

**OXFORD** 

## Natural-XAI: Explainable AI with Natural Language Explanations



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- This tutorial aims to give an overview of the research direction that we call *Natural-XAI*, i.e., AI systems with natural language explanations. We will *not* give a comprehensive overview of XAI in general, but there will be some introduction and discussion on general XAI.
- No pre-requirements (just basic deep learning knowledge).
- Designed for everyone: academia and industry, different modalities, and different applications.

# Natural-XAI is an emerging direction, with high potential and lots of open questions.

## Outline

#### Part I

- 1. Introduction
- 2. The Puzzle of Natural-XAI
  - a. The Potentials
  - b. The Challenges
- 3. NLP Works
- 4. Live Q&A for Part I

#### Part II

- 1. Explanations Advance Visual Learning
- 2. Computer Vision Applications
  - a. Fine-Grained Recognition
  - b. Zero-Shot Learning
  - c. Self-Driving Cars
  - d. Explanations as a means for effective communication
- 3. Summary and Open Questions
- 4. Live Q&A for Part II

Break

Deep neural networks have been responsible for SOTA in many areas, but are still typically black-boxes. Even when they have high performance on test sets, they are notoriously prone to

- relying on spurious correlations in datasets (Chen et al., 2016; Gururangan et al., 2018; McCoy et al., 2019)
- adversarial attacks (Szegedy et al., 2014; Moosavi-Dezfooli et al., 2017; Jia and Liang, 2017)
- exacerbating discrimination (Bolukbasi et al., 2016; Buolamwini and Gebru, 2018)





https://www.wired.com/2016/10/understanding-artificial-intelligence-decisions/

D. Chen et al., A Thorough Examination of the CNN/Daily Mail Reading Comprehension Task, ACL, 2016. T. McCoy et al., Right for the Wrong Reasons: Diagnosing Syntactic Heuristics in Natural Language Inference, ACL, 2019. S. Gururangan et al., Annotation Artifacts in Natural Language Inference Data, NAACL, 2019. C. Szegedy et al., Intriguing Properties of Neural Networks, ICLR, 2014.

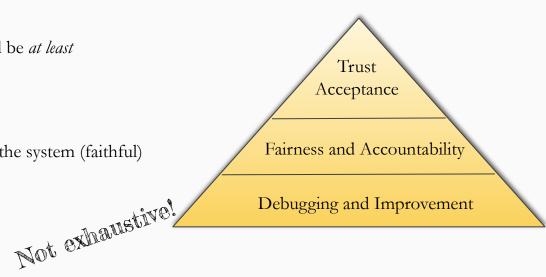
S. Moosavi-Dezfooli et al., Universal Adversarial Perturbations, CVPR, 2017.

R. Jia and P. Liang, Adversarial Examples for Evaluating Reading Comprehension Systems, EMNLP, 2017.

T. Bolukbasi et al., Man is to Computer Programmer as Woman is to Homemaker? Debiasing Word Embeddings, NeurIPS, 2016. J. Buolamwini and T. Gebru, Gender Shades: Intersectional Accuracy Disparities in Commercial Gender Classification, FAT, 2018.

For XAI to achieve these goals, explanations should be at least

- audience-friendly
  - understandable
  - satisfactory
- aligned with the decision-making process of the system (faithful) and ultimately
  - allow for further interaction with the users
  - lead to better AI
    - better performance
    - better decision-making process
  - improve human decision-making



Audience-friendly explanations

- Easy to understand by the target audience (e.g., lay users vs experts)
  - not all explanations in the current XAI literature are easy to understand, even for ML experts. Kaur et al. (2020): "data scientists over-trust and misuse interpretability tools" and "few of our participants [197 data scientists] were able to accurately describe the visualizations output by these tools."
- Satisfactory: adhere to human desiderata
  - Miller (2019): "people employ certain biases and social expectations when they generate and evaluate explanations".
    "explanations are not just the presentation of associations and causes (causal attribution), they are contextual. While an event may have many causes, often the explainee cares only about a small subset (relevant to the context), the explainer selects a subset of this subset (based on several different criteria)"
  - Graaf and Malle (2017): "people will regard most autonomous intelligent systems as intentional agents and apply the conceptual framework and psychological mechanisms of human behavior explanation to them."

H. Kaur et al. ,Interpreting Interpretability: Understanding Data Scientists' Use of Interpretability Tools for Machine Learning, CHI 2020.

T. Miller, Explanation in Artificial Intelligence:Insights from the Social Sciences, Elsevier, 2019.

M. de Graaf, B. Malle, How People Explain Action (and Autonomous Intelligent Systems Should Too), in: AAAI Fall Symposium on Artificial Intelligence for Human-Robot Interaction, 2017.

Faithfulness (alignment with the decision-making process of the system)

- Unfaithful explanations can lead to over-trusting or under-trusting a system
- Difficult to assess
- Plausibility  $\neq$  Faithfulness
  - plausibility is valuable when the explanations are used individually for assisting humans in making decisions
  - for models that generates their own explanations (the topic of this tutorial), plausibility may fairly lead to higher trustworthiness (Camburu et al., 2018)

#### Interactive XAI

- Being able to interact and argue about a decision increases trust and can lead to better decisions. Wilkenfeld and Lombrozo (2015): *"explaining for the best inference"* vs *"inference to the best explanation"*, engaging in explanation even without arriving at a correct explanation can still improve one's understanding.
- Druzdzel (1996): "The insight gained during the interaction is even more important than the actual recommendation."
- Arguably, a system that can interact and argue with users for the reasons behind a decision is indeed more trustworthy.

Better AI

- Humans do not learn just from labeled examples. Explanations are a valuable resource for us to understand a task and perform better at it. Heider (1958): people look for explanations to improve their understanding of someone or something so that they can derive a stable model that can be used for prediction and control.
- Explaining already trained AI systems may help us spot certain spurious correlations on which these systems rely, but there is no generic way to make the systems bypass these correlations, which is a difficult open question usually addressed via task-specific techniques (Belinkov et al., 2019).
- Can we develop models that learn from explanations for the ground-truth answers in order to arrive to correct decision-making processes?

Improve human decisions-making

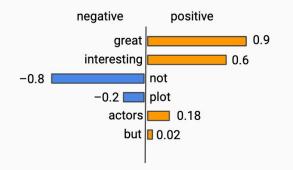
- for cases where AIs are intended to assist humans in making decisions, if explanations do not help humans make better decisions then they are of little use
  - Alufaisan et al. (2020): "any kind of AI prediction tends to improve user decision accuracy, but no conclusive evidence that explainable AI has a meaningful impact."; "users were somewhat able to detect when the AI was correct versus incorrect, but this was not significantly affected by including an explanation".

Types of explanations

#### Types of explanations

1. Feature-based

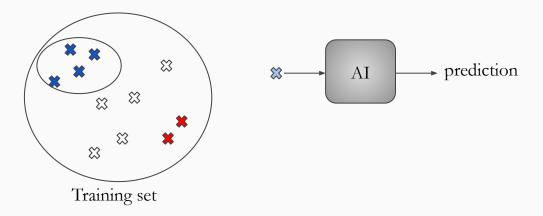
"The plot was not interesting, but the actors were great."



M. Ribeiro et al., "Why Should I Trust You?": Explaining the Predictions of Any Classifier, KDD, 2016. S. Lundberg and S. Lee, A Unified Approach to Interpreting Model Predictions, NeurIPS, 2017. M. Sundararajan, Axiomatic Attribution for Deep Networks, ICML, 2017.

#### Types of explanations

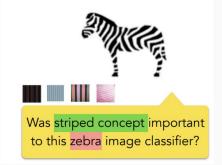
- 1. Feature-based
- 2. Training-based



P. Koh and P. Liang, Understanding Black-box Predictions via Influence Functions, ICML, 2017.

#### Types of explanations

- 1. Feature-based
- 2. Training-based
- 3. Concept-based



https://medium.com/intuit-engineering/navigating-the-sea-of-explainability-f6cc4631f473

B. Kim et al., Interpretability Beyond Feature Attribution: Quantitative Testing with Concept Activation Vectors (TCAV), ICML, 2018

#### Types of explanations

- 1. Feature-based
- 2. Training-based
- 3. Concept-based
- 4. Surrogate models

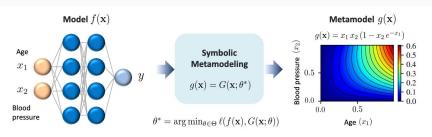


Figure 1: Pictorial depiction of the symbolic metamodeling framework. Here, the model  $f(\mathbf{x})$  is a deep neural network (left), and the metamodel  $g(\mathbf{x})$  is a closed-form expression  $x_1 x_2 (1 - x_2 \exp(-x_1))$  (right).

A. Alaa and M. van der Shaar, Demystifying Black-box Models with Symbolic Metamodels, NeurIPS, 2019

#### Types of explanations

- 1. Feature-based
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- 4. Surrogate models
- 5. Natural language (In this tutorial!)

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AI models that

- **learn** from natural language explanations that justify the ground-truth labels
- **generate** natural language explanations for their predictions

Natural Language Explanations = NLEs



## The Potential

- 1. Audience-friendly explanations
- 2. Better AI
- 3. Interactive XAI

Audience-friendly explanations

- NLEs have the potential to be easy to understand by humans.
  - Alufaisan et al. (2020): "any kind of AI prediction tends to improve user decision accuracy, but no conclusive iceated with evidence that explainable AI has a meaningful impact." (using feature-based explanations) Ο
  - Ο
- NLEs collected from humans would, by default, encompass the human desiderata for explanations (contextual, a small subset of arguments, social biases -- Miller, 2019). Can be adapted to the terminology and features best suited to the target audience, can form a narrative, and express uncertainty.
  - Druzdzel (1996): qualitative explanation of reasoning leads to better user satisfaction and insight. Ο

H. Kaur et al., Interpreting Interpretability: Understanding Data Scientists' Use of Interpretability Tools for Machine Learning, Proceedings of the 2020 CHI Conference on Human Factors in Computing Systems, 2020. T. Miller, Explanation in Artificial Intelligence: Insights from the Social Sciences, Elsevier, 2019.

M. Druzdzel, Qualitative Verbal Explanations in Bayesian Belief Networks, Artificial Intelligence and Simulation of Behaviour Quarterly, Special issue on Bayesian belief networks, 1996.

Better AI

- NLEs bring much more signal than a single label.
- Empirical evidence that NLEs can be a valuable signal for better model performance (Rajani et al., 2019; Atanasova et al., 2020)

Interactive XAI

• Interactive explainability could be possible with other forms of explanations, but having everything in natural language may facilitate the process



Passenger: Would you have stopped if there was no person crossing? Car: No, because there is no traffic light at this crossover. Passenger: OK, but would have slowed down? Car: Yes, I always slow down before a crossover.

## The Challenges

- 1. Faithfulness
- 2. Zero/Few-Shot Learning
- 3. Automatic Evaluation
- 4. Can we have NLEs for any task?

#### Faithfulness

- A model may learn to generate correct NLEs regardless of its inner-working for the final answer.
- Specific architectures to ensure faithfulness of the NLEs (Kumar and Talukdar, 2020).
- *Proxy* metrics for evaluating faithfulness
  - how well NLEs help an observer predict a model's output (Hase et al., 2020)
  - consistency of the NLEs (Camburu et al., 2020)

#### Zero/Few-Shot Learning

- NLEs are expensive and time-consuming to gather
  - although it can be done at the time of collecting labelled examples, and may even enhance the correctness of the datasets
- Novel zero/few-shot learning scenario
  - large amount of labelled examples but no/few NLEs
- Empirical evidence that zero/few-shot learning of NLEs is possible (Narang et al., 2020)

#### Automatic Evaluation

- Faithfulness
- Plausibility (correctness) of the generated NLEs
  - Can fairly enhance trustworthiness. Camburu et al. (2018): it is an order of magnitude more difficult for models to generate correct NLEs by relying on spurious correlations than to predict the correct labels.
  - Current automatic metrics for NLG are not reliable:
    - Camburu et al., (2018): BLEU on generated NLEs appeared better than BLEU on human-written NLEs
    - Kayser et al., (2021): comprehensive evaluation of automatic metrics vs human annotation and found little correlation. METEOR, BERTScore, and BLEURT correlate most with human scores

#### Can we have NLEs for any task?

• If we do not know the reasons behind a prediction, e.g., in knowledge discovery tasks, can we still get models to generate NLEs?

#### The Puzzle of Natural-XAI



The Puzzle of Natural-XAI



## NLP Applications

- e-SNLI: Natural Language Inference with Natural Language Explanations (Camburu et al., NeurIPS'18)
- Make Up Your Mind! Adversarial Generation of Natural Language Explanations (Camburu et al., ACL'20)
- NILE: Natural Language Inference with Faithful Natural Language Explanations (Kumar and Talukdar, ACL'20)
- Rationale-Inspired Natural Language Explanations with Commonsense (Majumder et al., 2021)

e-SNLI = SNLI (Bowman et al., 2015) + human-written natural language explanations

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SNLI: What is the relationship between the premise and the hypothesis? entailment, neutral, or contradiction

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e-SNLI {	SNLI	Label: contradiction Explanation: Holds a stick implies using hands so it is not empty-handed.
		Premise: A child in a yellow plastic safety swing is laughing as a dark-haired woman in pink and coral pants stands behind her. Hypothesis: A young mother is playing with her daughter in a swing. Label: neutral <b>Explanation: Child does not imply daughter and woman does not imply mother.</b>
		Premise: A man in an orange vest leans over a pickup truck. Hypothesis: A man is touching a truck. Label: entailment <b>Explanation: Man leans over a pickup truck implies that he is touching it.</b>

### e-SNLI

- train (~550K): 1 explanation per instance
- dev and test (~10K): 3 explanations per instance

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  - require annotators to highlight salient tokens
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Premise: An adult dressed in black <mark>holds a stick</mark>. Hypothesis: An adult is walking away, <mark>empty-handed</mark>. Label: contradiction **Explanation: Holds a stick implies using hands so it is not empty-handed**.

Premise: A child in a yellow plastic safety swing is laughing as a dark-haired woman in pink and coral pants stands behind her. Hypothesis: A young mother is playing with her daughter in a swing. Label: neutral **Explanation: Child does not imply daughter and woman does not imply mother.** Premise: A man in an orange vest leans over a pickup truck. Hypothesis: A man is touching a truck.

Label: entailment

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  - in-browser checks
    - at least 3 tokens
    - not a copy of premise or hypothesis
    - highlighted at least one token
    - used at least half of highlighted tokens in the explanation
  - re-annotated trivial explanations such as
    *<premise> implies <hypothesis>*
  - manual annotation of 1000 samples showed
    ~9.6% of incorrect explanations

Premise: An adult dressed in black holds a stick. Hypothesis: An adult is walking away, empty-handed. Label: contradiction Explanation: Holds a stick implies using hands so it is not empty-handed.

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Premise: A man in an orange vest leans over a pickup truck. Hypothesis: A man is touching a truck. Label: entailment

Explanation: Man leans over a pickup truck implies that he is touching it.

#### Publicly available:

https://github.com/OanaMariaCamburu/e-SNLI

### Experiments

- I. Premise agnostic
- II. Full model
  - A. Predict then Explain
  - B. Explain then Predict
    - 1. Seq2Seq
    - 2. Attention
- III. Out-of-domain transfer

#### Premise agnostic

Gururangan et al. (2018): Hypothesis  $\rightarrow$  Label : 67% accuracy due to artifacts in SNLI

- correlations between tokens in hypotheses and labels:
  - $\circ$  "tall", "sad"  $\rightarrow$  neutral, "animal", "outside"  $\rightarrow$  entailment, "sleeping", negations  $\rightarrow$  contradiction
- sentence length

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#### Our experiment

Hypothesis  $\rightarrow$  Label : 66% correct\*

Hypothesis  $\rightarrow$  Explanation : 6% correct\*\*

\*in the first 100 instances in the test set \*\*manual annotation over the first 100 instances in the test set

S. Gururangan et al., Annotation Artifacts in Natural Language Inference Data, NAACL, 2019.

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#### Our experiment

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Hypothesis  $\rightarrow$  Explanation : 6% correct\*\*

10x more difficult to rely on spurious correlation to generate correct explanations than to produce correct labels

\*in the first 100 instances in the test set \*\*manual annotation over the first 100 instances in the test set

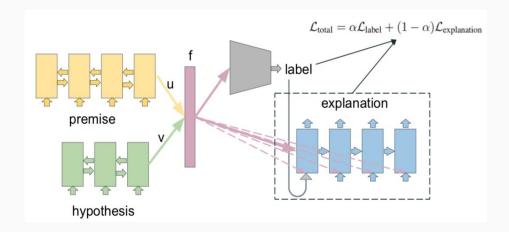
S. Gururangan et al., Annotation Artifacts in Natural Language Inference Data, NAACL, 2019.

### e-SNLI: Natural Language Inference with Natural Language Explanations (Camburu et al., NeurIPS'18)

#### Predict then Explain (BiLSTM-Max-PredExpl)

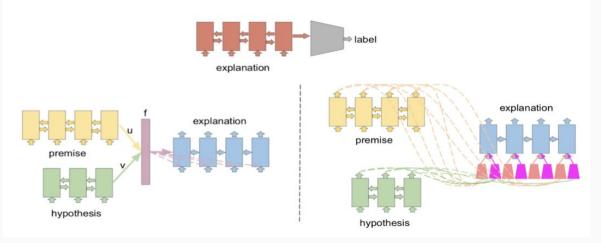
Generate the explanation conditioned on the predicted label

 $f = [u, v, |u - v|, u \otimes v]$ 



#### Explain then Predict (BiLSTM-Max-ExplPred)

- (premise, hypothesis)  $\rightarrow$  explanation
  - Seq2Seq (BiLSTM-Max-ExpPred-Seq2Seq)
  - Seq2Seq-Attention (BiLSTM-Max-ExplPred-Att)
- explanation  $\rightarrow$  label (test accuracy 96.83%)



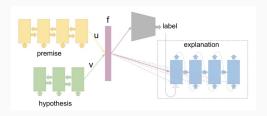
### e-SNLI: Natural Language Inference with Natural Language Explanations (Camburu et al., NeurIPS'18)

Model	Label Accuracy	Perplexity	BLEU	Expl@100
BILSTM-MAX	<b>84.01</b> (0.25)	-	-	-
BILSTM-MAX-PREDEXPL	83.96 (0.26)	10.58(0.40)	22.40(0.70)	34.68
BILSTM-MAX-EXPLPRED-SEQ2S	SEQ $81.59(0.45)$	8.95(0.03)	24.14(0.58)	49.8
BILSTM-MAX-EXPLPRED-ATT	$81.71 \ (0.36)$	<b>6.1</b> (0.00)	<b>27.58</b> (0.47)	64.27
	a hoods standing in the middle S: Three hood wearing people GOLD LABEL: entailment	pose for a picture.	acing the camera.	
EXPLANATION: Just because the men are in the	b) PREDICTED LABEL: entailment XPLANATION: three young men re people. [0.33] a q		(c) PREDICTED LABEL: neutral EXPLANATION: Just because three young man in camouflage standing in the middle of a quiet street facing the camera does not mean they pose for a picture. [0]	
	E: Three firefighter come out c firefighters putting out a fire i GOLD LABEL: neutral		station.	
a) PREDICTED LABEL: contradiction EXPLANATION: The firefighters can not be putting out a fire station and putting out a fire at the same time [0]	b) PREDICTED LABEL: neutra EXPLANATION: The fact that ti remen are putting out of a sub tation doesn't imply that they re putting out a fire. [0]	three (c) PREDICTED LABEL: neutral EXPLANATION: The firefighters may not be putting out a fire		
(3) Premise: A blond-haired doctor H	and her African American ass YPOTHESIS: A man is eating p GOLD LABEL: contradiction	b and j.	w new medical manua	uls.
a) PREDICTED LABEL: contradiction	b) PREDICTED LABEL: contract EXPLANATION: One can not be and eating simultaneously. [0]	looking Explai	EDICTED LABEL: contr NATION: A person can dical and a book at th	not be looking

Inter-annotator BLEU: 22.51

#### Out-of-domain transfer

- SICK-E (Marelli et al., 2014)
- MultiNLI (Williams et al., 2018)



Model	SICK-E acc/expl@100	MultiNLI acc/expl@100
BILSTM-MAX	53.27 (1.65) / -	57 (0.41) / -
BILSTM-MAX-AUTOENC	52.9 (1.77) / -	55.38 (0.9) / -
BILSTM-MAX-PREDEXPL	<b>53.54</b> (1.43) / 30.64	<b>57.16</b> (0.51) / 1.92

A. Williams et al., A Broad-Coverage Challenge Corpus for Sentence Understanding through Inference, NAACL, 2018. M. Marelli et al., A SICK cure for the evaluation of compositional distributional semantic models, LREC, 2014. Are natural language self-generated explanations faithfully describing the decision-making processes of the model?

Are natural language self-generated explanations faithfully describing the decision-making processes of the model?

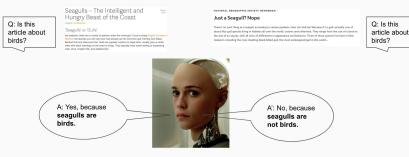
As a **proxy** to answer this question, we can look at whether models generate inconsistent explanations.

**Definition**: Two explanations are **inconsistent** if they provide logically contradictory arguments.

### Examples of inconsistent explanations

#### Self-Driving Cars Q: Why are you stopping? A: I stopped because there is a person crossing. A: I stopped because there is no one crossing.

#### **Question Answering**



Visual Question Answering

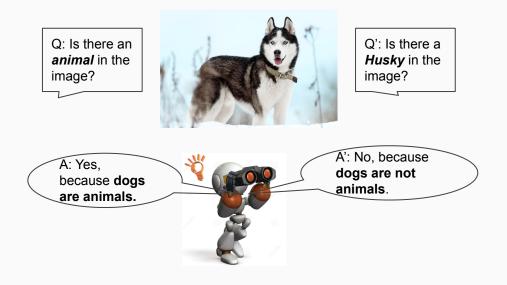


#### **Recommender Systems**



A model providing **inconsistent explanations** can have either of the **two undesired behaviours**:

- a) at least one of the explanations is not faithfully describing the decision-making process of the model
- b) the model relied on a faulty decision-making process for at least one of the instances.



If both explanations in A and A' are faithful to the decision-making process of the model (i.e., if a) does not hold), then for the second instance (A') the model relied on the faulty decision-making process that dogs are not animals.

Goal: Checking if models are robust against generating inconsistent natural language explanations.

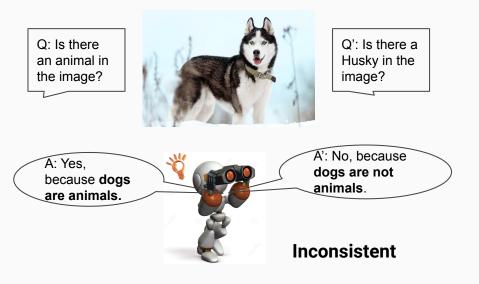
**Setup:** Model m provides a prediction and a natural language explanation,  $e_m(x)$ , for its prediction on the instance x. Find an instance x' such that  $e_m(x)$  and  $e_m(x')$  are inconsistent.

### High-level Approach

- (A) For an instance x and the explanations  $e_m(x)$ , create a list of explanations that are inconsistent with  $e_m(x)$ .
- (B) For an inconsistent explanation  $i_e$  created at step (A) find an input x' such that  $e_m(x') = i_e$ .

#### Context-free vs. Context-dependent Inconsistencies

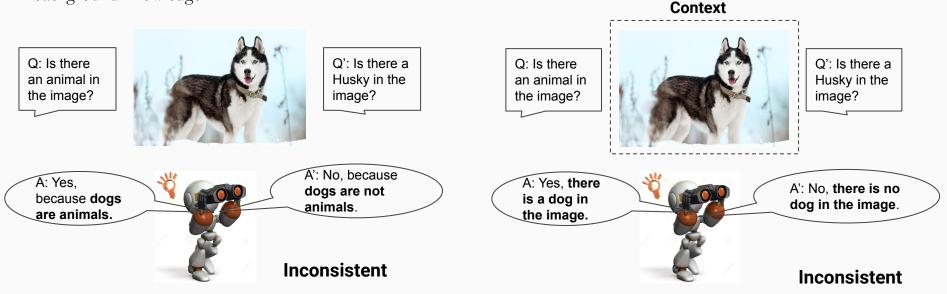
**Context-free:** inconsistency no matter what input, e.g., explanations formed by pure background knowledge.



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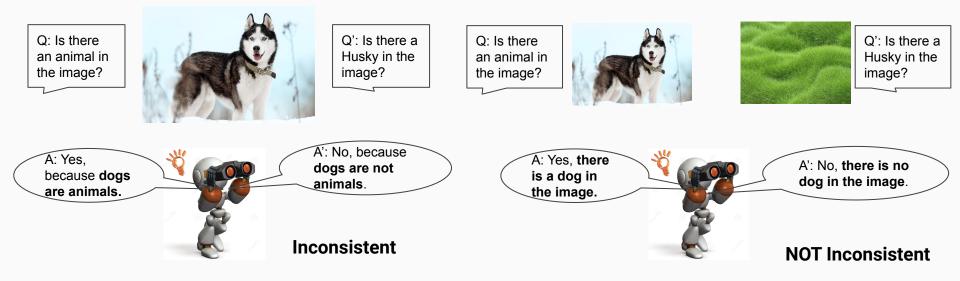
**Context-dependent:** inconsistency depends on parts of the input.



#### Context-free vs. Context-dependent Inconsistencies

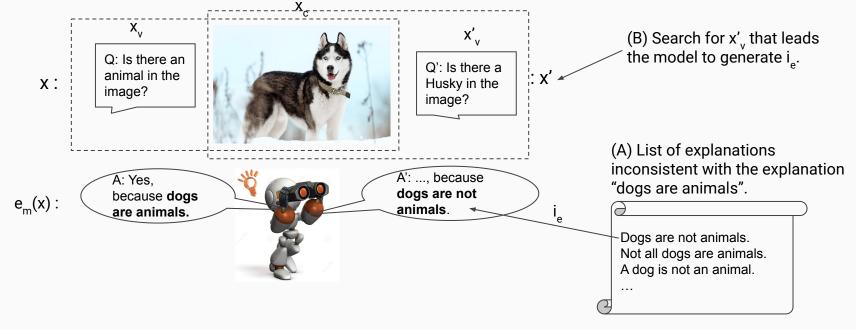
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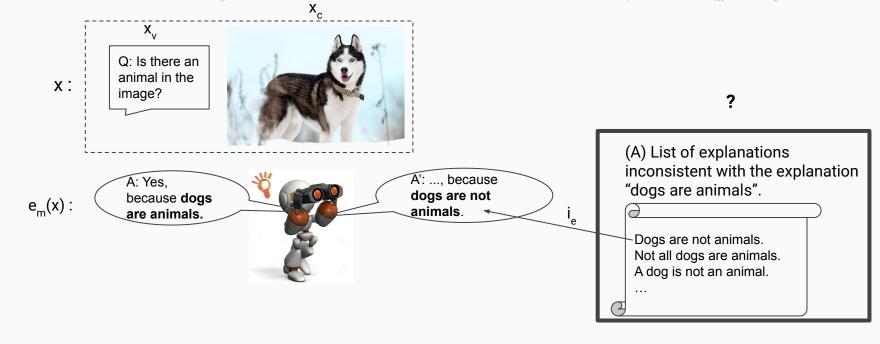
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- (A) For an instance x and the explanation  $e_m(x)$ , create a list of statements that are inconsistent with  $e_m(x)$ .
- (B) For an inconsistent statement  $i_e$  created at step (A), find the variable part  $x'_v$  of an input x' such that  $e_m(x') = i_e$ .



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#### High-level Approach

(A) For an instance x and the explanation  $e_m(x)$ , create a list of statements that are inconsistent with  $e_m(x)$ .

For a given task, one may define a set of logical rules to transform an explanation into an inconsistent counterpart:

- 1. **Negation**: "A dog is an animal."  $\iff$  "A dog is <u>not</u> an animal."
- 2. Task-specific antonyms: "The car continues because it is <u>green light</u>." ( The car continues because it is <u>red light</u>."
- 3. Swap explanations of mutually exclusive labels:

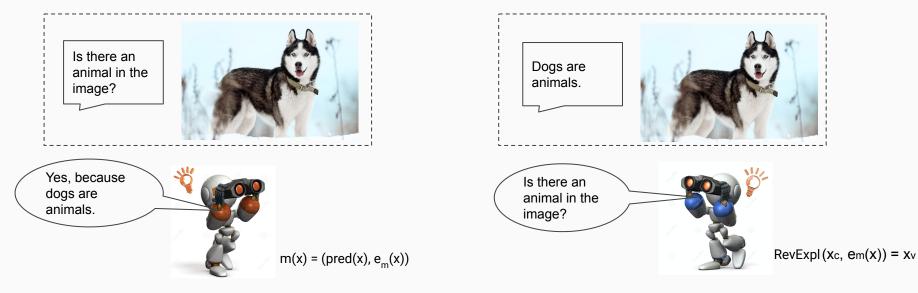
Recommender(movie X, user U) = **No** because "*X* is a <u>borror</u>."  $\iff$  Recommender(movie Z, user U) = **No** because "*Z* is a <u>comedy</u>." Recommender(movie Y, user U) = **Yes** because "*Z* is a <u>comedy</u>."  $\iff$  Recommender(movie K, user U) = **Yes** because "*K* is a <u>borror</u>."

### High-level Approach

- (A) For an instance x and the explanation  $e_m(x)$ , create a list of statements that are inconsistent with  $e_m(x)$ .
- (B) For an inconsistent statement  $i_e$  created at step (A), find the variable part of an input  $x'_v$  such that  $e_m(x') = i_e$ .

### High-level Approach

- (A) For an instance x and the explanation  $e_m(x)$ , create a list of statements that are inconsistent with  $e_m(x)$ .
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### Approach

- I. Train RevExpl( $x_c, e_m(x)$ ) =  $x_v$
- II. For each explanation  $e = e_m(x)$ :
  - a) Create a list of statements that are inconsistent with e, call it I<sub>e</sub>
    - by using logic rules: negation, task-specific antonyms, and swapping between explanations for mutually exclusive labels
  - b) For each e' in  $I_e$ , query RevExpl to get the variable part of a reverse input:  $x'_v = \text{RevExpl}(x_c, e')$
  - c) Query m on the reverse input  $x' = (x_c, x_v')$  and get the reverse explanation  $e_m(x')$
  - d) Check if  $e_m(x)$  is inconsistent with  $e_m(x)$ 
    - by checking if  $e_m(x')$  is in  $I_e$

### High-level Approach

- (A) For an instance x and the explanation  $e_m(x)$ , create a list of statements that are inconsistent with  $e_m(x)$ .
- (B) For an inconsistent statement  $i_e$  created at step (A), find an input x' such that  $e_m(x') = i_e$ .

### Novel Adversarial Setup

- 1) No predefined adversarial targets (label attacks do not have this issue).
- 2) At step (B), the model has to generate a *full target sequence*: the goal is to generate the exact explanation that was identified at step (A) as inconsistent with the explanation  $e_m(x)$ . Current attacks focus on the presence/absence of a very small number of tokens in the target sequence (Cheng et al., 2020, Zhao et al., 2018).
- 3) Adversarial inputs x' do not have to be a paraphrase or a small perturbation of the original input (can happen as a byproduct). Current works focus on adversaries being paraphrases or a minor deviation from the original input (Belinkov and Bisk, 2018).

#### e-SNLI

- x = (premise, hypothesis). We revert only the hypothesis.
  - x<sub>c</sub> x<sub>v</sub>

To create the list of inconsistent explanations for any generated explanation, we use:

- negation: if the explanation contains "not" or "n't" we delete it
- swapping explanations (the 3 labels are mutually exclusive) by identifying templates for each label:

#### Entailment

- X is a type of Y
- X implies Y

. . .

- X is the same as Y
- X is a rephrasing of Y
- X is synonymous with Y

#### Neutral

- not all X are Y
- not every X is Y
- just because X does not mean Y
- X is not necessarily Y
- X does not imply Y

. . .

#### Contradiction

- cannot be X and Y at the same time
- X is not Y

. . .

- X is the opposite of Y
- it is either X or Y

If  $e_m(x)$  does not contain a negation or does not fit in any template, we discard it (2.6% of e-SNLI test set were discarded).

If  $e_m(x)$  corresponds to a template from a label, then create the list of inconsistent statements  $I_e$  by replacing the associated X and Y in the templates of the other two labels.

*Example*:  $e_m(x) = "Dog is a type of animal." matches the entailment template "X is a type of Y" with X = "dog" and Y = "animal". Replace X and Y in all the neutral and contradiction templates, we obtain the list of inconsistencies:$ 

#### Neutral

- not all dog are animal
- not every dog is animal
- *just because dog does not mean animal*
- dog is not necessarily animal
- dog does not imply animal

. . .

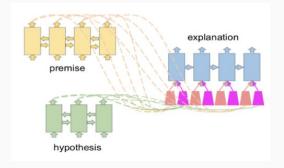
#### Contradiction

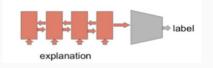
- cannot be dog and animal at the same time
- dog is not animal
- dog is the opposite of animal
- *it is either dog or animal*

• • •

#### BiLSTM-Max-ExplPred-Att model

• 64.27% correct explanations



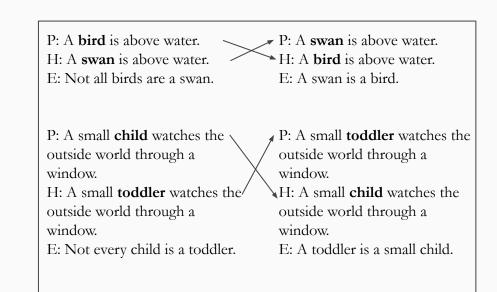


- RevExpl(premise, explanation) = hypothesis
  - same architecture as ExplainThenPredict-Att
  - 32.78% test accuracy (exact string match for the generated hypothesis)
- Manual annotation of 100 random reverse hypothesis gives 82% to be realistic
  - majority of unrealistic are due to repetition of a token
- Success rate of our adversarial method for finding inconsistencies ~4.51% on the e-SNLI test set
  - ~443 distinct pairs of inconsistent explanations

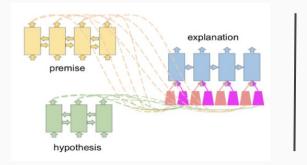
PREMISE: A guy in a red jac	ket is snowboarding in midair.
ORIGINAL HYPOTHESIS: A guy is outside in the snow.	REVERSE HYPOTHESIS: The guy is outside.
PREDICTED LABEL: entailment	PREDICTED LABEL: contradiction
ORIGINAL EXPLANATION: Snowboarding is done outside.	REVERSE EXPLANATION: Snowboarding is not done outside.
PREMISE: A man talks to tw ORIGINAL HYPOTHESIS: The prisoner is talking to two guards in the prison cafeteria. PREDICTED LABEL: neutral ORIGINAL EXPLANATION: The man is not necessarily a prisoner.	vo guards as he holds a drink. REVERSE HYPOTHESIS: A prisoner talks to two guards. PREDICTED LABEL: entailment REVERSE EXPLANATION: <b>A man is a prisoner.</b>
PREMISE: Two women and a man are sitti	ing down eating and drinking various items.
ORIGINAL HYPOTHESIS: Three women are shopping at the mall.	REVERSE HYPOTHESIS: Three women are sitting down eating.
PREDICTED LABEL: contradiction	PREDICTED LABEL: neutral
ORIGINAL EXPLANATION: There are either two women and	REVERSE EXPLANATION: <b>Two women and a man are three</b>
a man or three women.	women.
PREMISE: Biker ridi:	ng through the forest.
ORIGINAL HYPOTHESIS: Man riding motorcycle on highway.	REVERSE HYPOTHESIS: A man rides his bike through the forest.
PREDICTED LABEL: contradiction	PREDICTED LABEL: entailment
ORIGINAL EXPLANATION: <b>Biker and man are different.</b>	REVERSE EXPLANATION: A biker is a man.
PREMISE: A hockey	y player in helmet.
ORIGINAL HYPOTHESIS: They are playing hockey	REVERSE HYPOTHESIS: A man is playing hockey.
PREDICTED LABEL: entailment	PREDICTED LABEL: neutral
ORIGINAL EXPLANATION: A hockey player in helmet is	REVERSE EXPLANATION: A hockey player in helmet doesn't
playing hockey.	imply playing hockey.
PREMISE: A blond woman speaks with a group of your	ng dark-haired female students carrying pieces of paper.
ORIGINAL HYPOTHESIS: A blond speaks with a group of young	REVERSE HYPOTHESIS:The students are all female.
dark-haired woman students carrying pieces of paper.	PREDICTED LABEL: neutral
PREDICTED LABEL: entailment	REVERSE EXPLANATION: The woman is not necessarily
ORIGINAL EXPLANATION: A woman is a female.	female.
PREMISE: The sun breaks through ORIGINAL HYPOTHESIS: A child rides a swing in the daytime. PREDICTED LABEL: entailment ORIGINAL EXPLANATION: <b>The sun is in the daytime</b> .	h the trees as a child rides a swing. REVERSE HYPOTHESIS: The sun is in the daytime. PREDICTED LABEL: neutral REVERSE EXPLANATION: The sun is not necessarily in the daytime.
PREMISE: A family of	valking with a soldier.
ORIGINAL HYPOTHESIS: A group of people strolling.	REVERSE HYPOTHESIS: A group of people walking down a street.
PREDICTED LABEL: entailment	PREDICTED LABEL: contradiction
ORIGINAL EXPLANATION: A family is a group of people.	REVERSE EXPLANATION: A family is not a group of people.

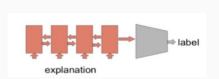
Manual scanning had no success

- first 50 instances of test
- explanations including *woman*, *prisoner*, *snowboarding*
- manually created adversarial inputs (Carmona et al., 2018)
  - robust explanations



Can we build systems for which we can probe the faithfulness of the generated NLEs?

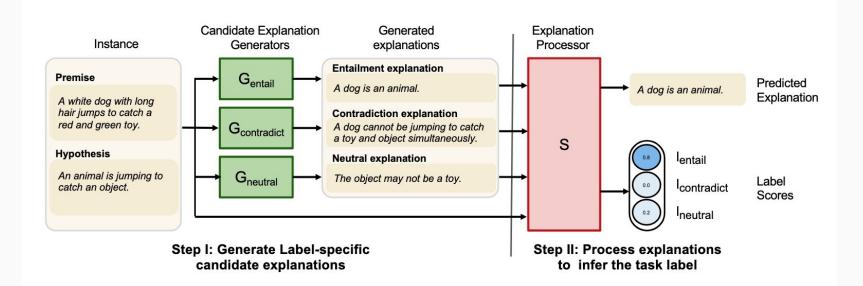




- The form of the explanation is enough to get predict the label, likely undermining faithfulness.
- How can we probe faithfulness?

Can we build systems for which we can probe the faithfulness of the generated NLEs?

Can we build systems for which we can probe the faithfulness of the generated NLEs?



- Measuring faithfulness by perturbing the input to the explanation processor
  - comprehensiveness (what happens when we remove the explanation from the input)
  - sufficiency (what happens if we keep only the explanations)
  - shuffling (explanation is replaced by a randomly selected explanation of the same label)
- NILE-NS: negative explanations for an instance, of the same form as the correct label

Model		I+	Ι	Exp
		Exp	only	only
NILE-NS	Independent	91.6	33.8	69.4
	Aggregate	91.6	33.8	74.5
	Append	91.7	91.2	72.9
NILE -	Independent	91.3	33.8	46.1
	Aggregate	91.2	33.8	40.7

Table 3: Estimating the sensitivity of the system's predictions to input explanations through erasure.

Model		Dev Set	Shuffled
		Dev Set	Dev Set
NILE-NS	Independent	91.6	88.1
	Aggregate	91.6	89.6
	Append	91.7	88.5
NILE	Independent	91.3	35.3
	Aggregate	91.2	31.6

Table 4: Probing the sensitivity of the system's predic-tions by shuffling instance-explanation pairs.

# Rationale-Inspired Natural Language Explanations with Commonsense (Majumder et al., 2021)

How can we tackle the lack of commonsense knowledge in current AIs generating NLEs?

# Rationale-Inspired Natural Language Explanations with Commonsense (Majumder et al., 2021)

How can we tackle the lack of commonsense knowledge in current AIs generating NLEs?

PREMISE: The sun breaks through the trees as a child rides a swing.

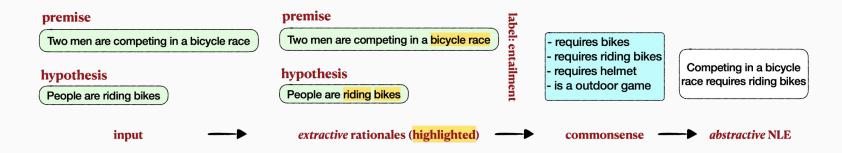
ORIGINAL HYPOTHESIS: A child rides a swing in the daytime. PREDICTED LABEL: entailment ORIGINAL EXPLANATION: The sun is in the daytime. REVERSE HYPOTHESIS: The sun is in the daytime. PREDICTED LABEL: neutral REVERSE EXPLANATION: The sun is not necessarily in the daytime.

Camburu et al., 2020



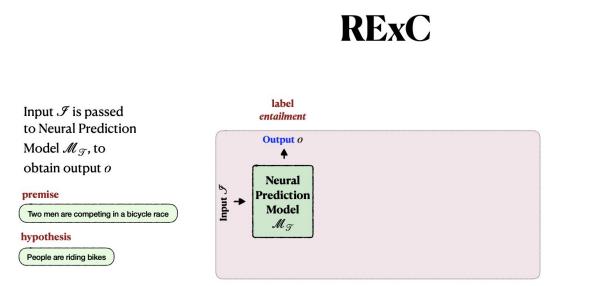


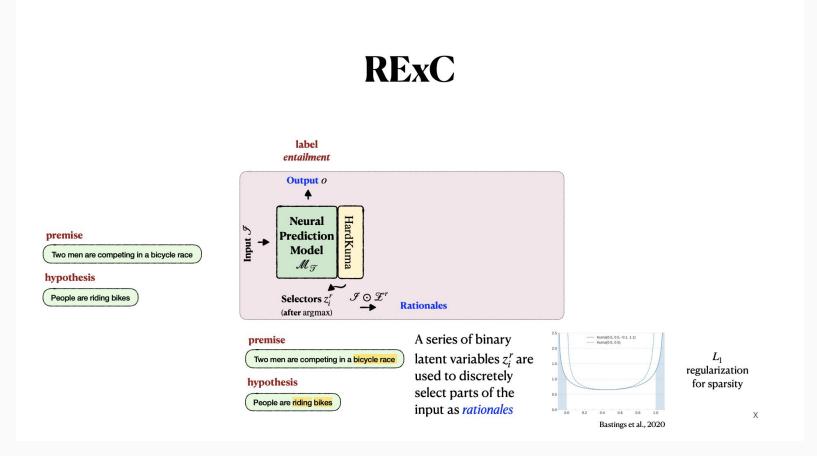
How can we tackle the lack of commonsense knowledge in current AIs generating NLEs?

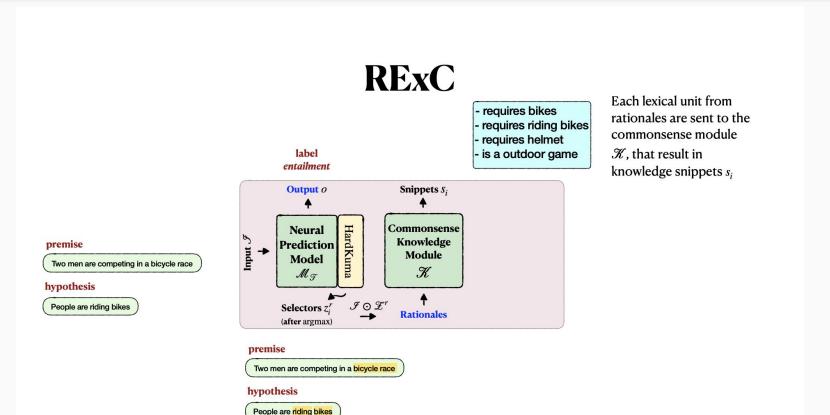


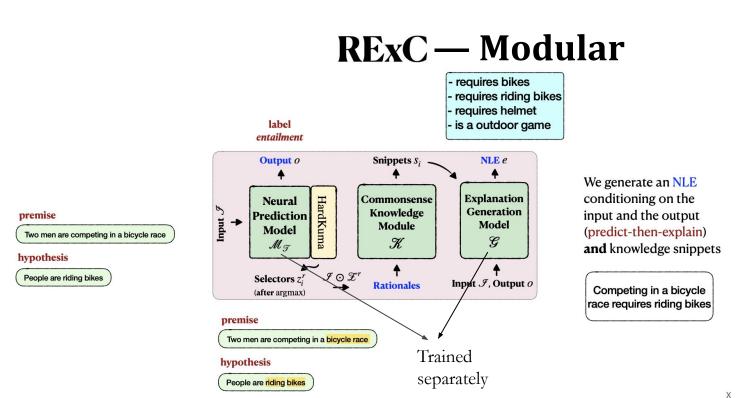
Rationale-Inspired Natural Language Explanations with Commonsense

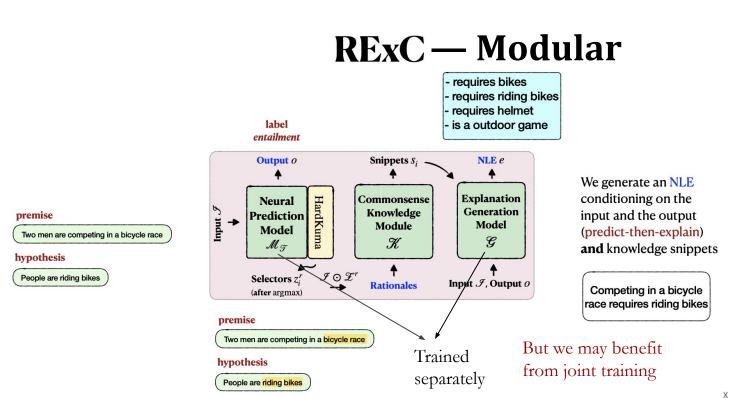
REXC

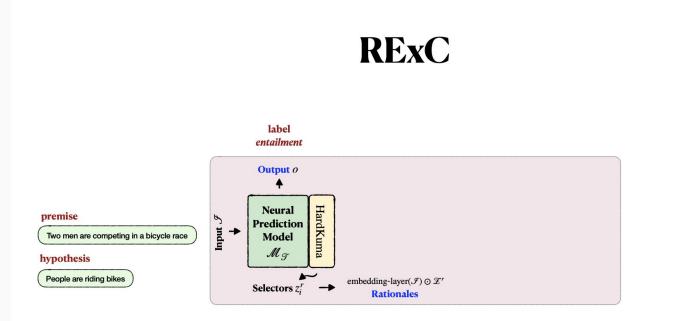




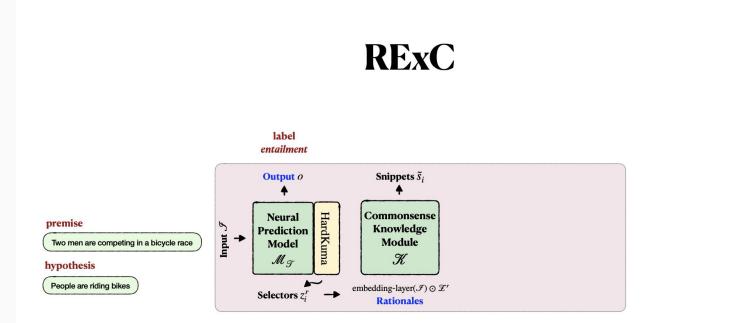




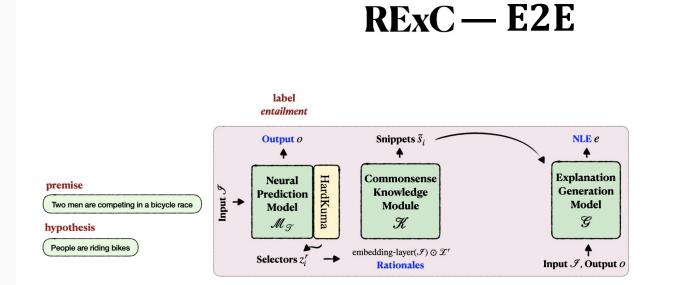




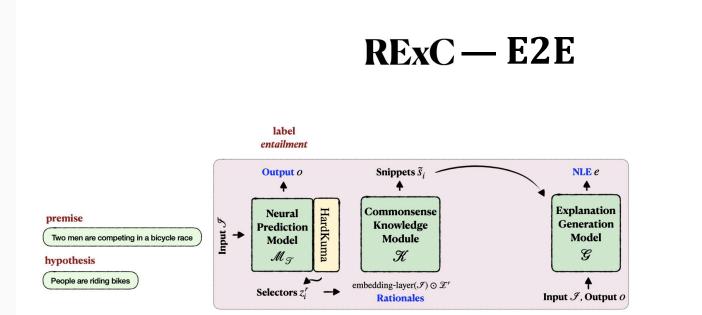
The series of binary latent variables  $z_i^r$  are used as masks on the embedded input



... and directly sent to a generative commonsense module  $\mathcal{K}$ , mirroring the modular approach

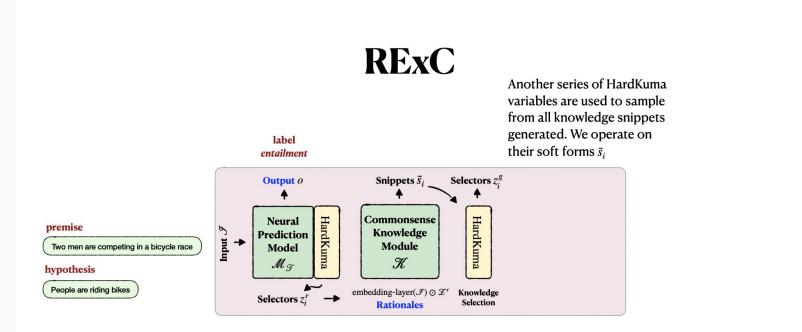


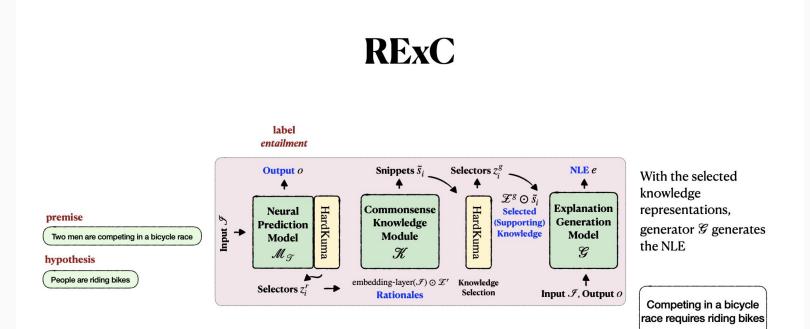
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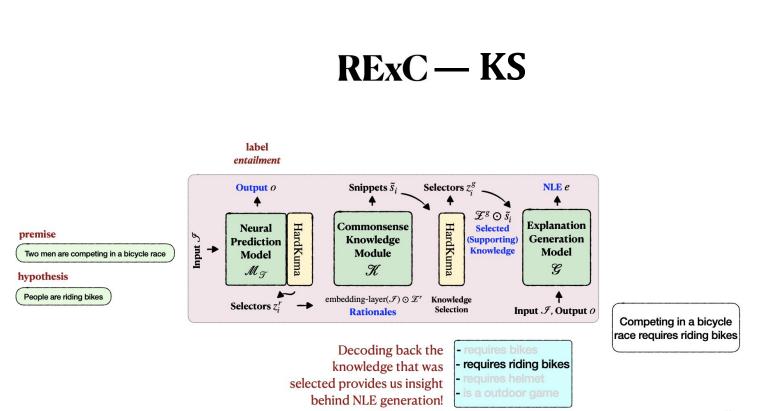


... and directly sent to a generative commonsense module  $\mathcal{K}$ , mirroring the modular approach

But we may benefit from doing a selection of the snippets





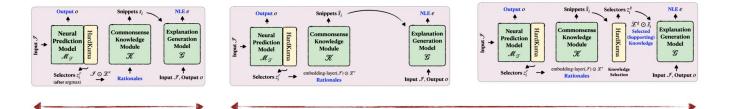


# Variants of RExC

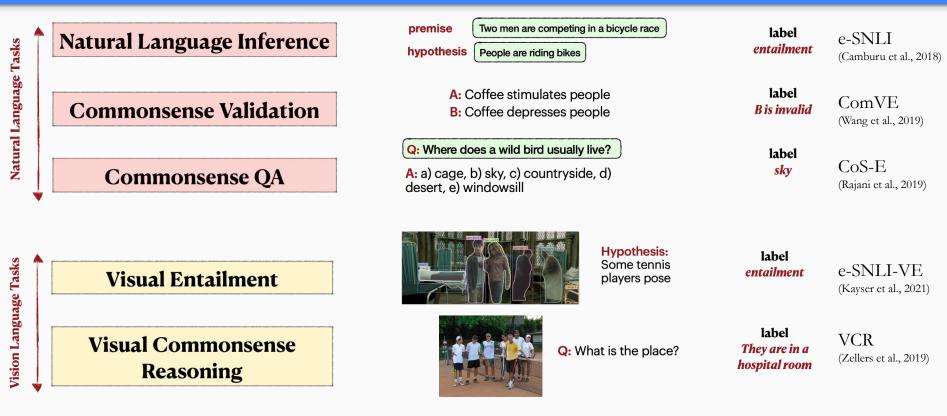








Modular, **separate** training for rationales and NLEs End-to-end, **joint** training for rationales and NLEs



C. Wang et al., Does it make sense? And why? A pilot study for sense making and explanation. ACL, 2019.

N. Rajani et al., Explain Yourself! Leveraging Language Models for Commonsense Reasoning, ACL, 2019.

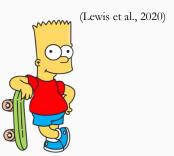
M. Kayser et al., e-ViL: A Dataset and Benchmark for Natural Language Explanations in Vision-Language Tasks, 2021.

R. Zellers et al., From recognition to cognition: Visual commonsense reasoning. CVPR, 2019.

## NLP Tasks



**BART**: a Seq2Seq pretrained transformer with a MLP prediction head





**COMET**: Commonsense Transformer trained on ConceptNet

(Bosselut et al., 2019)



# ${\mathcal G}$

### **BART**: a Seq2Seq pretrained transformer with a Language Model head

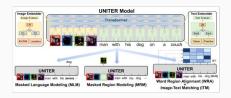


Vision-Language Tasks



## UNITER: a Seq2Seq pretrained transformer for text and images with a MLP prediction head

(Chen et al., 2020)



# $\mathscr{K}$

### Visual-COMET:

Commonsense Transformer trained on Visual Commonsense Graph (Park et al., 2020)



# ${\mathcal G}$

## **GPT2**: a pretrained transformer-based Language Model

(Radford et al., 2020)

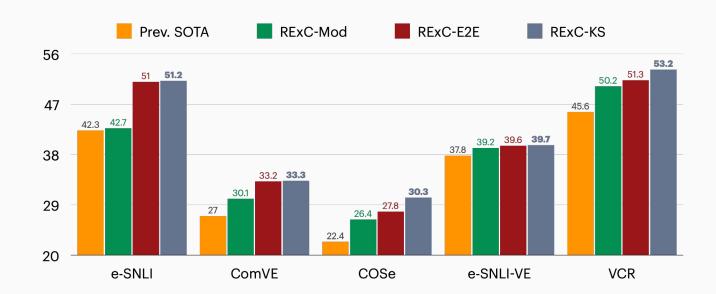


Y. Chen et al., UNITER: Universal image-text representation learning, ECCV, 2020.

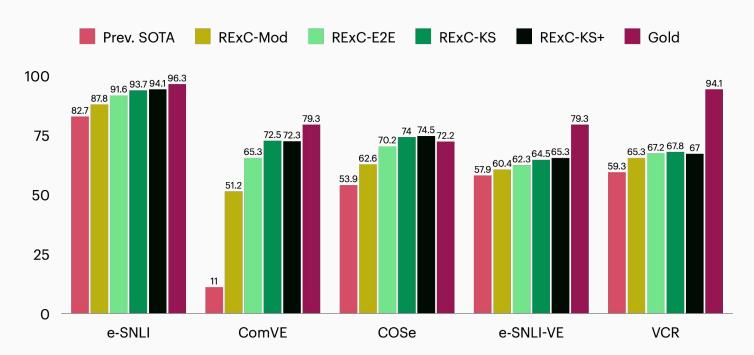
J. Park et al., VisualCOMET: Reasoning about the dynamic context of a still image. ECCV, 2020.

A. Radford et al., Language Models are Unsupervised Multitask Learners, 2019.

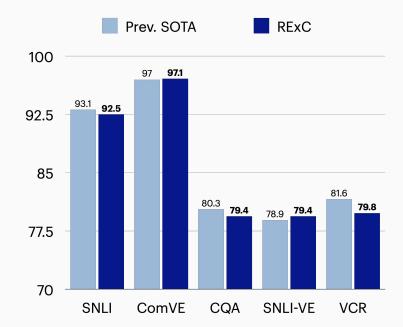
BLEURT (Sellam et al., 2020)



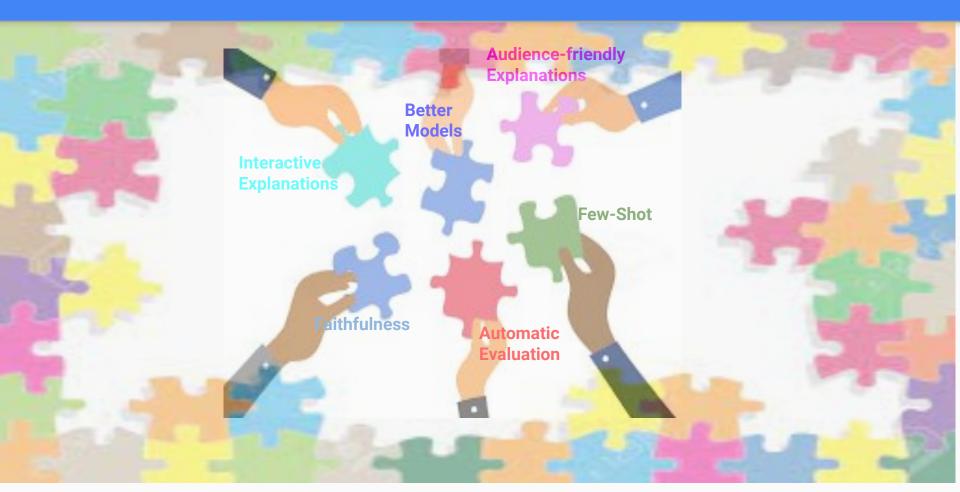
Human evaluation



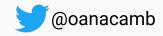
Task performance



# Summary Part 1



# Thank you!



# Questions?





Explainable Machine Learning

### Natural-XAI: ICML Tutorial – Part 2

### Prof. Dr. Zeynep Akata University of Tübingen, Cluster of Excellence Machine Learning Explainable Machine Learning (EML) Group

19 July 2021



Explanation and Learning are Related

Generating Natural Language Explanations for Visual Decisions

Modeling Conceptual Understanding of the User

Summary and Future Work



#### Explanation and Learning are Related

Generating Natural Language Explanations for Visual Decisions

Modeling Conceptual Understanding of the User

Summary and Future Work

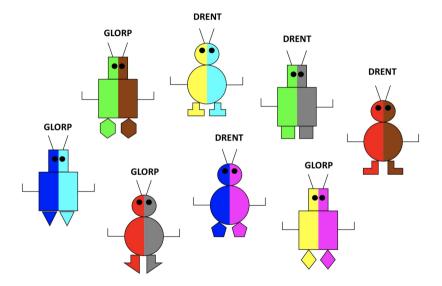
The Ultimate Goal of Learning

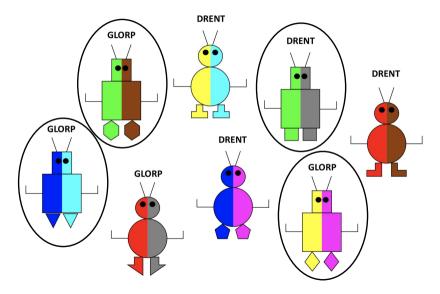
# the supreme goal of all theory is to make the irreducible basic elements as simple and as few as possible

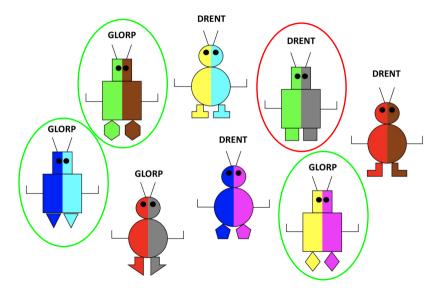
## The Ultimate Goal of Learning

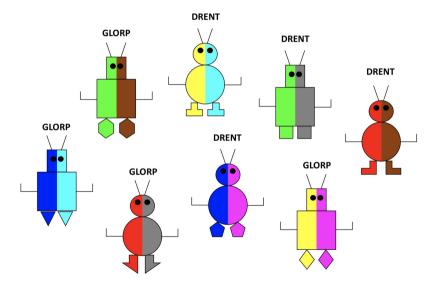
# the supreme goal of all theory is to make the irreducible basic elements as simple and as few as possible without having to surrender the adequate representation of a single datum of experience

Albert Einstein, 1934

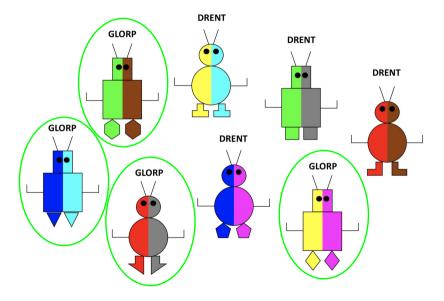








#### Tania Lombrozo TICS'16



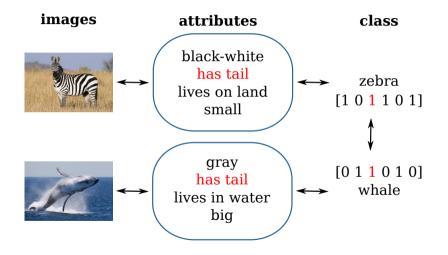
5

- Broad: they justify a broader range of observations or phenomena
- Simple: they provide a concise description for the communication partner
- Contrastive: they differentiate two alternative decisions
- Helpful for another task: they entail transferable information

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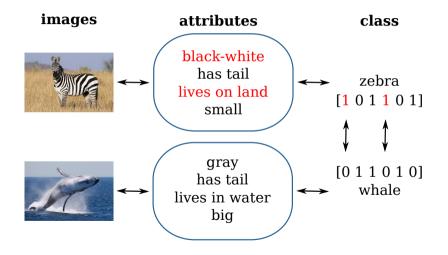
### Attributes as Explanations

Lampert et al. CVPR'09



### Attributes as Explanations

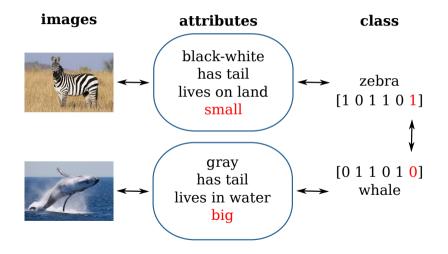
Lampert et al. CVPR'09



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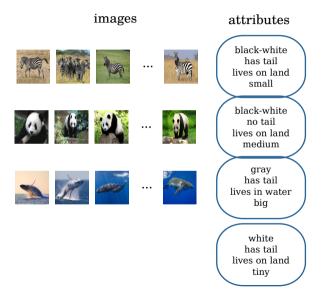
#### Attributes as Explanations

Lampert et al. CVPR'09



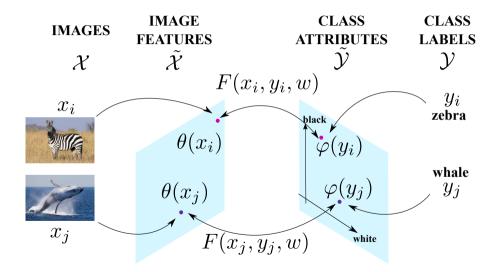
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#### Generalized Zero-Shot Learning

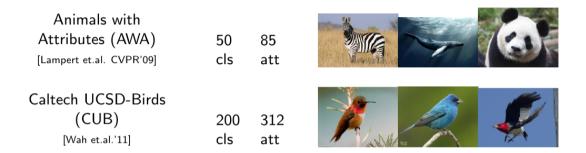


Muldimodal Embeddings

Akata et al. CVPR'13 & TPAMI'16



#### Benchmark Example Datasets for Zero-Shot Learning



Zero-Shot Learning: A Comprehensive Evaluation of the Good, the Bad, the Ugly; Xian, Lampert, Schiele, Akata at IEEE TPAMI 2019

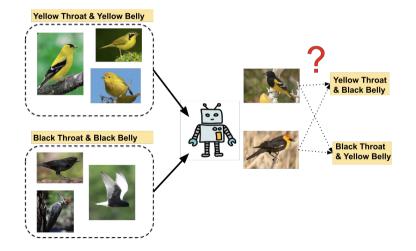
# Attribute Explanations in Zero Shot Learning

	AWA	CUB
class labels	0	0
attributes	66.7	50.1

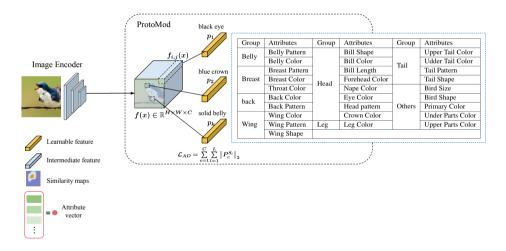
$$\mathsf{Top-1} \; \mathsf{accurracy} = \frac{1}{\|\mathcal{Y}^u\|} \sum_{c=1}^{\|\mathcal{Y}^u\|} \frac{\# \; \mathsf{correct} \; \mathsf{in} \; \mathsf{c}}{\# \; \mathsf{samples} \; \mathsf{in} \; \mathsf{c}}$$

## Attributes of Fine-Grained Objects Can Be Confusing

Incidental correlations between attributes as they often co-occur

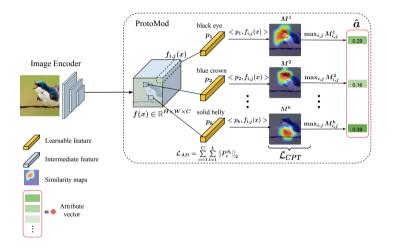


#### Attribute Prototype Network



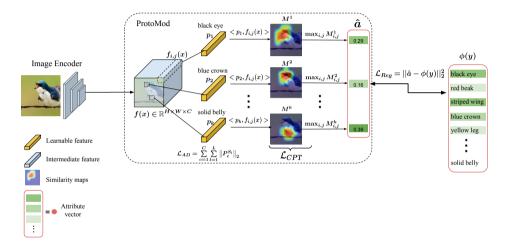
Attribute Prototype Network for Zero-Shot Learning; Xu, Xian, Wang, Schiele, Akata at NeurIPS 2020

#### Attribute Prototype Network



Attribute Prototype Network for Zero-Shot Learning; Xu, Xian, Wang, Schiele, Akata at NeurIPS 2020

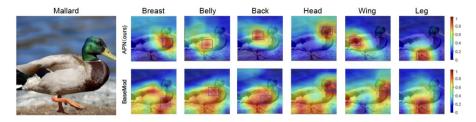
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Attribute Prototype Network for Zero-Shot Learning; Xu, Xian, Wang, Schiele, Akata at NeurIPS 2020

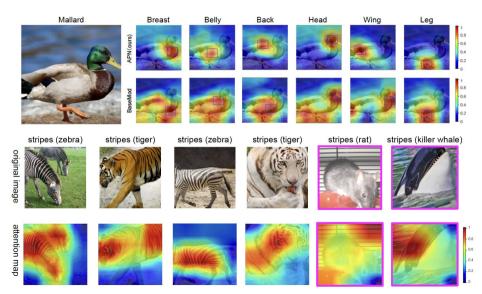
### Visualizing Attribute Prototypes

#### Xu et al. NeurIPS 2020



#### Visualizing Attribute Prototypes

#### Xu et al. NeurIPS 2020



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## Wikipedia and WordNet as Explanations

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 Article
 Taik
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 View history
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 Zebra
 From Wikipedia, the fee encyclopedia
 From Wikipedia, the fee encyclopedia
 For other uses, see Zebra (disambiguation).

 Zebras (/zcbra/ zee-ro of /zbra/ zee-ro of

are several species of African equids (horse family) united by their distinctive black and white striped coats. Their stripes come in different patterns, upique to each individual They are generally social animals that live in small harems to large herds. Unlike their closest relatives, horses and donkeys, zebras have never been truly domesticated. There are three species of zebras; the plains zebra, the Grévy's zebra and the mountain zebra. The plains zebra and the mountain zebra belong to the subgenus Hippotigris, but Grévy's zebra is the sole species of subgenus Dolichohippus. The latter resembles an ass. to which it is closely related, while the former two are more horse-like. All three belong to the genus Equus, along with other living equids



A herd of plains zebra (Equus quagga)

Word2Vec [Mikolov et.al. NIPS'13] GloVe [Pennington et.al EMNLP'14] 1 2 3 4 5 6

2 = [1 0 2 3 3 3]

Hierarchical similarity measures

### Wikipedia, WordNet Explanations in Zero Shot Learning

	AWA	CUB
w2v	51.2	28.4
glo	58.8	24.2
hie	51.2	20.6
w2v + glo + hie	60.1	29.9

### Wikipedia, WordNet Explanations in Zero Shot Learning

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att	66.7	50.1
w2v + glo + hie + att	73.9	51.7

### Natural Language as a Proxy for Explanations



The bird has a white underbelly, black feathers in the wings, a large wingspan, and a white beak.



This flower has a central white blossom surrounded by large pointed red petals which are veined and leaflike.





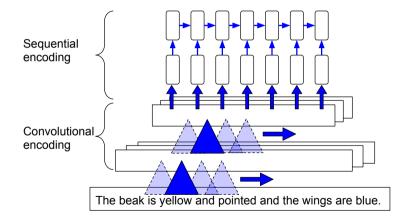
This bird has distinctive-looking brown and white stripes all over its body, and its brown tail sticks up.

Light purple petals with orange and black middle green leaves

Learning Deep Representations of Fine-Grained Visual Descriptions; Reed, Akata, Schiele, Lee at IEEE CVPR 2016

#### Deep Representations of Text

#### Reed et.al. CVPR'16



#### Text-Based Explanations in Zero-Shot Learning

	AWA	CUB
w2v + glo + hie	60.1	29.9
att	66.7	50.1
w2v + glo + hie + att	73.9	51.7

#### Text-Based Explanations in Zero-Shot Learning

	AWA	CUB
w2v + glo + hie	60.1	29.9
att	66.7	50.1
w2v + glo + hie + att	73.9	51.7
text	N/A	56.8

### Conclusions for: Explanations and Learning are Related

Attribute-based and Natural Language Explanations

- 1. Provide an intuitive interface for the model
- 2. Provide side information to learn strong and generalizable representations
- 3. Complement visual information in limited data regimes



Explanation and Learning are Related

#### Generating Natural Language Explanations for Visual Decisions

Modeling Conceptual Understanding of the User

Summary and Future Work

### Natural Language for Fine-Grained Explanations



The bird has a white underbelly, black feathers in the wings, a large wingspan, and a white beak.



distinctive-looking brown and white stripes all over its body, and its brown tail sticks up.

This bird has



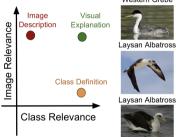
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Light purple petals with orange and black middle green leaves

Learning Deep Representations of Fine-Grained Visual Descriptions; Reed, Akata, Schiele, Lee at IEEE CVPR 2016

# Difference between: Definition, Description and Explanation



Western Grebe Description: This is a large bird with a white neck and a black back in the water.

Class Definition: The Western Grebe is a waterbird with a vellow pointy beak, white neck and belly, and black back.

Explanation: This is a Western Grebe because this bird has a long white neck, pointy vellow beak and red eve.

Description: This is a large flying bird with black wings and a white belly.

Class Definition: The Laysan Albatross is a large seabird with a booked vellow beak, black back and white belly.

Visual Explanation: This is a Lavsan Albatross because this bird has a large wingspan, hooked vellow beak, and white belly.

Lavsan Albatross Description: This is a large bird with a white neck and a black back in the water.



Class Definition: The Lavsan Albatross is a large seabird with a hooked vellow beak, black back and white belly.

Visual Explanation: This is a Lavsan Albatross because this bird has a hooked vellow beak white neck and black back











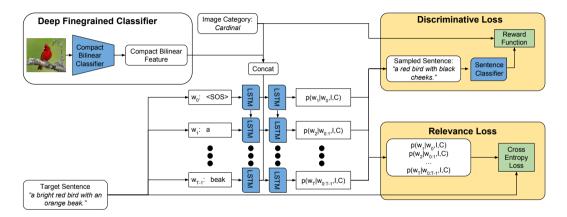


It is a **Cardinal** because it is a red bird with a red beak and a black face





## Generating Visual Explanations



Generating Visual Explanations;

Hendricks, Akata, Rohrbach, Donahue, Schiele, Darrell at ECCV 2016

### Generating Visual Explanations Results

#### Hendricks et al. ECCV'16

#### This is a **Downy Woodpecker** because...



*D*: this bird has a white breast black wings and a **red spot** on its head.

*E*: this is a black and white bird with a **red spot** on its crown.

#### This is a **Downy Woodpecker** because...



*D*: this bird has a white breast black wings and a **red spot** on its head.

*E*: this is a white bird with a black wing and a black and white striped head.

### Generating Visual Explanations Results

#### Hendricks et al. ECCV'16

#### This is a **Downy Woodpecker** because...



*D*: this bird has a white breast black wings and a **red spot** on its head.

*E*: this is a black and white bird with a **red spot** on its crown.

#### Correct: Laysan Albatross, Predicted: Cactus Wren



**Explanation:** ...this is a brown and white spotted bird with a long pointed beak.

#### This is a **Downy Woodpecker** because...



*D*: this bird has a white breast black wings and a **red spot** on its head.

*E*: this is a white bird with a black wing and a black and white striped head.

Correct & Predicted: Laysan Albatross



**Explanation:** ...this bird has a white head and breast with a long hooked bill.

*Cactus Wren* **Definition:** ...this bird has a long thin beak with a brown body and black spotted feathers. *Laysan Albatross* **Definition:** ...this bird has a white head and breast a grey back and wing feathers and an orange beak.



It is a **Cardinal** because it is a red bird with a red beak and a black face









It is a **Cardinal** because it is a **red bird** with a **red beak** and a **black face** 

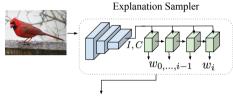








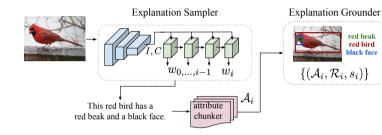
## Generating and Grounding Visual Explanations



This red bird has a red beak and a black face.

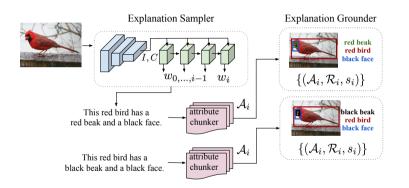
Grounding Visual Explanations; Hendricks, Hu, Darrell, Akata at ECCV 2018

# Generating and Grounding Visual Explanations



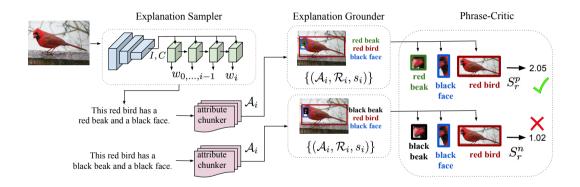
#### Grounding Visual Explanations; Hendricks, Hu, Darrell, Akata at ECCV 2018

# Generating and Grounding Visual Explanations



#### Grounding Visual Explanations; Hendricks, Hu, Darrell, Akata at ECCV 2018

# Generating and Grounding Visual Explanations



Grounding Visual Explanations; Hendricks, Hu, Darrell, Akata at ECCV 2018

# Grounding Visual Explanations and Counterfactuals

This is a Red Winged Blackbird because ....



this is a **black bird** with a **red spot on its wingbars**.

Score: -11.29



this is a black bird with a red wing and a pointy black beak.

# Grounding Visual Explanations and Counterfactuals

This is a Red Winged Blackbird because ....



this is a **black bird** with a **red spot on its wingbars**.

Score: -11.29

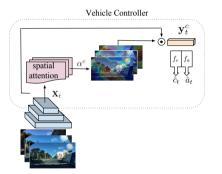


this is a black bird with a red wing and a pointy black beak.

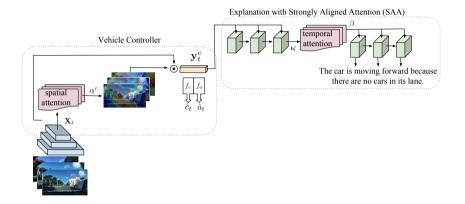
Counterfactuals: Contrasting explanations are intuitive and informative



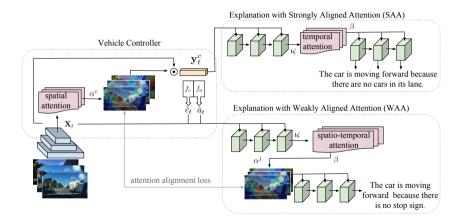
This bird is a **Crested Auklet** because this is a <u>black bird</u> with a <u>small orange</u> <u>beak</u> and it is not a **Red Faced Cormorant** because it does not have a <u>long flat bill</u>.



Textual Explanations for Self-Driving Vehicles; Kim, Rohrbach, Darrell, Canny, Akata at ECCV 2018

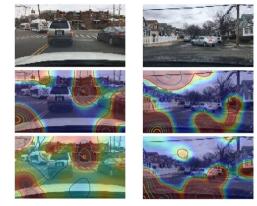


Textual Explanations for Self-Driving Vehicles; Kim, Rohrbach, Darrell, Canny, Akata at ECCV 2018



Textual Explanations for Self-Driving Vehicles; Kim, Rohrbach, Darrell, Canny, Akata at ECCV 2018

#### Kim et al. ECCV'18



The car heads down the road because traffic is moving at a steady pace. The car is slowing because it is approaching a stop sign.







The car is stopped because the car in front of it is stopped.

### Explaining the Answers of Questions about the Image

Q: Is this a healthy meal? Textual Justification Visual Pointing



A: No

...because it is a hot dog with a lot of toppings.



# Explaining the Answers of Questions about the Image

Q: Is this a healthy meal? Textual Justification Visual Pointing



A: No

... because it is a hot dog with a lot of toppings.



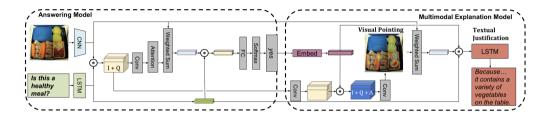


A: Yes

... because it contains a variety of vegetables on the table.



# Justifying Decisions and Pointing to the Evidence

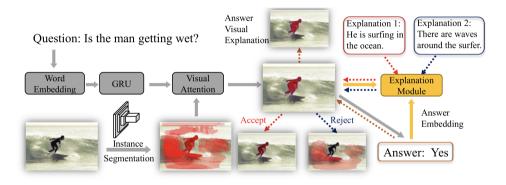


Ablation study shows that

• image attention and answer conditioning improves explanation generation quality

Multimodal Explanations: Justifying Decisions and Pointing to the Evidence; Park, Hendricks, Akata, Schiele, Darrell, Rohrbach at IEEE CVPR 2018

# Faithful Multimodal Explanation



A feedback loop from the generated explanation aims to ensure that

• explanation utilizes the same visual features used to produce the answer

Faithful Multimodal Explanation for Visual Question Answering; Wu, Mooney at ACL 2019

# Rationale VT Transformer

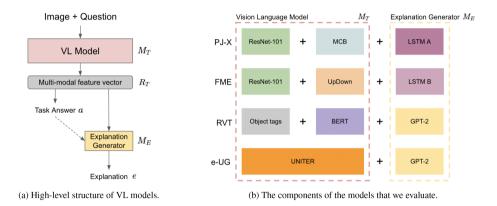


"order a drink"

Image feature + gt answer + question + pre-trained GPT-2 model  $\rightarrow$  explanation

Natural Language Rationales with Full-Stack Visual Reasoning: From Pixels to Semantic Frames to Commonsense Graphs; Marasovic, Bhagavatula, Park, Bras, Smith, Choi at EMNLP 2020

# e-ViL: Generating Explanations for Visual Entailment



e-ViL: A Dataset and Benchmark for Natural Language Explanations in Vision-Language Tasks; Kayser, Camburu, Salewski, Emde, Do, Akata, Lukasiewicz; Ongoing Work

## e-ViL Dataset for Explaining Visual Entailment

#### Kayser et al. Ongoing



**Hypothesis:** The people are flying kites at the beach. **Answer:** Contradiction

RVT: People can't be riding kites while they are flying kites.

PJ-X: People cannot be flying and flying at the same time.

FME: People cannot be walking and flying kites at the same time

e-UG: People cannot be flying kites while they are standing on a street.

GT Explanation: construction site is different from the beach



Hypothesis: The lady is the owner of the store. Relation: Neutral GT Explanation: We cannot tell from this picture if the lady is the owner of the store. PJ-X: a woman looking at a microscope does not imply that she is looking for the store PME: a woman can be a man or a woman RVT. Just because a lady is holding a book does not mean she is the owner of the store. e-UG: Just because a lady is working at a store does not mean she he owner.

# Conclusions for: Generating NL Explanations for Visual Decisions

Natural Language Explanations are

- 1. Class-specific, image-relevant, groundable and contrastive
- 2. Generalizable to image and video data as well as visual question answering
- 3. An effective means for evaluating the conceptual understanding of the model



Explanation and Learning are Related

Generating Natural Language Explanations for Visual Decisions

Modeling Conceptual Understanding of the User

Summary and Future Work

# Visual Dialog

Visual Dialog		
-	White and red	it drinking water out of a coffee mug. What color is the mug?
	No, something is there can't tell what it is	Are there any pictures on it?
	Yes, they are	Is the mug and cat on a table?
	Yes, magazines, books, toaster and basket, and a plate	Are there other items on the table?
с	Start typing question here	>

#### Proposes

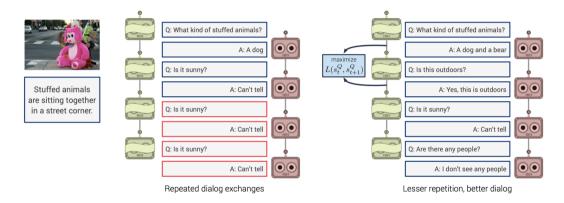
- a large-scale dataset
- data collection platform
- benchmark study on the Visual Dialog dataset

Finds that

- Naively incorporating history doesn't help
- Looking at the image is necessary

Visual Dialog; Das, Kottur, Gupta, Singh, Yadav, Foura, Parikh, Batra at IEEE CVPR 2017

# Diversity Improved Visual Dialog



Improving Generative Visual Dialog by Answering Diverse Questions Murahari, Chattopadhyay, Batra, Parikh, Das at EMNLP 2019

## Visual Explanation Through Communication

#### Alaniz et al. CVPR'21



Learning Decision Trees Recurrently Through Communication; Alaniz, Marcos, Schiele, Akata at CVPR 2021

### Visual Explanation Through Communication

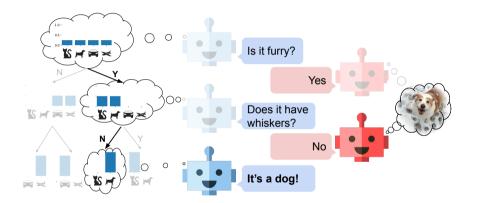
#### Alaniz et al. CVPR'21



### Learning Decision Trees Recurrently Through Communication; Alaniz, Marcos, Schiele, Akata at CVPR 2021

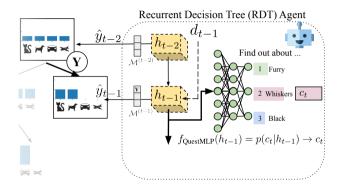
### Visual Explanation Through Communication

Alaniz et al. CVPR'21

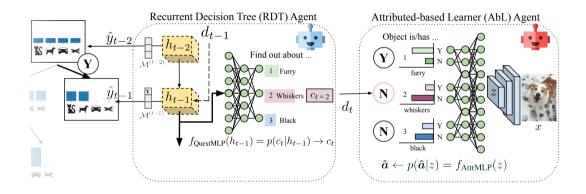


Learning Decision Trees Recurrently Through Communication; Alaniz, Marcos, Schiele, Akata at CVPR 2021

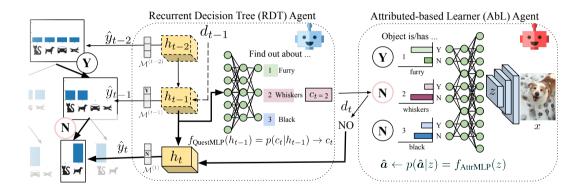
### Recurrent Decision Tree with Attributes



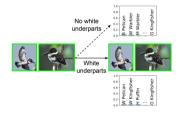
### Recurrent Decision Tree with Attributes

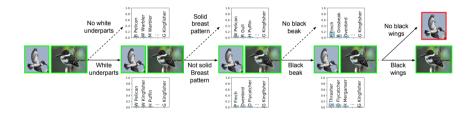


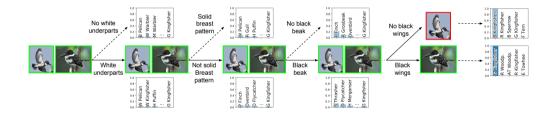
### Recurrent Decision Tree with Attributes

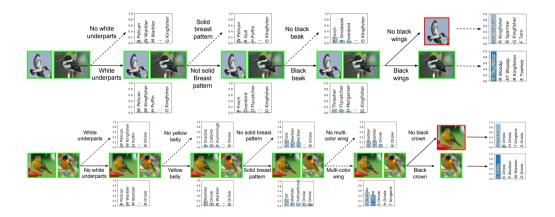




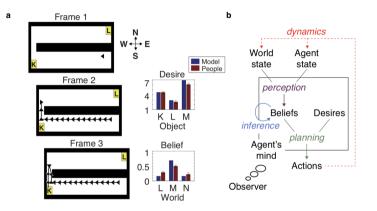






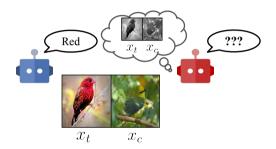


# Machine Theory of Mind

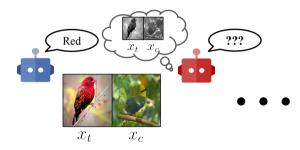


Rational quantitative attribution of beliefs, desires and percepts in human mentalizing Baker, Jara-Ettinger, Tenenbaum; Nature Human Behaviour, 2017

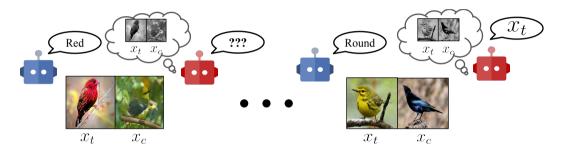
Machine Theory of Mind; Rabinowitz, Perbet, Song, Zhang, Eslami, Botvinick; ICML 2018



Modeling Conceptual Understanding in Image Reference Games; Corona, Alaniz, Akata; NeurIPS 2019

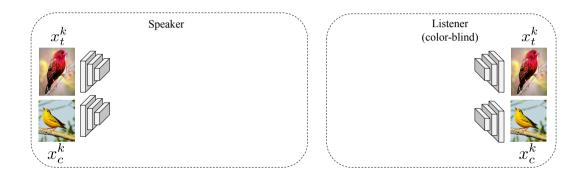


Modeling Conceptual Understanding in Image Reference Games; Corona, Alaniz, Akata; NeurIPS 2019

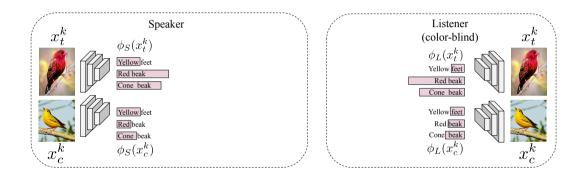


Modeling Conceptual Understanding in Image Reference Games; Corona, Alaniz, Akata; NeurIPS 2019

#### Corona et al. NeurIPS'19

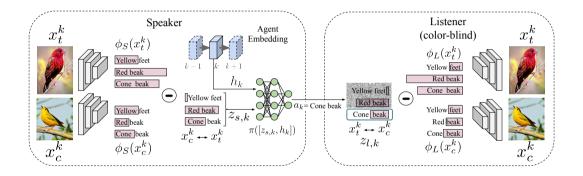


#### Corona et al. NeurIPS'19



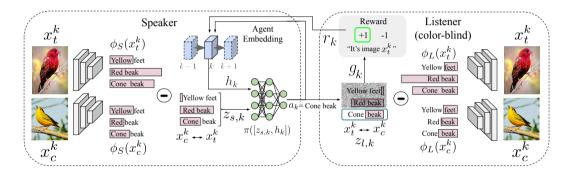
#### Modeling Conceptual Understanding

#### Corona et al. NeurIPS'19



### Modeling Conceptual Understanding

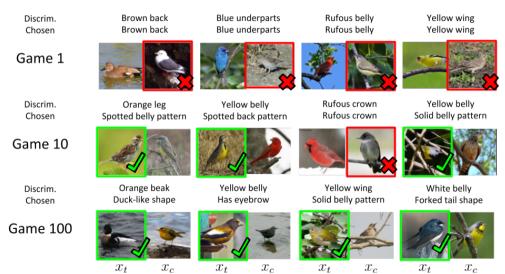
#### Corona et al. NeurIPS'19



• Speaker adapts to the listener by incorporating information after each game

# Modeling Conceptual Understanding Results

#### Corona et al. NeurIPS'19



### Conclusions for: Modeling Conceptual Understanding

Modeling the conceptual understanding of the user is

- 1. An important step towards a more natural communication
- 2. Necessary to build support and trust between the user and the machine
- 3. Difficult to evaluate: proxy tasks like classification maybe a solution



Explanation and Learning are Related

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Modeling Conceptual Understanding of the User

Summary and Future Work



1. Learning with basic, simple, contrastive elements of understanding is important [Explanation via attributes, text embeddings, natural language]



- 1. Learning with basic, simple, contrastive elements of understanding is important [Explanation via attributes, text embeddings, natural language]
- 2. Vision is complementary for generating natural language explanations [Fine-grained image based, video-based and visual question answering explanations]

### Summary

- 1. Learning with basic, simple, contrastive elements of understanding is important [Explanation via attributes, text embeddings, natural language]
- 2. Vision is complementary for generating natural language explanations [Fine-grained image based, video-based and visual question answering explanations]
- 3. Developing explainable deep models is important for user acceptance [Understanding the mental model of the user is necesary for effective communication]





User: What happened?



#### User: What happened?

Al: I was driving down an empty road. I decided to slow down as a ball appeared on the right. I saw a child running towards the ball, so I decided to stop.



#### User: What happened?

AI: I was driving down an empty road. I decided to slow down as a ball appeared on the right. I saw a child running towards the ball, so I decided to stop.

User: What would have happened if you did not stop ?



#### User: What happened?

AI: I was driving down an empty road. I decided to slow down as a ball appeared on the right. I saw a child running towards the ball, so I decided to stop.

User: What would have happened if you did not stop ?

AI: If there was an impact, the child would have gotten hurt.

# Thank you!