

# Scalable Certified Segmentation via Randomized Smoothing



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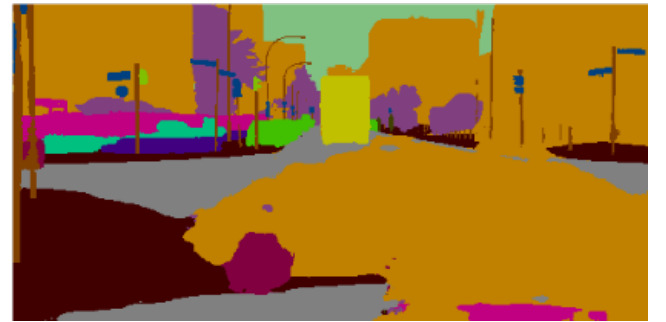
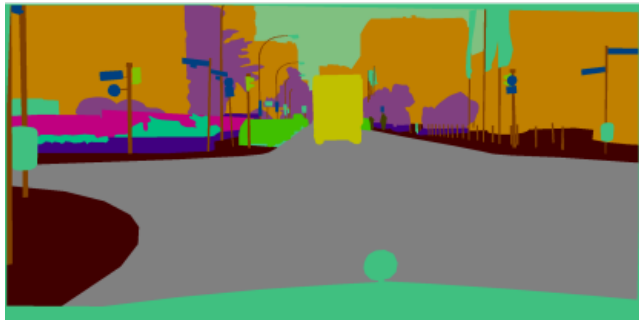
# Adversarial Attack for Segmentation



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# Randomized Smoothing [Cohen et al.]

$$\bar{f}(x) = \operatorname{argmax}_{c \in \mathcal{Y}} \mathbb{P}(f(x + \epsilon) = c)$$

for classifier  $f$ , noise  $\epsilon \sim \mathcal{N}(0, \sigma^2 I)$

Then  $\bar{f}(x) = \bar{f}(x + \delta)$  for  $\|\delta\|_2 \leq R$ .

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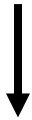
```
function CERTIFY( $f, \sigma, \mathbf{x}, n_0, n, \alpha$ )  
  cnts0  $\leftarrow$  SAMPLE( $f, \mathbf{x}, n_0, \sigma$ )  
   $\hat{c}_A \leftarrow$  top index in cnts0  
  cnts  $\leftarrow$  SAMPLE( $f, \mathbf{x}, n, \sigma$ )  
   $\underline{p}_A \leftarrow$  LOWERCONFBND(cnts[ $\hat{c}_A$ ],  $n, 1 - \alpha$ )  
  if  $\underline{p}_A > \frac{1}{2}$  return prediction  $\hat{c}_A$  and radius  $\sigma \Phi^{-1}(\underline{p}_A)$   
  else return  $\emptyset$ 
```

In practice, approximated via sampling:

$\bar{f}(x) = \bar{f}(x + \delta)$  for  $\|\delta\|_2 \leq \sigma \Phi^{-1}(\underline{p}_A)$  with confidence  $1 - \alpha$ .

# Classification

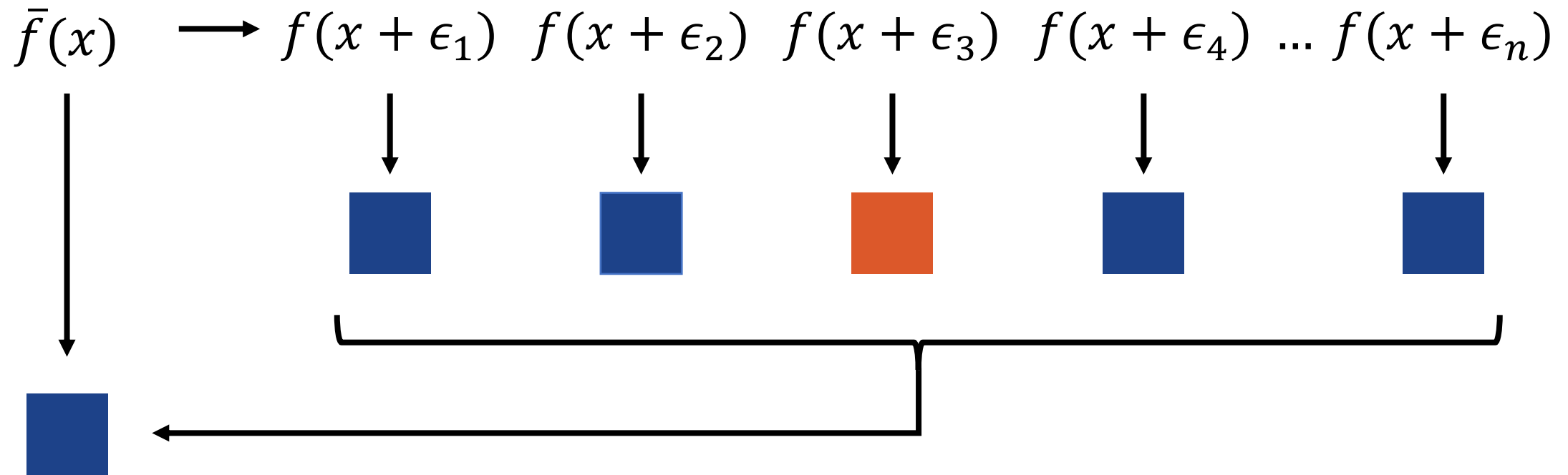
$f(x)$



$f(x + \delta)$

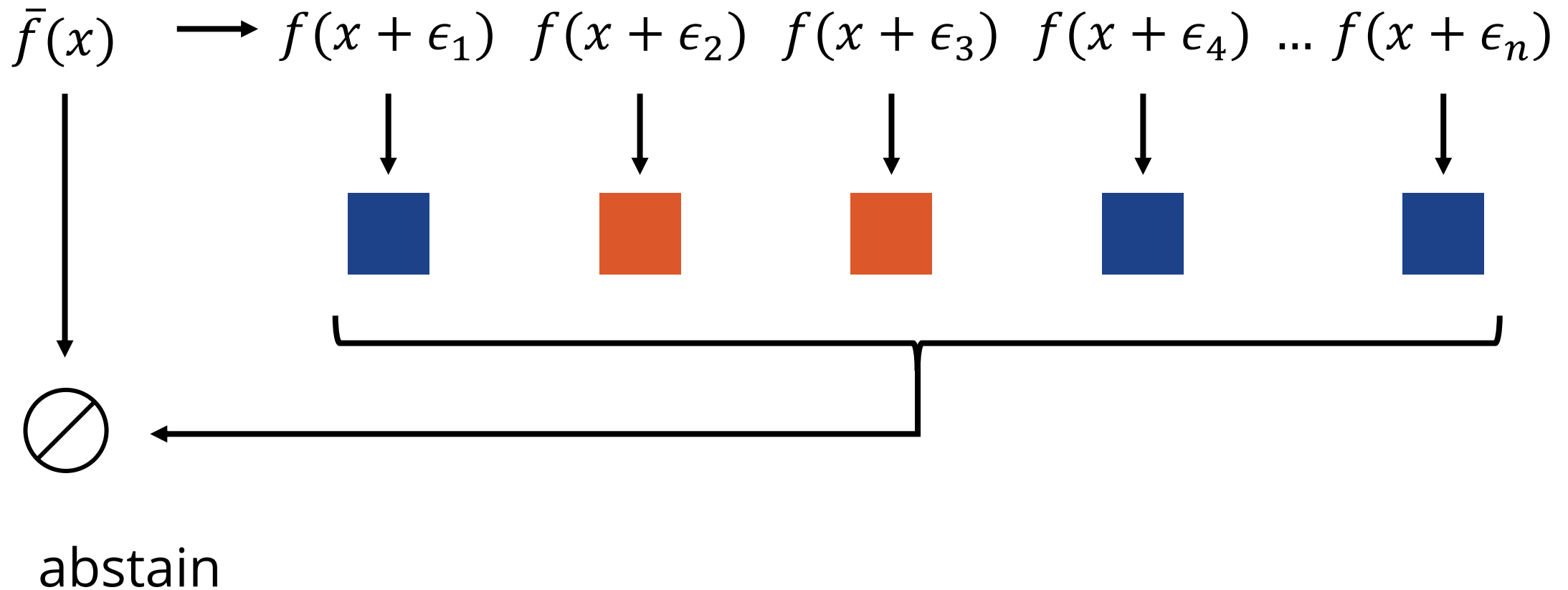


# Randomized Smoothing [Cohen et al.]



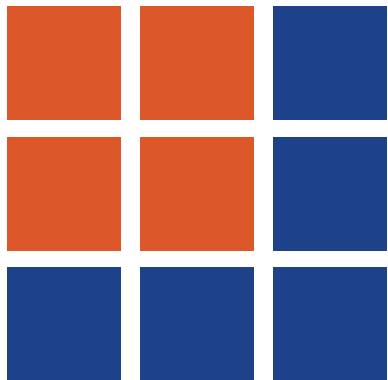
robustness radius  $R$   
with confidence  $1 - \alpha$

# Randomized Smoothing [Cohen et al.]

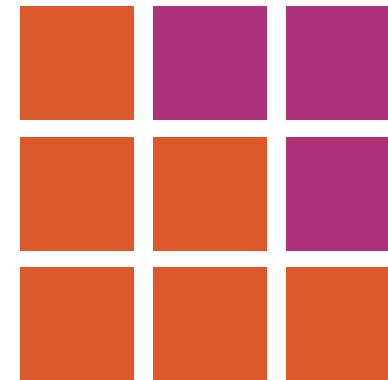


# Segmentation

$f(x)$

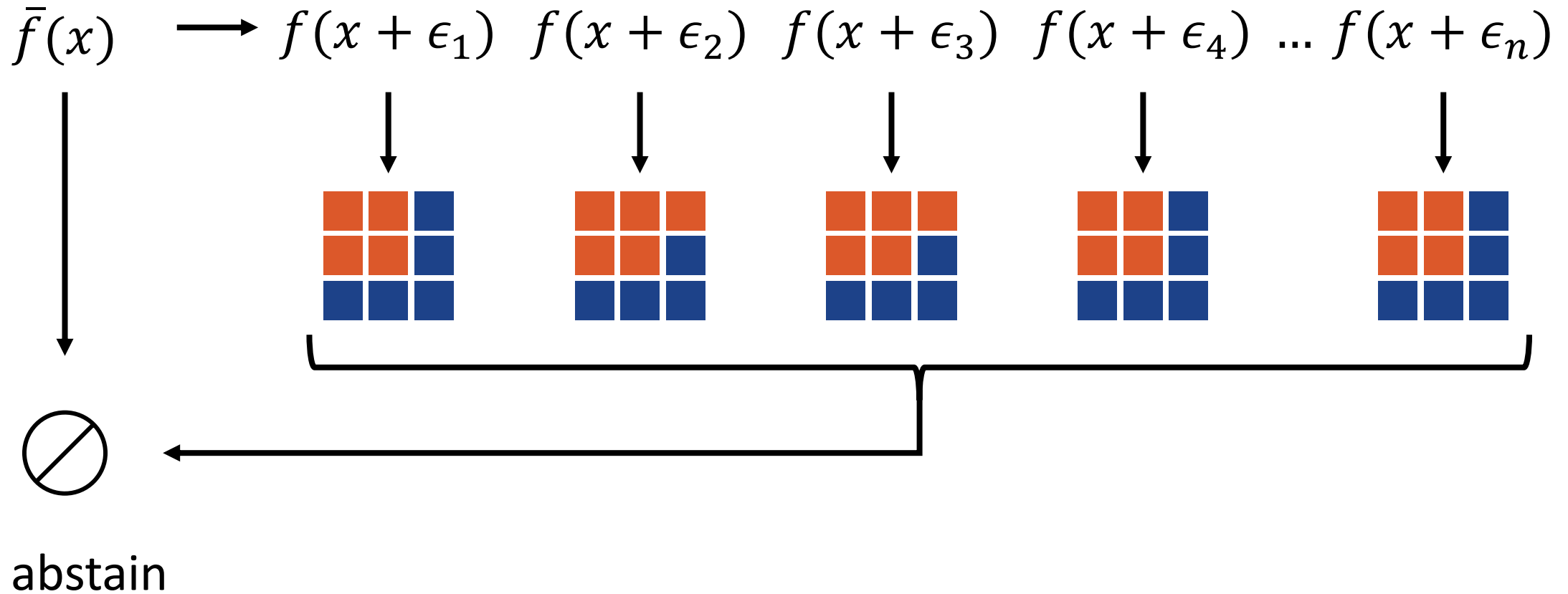


$f(x + \delta)$





# Naïve Randomized Smoothing for Segmentation



# Key Challenges

**Bad Components:** a single component that is unstable under noise, can cause abstention or dominate radius  $R$

**Multiple Testing:** as individual results only hold w.h.p, obtaining high overall confidence is challenging

# Randomized Smoothing for Segmentation

$$\bar{f}_i^\tau(x) = \begin{cases} c & \text{if } \mathbb{P}(f_i(x + \epsilon) = c) > \tau \\ \emptyset & \text{else} \end{cases}$$

for segmentation model  $f$ ,  
noise  $\epsilon \sim \mathcal{N}(0, \sigma^2 I)$

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Then  $\bar{f}_i^\tau(x) = \bar{f}_i^\tau(x + \delta)$ ,  $i \in I_x := \{i \mid \bar{f}_i^\tau(x) \neq \emptyset\}$   
for  $\|\delta\|_2 \leq R := \sigma \Phi^{-1}(\tau)$ .

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In practice, via sampling obtain  $\hat{I}_x$  s.t. with confidence  $1 - \alpha$ ,  $\hat{I}_x \subseteq I_x$ .

```
function SEGCERTIFY( $f, \sigma, \mathbf{x}, n, n_0, \tau, \alpha$ )  
   $\text{cnts}_1^0, \dots, \text{cnts}_N^0 \leftarrow \text{SAMPLE}(f, \mathbf{x}, n_0, \sigma)$   
   $\text{cnts}_1, \dots, \text{cnts}_N \leftarrow \text{SAMPLE}(f, \mathbf{x}, n, \sigma)$   
  for  $i \leftarrow \{1, \dots, N\}$ :  
     $\hat{c}_i \leftarrow \text{top index in cnts}_i^0$   
     $n_i \leftarrow \text{cnts}_i[\hat{c}_i]$   
     $pv_i \leftarrow \text{BINPVALUE}(n_i, n, \leq, \tau)$   
   $r_1, \dots, r_N \leftarrow \text{FWERCONTROL}(\alpha, pv_1, \dots, pv_N)$   
  for  $i \leftarrow \{1, \dots, N\}$ :  
    if  $\neg r_i$ :  $\hat{c}_i \leftarrow \emptyset$   
   $R \leftarrow \sigma \Phi^{-1}(\tau)$   
  return  $\hat{c}_1, \dots, \hat{c}_N, R$ 
```

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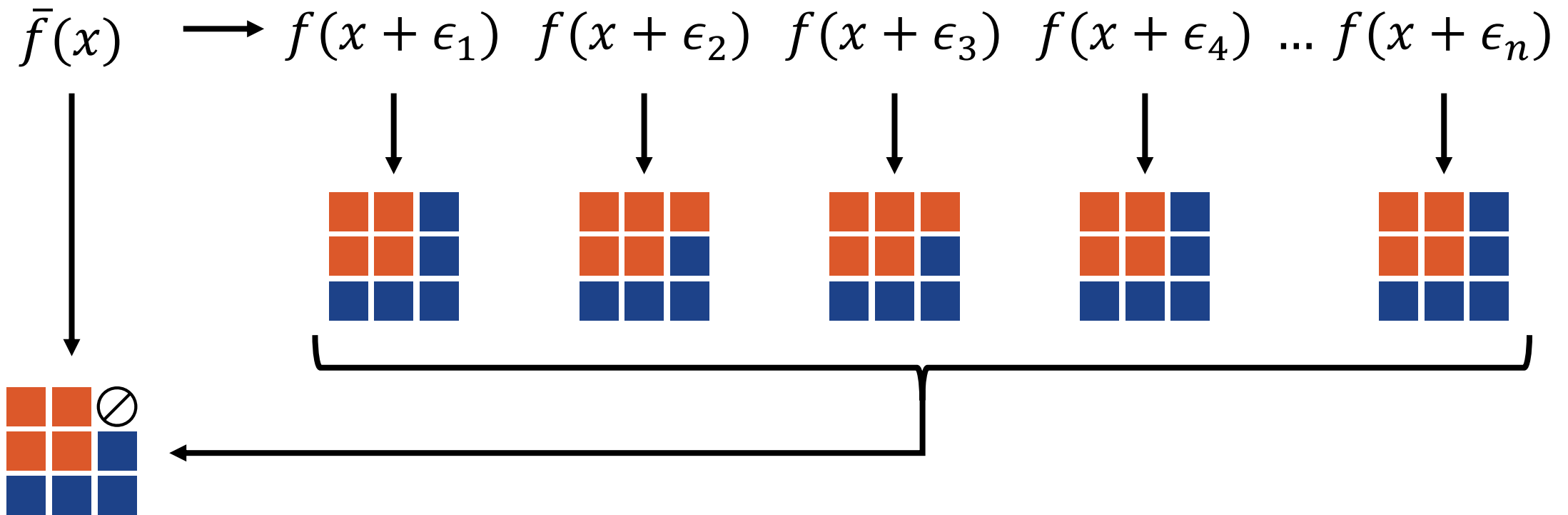
for segmentation model  $f$ ,  
noise  $\epsilon \sim \mathcal{N}(0, \sigma^2 I)$

Then  $\bar{f}_i^\tau(x) = \bar{f}_i^\tau(x + \delta)$ ,  $i \in I_x := \{i \mid \bar{f}_i^\tau(x) \neq \emptyset\}$   
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```

# Randomized Smoothing for Segmentation



robustness radius  $R$   
with confidence  $1 - \alpha$

# Semantic Segmentation

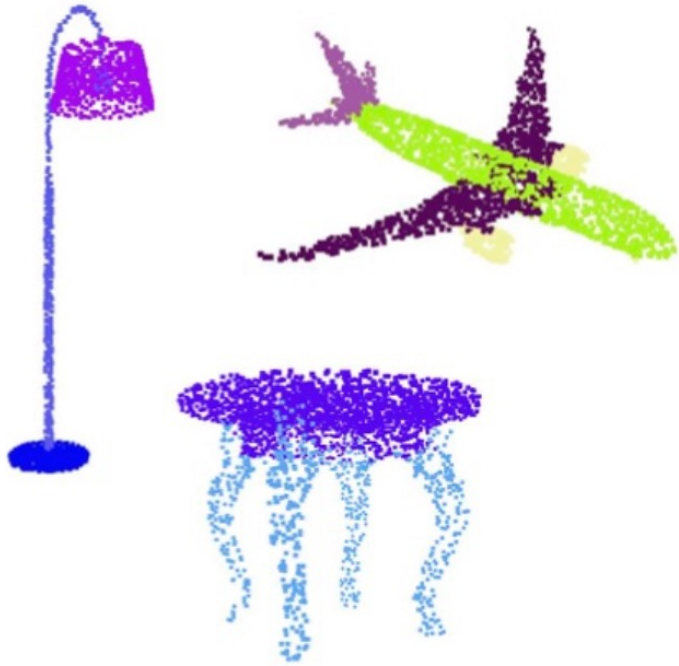


|            | cert radius | pixel acc. | mIoU | abstain |
|------------|-------------|------------|------|---------|
| non-robust | -           | 0.96       | 0.76 | -       |
| base model | -           | 0.89       | 0.51 | -       |
| certified  | 0.34        | 0.86       | 0.54 | 0.10    |

HrNetV2 on Cityscapes evaluated on 100 images,  
 $\sigma = 0.5$ ,  $n = 100$  samples, scale 0.5



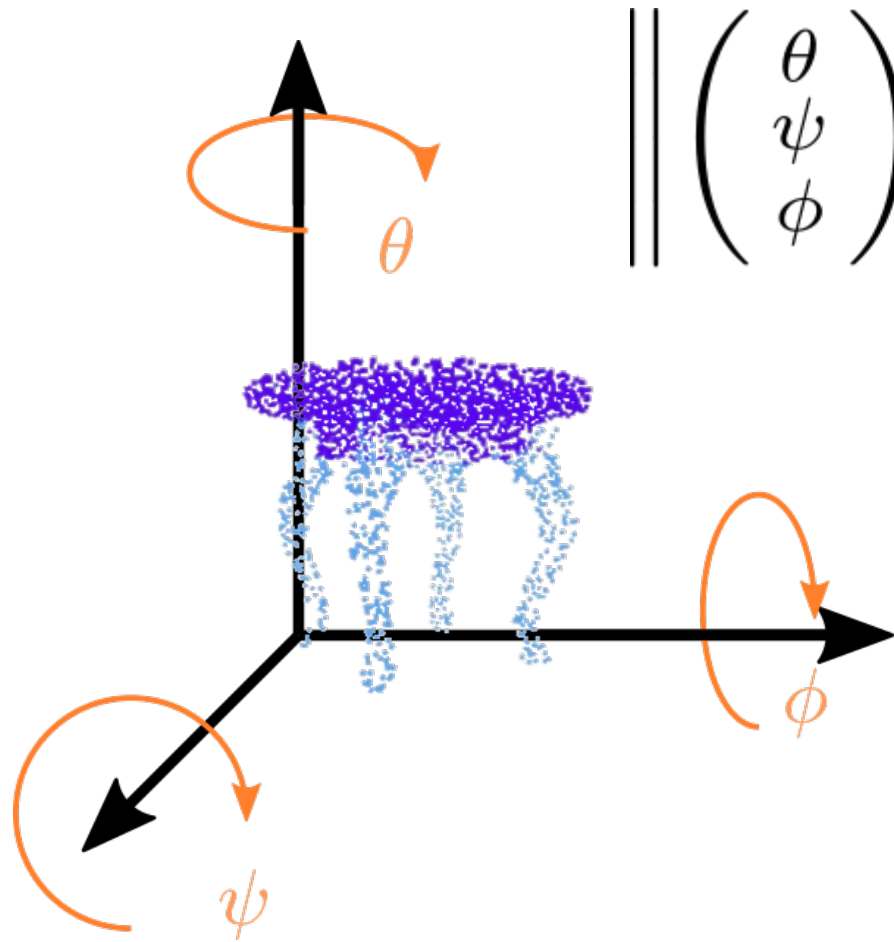
# Point Cloud Part Segmentation



|            | cert radius | pixel acc. | abstain |
|------------|-------------|------------|---------|
| non-robust | -           | 0.91       | -       |
| base model | -           | 0.86       | -       |
| certified  | 0.26        | 0.71       | 0.25    |

PointNetV2 on ShapeNet evaluated on 100 inputs,  
 $\sigma = 0.25$ ,  $n = 1000$  samples

# Point Cloud Part Segmentation, Rotation

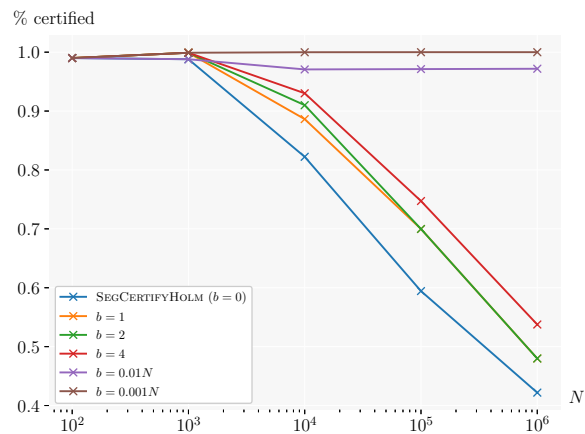
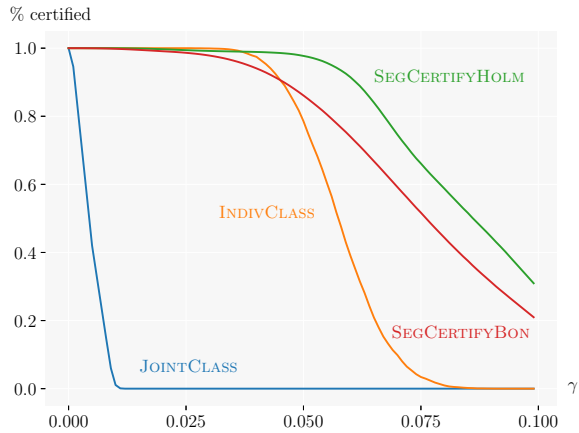


$$\left\| \begin{pmatrix} \theta \\ \psi \\ \phi \end{pmatrix} \right\|_2 \leq R$$

|                   | cert radius | pixel acc. | abstain |
|-------------------|-------------|------------|---------|
| <b>non-robust</b> | -           | 0.91       | -       |
| <b>base model</b> | -           | 0.77       | -       |
| <b>certified</b>  | 0.26        | 0.69       | 0.16    |

PointNetV2 on ShapeNet evaluated on 100 inputs,  
 $\sigma = 0.125, n = 1000$  samples

# In the paper



- Motivation & Derivation
- Further results
- Effect of different FWER schemes
- Allowing error budgets