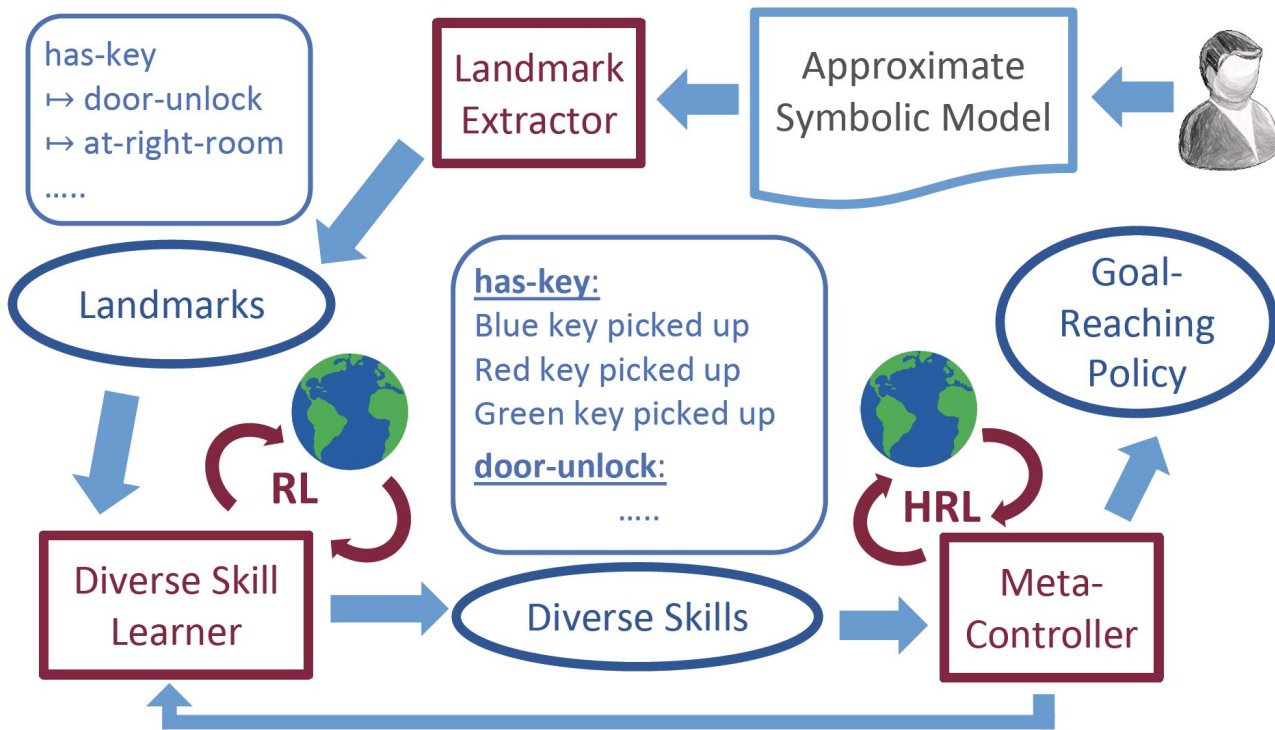
Example:

Subgoal: has-key

- Skill 1: pick up red key
- Skill 2: pick up green key
- Skill 3: pick up blue key

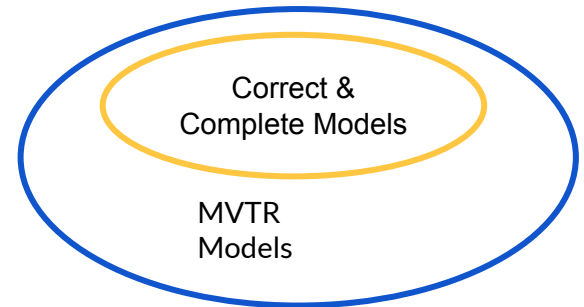


Approximate Symbolic Models Guided RL (ASGRL)



Theoretical Analysis

- ASGRL is guaranteed to result in a goal-reaching policy for all MVTR models
- Minimally Viable Task Representation (MVTR)
 - **MVTR Condition:**
At least one plan for the symbolic model captures the **relative orderings** of fluents that appear in a low-level goal-reaching trace.
 - A much more **relaxed** condition:
Individual symbolic action \neq An executable temporally extended operator at low-level.



Experimental Evaluations

- When inexact and incomplete symbolic models are given, ASGRL manages to efficiently solve the tasks while other baselines fail.
- Three domains and different symbolic models:

	Household-V1	Household-V2	MineCraft	Mario
ASGRL	0.7	0.9	0.9	0.9
ASGRL-Curriculum	0.8	0.9	0.9	0.9
Landmark-HRL	0	0	0.1	0
Plan-HRL	0	0	0	0
Landmark-Shaping	0.26	0.43	0.54	0.58
Goal-Q-Learning	0.6	0.6	0.38	0.31

