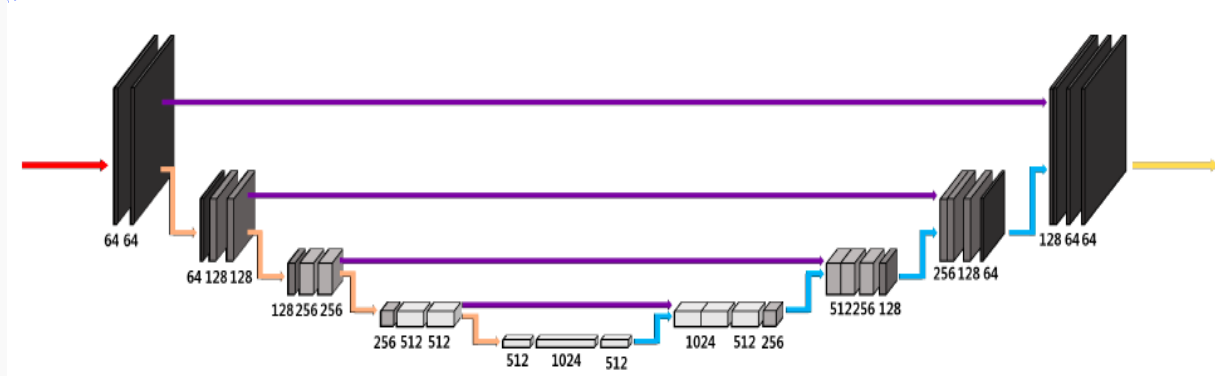


# Understanding Geometry of Encoder-Decoder CNNs (E-D CNNs)

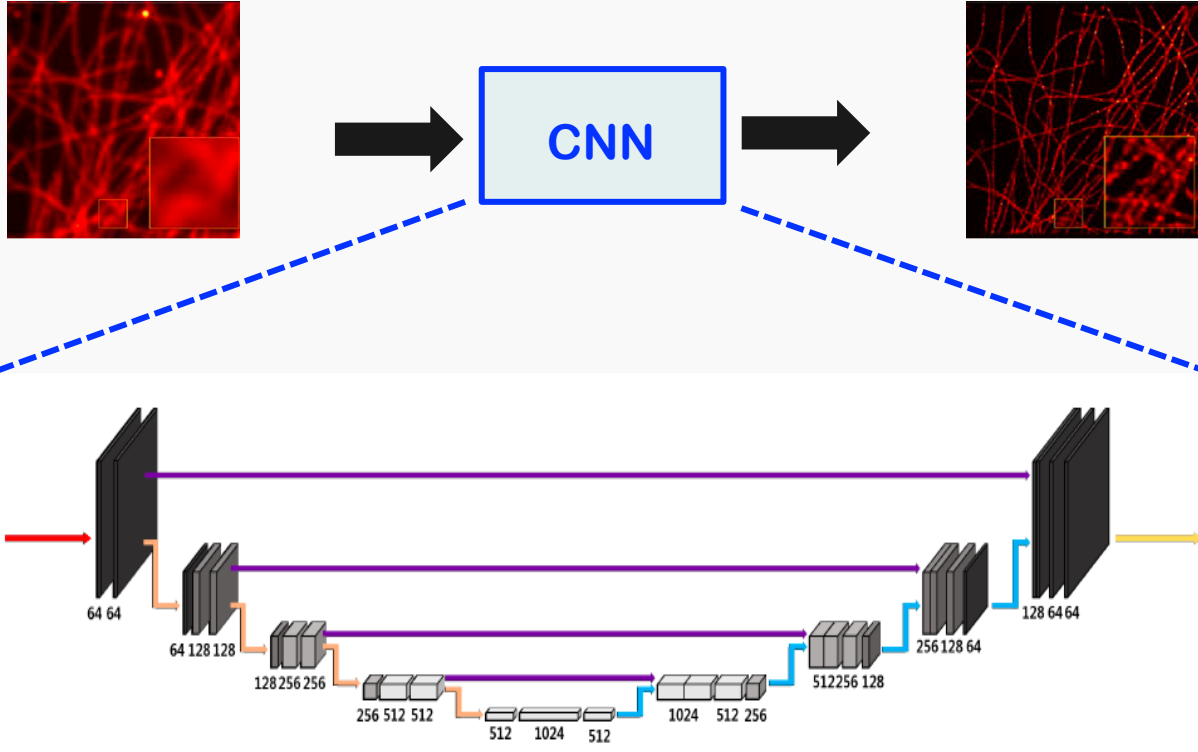
Jong Chul Ye  
&  
Woon Kyoung Sung

*BISPL - Bioluminescence, Signal Processing and Learning Lab.*  
Dept. Bio & Brain Engineering  
Dept. of Mathematical Sciences  
KAIST, Korea

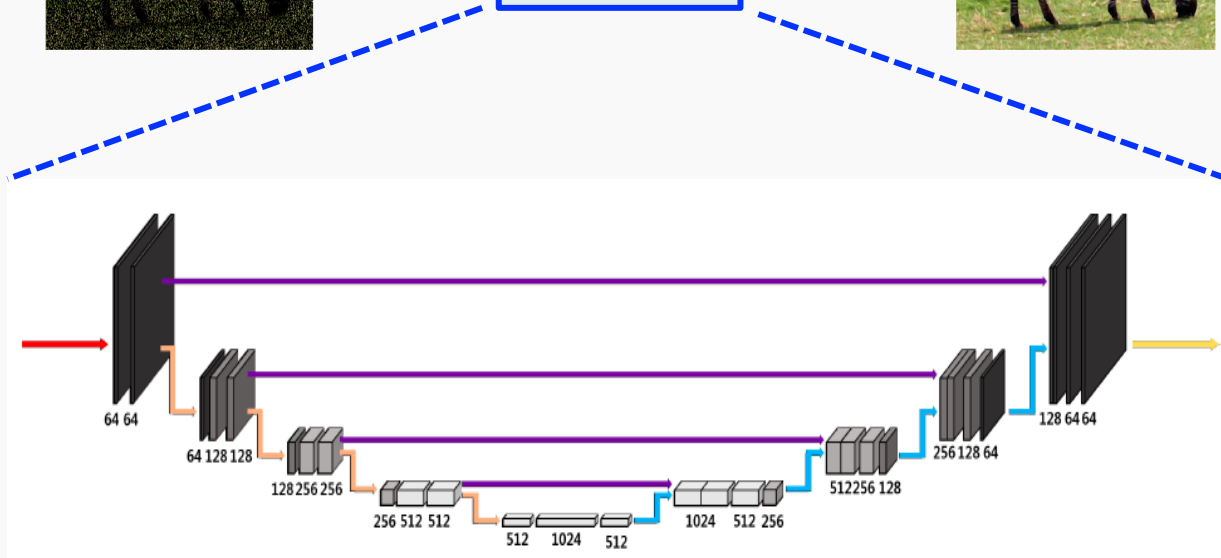
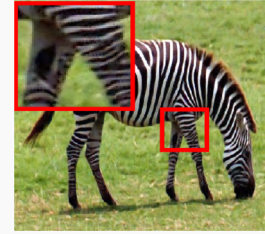
# E-D CNN for Inverse Problems



# E-D CNN for Inverse Problems



# E-D CNN for Inverse Problems



Successful applications to various inverse problems

Why **Same** Architecture Works  
for **Different** Inverse Problems ?

# Classical Methods for Inverse Problems

## Step 1: Signal Representation

$$x = \sum_i \langle b_i, x \rangle \tilde{b}_i$$

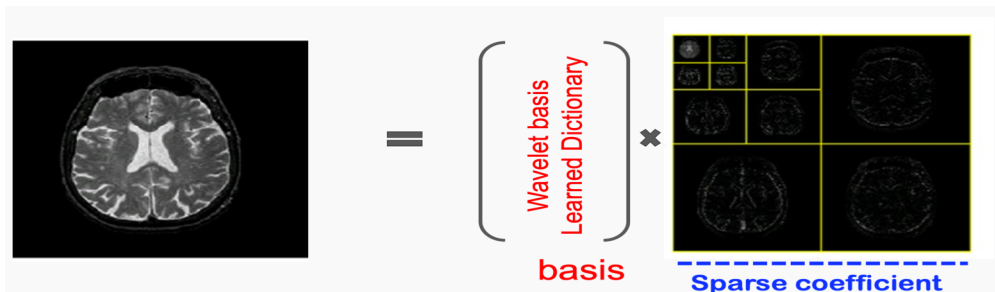
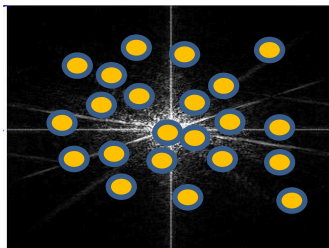
The diagram illustrates the equation  $x = \sum_i \langle b_i, x \rangle \tilde{b}_i$  with several annotations:

- A green dashed bracket above the inner product  $\langle b_i, x \rangle$  is labeled "coefficients".
- A blue oval encircles the analysis basis vector  $b_i$ , with a blue arrow pointing to the label "Analysis basis" below it.
- A red oval encircles the synthesis basis vector  $\tilde{b}_i$ , with a red arrow pointing to the label "Synthesis basis" below it.

# Classical Methods for Inverse Problems

## Step 2: Basis Search by Optimization

Eg. Compressed Sensing



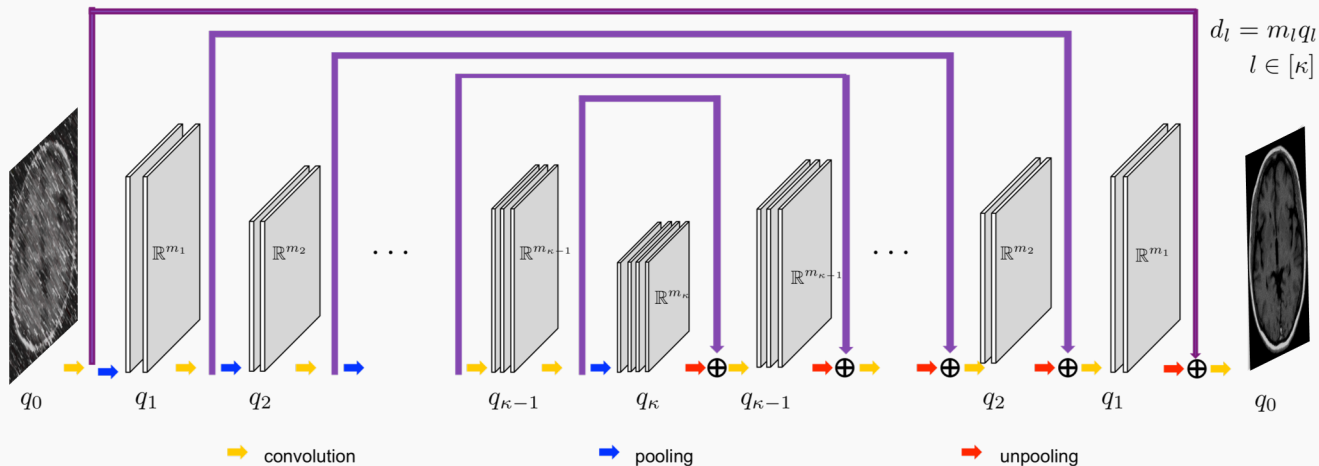
$$x = \sum_i \tilde{b}_i \langle b_i, x \rangle$$

Why do They Look so **Different** ?

Any **Link** between Them ?

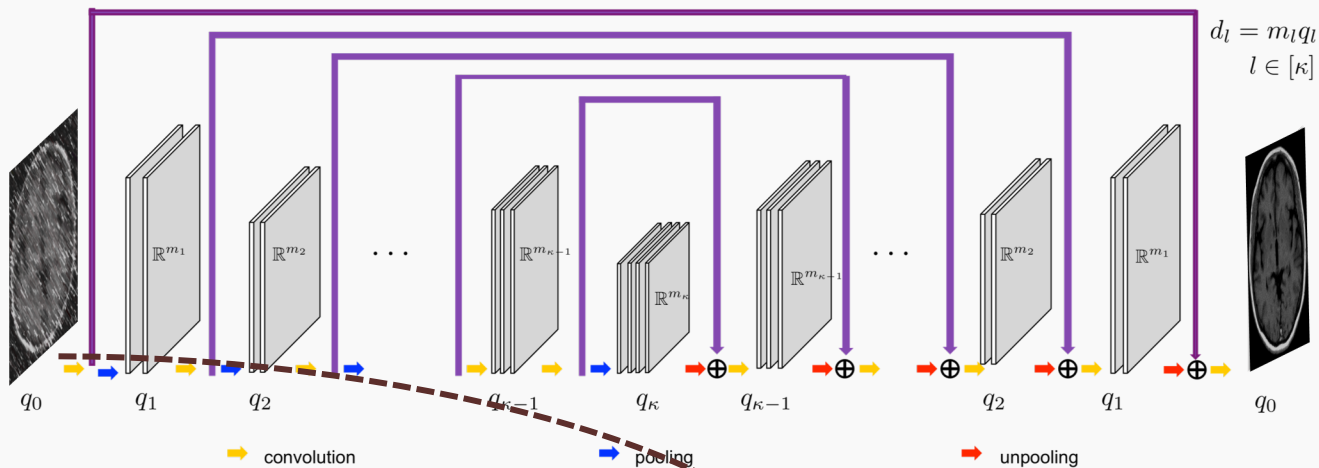


# Our Theoretical Findings



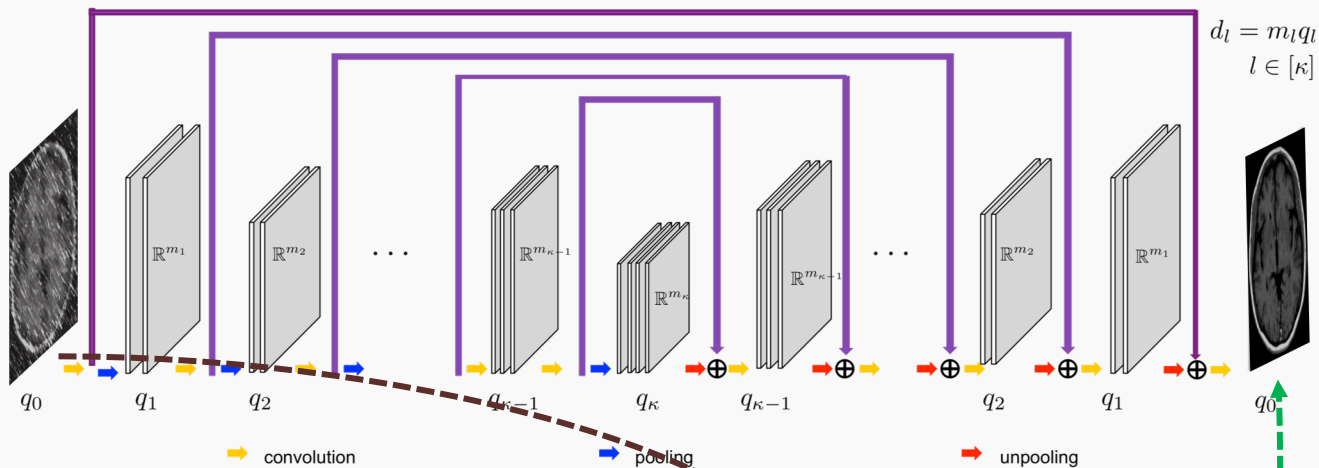
$$y = \sum_i \langle b_i(x), x \rangle \tilde{b}_i(x)$$

# Our Theoretical Findings



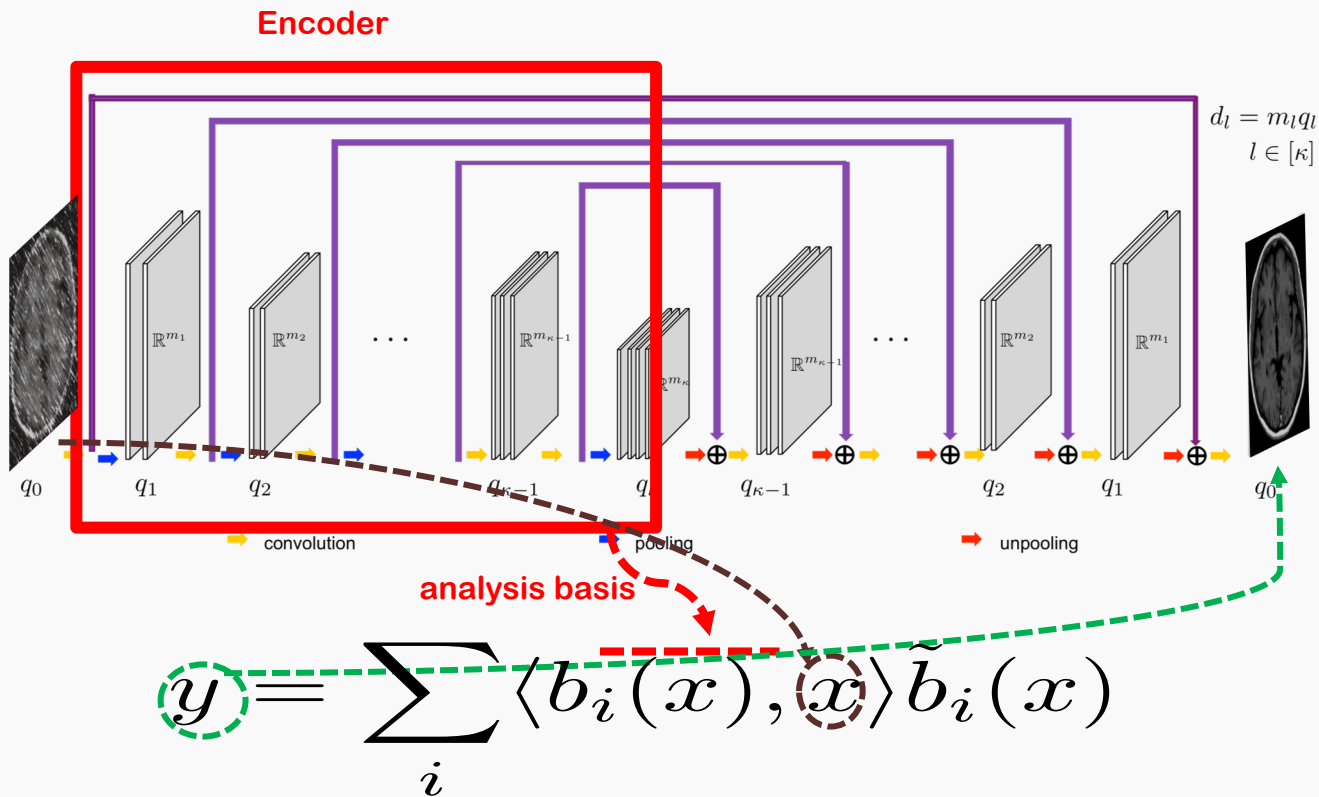
$$y = \sum_i \langle b_i(x), \tilde{x} \rangle \tilde{b}_i(x)$$

# Our Theoretical Findings

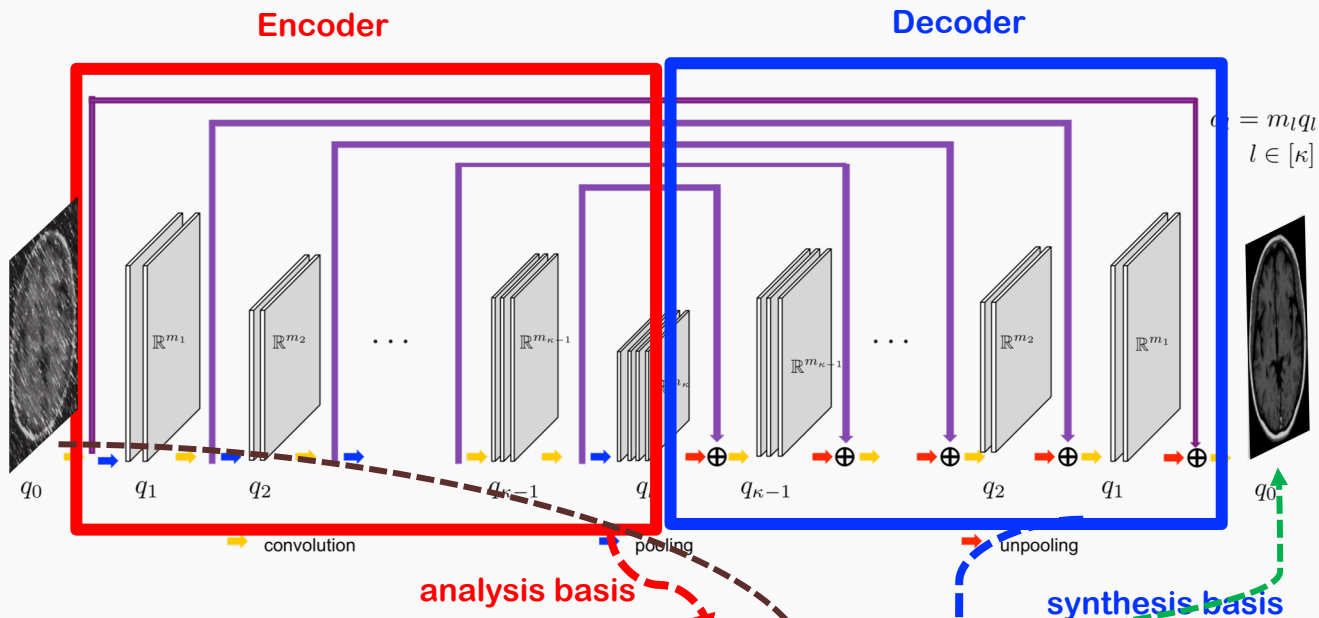


$$y = \sum_i \langle b_i(x), x \rangle \tilde{b}_i(x)$$

# Our Theoretical Findings



# Our Theoretical Findings



$$y = \sum_i \langle b_i(x), x \rangle \tilde{b}_i(x)$$

# Linear E-D CNN

$$y = \tilde{B} B^\top x = \sum_i \langle x, b_i \rangle \tilde{b}_i$$

$$B = E^1 E^2 \dots E^\kappa,$$
$$\tilde{B} = D^1 D^2 \dots D^\kappa$$

pooling

$$E^l = \begin{bmatrix} \Phi^l \circledast \psi_{1,1}^l & \dots & \Phi^l \circledast \psi_{q_l,1}^l \\ \vdots & \ddots & \vdots \\ \Phi^l \circledast \psi_{1,q_{l-1}}^l & \dots & \Phi^l \circledast \psi_{q_l,q_{l-1}}^l \end{bmatrix}$$

un-pooling

$$D^l = \begin{bmatrix} \tilde{\Phi}^l \circledast \tilde{\psi}_{1,1}^l & \dots & \tilde{\Phi}^l \circledast \tilde{\psi}_{1,q_l}^l \\ \vdots & \ddots & \vdots \\ \tilde{\Phi}^l \circledast \tilde{\psi}_{q_{l-1},1}^l & \dots & \tilde{\Phi}^l \circledast \tilde{\psi}_{q_{l-1},q_l}^l \end{bmatrix}$$

Learned filters

# Linear E-D CNN w/ Skipped Connection

$$y = \tilde{B} B^\top x = \sum_i \langle x, b_i \rangle \tilde{b}_i$$

$$B = [E^1 \dots E^\kappa \quad E^1 \dots E^{\kappa-1} S^\kappa \quad \dots \quad E^1 S^2 \quad S^1]$$

$$\tilde{B} = [D^1 \dots D^\kappa \quad D^1 \dots D^{\kappa-1} \tilde{S}^\kappa \quad \dots \quad D^1 \tilde{S}^2 \quad \tilde{S}^1]$$

more redundant expression

$$S^l = \begin{bmatrix} I_{m_{l-1}} \otimes \psi_{1,1}^l & \dots & I_{m_{l-1}} \otimes \psi_{q_l,1}^l \\ \vdots & \ddots & \vdots \\ I_{m_{l-1}} \otimes \psi_{1,q_{l-1}}^l & \dots & I_{m_{l-1}} \otimes \psi_{q_l,q_{l-1}}^l \end{bmatrix}$$

$$\tilde{S}^l = \begin{bmatrix} I_{m_{l-1}} \otimes \tilde{\psi}_{1,1}^l & \dots & I_{m_{l-1}} \otimes \tilde{\psi}_{1,q_l}^l \\ \vdots & \ddots & \vdots \\ I_{m_{l-1}} \otimes \tilde{\psi}_{q_{l-1},1}^l & \dots & I_{m_{l-1}} \otimes \tilde{\psi}_{q_{l-1},q_l}^l \end{bmatrix}$$

Learned filters

# Deep Convolutional Framelets

Perfect reconstruction

$$x = \tilde{B}B^\top x = \sum_i \langle x, b_i \rangle \tilde{b}_i$$

Frame conditions

w/o skipped connection

$$\tilde{\Phi}^l \Phi^{l\top} = \alpha I_{m_{l-1}}, \quad \Psi^l \tilde{\Psi}^{l\top} = \frac{1}{r\alpha} I_{r q_{l-1}}$$

w skipped connection

$$\tilde{\Phi}^l \Phi^{l\top} = \alpha I_{m_{l-1}}, \quad \Psi^l \tilde{\Psi}^{l\top} = \frac{1}{r(\alpha + 1)} I_{r q_{l-1}}$$



# Role of ReLUs?

## Generator for Multiple Expressions

$$y = \tilde{B}(x)B(x)^\top x = \sum_i \langle x, b_i(x) \rangle \tilde{b}_i(x)$$

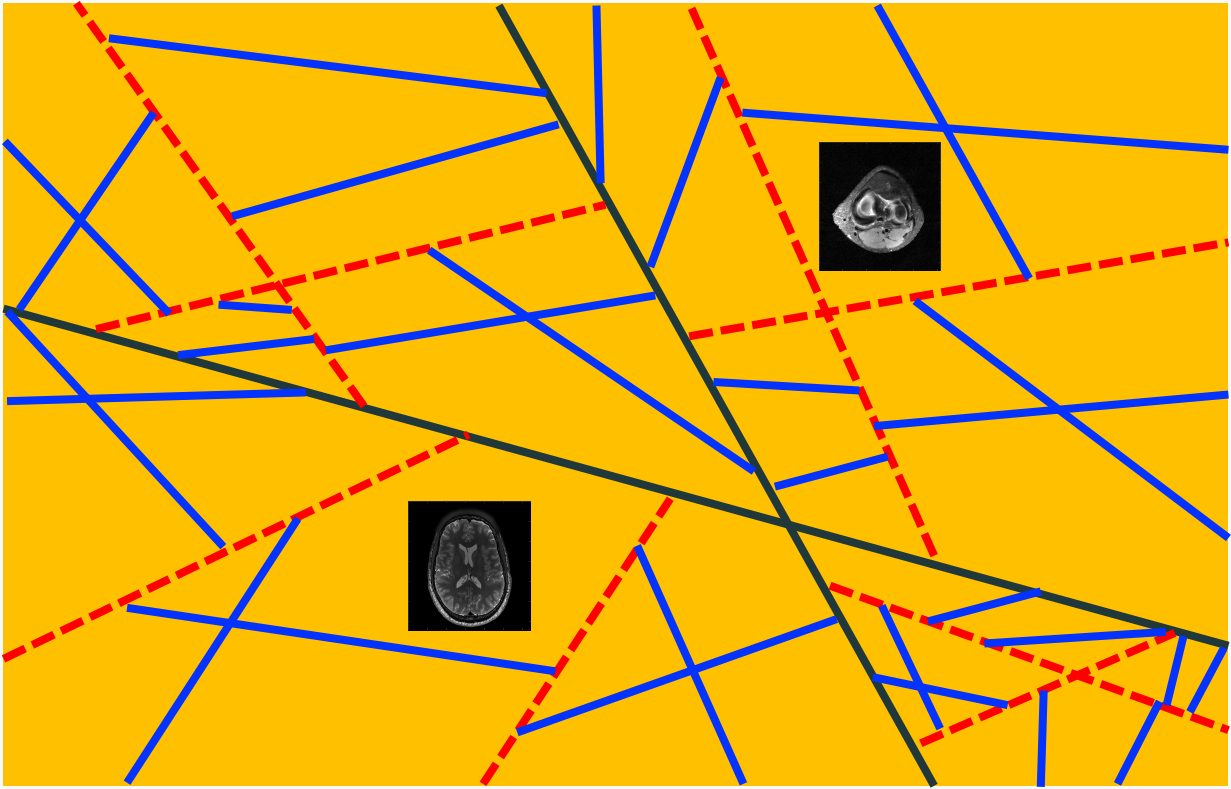
$$\begin{aligned} B(x) &= E^1 \Sigma^1(x) E^2 \dots \Sigma^{\kappa-1}(x) E^\kappa, \\ \tilde{B}(x) &= D^1 \tilde{\Sigma}^1(x) D^2 \dots \tilde{\Sigma}^{\kappa-1}(x) D^\kappa \end{aligned}$$

$$\Sigma^l(x) = \begin{bmatrix} \sigma_1 & 0 & \dots & 0 \\ 0 & \sigma_2 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & \sigma_{m_l} \end{bmatrix}$$

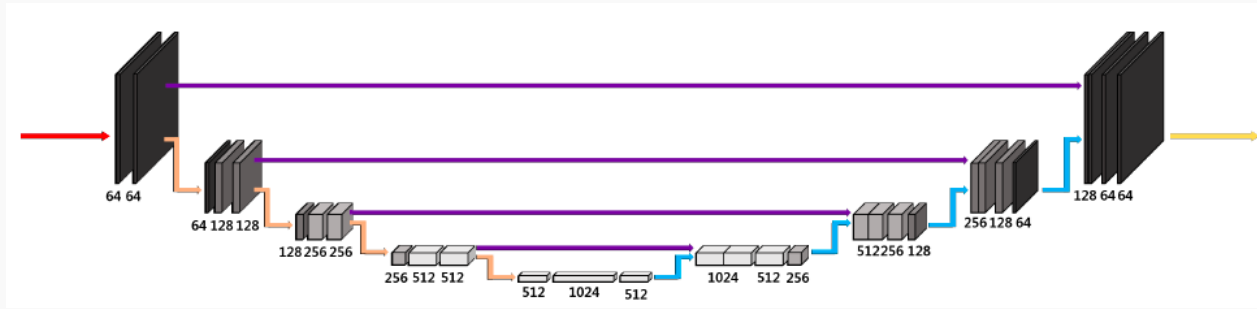
Input dependent  $\{0,1\}$  matrix

--> Input adaptivity

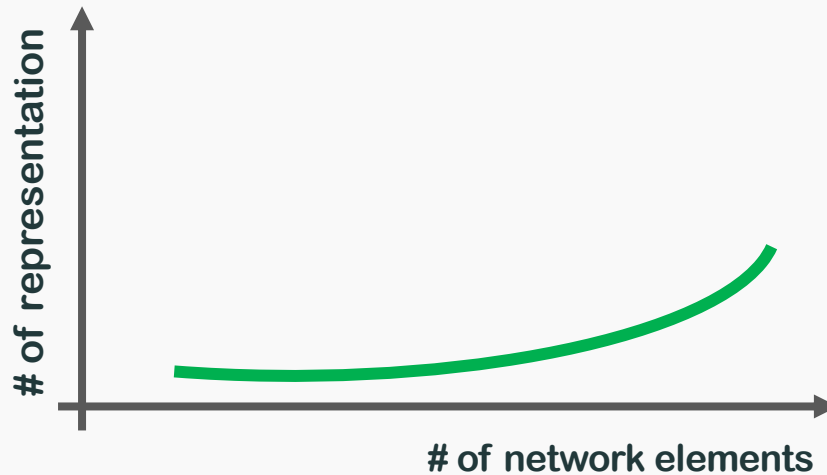
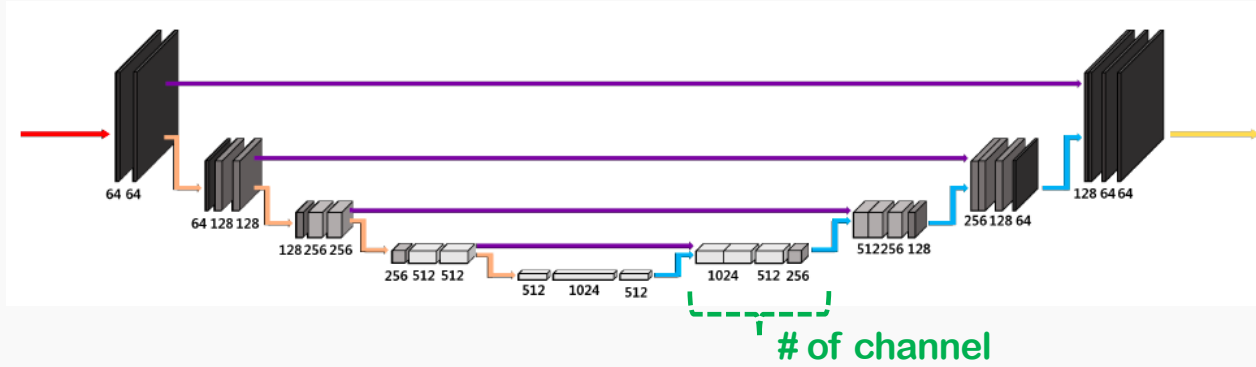
# Input Space Partitioning for Multiple Expressions



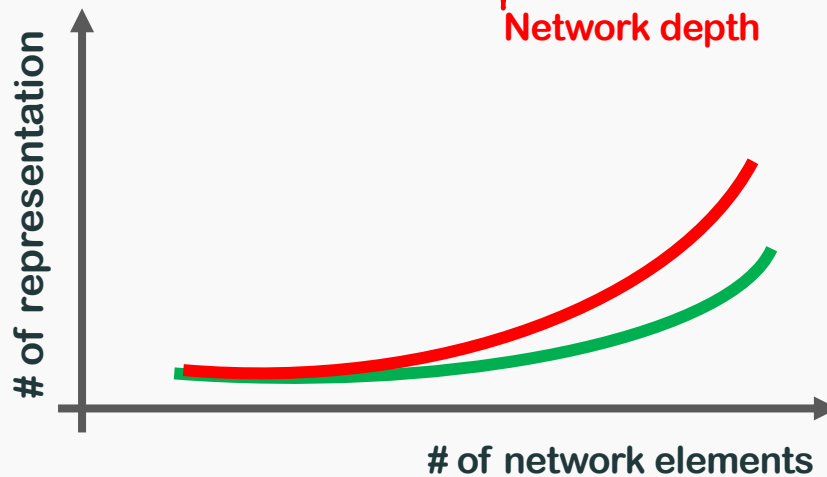
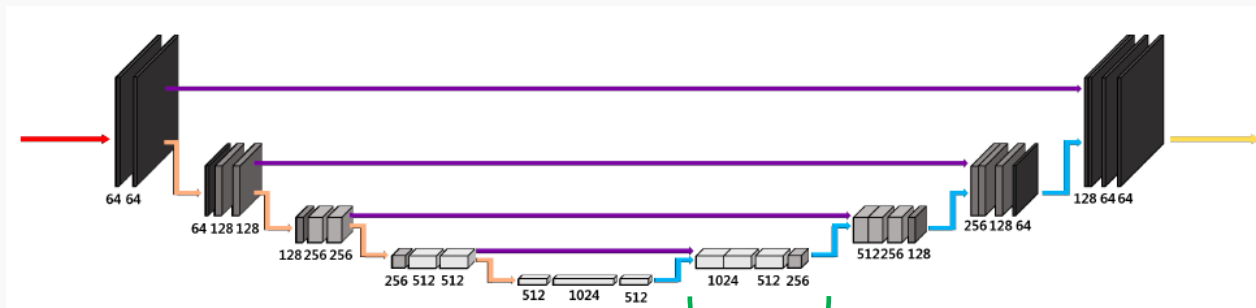
# Expressivity of E-D CNN



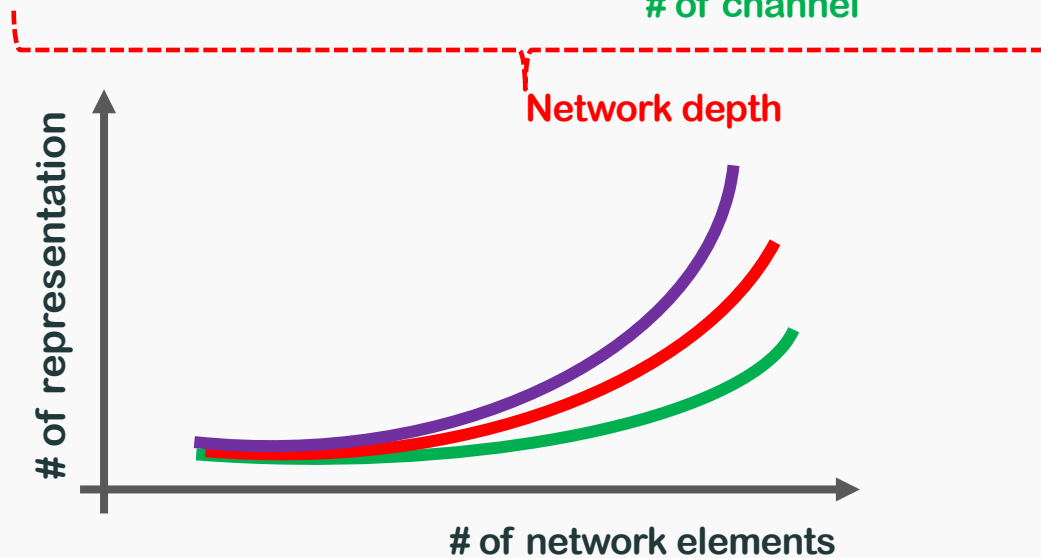
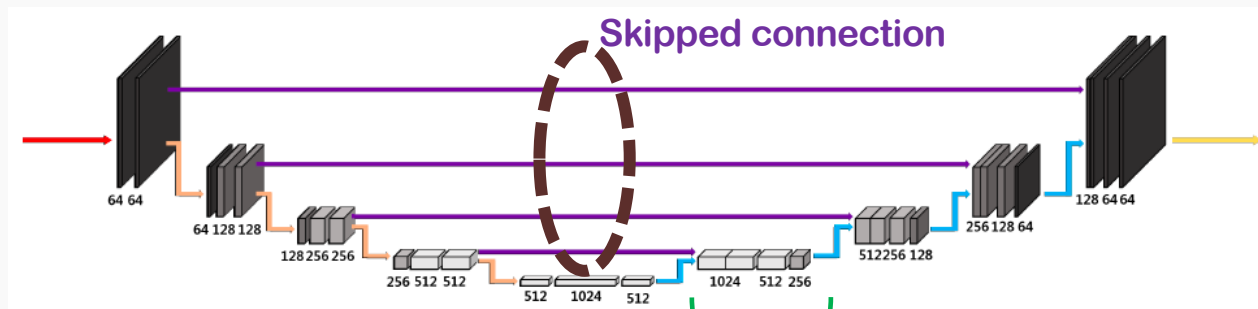
# Expressivity of E-D CNN



# Expressivity of E-D CNN



# Expressivity of E-D CNN



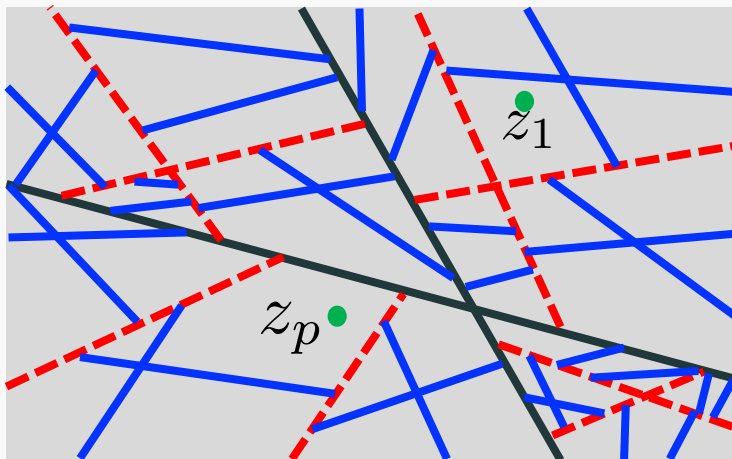
# Lipschitz Continuity

Related to the generalizability

$$\|F(\mathbf{W}, x^{(1)}) - F(\mathbf{W}, x^{(2)})\|_2 \leq K \|x^{(1)} - x^{(2)}\|_2$$

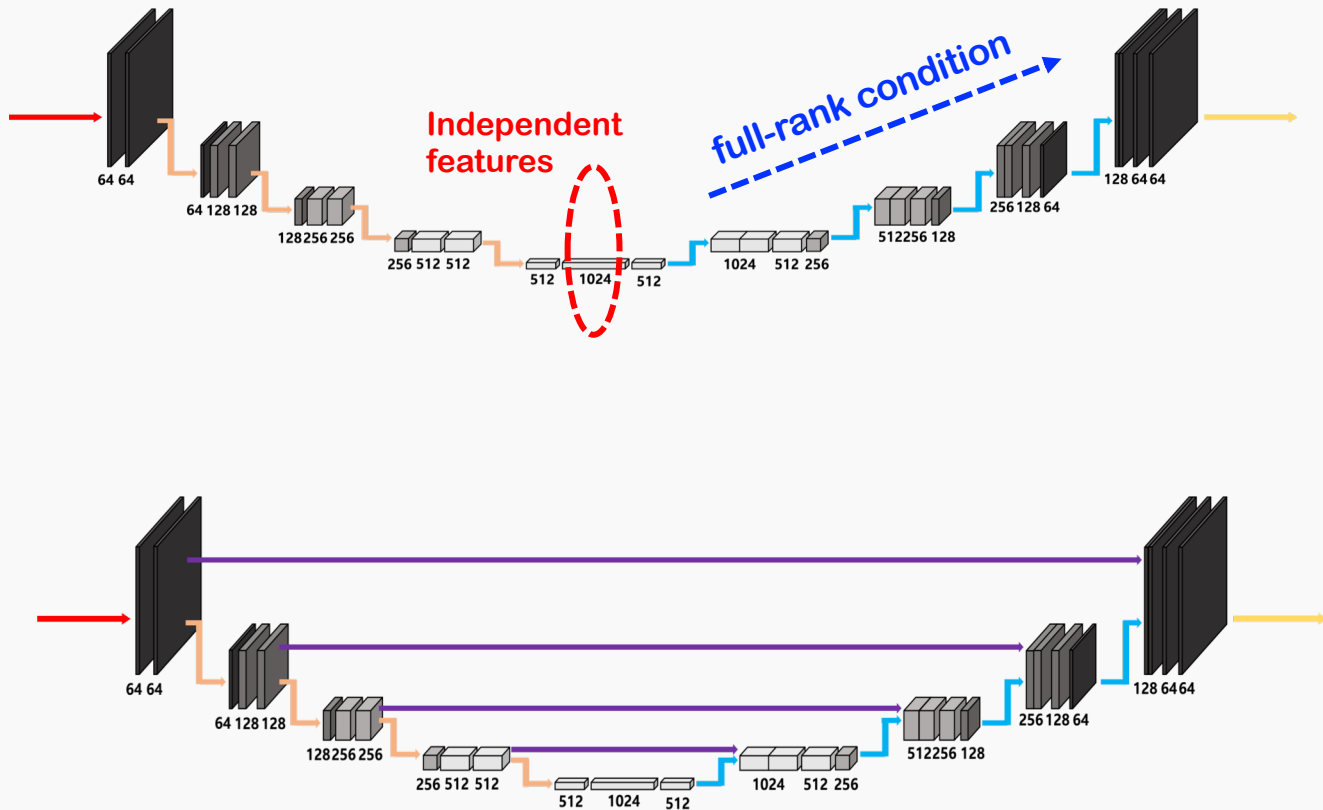
$$K = \max_p K_p, \quad K_p = \|\tilde{B}(z_p) B(z_p)^\top\|_2$$

Dependent on  
the Local Lipschitz



# Benign Optimization Landscape

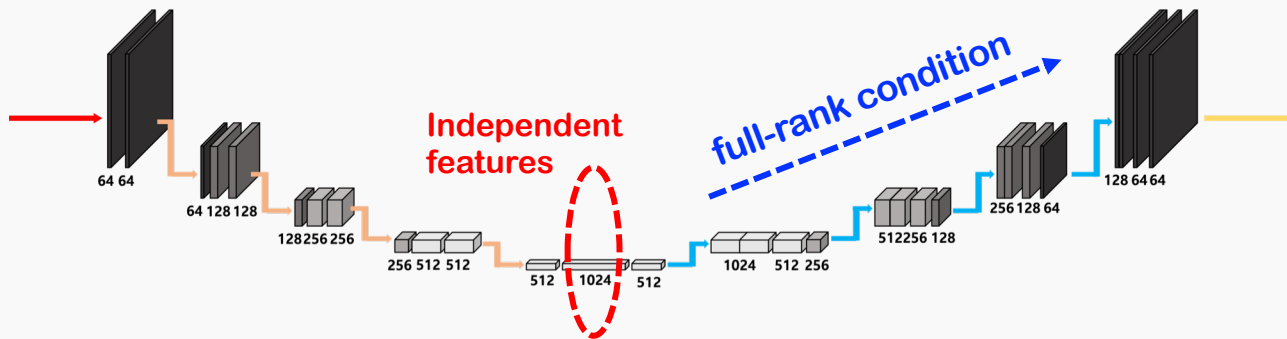
Nguyen, et al, ICML, 2018



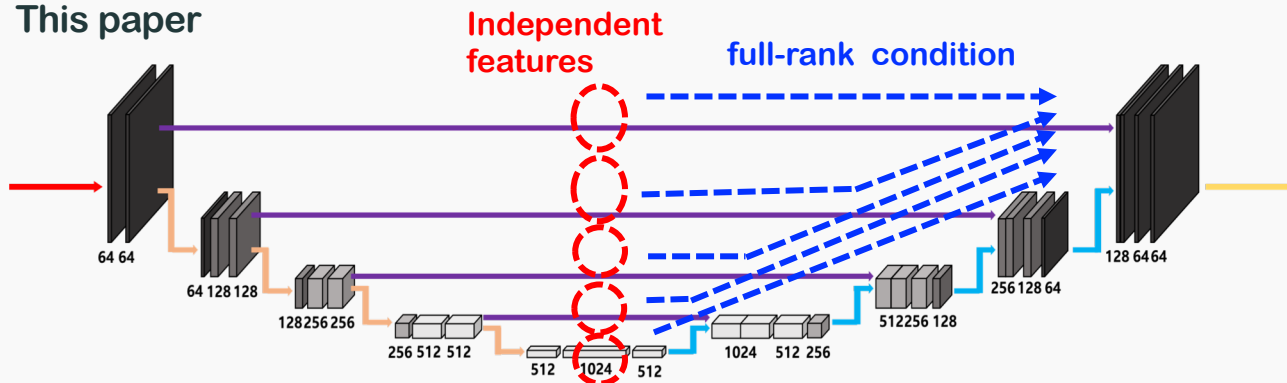


# Benign Optimization Landscape

Nguyen, et al, ICML, 2018



This paper



# Summary

- Deep learning is a novel **signal representation using combinatorial framelets**
- ReLUs generate **multiple linear representation** by partitioning the input space
- Local Lipschitz controls the global Lipschitz continuity
- Skipped connection improves the **optimization landscape**

**Poster #99: 06:30 -- 09:00 PM @ Pacific Ballroom**