

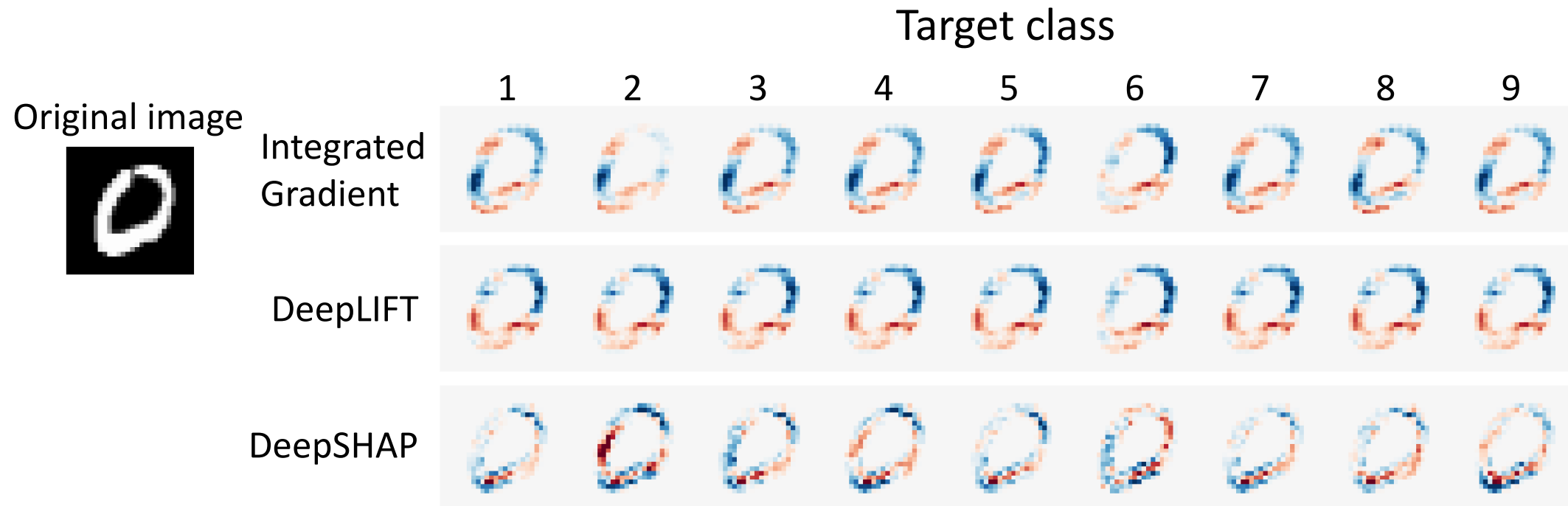
GANMEX: One-vs-One Attributions Guided by GAN-based Counterfactual Baselines

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Amazon Web Service

One-vs-One Explanations for Multi-class Classifiers

- One-vs-all: Why does the instance belong to class A?
- One-vs-one: Why does the instance belong to class A but not class B?



Feature Attribution Methods and Baselines

- **Integrated Gradient (IG):**

$$\mathcal{IG}_i = (x_i - \tilde{x}_i) \int_{\alpha=0}^1 \partial_{x_i} S(\tilde{x} + \alpha(x - \tilde{x})) d\alpha.$$

- **DeepLIFT:**

$$r_i^{(L)} = \begin{cases} S_i(x) - S_i(\bar{x}) & \text{if unit } i \text{ is the target unit of interest} \\ 0 & \text{otherwise} \end{cases}$$

$$r_i^{(l)} = \sum_j \frac{z_{ji} - \bar{z}_{ji}}{\sum_{i'} z_{ji} - \sum_{i'} \bar{z}_{ji}} r_j^{(l+1)}$$

- **Occlusion:** full-feature perturbations by removing each feature and calculating the impacts on the DNN output.

All require baselines

- **Expected Gradient**

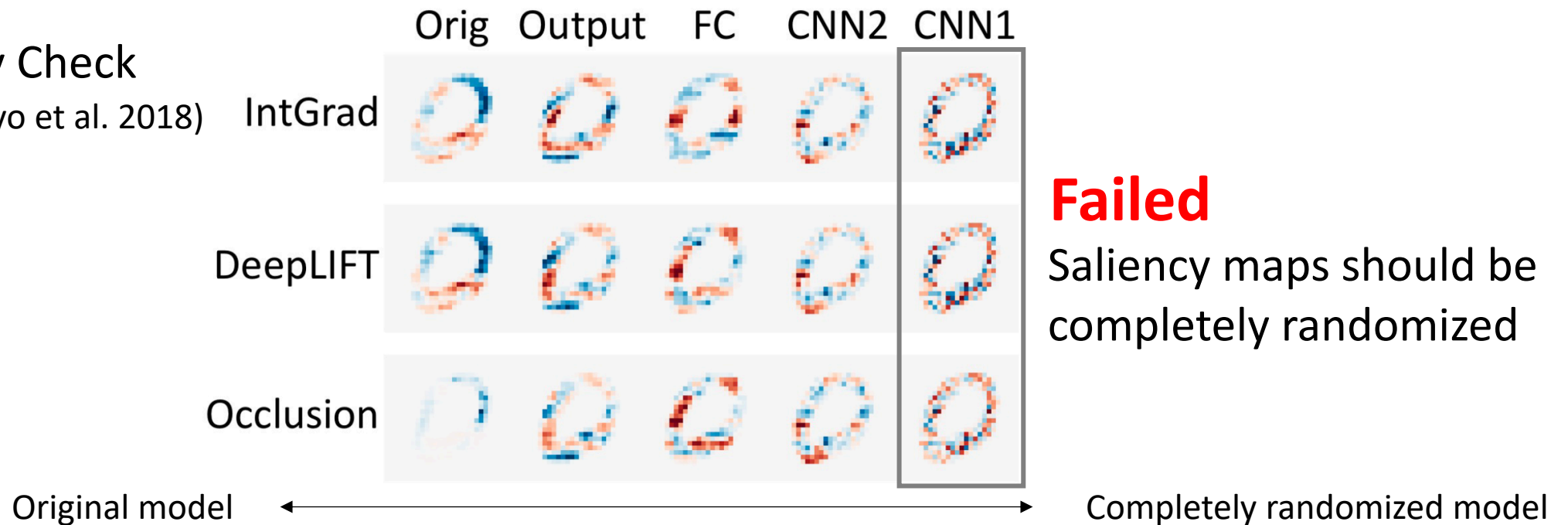
$$\mathcal{EG}_i = \mathbb{E}_{\tilde{x} \sim X_T, \alpha \sim U(0,1)} (x - \tilde{x})_i \partial_{x_i} S(\tilde{x} + \alpha(x - \tilde{x}))$$

Baseline Problems and the Failed Sanity Check

- Attributions methods are blind to the color chosen as a baseline (Sundararajan & Taly 2018; Adebayo et al. 2018; Kindermans et al. 2017; Sturmfels et al. 2020)
- Common used baselines includes zero values, max values, blurred images...

- **Sanity Check**

(Adebayo et al. 2018)



One-vs-One Baseline Requirements

Class-targeted baselines are required for one-vs-one attribution.

1. The baseline belongs to the target class (with respect to the classifier).
2. The baseline is close to the original input.
3. The baseline is a realistic image.

=> Counterfactual Explanation!

(Dhurandhar et al. 2018)

GANMEX (GAN-based Model EXplainability)

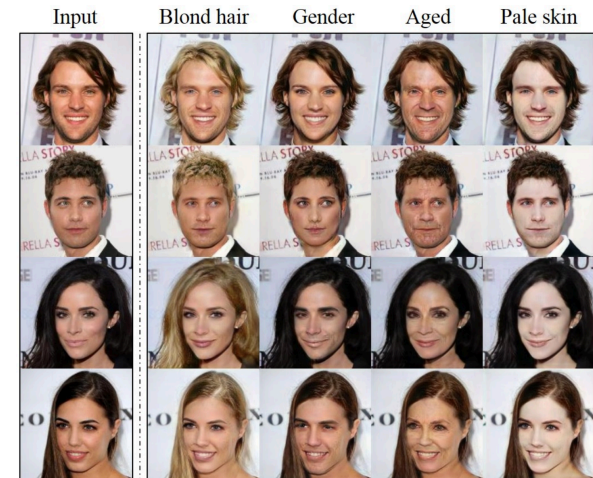
Baseline requirements

$$B_{c_t}(x) = \arg \min_{\tilde{x} \in \mathbb{R}^N} (\|x - \tilde{x}\| - \log R(\tilde{x}) - \log S_{c_t}(\tilde{x}))$$

StarGAN

$$\mathcal{L}_D = -\mathcal{L}_{\text{adv}} + \lambda_{\text{cls}}^r \mathcal{L}_{\text{cls}}^r$$

$$\mathcal{L}_G = \mathcal{L}_{\text{adv}} + \lambda_{\text{cls}}^f \mathcal{L}_{\text{cls}}^f + \lambda_{\text{rec}} \mathcal{L}_{\text{rec}}$$



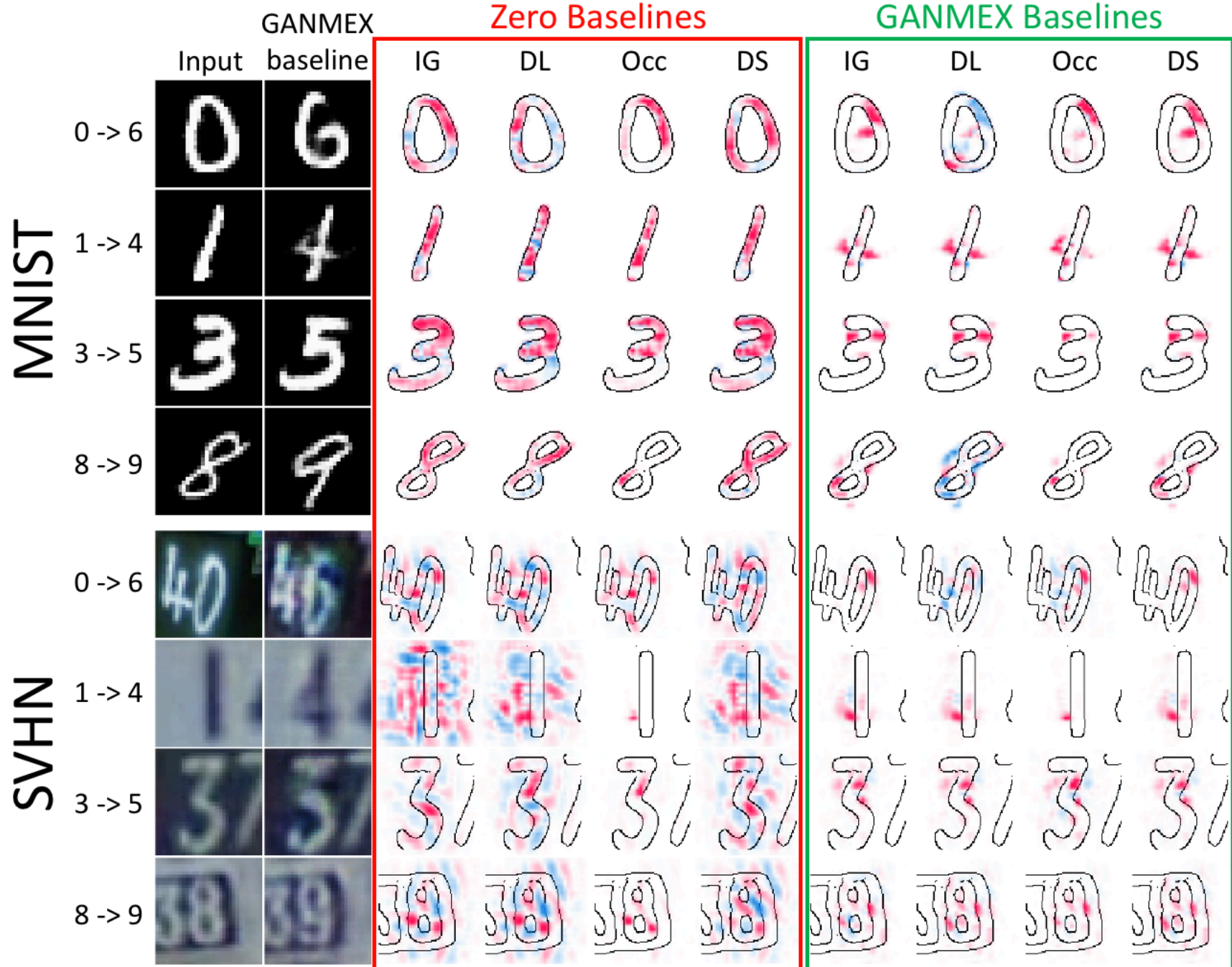
GANMEX

$$\mathcal{L}_G = \log(1 - D_{\text{src}}(\tilde{x})) - \lambda_{\text{cls}}^f \log(S_c(\tilde{x})) + \lambda_{\text{rec}} \|x - G(\tilde{x}, c')\|_1 + \lambda_{\text{sim}} \|x - \tilde{x}\|_1$$

↑
Trained classifier

Similarity loss

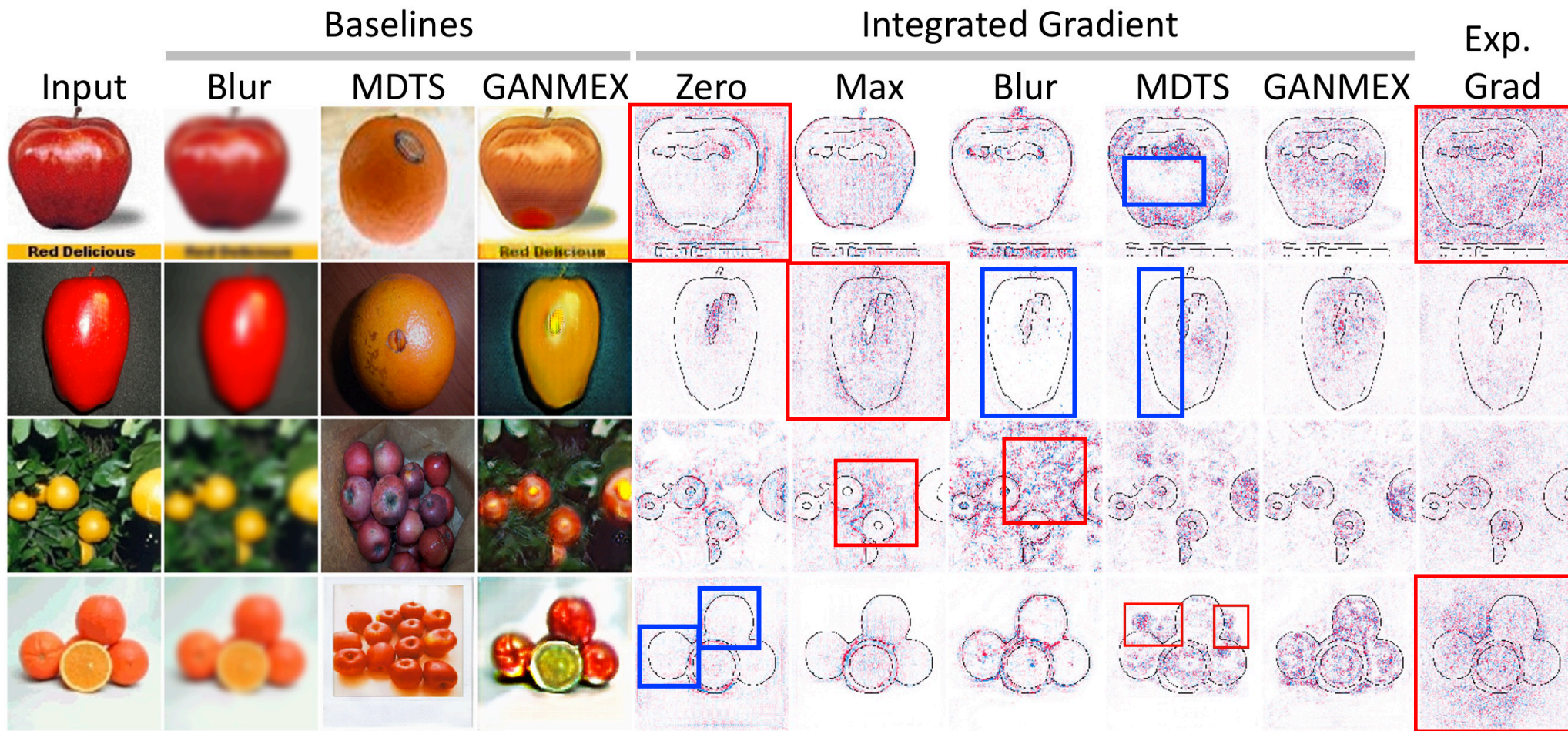
GANMEX Results



IG: Integrated Gradient
 DL: DeepLIFT
 Occ: Occlusion
 DS: DeepSHAP

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GANMEX vs. Other Baselines

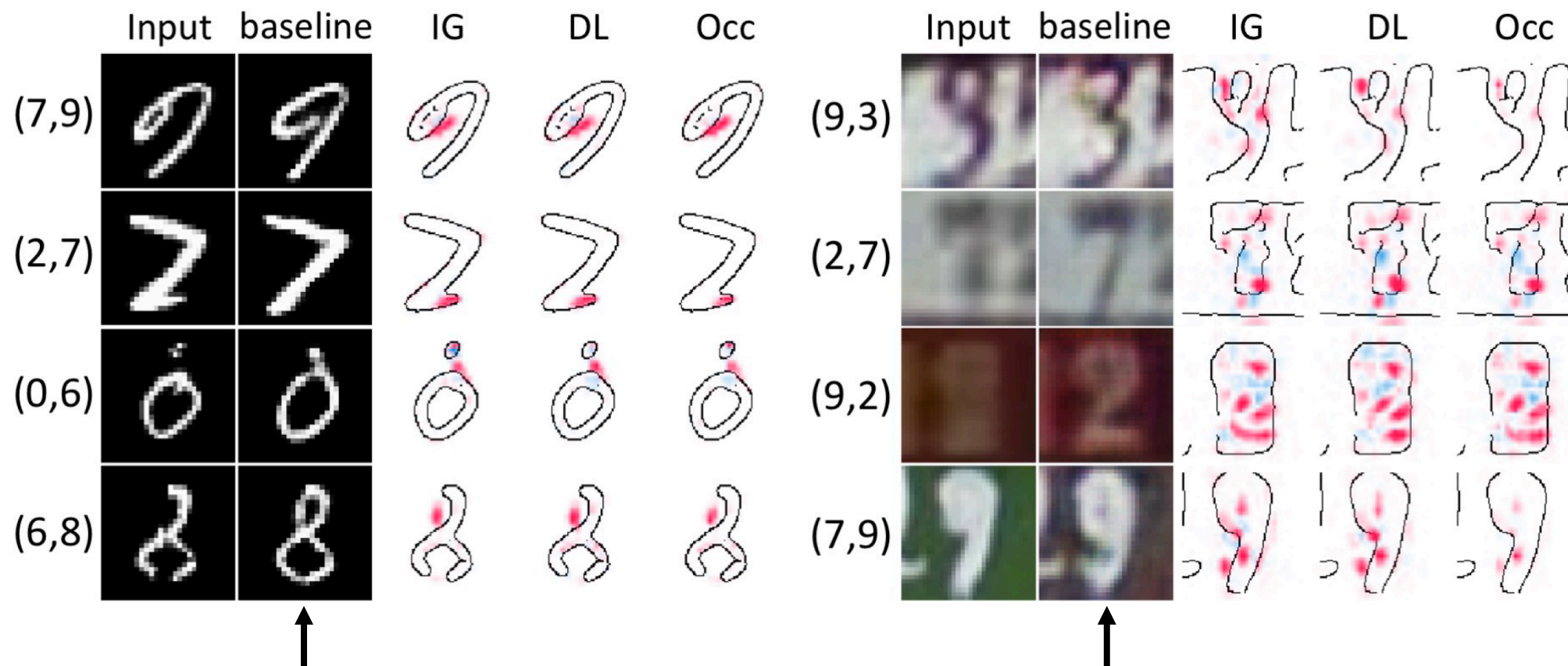


 Incorrectly highlighted background

 Missed objects

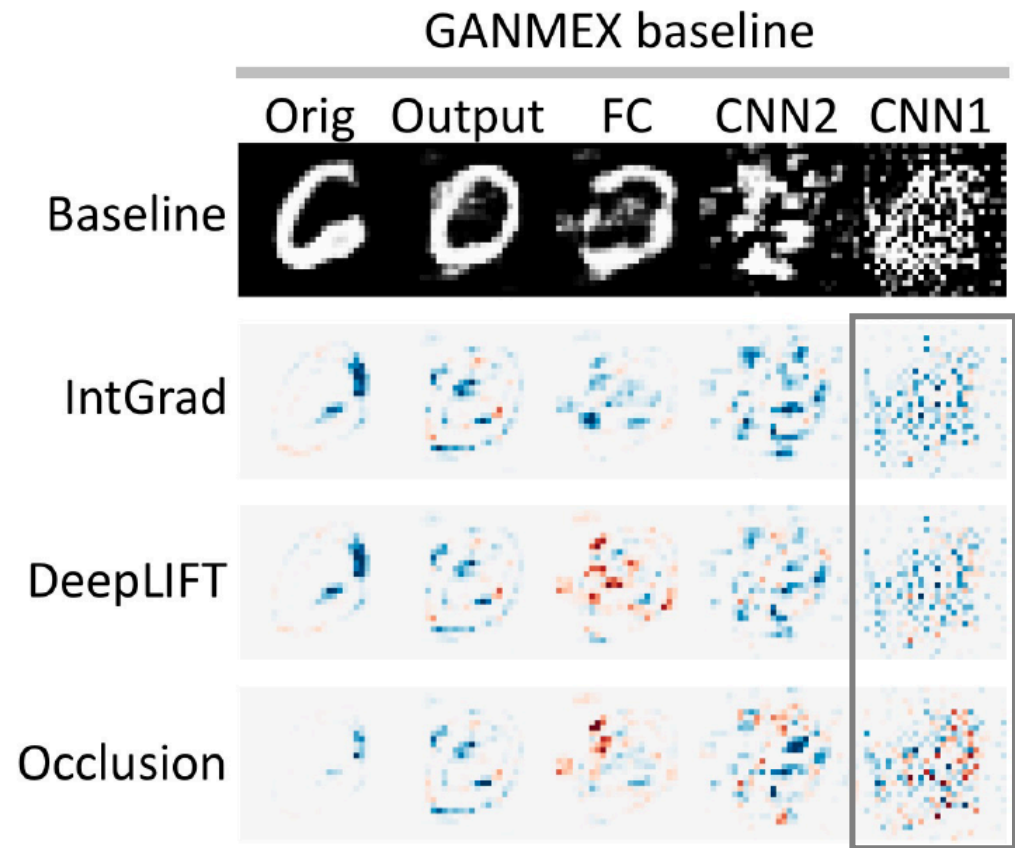
Debugging for Mis-Classified Samples

Tuples are showing (*predicted label, correct label*)



How correct images should look like according to the classifier

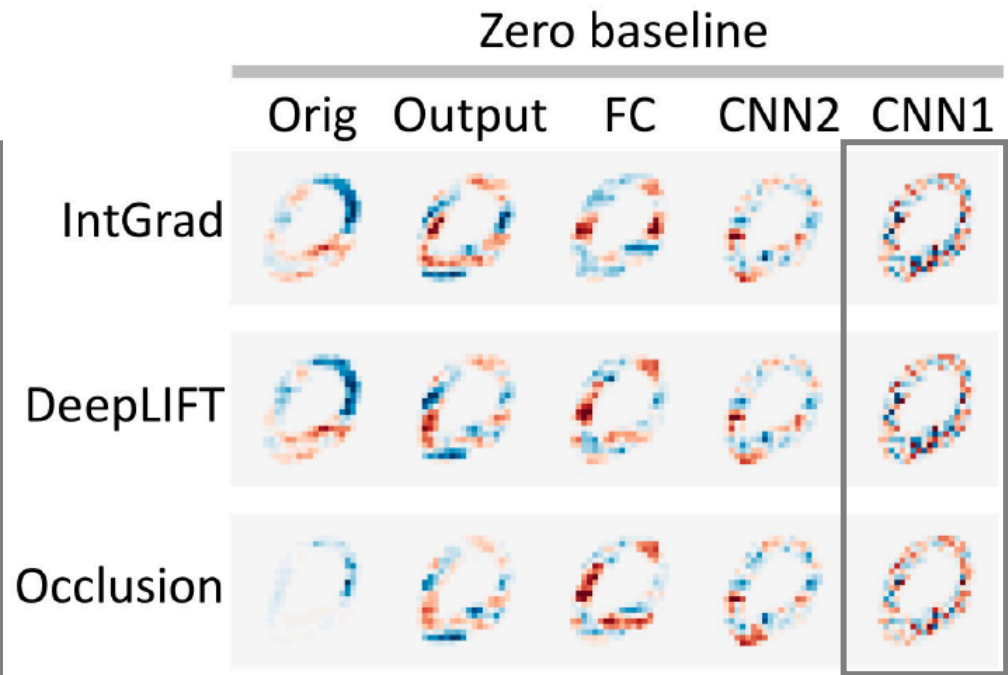
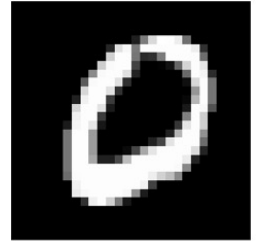
Cascading Randomization Sanity Checks



Pass

Original class: 0
Target class: 6

Input image



Failed

Conclusions

- GANMEX can be used with IG, DeepLIFT, Occlusion, DeepSHAP to improve the feature attribution.
- GANMEX addressed the failed sanity checks introduced by other baseline choices.
- Effective method for counterfactual explanations.